

GREEN INFRASTRUCTURE PROGRAM

PROPOSITION 68 FINAL GRANT GUIDELINES



STATE OF CALIFORNIA NATURAL RESOURCES AGENCY

May 2019



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TECHNICAL ASSISTANCE WORKSHOPS

<p><u>SACRAMENTO</u> Tuesday, May 28, 2019 9:00 am - 12:00 pm Cal/EPA Sierra Hearing Room 1001 I Street Sacramento, CA 95812</p> <p>Parking Information:</p> <p>https://www.cityofsacramento.org/Public-Works/Parking-Services</p>	<p><u>WEBCAST</u> Tuesday, May 28, 2019 9:00 am - 12:00 pm</p> <p>To access the webcast go to https://video.calepa.ca.gov/. The audio and visual links to the webcast will become active approximately 15 minutes before the start of the meeting.</p>
<p><u>WHITTIER</u> Thursday, June 6, 2019 11:00 am - 2:00 pm Whittier Community Center 7630 Washington Avenue Whittier, CA 90602</p> <p>Free on-site parking Bring your own lunch</p>	<p><u>EL CAJON</u> Monday, June 10, 2019 12:00 pm - 3:00 pm El Cajon Police Department Community Room 100 Civic Center Way El Cajon, CA 92020</p> <p>Free on-site parking Bring your own lunch</p>
<p><u>SANGER</u> Tuesday, June 11, 2019 10:00 am – 1:00 pm City of Sanger City Council Chambers 1700 7th Street Sanger, CA 93657</p> <p>Free on-site parking</p>	<p><u>RICHMOND</u> Thursday, June 13, 2019 10:00 am – 1:00 pm City of Richmond City Council Chambers 440 Civic Center Plaza Richmond, CA 94804</p> <p>Free on-site and street parking</p>

FOR MATERIAL PLANNING PURPOSES, RSVPs ARE APPRECIATED

PLEASE RSVP AT THE FOLLOWING LINK:

<https://forms.gle/YhN53V4YR7HRtpaf9>

OR BY CALLING OR EMAILING:

(916) 653-2812

bondsandgrants@resources.ca.gov

These guidelines include information to assist applicants in applying for funding. Please read them in their entirety for important information on project eligibility, evaluation criteria, and submission requirements.

For general project evaluation process questions, contact the California Natural Resources Agency at:

Phone: (916) 653-2812

Email: bondsandgrants@resources.ca.gov

Website: www.resources.ca.gov/grants

HOW TO SUBMIT

This grant program includes a progressive, three-step evaluation process

- 1) Project Proposal
- 2) On-Site Field Visit
- 3) Supporting Documents

All documents are submitted using the California Natural Resources Agency's System for Online Application Review (SOAR), which can be found at: <https://soar.resources.ca.gov>.

To access SOAR, applicants must create a user account. A detailed SOAR user manual is located on the California Natural Resources Agency's website at <http://resources.ca.gov/grants/>.

SOAR is **not** compatible with Mac computers or the Mozilla Firefox web browser. SOAR works best on a PC using Internet Explorer.

SOAR SYSTEM QUESTIONS

For technical assistance with SOAR, please call or e-mail the SOAR help desk.

Hours: 8:00 am to 4:00 pm, M-F

Phone: (916) 653-6138

Email: soar.admin@resources.ca.gov

When contacting the SOAR help desk, provide the following:

- Proposal Identification Number (PIN) assigned to the Project Proposal
- Name of the Grant Program
- Short description of the problem, including where it is occurring within the Project Proposal
- A screen shot of the error received, if applicable

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INTRODUCTION

California voters passed the California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access for All Act of 2018 (Proposition 68) on June 5, 2018. Proposition 68 authorized the Legislature to appropriate \$18.5 million to the California Natural Resources Agency (the “State”) for competitive grants for multibenefit green infrastructure investments in or benefiting disadvantaged or severely disadvantaged communities. This resulted in the Green Infrastructure (GI) Grant Program. It is the intent of the people of California that projects funded by Proposition 68, including the GI Grant Program, result in public benefits addressing the most critical statewide needs and priorities for public funding.

Minimum and Maximum Awards

The minimum award is \$50,000. The maximum award is \$3,000,000.

Eligible Projects

All projects must achieve measurable benefits by a) acquiring, creating, enhancing or expanding community parks and green spaces, **or** b) use natural systems, or systems that mimic natural systems to achieve multiple benefits to create sustainable and vibrant communities.

A competitive project will maximize opportunities to reduce greenhouse gas (GHG) emissions through project design and implementation, incorporate green infrastructure solutions that improve the sustainability and function of existing hardscapes and landscapes and will provide multiple additional benefits including, but not limited to, decrease in air and water pollution, reduction in the consumption of natural resources and energy, increase in the reliability of local water supplies, and increased adaptability to climate change.

STATUTORY REQUIREMENTS

Eligible Applicants

Eligible applicants include local agencies, nonprofit organizations, non-governmental land conservation organizations, federally recognized Native American tribes, or non-federally recognized California Native American tribes listed on the California Tribal Consultation List maintained by the Native American Heritage Commission.

General Requirements

1. Projects shall accomplish at least one of the following:
 - a. Improve a community’s ability to adapt to the unavoidable impacts of climate change.
 - b. Improve and protect coastal and rural economies, agricultural viability, wildlife corridors or habitat.
 - c. Develop future recreational opportunities.
 - d. Enhance drought tolerance, landscape resilience, and water retention.
2. Projects must be located in or benefiting disadvantaged or severely disadvantaged communities (see definitions in Appendix P).
3. At least fifteen percent (15%) of program funds shall be allocated for projects serving severely disadvantaged communities.
4. Projects must provide workforce education and training, contractor, and job opportunities for disadvantaged communities, where practicable.

5. Projects shall include the planning, monitoring, and reporting necessary to ensure successful implementation of the project objectives.
6. Measurement of greenhouse gas emissions reductions and carbon sequestration associated with the project is required, where practicable.
7. Grant funds cannot be used to fulfill any mitigation requirements imposed by law.
8. Projects should include, where possible:
 - a. Efficient use and conservation of water supplies.
 - b. Use of recycled water.
 - c. Stormwater capture to reduce stormwater runoff, reduce water pollution, or recharge groundwater supplies, or a combination thereof.
 - d. Provision of safe and reliable drinking water supplies to park and open-space visitors.
9. Projects must be accessible to the public, where feasible.

Funding Priorities

Projects containing the following elements will be given **priority** consideration in funding decisions:

1. Projects that leverage private, federal, or local funding or produce the greatest public benefit.
2. Local parks projects that have obtained all required permits and entitlements and a commitment of matching funds.
3. Park creation projects that, where practicable, advance solutions to prevent displacement, if the project has the potential unintended consequence of increasing housing costs.
4. Projects that propose to use, where feasible, the services of the California Conservation Corps or certified community conservation corps (see Appendix F for consultation process).
5. Projects that include carbon sequestration and greenhouse gas emissions reduction features in the project design.
6. Special consideration may be given to projects that acquire lands in deferred certification areas of local coastal plans (see definitions in Appendix P).

Disadvantaged and Severely Disadvantaged Communities

All projects must be located within or benefitting a disadvantaged or severely disadvantaged community. See Appendix O for mapping resources and Appendix P for definitions.

Other Funding Considerations

In evaluating projects, scores are used to determine initial rankings and facilitate discussions for each proposal among a multidisciplinary team. To achieve equitable distribution of funds, the State may consider additional factors including, but not limited to, geographic distribution of funds, previous grant performance, disadvantaged community status, and feasibility to accept partial funding. In addition, priority may be given to projects that protect and restore natural resources and provide urban recreation.

Program Requirements

In addition, projects must:

1. Comply with the California Environmental Quality Act (CEQA), Division 13 (commencing with Section 21000).
2. Be acquired from a willing seller (acquisitions).
3. Comply with all labor codes.
4. Provide public access, where feasible.
5. Provide direct benefits to the area such as **walkability** and/or **functionality** (i.e., no impediments such as a freeway with no under/over passing, river with no pedestrian crossing, storm water capture that does not directly benefit the surrounding area, etc.).
6. Engage with the local community to develop the project.
7. Comply with the Model Water Efficient Landscape Ordinance (MWELO) or local agency landscape water ordinance (if as strict or stricter) and use low water, drought tolerant plantings.
8. Provide multiple benefits directly to the community. Multiple benefits may include, but are not limited to, social, environmental, economic, physical health, educational, mental health, recreational and climate adaptation.

Website addresses and links to legislation and other available resource and reference materials may be found in Appendix O.

Community Access

To the extent permissible under the State General Obligation Bond Law, up to five percent (5%) of the grant award may be used to expand community access to the project. Funds must be used for capital assets with a useful life of at least ten years.

Examples of Eligible Projects

The list below provides examples of project elements that meet statutory conditions. It is not a comprehensive list.

- Establishment, enhancement, and expansion of neighborhood parks and community spaces.
- Tree-canopy/shade trees.
- Greening of public lands and structures, including schoolyards.
- Multi-objective stormwater projects, including construction of permeable surfaces, collection basins and barriers.
- Bioswales and rain gardens to mitigate stormwater runoff.
- Green streets and alleyways.
- River, creek and stream restoration.
- Recreational trails.
- Community or outdoor education gardens and orchards.
- Non-motorized trails that provide safe routes for travel between residences, workplaces, commercial centers, and schools.
- Heat island mitigation and energy conservation efforts through landscaping and green roof projects.
- Acquisitions to preserve in perpetuity land for agricultural uses, open space, wetlands, etc.
- Creation or restoration of existing wetlands, recharge ponds, floodplain, or riparian corridors.

Examples of Ineligible Projects

The list below provides examples of projects and elements that are not eligible under the GI Grant Program. It is not a comprehensive list.

- Projects not located in or benefiting disadvantaged or severely disadvantaged communities.
- Planning only, or operation and maintenance projects.
- Active recreation projects. However, active recreation components can be part of the overall project with other funding sources.
- Infrastructure projects that do not include greening components.
- Projects to construct new parking lots or bridges (may be funded only as a necessary but minor component).
- Educational or training programs.
- Projects that create or improve roads for motorized use.
- Acquisition of property that cannot be purchased at or below fair market value.
- Development projects contingent on future acquisition for project implementation.
- Projects that include both acquisition and development (must be one or the other, not both).
- Acquisitions involving eminent domain.
- Projects that are intended to correct problems caused by inadequate maintenance.
- Project Proposals that include more than one project (however, more than one Project Proposal can be submitted by an applicant).
- Projects where applicant cannot demonstrate satisfactory permission to develop/maintain site.
- Projects that include planting trees larger than 15 gallons, even if proposed with other funding sources.
- Projects that plant invasive plants or trees, or plant for aesthetic purposes only.

SELECTION PROCESS

1. Applicants submit Project Proposals through the System for Online Application Review (SOAR). No hardcopy Project Proposals are required.
2. Project Proposals are reviewed and evaluated. Incomplete or ineligible Project Proposals may be removed from the competitive process.
3. Site visits are conducted for the most competitive projects.
4. Supporting documentation is submitted for the most competitive projects post field visit.
5. Recommendations are submitted to the California Secretary for Natural Resources.
6. Final funding decisions are determined and announced.

All information contained in the Project Proposal and Supporting Documentation is confidential until grant awards are announced.

The State reserves the right to reject an applicant who is in violation of law or policy at any other public agency. Potential violations include, but are not limited to, being in default of performance requirements in other contracts or grant agreements issued by the State, being engaged in or suspected of criminal conduct that could poorly reflect on or bring discredit to the State or failing to have all required licenses necessary to carry out the project.

The State further reserves the right to reject any applicant who has a history of performance issues with past grants or other agreements with any public entity.

Tribal Consultation

Applicants should consult and involve Native American Tribes and stakeholder groups regarding projects that affect California tribal communities.

Conflict of Interest

All applicants and individuals who participate in the review of submitted applications are subject to state and federal conflict of interest laws. Any individual who has participated in planning or setting priorities for a specific solicitation over and above the public comment process, or who will participate in any part of the grant development and negotiation process on behalf of the public, is ineligible to receive funds or personally benefit from funds through that solicitation. Failure to comply with conflict of interest laws, including business and financial disclosure provisions, will result in the application being rejected and any subsequent grant agreement being declared void. Other legal actions may also be taken. Applicable statutes include, but are not limited to, California Government Code section 1090 and Public Contract Code sections 10365.5, 10410, and 10411.

Delta Stewardship Council

For projects occurring within the Sacramento-San Joaquin Delta or Suisun Marsh, successful applicants shall consult with the Delta Stewardship Council to discuss potentially applicable Delta Plan regulatory policies.

EVALUATION/SCORING CRITERIA

Information provided during the evaluation process should demonstrate how effectively the proposed project meets statutory requirements, funding priorities, and program objectives. Projects will be evaluated using the established criteria and any additional funding considerations. Project proposals will receive an initial ranking, with a score of 100 points possible. **Points will be attributed to each category and not to individual questions.**

Scoring Criteria	Points
Eligibility, Statutory Requirements and Project Need	35
Statutory and Program Priorities	25
Project Readiness	15
Organizational Capacity	10
Collaboration	10
Additional Project Characteristics	5
Total Points Possible	100

STEP 1: PROJECT PROPOSAL

Applicants are required to submit an online Project Proposal in SOAR (System for Online Application Review) no later than **June 28, 2019 by 5:00 p.m.** No hard copies are required. Applicants proposing the most competitive eligible projects will be invited to compete in the next phase of the evaluation process, which includes an on-site field visit. The most competitive projects that receive a field visit will be invited to submit additional supporting documentation to continue in the competitive process. Incomplete or noncompliant proposals are subject to being pulled from the competitive process.

All Project Proposal submittals will include the following:

Project Summary

Describe the discrete project, including expected project deliverables. Include a brief description of current site conditions and extent of public access for the proposed project, if applicable. Summaries are limited to 4,000 characters.

Project Questions

Applicants must answer the following questions, **as applicable**. If a question does not apply to the project, indicate "Not Applicable" **with a brief explanation**. Do not leave blank fields. Answers are limited to 4,000 characters.

Eligibility, Statutory Requirements and Project Need

1. Explain how the project will achieve measurable benefits by a) acquiring, creating, enhancing or expanding community parks and green spaces, **or** b) using natural systems, or systems that mimic natural systems, to achieve multiple benefits.
2. Describe how the project incorporates green infrastructure solutions that improve the sustainability and function of existing hardscapes and landscapes.
3. Is the project located within or benefiting a disadvantaged or severely disadvantaged community? (see definitions in Appendix P).
4. Identify which type of community and explain how the disadvantaged or severely disadvantaged community will directly benefit and be served by the project.
5. Describe how the public will benefit from the project.
6. Explain how the project addresses a critical statewide need, including, but not limited to, water capture, climate adaptation and increased access to parks and open space. See Appendix O for relevant statewide plans.
7. Address how the project will accomplish one or more of the following:
 - a. Improve a community's ability to adapt to the unavoidable impacts of climate change.
 - b. Improve and protect coastal and rural economies, agricultural viability, wildlife corridors or habitat.
 - c. Develop future recreational opportunities.
 - d. Enhance drought tolerance, landscape resilience, and water retention.
8. How will the project provide workforce education and training, contractor, and job opportunities for disadvantaged communities?

9. Describe how the following is included in the project design:
 - a. Efficient use and conservation of water supplies.
 - b. Use of recycled water.
 - c. Stormwater capture to reduce stormwater runoff, reduce water pollution and/or recharge groundwater supplies.
 - d. Provision of safe and reliable drinking water supplies to park and open-space visitors.
10. What will happen to the project if grant funding is not awarded (e.g., loss of matching funds, impacts on overall project vision, project momentum, timing difficulties, etc.)?

Statutory and Program Priorities

11. What is the status of Applicant's consultation with the California Conservation Corps or a certified community conservation corps about the project?
12. How does the project leverage private, federal or local funding?
13. How does the project protect and restore natural resources and provide urban recreation?
14. Describe any carbon sequestration and greenhouse gas emissions reduction features included in the project design.
15. Describe the anti-displacement strategies included in the project.
16. What features included in the design are intended to maximize safe and equitable access to the project?

Project Readiness

17. If the requested funds are insufficient to cover all project costs, what is the funding gap and how will it be bridged?
18. On a scale of 1 – 10, how ready is the project for implementation? Please explain.
19. Describe the status of the following items, including anticipated timing for completion of each:
 - a. Preliminary design.
 - b. Environmental documentation.
 - c. Necessary permits and long-term operation and maintenance commitments/agreements.
 - d. Willing seller (e.g., purchase agreement, option, discussions, etc.).
20. List all entities with jurisdiction over the project **and the status** of notifications, agreements, meetings, etc.
21. Explain how the project addresses environmental, social, economic, community need, or public health issues or provides benefits not previously discussed.

Organizational Capacity

22. Describe applicant's experience in completing similar projects.
23. Describe applicant's fiscal capacity to carry out the proposed project on a reimbursement-only basis.
24. Describe all active state or other grants and the status of each.

Collaboration

- 25. Describe past, current and future community involvement (neighbor/user groups, etc.), outreach, partnerships, and support for the project. Be specific.
- 26. Describe how community residents are engaged to develop the project.

Additional Project Characteristics

- 27. Explain all project characteristics or benefits **not previously discussed**.
- 28. Describe ADA access to the project.

End of Questions

REQUIRED DOCUMENTATION FOR PROJECT PROPOSAL

The following documents must be uploaded into SOAR as part of the Project Proposal:

1. **Project Proposal Form Signature Page** - The signature page of the completed Project Proposal Form should be printed and signed by the same Authorized Representative identified in the submitted resolution (see Appendix E) and then scanned and uploaded to SOAR as an attachment.

To print the Project Proposal Form from SOAR:

- a. Log into SOAR and go into the active Green Infrastructure Grant Program Project Proposal Form.
 - b. Ensure all Project Proposal page information is complete and accurate.
 - c. On the General Information tab, scroll to the bottom of the page and select the Preview/Submit button (NOTE: Clicking this button will not submit your Project Proposal).
 - d. On the Project Proposal Preview page, select the "Print Project Proposal" button on the top.
 - e. Select Ctrl+P, to print the Project Proposal. Choose the correct page range for just the signature page. Click Print.
2. **Site Plan** – Plans should be for the project for which funding is requested. If the project is part of a larger project, clearly indicate the portions to be grant-funded. Plans should contain specific property details, exterior boundaries, public access points, and location of the proposed improvements described in the Project Proposal. The plan should be specific enough to allow someone unfamiliar with the project to visualize it in detail.
 3. **Photographs** – Provide up to five (5) labeled color photographs of different views of the project site reflecting **current** conditions.
 4. **Cost Estimate** – Provide a cost estimate reflecting all costs associated with the project. Identify costs included in the grant request and costs covered by other funding sources. The cost of project elements (specific line-items) funded by the Green Infrastructure grant should not be split between the grant and other funding sources. (See Appendix B for a sample format for development projects and Appendix C for a sample format for acquisition projects.) Cost estimates should include an individual line item for funding acknowledgment signage costs (See Appendix L for signage requirements).
 4. **Community Engagement Plan** – Provide a detailed overview of the project's community engagement strategy. The plan should include past, current, and future activities to engage the community in the project planning, design, and implementation process.

End of Required Documentation for Project Proposal

STEP TWO – ON SITE FIELD VISIT (QUALIFYING PROJECTS ONLY)

Applicants submitting the most competitive Project Proposals will be further evaluated during an on-site field visit. At the site visit, applicants should be prepared to respond to project-specific questions including, but not limited to, the cost estimate, funding, site plan, site control, operations and maintenance, partnerships, community involvement, and any possible barriers to successful completion.

An invitation does not guarantee a project will compete successfully for funding.

End of Step Two Information

STEP 3 – SUPPORTING DOCUMENTATION (QUALIFYING PROJECTS ONLY)

Applicants with the most competitive projects after field visits will be required to submit supporting documentation further demonstrating their ability to carry out the project.

The following required supporting materials must be uploaded as attachments in SOAR. If an item is not applicable to the project but is required in SOAR, upload an attachment with a brief explanation of why it is not applicable.

The following supporting materials must be uploaded as attachments in SOAR. Templates for some materials can be found at <http://resources.ca.gov/grants/green-infrastructure>.

For All Projects -

1. **Location Map** – Directional map, with enough detail to allow a person unfamiliar with the area to locate the project site.
2. **Signed Authorizing Resolution** – Provide a signed authorizing resolution from the applicant’s governing board. (See Appendix E for the required resolution format and content.) Resolutions must include all assurances contained in the template.
3. **Eligibility for Nonprofit Applicants** – Provide evidence: 1) the corporation is qualified under Section 501(c)(3) of the Internal Revenue Service Code (e.g., IRS Determination Letter); 2) the corporation is in good standing with the Secretary of State (e.g., Active Status printout); and 3) the corporation is current with Charity Registration with the State of California Department of Justice, Office of the Attorney General.
4. **Environmental Compliance** – At a minimum, provide a copy of the Categorical Exemption or an Environmental Checklist Form for the entire proposed project. Drafts are acceptable for this step. If the project is awarded funding, CEQA (and NEPA, if applicable) must be completed to the State’s satisfaction before any construction funds will be disbursed. (See Appendix G).
5. **Project Timeline** – Provide an estimated timeline for major project milestones.
6. **Assessor’s Parcel Map** – Provide a photocopy of relevant assessor’s parcel maps, with project parcels highlighted and full parcel numbers clearly labeled.

For Development Projects

7. **Plant Palette** – For projects with any plantings, provide genus, species, common name, and stock size (if known). **Trees must not be larger than 15-gallon.** (See Appendix O for resources to aid in plant selection and planting standards.)
8. **Property Data Sheet** – Provide the completed Property Data Sheet for all parcels included in the project (see Appendix H). Be sure to include properties that do not have parcel numbers, e.g. rights-of-way, etc.
9. **Proof of Ownership** – Provide copies of documents verifying current ownership of each parcel listed on the Property Data Sheet. Examples of such documents include tax records, owner data sheets from county records, recorded deeds, title reports, etc. All documents verifying ownership must have the parcel numbers clearly indicated on the document (handwritten acceptable).

10. **Adequate Site Control/Land Tenure** – For parcels not owned by the applicant, provide a copy of an agreement giving the applicant legal access to and permission to construct and maintain the project on the property. If applicable, the agreement should also permit public access to the project for the required number of years (see Appendix I).

If an agreement has not yet been executed at the time of submitting supporting documentation, the applicant may submit a signed letter from each landowner identifying the affected parcel(s) and indicating that, if awarded funding, the owner is willing to enter into an agreement with the applicant to allow long-term access for construction, maintenance and public use of the project.

11. **Operation and Maintenance** – If operation and maintenance will be performed by an entity other than the applicant, explain and provide evidence of concurrence from that entity (e.g., operational agreements, letters of intent, memoranda of understanding signed by all parties, etc.). If an agreement has not yet been executed at the time of application, the applicant may submit a signed letter by the entity indicating its intent to enter into such an agreement.
12. **Project Permit/Approval Status** – Indicate the types of permits necessary to complete the project, permitting submittal, and potential project delays due to permitting (see Appendix J).
13. **Anti-Displacement Plan** – Provide a plan or strategy indicating how the applicant will help the project's community manage neighborhood change as a result of the new investment.

For Acquisition Projects

14. **Conservation Easement** – If acquiring a conservation easement, provide a description of the proposed restrictions and reservations for the easement and the funding mechanism available to support long-term stewardship.
15. **Evidence of Willing Seller** – Provide a letter from each landowner indicating they are a willing participant in the proposed real property transaction. The letter should clearly identify the parcels owned by each seller and state if grant funds are awarded, the seller is willing to enter into an agreement or negotiation for an agreement for the sale of the real property at a purchase price not to exceed fair market value. (See Appendix K for a sample letter.) If available, include a copy of the fully executed purchase option agreement as well.
16. **Appraisal** – If available.
17. **Preliminary Title Report** – If available.

End of Step Three Information

PROJECT ADMINISTRATION (POST GRANT AWARD)

All projects awarded funding will follow the general administrative procedure outlined below:

1. Grantee attends grant management workshop which addresses project administration, including proper submission of payment requests.
2. State grants administrator works with grantee to develop and execute grant agreement.
3. For Acquisition projects, grantee submits an appraisal for Department of General Services' (DGS) review.
4. Grantee submits final site control documents.
5. Grantee commences preliminary project work (planning, design, permitting, CEQA, etc.) and submits reimbursement requests for eligible expenses.
6. Prior to commencing construction, grantee submits final design plans for the State's review and approval, as well as evidence of environmental compliance and funding acknowledgment sign installation.
7. Grantee notifies the State of public events related to the initiation of project construction.
8. Grantee commences project construction work.
9. Grantee submits periodic progress reports and periodic reimbursement requests for eligible expenses (payment requests are subject to retention).
10. For acquisition projects, grantee may request an advance of funds into escrow (subject to retention).
11. As applicable, grantee records Deed Restriction/Memorandum of Unrecorded Grant Agreement (MOUGA).
12. Grantee completes project and submits project completion packet.
13. The State conducts final project inspection and approves final payment request(s).

Changes to Approved Project

Grantees seeking changes or amendments to an approved project must obtain the State's approval. Changes in project scope must continue to meet the need cited in the original proposal. Grantees jeopardize funding should changes be made without prior notice to and approval by the State.

Eligible costs

Direct project-related costs incurred during the project performance period specified in the grant agreement will be eligible for reimbursement. All eligible costs must be supported by appropriate documentation. Costs incurred outside of the project performance period are not eligible for reimbursement. **Indirect and overhead costs are not eligible** for reimbursement. (See Appendix D for further information on Eligible Costs). **However**, indirect costs that can be directly attributable to the project such as accounting and personnel services for employees working on the project, are eligible with proper documentation. General operating overhead costs such as utilities, rent, and maintenance are not eligible.

Site Visits

The State may make periodic visits to the project site, including a final inspection. The State will determine if the work is consistent with the approved project scope and ensure compliance with signage requirements.

Payment of Grant Funds

Funds will not be disbursed until there is a fully executed grant agreement between the State and the grantee. Funds for **construction/implementation** cannot be disbursed until environmental review is completed and the bond acknowledgment sign is installed at the project site.

Development Projects

- Payments will be made on a reimbursement basis. This means the grantee **pays** for services, products or supplies; submits invoices and proof of payment; and is **then** reimbursed by the State. It generally takes six to eight weeks to receive payment after grantee submits a completed payment request.
- Ten percent (10%) of the amount requested for reimbursement may be retained and issued as a final payment upon project completion.
- For communities that meet disadvantaged requirements, advances of up to twenty-five percent (25%) of the grant award may be available upon compelling need. No advances will be made for in-house labor costs.

Acquisition Projects

- Properties must be acquired at a price that does not exceed fair market value.
- The property appraisal must be reviewed and approved by the Department of General Services (DGS).
- The State-approved purchase price, together with eligible acquisition costs, may be advanced into an escrow account within 60 days of close of escrow. All disbursements are subject up to a ten percent (10%) retention.
- Any remaining grant funds shall be available on a reimbursable basis for other eligible costs.
- Acquisitions are subject to the State's interest expressly stated in the deed.

Loss of Funding

The following are examples of actions that may result in a grantee's loss of funding. It is not a comprehensive list.

1. Grantee fails to execute a grant agreement.
2. Grantee changes the project scope without prior notice to and approval by the State.
3. Grantee fails to submit evidence of environmental compliance as specified in the grant agreement.
4. Grantee fails to timely submit all required documentation as specified in the grant agreement.
5. Grantee loses willing seller(s).
6. Property cannot be acquired at or below approved fair market value.
7. Grantee fails to complete the project.
8. Grantee fails to provide project updates as requested.

Use of Project Property

Grantee must maintain and operate project property acquired or developed in a manner consistent with the grant agreement and grant guidelines for a period commensurate with land tenure/site control requirements (see Appendix I).

Conservation easement proposals must include the proposed restrictions and reservations for the easement and the funding mechanism available to support long-term stewardship. The final

conservation easement terms and conditions are subject to input, review and approval by the State. The State's interest will be included in the conservation easement.

Grantee must own the land or hold a lease or other legal, long-term interest in the land that is satisfactory to the State.

Grantee is responsible for ensuring the project complies with all applicable state and federal laws and regulations, including, but not limited to: CEQA/NEPA, legal requirements for construction, building codes, health and safety codes, state contractor's and other licenses, and disabled access laws. Grantee must certify that all applicable permits have been obtained.

Project Reporting

Grantee is required to keep the State informed of the project's progress throughout the project performance period. Grantee must submit periodic status reports as requested by the grants administrator. Grantee will be responsible for measurement of greenhouse gas emissions reductions and carbon sequestration associated with the project, where practicable.

STATE AUDIT AND ACCOUNTING REQUIREMENTS

Audit Requirements

Projects are subject to audit by the State annually and for three (3) years following the final payment of grant funds. If the project is selected for audit, grantee will be contacted in advance. The audit shall include all books, papers, accounts, documents, or other records of grantee, as they relate to the project. All project expenditure documentation should be available for an audit, whether paid with grant funds or other funds.

Grantee must have project records, including source documents and evidence of payment, readily available and must provide an employee with knowledge of the project to assist the auditor. Grantee must provide a copy of any document, paper, record, etc., requested by the auditor.

Accounting Requirements

Grantee must maintain an accounting system that:

- Accurately reflects fiscal transactions, with the necessary controls and safeguards.
- Provides a good audit trail, including original source documents such as purchase orders, receipts, progress payments, invoices, employee paystubs and time cards, evidence of payment, etc.
- Provides accounting data so the total cost of each individual project can be readily determined.

Records Retention

Records must be retained for a period of three (3) years after final payment is made by the State. Grantee must retain all project records at least one (1) year following an audit.

**APPENDICES FOR
STEP 1 – PROJECT PROPOSAL
(PAGES 18 TO 23)**

APPENDIX A – SUBMITTAL CHECKLIST: STEP 1 - PROJECT PROPOSAL

The following is entered directly into SOAR:	
• Project Proposal Form	
• Project Summary	
• Project Questions	
The following will be uploaded into SOAR as attachments:	
• Project Proposal Form Signature Page (print signature page and upload)	
• Site Plan	
• Photographs	
• Cost Estimate (Appendix B or C)	
• Community Engagement Plan	

APPENDIX B – SAMPLE COST ESTIMATE FOR DEVELOPMENT PROJECTS

In preparing a cost estimate for the project, applicants should comply with the following:

1. All project elements should be detailed and customized to fit the project. Each element should be clearly described in the project narrative.
2. Each funding source must have its own column. Other Funding Source column headings should specify cash or in-kind.
3. The GI Grant and Other Funding Source columns should sum to the Total Cost column.
4. **The cost of project elements funded by the GI Grant should not be split between the grant and other funding sources.**
5. Overhead/indirect costs are not eligible for reimbursement. In-service payroll may not include a “billable rate” or administrative cost allocation.

PROJECT ELEMENTS (Examples)		Unit Price	Unit of Measure	Qty	Total Cost	GI Grant	Other Funding Source (Indicate Cash or In-Kind)	Other Funding Source (Indicate Cash or In-Kind)
NON-CONSTRUCTION (not to exceed 25% of grant)								
A	Direct Project Management & Administration							
1	Staff Time							
2	Technical Consultants							
B	Planning, Design & Permitting							
1	Design							
2	Engineering							
3	Environmental Documents							
TOTAL NON-CONSTRUCTION (not to exceed 25% of grant)								
CONSTRUCTION								
C	Site Preparation							
1	Demolition							
2	Grading							
D	Greening Elements							
1	Trees (15-gallon)							
2	Plants							
3	Mulch							
E	Other							
1	Hazard Insurance/Bond							
2	Inspections							
3	Funding Acknowledgment Sign							
TOTAL CONSTRUCTION								
Community Access (not to exceed 5% of grant)								
Contingency (not to exceed 10% of grant)								
PROJECT GRANT TOTAL								

The unit price multiplied by the quantity equals the Total Cost column.

APPENDIX C – SAMPLE COST ESTIMATE FOR ACQUISITION PROJECTS

(Complete one form for each separate escrow)

Project Title:					
Assessor's Parcel Number(s)	Acreage	Indicate Fee or Easement	Willing Seller Name and Address		
ACQUISITION COST ESTIMATE					
	Total Costs	GI Grant	Other Funding Source (Name)	Other Funding Source (Name)	Other Funding Source (Name)
Estimated Fair Market Value					
Relocation Costs					
Preliminary Title Reports, Appraisal					
Escrow Fees, Title Insurance, Closing Costs					
Surveying <i>(limited to boundary line adjustments)</i>					
Direct costs <i>(staff and consultants – limited to \$10,000 per grant)</i>					
State approval of appraisal, transaction review, etc. <i>(Recommend budgeting \$10,000)</i>	\$10,000				
Contingency <i>(not to exceed 10% of grant)</i>					
Funding Acknowledgement Sign (Required)					
7. Other <i>(specify)</i>					
Grand Total					

Acquisition Schedule*

Date Completed By

Complete Appraisal	
Submit appraisal, purchase docs and title report to State	
Open escrow & request advance into escrow	
Submit instruments of conveyance	
Close escrow (submit final closing documents to State)	
Install Bond Acknowledgement Sign	
Close-out	

*Grantee should submit evidence of progress on the acquisition within 6 months of grant execution.

APPENDIX D – ELIGIBLE COSTS

Direct project-related costs incurred during the project performance period specified in the grant agreement may be eligible for reimbursement and must be supported by appropriate documentation. **Indirect costs and costs incurred outside of the project performance period are not eligible for reimbursement.** However, indirect costs that can be directly attributable to project such as accounting and personnel services for employees working on the project, are eligible with proper documentation. General operating overhead costs such as utilities, rent, and maintenance are not eligible.

Projects must comply with Labor Code Section 1771.5. Therefore, cost estimates should include prevailing wages, as applicable. See the Department of Industrial Relations' Division of Labor Statistics and Research website at <http://www.dir.ca.gov/DLSR/PWD/index.htm> for general prevailing wage determinations. For questions about prevailing wage, contact the Department of Industrial Relations.

All Projects

1. **Direct Costs** – Only direct costs are eligible. General administrative costs and overhead (e.g., costs calculated as a percentage of other direct costs, such as telephone, utilities, and space rental, etc.) and endowments for ongoing project maintenance are not eligible and will not be reimbursed.
2. **Contingency** – Up to ten percent (10%) of the grant may be budgeted for contingency costs. All contingency costs must be eligible per these guidelines. Contingency funds may not be used to increase the amount of funds that can be used for project management/non-construction (pre-implementation) work.
3. **Signs and Interpretive Aids** – Costs can include construction of exhibits, kiosks, display boards or signs located at and communicating information about the project as well as the required funding acknowledgement sign (see Appendix L).

Development Projects

1. **Project Management/Non-Construction Costs** – Up to twenty-five percent (25%) of grant funds for a development project may be spent on project management/non-construction (pre-implementation) costs, including, but not limited to, planning and design, environmental documents, architecture and engineering, construction plans, permitting, and direct project administration and management.

The State will award pre-implementation funds for eligible proposed projects provided the applicant agrees that if the proposed project is not ultimately approved for implementation or awarded funding by the State, but is instead funded and implemented by entities independent of the State, and which rely in whole or in part on the environmental documentation paid for by the pre-implementation award, that upon approval by those other entities, all funds expended by the State for the environmental review will be repaid.

2. **Personnel or Employee Services** – Costs for the services of grantee's employees directly engaged in project execution must be computed according to grantee's prevailing wage or salary scales and may include benefits such as vacation, sick leave, Social Security contributions, etc., that are customarily charged to grantee's various projects, **excluding** overhead allocations.

- a. Costs charged to the project must be computed on actual time spent on the project and evidenced by time and attendance records describing the work as well as payroll records. Overtime costs may be allowed under grantee's established policy, provided the regular work time was devoted to the same project.
 - b. Salaries and wages claimed for employees working on State grant-funded projects must not exceed grantee's established rates for similar positions.
3. **Contracted Services** – The costs of contracted services may be reimbursed if invoices are presented with payment requests that identify the specific project activities and include evidence of payment.
4. **Outreach and Community Engagement** – Costs of engaging community members through community meetings and events directly related to the project are eligible as non-construction costs.
5. **Construction**
- a. All necessary labor and construction activities to complete the project are eligible, including site preparation (demolition, clearing and grubbing, excavation, grading), monitoring (including soil and water testing during construction), onsite/field implementation, and construction supervision, etc. The grant can pay for up to two years of plant establishment, as deemed appropriate.
 - b. Trees, supplies and materials may be purchased for a specific project or may be drawn from a central stock, provided they are claimed at a cost no higher than that paid by grantee.
 - Grantees in the business of growing plants may not charge retail rates for plants reared for a project; however, charges for materials and staff time are allowable.
 - Trees larger than 15 gallons in size are not eligible for reimbursement.
 - c. Equipment owned by grantee may be charged to the project for each use. Equipment use charges must be made in accordance with grantee's normal accounting practices. The equipment rental rates published by the California Department of Transportation may be used as a guide (refer to <http://www.dot.ca.gov/hq/construc/equipmnt.html>).
 - If grantee's equipment is used, a use log or source document must describe the work performed, indicate the hours used, relate the use to the project, and be signed by the operator and supervisor.
 - Equipment may be leased, rented, or purchased, whichever is most economical. If equipment is purchased, its residual market value must be credited to the project costs upon completion of the project.
6. **Other Expenditures** - In addition to the major categories of expenditures, grant funding may be used for miscellaneous costs necessary for execution of the project at the discretion of the State. Some of these costs may include:
- a. Premiums on hazard and liability insurance to cover personnel and/or property.
 - b. Work performed by another section or department of grantee's agency that can be documented as direct costs to the project (see requirements above under Personnel or Employee Services).
 - c. Transportation costs for moving equipment and/or personnel.

- d. Community access (up to 5% of grant) must be capital assets with useful life of at least ten years.

Acquisition Projects

1. **Acquisition** - Costs of acquiring real property are eligible and include the purchase price of the property at or below approved fair market value, appraisals, surveys for boundary adjustments, preliminary title reports, escrow fees and title insurance fees.
2. **DGS Appraisal Review** - Costs of obtaining State approvals of purchase price and transaction reviews from the State Department of General Services are also allowable.
3. **Relocation Costs** - Relocation costs are eligible for Acquisition projects that result in displacement of any person and/or business (See State Relocation Act requirements, Chapter 16, Section 7260 et seq., Government Code).
4. **Direct Staff and Consultant Services** - The costs of direct staff and consultant services necessary for the project are eligible and may be reimbursed up to \$10,000. Invoices must be presented with payment requests that identify the specific project activities and include evidence of payment. Consultants must be paid by the customary or established method and rate of applicant. No consultant fee may be paid to applicant's own employees without prior approval or unless specifically agreed to by the State.

**APPENDICES FOR
STEP 3 – SUPPORTING DOCUMENTATION
(QUALIFYING PROJECTS ONLY)
(PAGES 25 TO 37)**

APPENDIX E – RESOLUTION TEMPLATE

Resolution No: _____
RESOLUTION (GOVERNING BODY OF GRANTEE)
APPROVING THE APPLICATION FOR GRANT FUNDS FOR THE
GREEN INFRASTRUCTURE GRANT PROGRAM

WHEREAS, the Legislature and Governor of the State of California have provided funds for the program shown above; and

WHEREAS, the California Natural Resources Agency has been delegated the responsibility for the administration of this grant program, establishing necessary procedures; and

WHEREAS, said procedures established by the California Natural Resources Agency require a resolution certifying the approval of application(s) by the Applicant's governing board before submission of said application(s) to the State; and

WHEREAS, the Applicant, if selected, will enter into an agreement with the State of California to carry out the project.

NOW, THEREFORE, BE IT RESOLVED that the _____ (Governing Body)

1. Approves the filing of an application for the (*name of the project*); and
2. Certifies that Applicant understands the assurances and certification in the application; and
3. Certifies that Applicant or title holder will have sufficient funds to operate and maintain the project(s) consistent with the land tenure requirements; or will secure the resources to do so; and
4. Certifies that it will comply with all provisions of Section 1771.5 of the California Labor Code; and
5. If applicable, certifies that the project will comply with any laws and regulations including, but not limited to, the *California Environmental Quality Act (CEQA)*, legal requirements for building codes, health and safety codes, and disabled access laws, and that prior to commencement of construction all applicable permits will have been obtained; and
6. Certifies that Applicant will work towards the State Planning Priorities intended to promote equity, strengthen the economy, protect the environment, and promote public health and safety as included in Government Code Section 65041.1; and
- 7, Appoints the (*designate position, not person occupying position*) _____, or designee, as agent to conduct all negotiations, execute and submit all documents including, but not limited to, applications, agreements, payment requests and so on, which may be necessary for the completion of the aforementioned project(s).

Approved and adopted the _____ day of _____ 20____. I, the undersigned, hereby certify that the foregoing Resolution Number _____ was duly adopted by the _____.
(Governing Body)

Following Roll Call Vote: Ayes: _____
Nos: _____
Absent: _____

Clerk/Secretary for the Governing Board

APPENDIX F – CORPS CONSULTATION PROCESS

California Conservation Corps and Certified Community Conservation Corps

Proposition 68 – Parks, Environment and Water Bond Guidelines

Corps Consultation Process

This process has been developed to ensure compliance with Division 45 of the Public Resources Code, Chapter 1, Section 80016 that specifies the involvement of the California Conservation Corps (CCC) and the Certified Community Conservation Corps (as represented by the California Association of Local Conservation Corps (CALCC)).

Public Resources Code Section 80016 states “To the extent feasible, a project whose application includes the use of services of the California Conservation Corps or Certified Community Conservation Corps, as defined in Section 14507.5, shall be given preference for receipt of a grant under this division.”

Applicants seeking funds for Proposition 68 projects should consult with representatives of CCC AND CALCC (hereafter collectively referred to as Corps) to determine the feasibility of the Corps’ participation. **Both CCC and CALCC must be consulted prior to application submission.**

The Corps have developed the following consultation process:

Step 1: Applicants are encouraged to reach out to both Corps early in the process while developing project proposal(s) to determine how the Corps can be included. Applicant prepares the following information for submission to both CCC and CALCC:

- Project Title
- Project Description (identifying key project activities and deliverables)
- Project Map (showing project location)
- Project Implementation – estimated start and end dates

Step 2: Applicant submits the forgoing information via email concurrently to CCC AND CALCC representatives:

California Conservation Corps representative:

Name: Andrea Gabriel
Email: Prop68@ccc.ca.gov
Phone: (916) 341-3272

California Association of Local Conservation Corps representative:

Name: Cailin Jessup
Email: Inquiry@Prop68CommunityCorps.org
Phone: (916) 426-9170 x4

Step 3: Within five (5) business days of receiving the project information, CCC and CALCC representatives will review the submitted information, contact the applicant if necessary and respond to the applicant with a Corps Consultation Review Document (template attached) informing them:

1. It is NOT feasible for CCC and/or Certified Community Conservation Corps services to be used on the project; or
2. It is feasible for CCC and/or Certified Community Conservation Corps services to be used on the project and identifying the aspects of the project that can be accomplished with Corps services.

Note: While the Corps will take up to five (5) business days to review projects, applicants are encouraged to contact CCC/CALCC representatives to discuss the Corps' project costs and feasibility **early in the project development process**.

The Corps cannot guarantee a compliant review process for applicants who submit project information fewer than five (5) business days before an application deadline.

Step 4: Applicant submits application and Corps Consultation Review Document to Funder. If the Corps determine their participation is feasible, the applicant must describe the project components involving Corps in the application and provide an estimated budget for that component.

Step 5: Funder reviews applications. Applications with documentation demonstrating the use of services of the California Conservation Corps or Certified Community Conservation Corps will be given preference for receipt of a grant.

NOTE:

Applicants that have been awarded funds for projects where the Corps has determined Corps services can be used must thereafter work with either CCC or CALCC to develop a scope of work and enter into a contract with the appropriate Corps. Unless otherwise excused, failure to utilize a Corps on such a project will result in Funding Entities assessing a scoring penalty on the applicant's future applications for Proposition 68 Funds.

The Corps have determined that it is not feasible to use their services on projects that do not include field work. Only projects without field work are exempt from the consultation process and do not need to submit the Corps Consultation Form to Funding Entities.

Compliance with Public Resources Code Section 80001(b)(5)

Public Resources Code Section 80001(b)(5) requires to the extent practicable, that projects provide workforce education and training, contractor, and job opportunities for disadvantaged communities. Partnering with Corps will provide workforce education, training and job opportunities for the young adult members of Conservation Corps.



California Conservation Corps and Certified Community Conservation Corps



Proposition 68 – Parks, Environment and Water Bond

Corps Consultation Review Document

Except for an exempted project, this Corps Consultation Review Document shall be completed by California Conservation Corps and Certified Community Conservation Corps (hereafter collectively referred to as Corps) staff and must accompany applications for funding through Proposition 68, Chapter 1, Division 45: California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access for All Act of 2018. Please see the Corps Consultation Process, starting with Step 1 on Page 1 of this attachment. Applications that do not include this document demonstrating that both Corps have been consulted will not be given preference for receipt of a grant.

All Implementation projects require Corps consultation. Applicants for all Proposition 68 Planning or Scientific Studies projects must consult with both Corps if the project includes any field work (including but not limited to baseline studies, invasive plant removal, native plant propagation, mapping, and site maintenance). Only projects without field work are exempt from the consultation process and do not need to submit the Corps Consultation Form to Funding Entities.

To be completed by Applicant:

1. Is this application solely for planning or acquisition with no field work?
 Yes (application is exempt from the requirement to consult with the Corps)
 No (proceed to Question 2)
2. Name of applicant:
3. Project Title:
4. Department/Conservancy to which you are applying for funding:
5. Name of Grant Program:
6. Due date of Grant Application:
7. Project Start Date: Project End Date:
8. Field Work Start Date: Field Work End Date:
9. Project Map(s): (must be attached)

10. Project Description (identifying key project activities, deliverables and a clear description of field work):

To be completed by Corps:

1. This Consultation Review Document is being prepared by:
 - California Conservation Corps (CCC)
 - California Association of Local Conservation Corps (CALCC)

2. Applicant has submitted the required information by email to the California Conservation Corps (CCC) and California Association of Local Conservation Corps (CALCC):
 - Yes (applicant has submitted all necessary information to CCC and CALCC)
 - No (applicant has not submitted all information or did not submit information to both Corps)

3. After consulting with the project applicant, CCC and CALCC has determined the following:
 - It is NOT feasible for CCC and/or certified community conservation corps services to be used on the project
 - It is feasible for CCC and/or certified community conservation corps services to be used on the project and the following aspects of the project can be accomplished with Corps services

CCC and CALCC Representatives will return this form as documentation of consultation to applicant via email within five (5) business days of receipt as verification of consultation. Applicant will include copy of this document as part of the project application.

If the Corps determine it is feasible to use their services on the project, applicant will coordinate with the Corps to develop estimated costs for those services for inclusion in the budget.

The Corps must be consulted each grant cycle prior to application. If past consultations are submitted, the requirement is not met.

APPENDIX G – ENVIRONMENTAL COMPLIANCE

Prior to approval and distribution of grant funds for construction/implementation, every proposed project shall comply with the California Environmental Quality Act, Division 13 (commencing with section 21000; 14 California Code of Regulations section 15000 *et seq.* [“CEQA”]).

The State of California, acting through its administering agencies and departments, will typically act as a responsible agency for the purposes of CEQA. Therefore, prior to the State approving funding for a proposed project, **one** of the following **must** be submitted.

- a. The Notice of Exemption filed with the County Clerk and State Clearinghouse (as applicable) if the proposed project is categorically or statutorily exempt, with the appropriate Public Resources Code section citation to the exemption(s) being relied upon by the lead agency
- b. The Negative Declaration or Mitigated Negative Declaration adopted by the lead agency and Initial Study, including a copy of the Environmental Checklist Form located in Appendix G of the CEQA Guidelines and the Notice of Determination filed with the County and with the State Clearinghouse. If the lead agency has adopted a Mitigated Negative Declaration, the applicant must also provide the adopted mitigation monitoring and reporting program*
- c. The Final Environmental Impact Report certified and adopted by the lead agency with Initial Study, including a copy of the Environmental Checklist Form located in Appendix G of the CEQA Guidelines, the adopted mitigation monitoring and reporting program, and the Notice of Determination filed with the County and the State Clearinghouse. Please include any State Clearinghouse Responses received by the applicant*

*For b and c, include documentation the State of California Department of Fish and Wildlife CEQA fee was paid or is not applicable.

- d. Projects that tier from a Programmatic, Master, or other Environmental Impact Report shall include a copy of any subsequent Initial Study for the proposed project together with a copy of any supplementary environmental documentation adopted by the lead agency, including, if applicable, any required findings pursuant to Public Resources Code section 21157.1, subdivision (c), and the Notice of Determination, filed with the County Clerk and with the State Clearinghouse, as applicable

Pursuant to section 75102 of the Public Resources Code, before the adoption of a Negative Declaration or Environmental Impact Report, the lead agency shall notify the proposed action to a California Native American tribe, which is on the contact list maintained by the Native American Heritage Commission, if that tribe has traditional lands located within the area of the proposed project.

APPENDIX H – PROPERTY DATA SHEET

Complete the Property Data Sheet listing each parcel included in the proposed project, as well as the owner(s) of each parcel. Include any clarifying comments below. Attach additional sheets if necessary.

No	Owner Name	Assessor Parcel Number(s)	Acreage	If parcel(s) owned by applicant(s), indicate type of ownership			For all parcels, indicate document used to demonstrate ownership	If parcel(s) not owned by applicant(s), indicate document verifying long-term permission to develop and maintain					Entity to perform O&M	# of years O&M to be performed
				Fee Simple	Easement	Other (describe)	Proof of Ownership (tax bill, grant deed, etc.)	O&M Agreement	Lease	JPA	Letter from Owner	Other (describe)		
1														
2														
3														
4														
5														
6														
7														
8														
9														
10														
Comments:														

Total Number of Parcels: _____ Total Number of Acres: _____

APPENDIX I – SITE CONTROL/LAND TENURE REQUIREMENTS

The State recognizes that specific activities on the project property may change over time; however, all uses on the property must remain compatible with the GI Grant Program, in accordance with the following requirements:

Acquisition Projects

Grantee or grantee's successor-in-interest shall hold the real property only for the purpose for which the grant was made and make no other use or sale or other disposition of the property without the written permission of the State.

Development Projects

Grantee shall maintain and operate the property developed pursuant to this grant for a period of:

- At least 10 years for grants up to \$100,000
- At least 20 years for grants up to \$1 million
- At least 25 years for grants over \$1 million

All Projects

1. All projects may be required to record a document against the real property defining the State's interest in the property (see Appendices M and N), whether grantee owns the property or not. Exceptions may be granted as appropriate and at the sole discretion of the State.
2. Grantee shall not use or allow the use of any portion of the real property for mitigation (i.e., to compensate for adverse changes to the environment elsewhere).
3. Grantee shall not use or allow the use of any portion of the real property as security for any debt.
4. With the approval of the State, grantee or grantee's successor in interest in the property may enter into an agreement with another party to maintain and operate the property in accordance with this grant program. At a minimum, the agreement must do the following:
 - Clearly spell out the roles of each party in detail
 - Be signed by both parties signifying their acceptance
 - Not terminate prior to the length of site control/land tenure required by the grant agreement (only agreements that allow early termination for cause or by mutual consent will be acceptable)
 - Include language that grantee will resume responsibility for ongoing operation and maintenance in the event of cancellation
5. Grantee may be excused from its obligations for operation and maintenance of the project site only upon the written approval of the State for good cause. Good cause includes, but is not limited to, natural disasters that destroy the project improvements and render the project obsolete or impracticable to rebuild.

APPENDIX J – PROJECT PERMIT APPROVAL STATUS

Indicate the status of all federal, state and local permits required for the project. Describe any potential delays due to permitting (indicate specific permits). **If acquiring a long-term encroachment permit, submit evidence the entity with jurisdiction is aware of the project and is willing to work with applicant to issue the permit.**

This list is not all-inclusive. It is grantee's responsibility to identify and obtain all applicable permits.

PERMITTING AGENCY	TYPE OF REQUIREMENT	REQUIRED	APPLIED	ACQUIRED	DATE EXPECTED
State Agencies					
Department of Fish & Wildlife	Lake or Streambed Alteration Agreement (Section 1600)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Department of Fish & Wildlife	Incidental Take Permit or Consistency Determination (CESA) (California Endangered Species Act)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Department of Transportation	Encroachment Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Coastal Commission	Coastal Development Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Coastal Commission	Letter of Consistency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Regional Water Quality Control Board	401 Water Quality Certification or Waste Discharge Requirement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Water Resources Control Board	Water Rights Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Water Resources Control Board	General Industrial Stormwater Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Lands Commission	Permit (if using State-owned property)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
State Office of Historic Preservation	Section 106 Consultation with State Historic Preservation Officer (National Historic Preservation Act of 1986)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Federal Agencies					
U.S. Fish and Wildlife Service	Section 7 Consultation, Biological Opinion or Section 10 Permit (Endangered Species Act)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. Army Corps of Engineers	Section 404 Permit (Clean Water Act)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. Army Corps of Engineers	Section 10 Permit (Rivers & Harbors Act of 1899)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. Coast Guard / U.S. Army Corps of Engineers	Section 9 Permit (Rivers & Harbors Act of 1899)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
U.S. National Resources Conservation Service	Section 106 Consultation (National Historic Preservation Act of 1986)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
National Marine Fisheries Service	Section 7 Consultation, Biological Opinion, or Section 10 Permit (Endangered Species Act)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Local and Regional Planning Agencies					
City/County	Grading Permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
City/County	Environmental Health Department	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
City/County	Model Water Efficient Landscape Ordinance (MWELO) Landscape Documentation Package	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Central Valley Flood Protection Board	Permission to Encroach on Waterways within Designated Floodways	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
S.F. Bay Conservation and Development Commission	Any relevant permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Tahoe Regional Planning Agency	Any relevant permit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Local Resource Conservation District	Consultation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Flood Control Districts	Floodway & Hydrological Analysis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Others (e.g., CalRecycle, State Contractors Board, etc.):		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

APPENDIX K – WILLING SELLER LETTER (ACQUISITIONS ONLY)

All acquisition packages must include Willing Seller letters from **each person on the title**. The letter must include the following information and be signed and dated by the legal owner(s) of each parcel to be acquired.

(Sample -- Willing Seller Letter)

Date:

To: California Natural Resources Agency
Green Infrastructure (GI) Grant Program

From: Name(s) of Legal Owner (*Trust, etc.*)
Address of Legal Owner(s)

Re: Parcel number(s):
County:
Property Address:

To Whom It May Concern:

This letter is provided to confirm that (*name of owner, trust, etc.*), owner of the above-referenced property, is a willing participant in the proposed real property transaction. Should grant funds be awarded to the grant applicant, (*name of grant applicant*), then (*name of owner, trust, etc.*), as Seller, is willing to enter into negotiations for the sale of the real property for a purchase price at or below fair market value.

Acknowledged:

Signature of land owner

Date signed

Signature of land owner

Date signed

Signature of land owner

Date signed

APPENDIX L – SIGN GUIDELINES

Types of Signs

1. **Construction** - A sign acknowledging the funding source is required during construction.
2. **Post Completion** – A funding acknowledgment sign must be installed before the final project inspection and remain in place for at least four (4) years from date of project completion. The size of the sign is not prescribed; however, the funding source logo must comply with minimum size requirements and all required language must be included.

If appropriate, the same sign can be used during construction and completion.

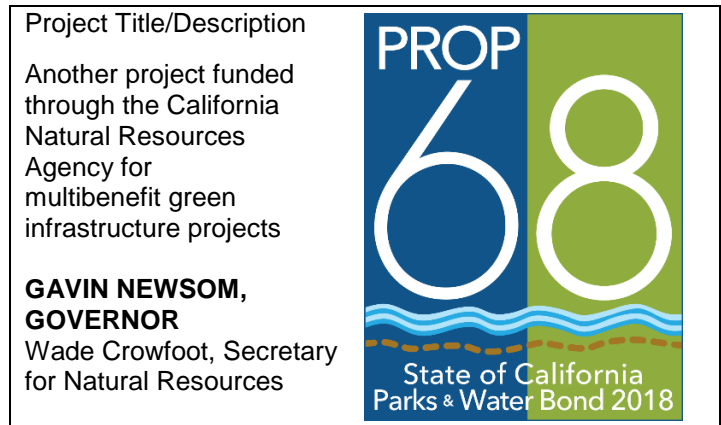
Sign Language

All signs must contain the language shown to the right. The name of the director of the local agency or other governing body may be added, as well as the names (and/or logos) of other partners, organizations, individuals and elected representatives.

Logo

All signs must display the Parks and Water Bond Act logo. The logo is available at <http://resources.ca.gov/grants/logo-art/>.

The logo must be mounted in an area maximizing visibility and durability. The logo must measure a minimum of 24” tall. When appropriate, exceptions may be approved at the State’s discretion.



Sign Construction

All materials used shall be durable and resistant to the elements and graffiti. The California Department of Parks and Recreation and California Department of Transportation standards may be used as a guide for gauge of metal, quality of paints used, mounting specifications, etc.

Sign Cost

The cost of the sign(s) is an eligible project cost. Permanent signage is encouraged.

Appropriateness of Signs

For projects where the required sign may be out of place or affected by local sign ordinances, the grants administrator may authorize a sign that is more appropriate to the project.

Signs on State Highways

Signs placed within the state highway right-of-way may require a Caltrans encroachment permit. Contact the local Caltrans District Office early in the planning phases for more information. For District Office locations, see <http://www.dot.ca.gov/contactus.html>.

State Approval

Grantee shall submit the proposed number, location(s), size, and language of signs for review prior to ordering signs. Final funds for projects will not be reimbursed until signage has been approved and installed.

APPENDIX M – SAMPLE MEMORANDUM OF UNRECORDED GRANT AGREEMENT (DEVELOPMENT PROJECTS ONLY)

(DO NOT FILL OUT AS PART OF APPLICATION)

Recording requested by, and)
when recorded, return to:)
State of California)
Natural Resources Agency)
Bonds & Grants)
1416 Ninth Street, Suite 1311)
Sacramento, CA 95814)

Space above this line for Recorder's use

MEMORANDUM OF UNRECORDED GRANT AGREEMENT

This Memorandum of Unrecorded Grant Agreement (Memorandum), dated as of _____, 20____, is recorded to provide notice of an agreement between the State of California, by and through the Natural Resources Agency ("Agency"), and

("Grantee").

RECITALS

- On or about _____, _____, Agency and Grantee entered into a certain grant agreement, Grant No. _____ ("Grant"), pursuant to which Agency granted to Grantee certain funds for the acquisition of certain real property, more particularly described in the attached Exhibit A and incorporated by reference (the "Real Property").
- Under the terms of the Grant, Agency reserved certain rights with respect to the Real Property.
- Grantee desires to execute this Memorandum to provide constructive notice to all third parties of certain Agency reserved rights under the Grant.

NOTICE

- The Real Property (including any portion of it or any interest in it) may not be sold or transferred without the written approval of the State of California, acting through the Natural Resources Agency, or its successor, provided that such approval shall not be unreasonably withheld as long as the purposes for which the Grant was awarded are maintained.
- The Grantee shall not use or allow the use of any portion of the real property for mitigation without the written permission of the State.
- The Grantee shall not use or allow the use of any portion of the real property as security for any debt.
- For additional terms and conditions of the Grant, reference should be made to the grant agreement, which is on file with the Natural Resources Agency, 1416 Ninth Street, Suite 1311, Sacramento, California 95814.

GRANTEE:

By: _____

APPENDIX N – SAMPLE DEED RESTRICTION (ACQUISITIONS ONLY)

This property was purchased in part or in whole with grant funds provided by the State of California under the California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access for All Act of 2018 (Proposition 68). The burdened property currently consists of: *(description of property)*. Landowner desires and intends that in order to provide public benefit and meet the terms of the funding, the burdened property shall be used for purposes consistent with funding.

The restrictions imposed on the burdened property shall run with the land and pass with each and every portion of the Property and shall apply to and bind the respective successors in interest.

Use of the burdened property shall exclusively be for *(description of property use)*.

The real property (including any portion of it or any interest in it) may not be sold or transferred without the written approval of the State of California, acting by and through the Natural Resources Agency, or its successor, provided that such approval shall not be unreasonably withheld, as the purposes for which the funding was awarded are expressly assumed by the purchaser as part of the purchase agreement and prior to the close of escrow.

Responsibilities to maintain and operate the property in accordance with these restrictions may be assigned only upon the written approval of the State, acting by and through the Natural Resources Agency or its successor in interest.

Obligations for operation and maintenance of the burdened property may be excused only upon the written approval of the State, acting by and through the Natural Resources Agency or its successor in interest, and only for good cause. Good cause includes, but is not limited to, natural disasters that destroy the property improvements and render the project obsolete or impracticable to rebuild. Good cause shall not include more expedient or economically beneficial development.

The landowner shall not use or allow the use of any portion of the real property for mitigation without the written permission of the State, acting by and through the Natural Resources Agency or its successor in interest.

The landowner shall not use or allow the use of any portion of the real property as security for any debt.

APPENDIX O – AVAILABLE RESOURCES

CAL FIRE's Tree Planting Standards and Specifications

http://www.fire.ca.gov/resource_mgt/downloads/CALFIRE_Nursery_Standards_and_Specs11_12.pdf

California Conservation Corps

<https://ccc.ca.gov/contact/>

California Department of Industrial Relations Prevailing Wage Determination

<https://www.dir.ca.gov/OPRL/DPreWageDetermination.htm>

California Native American Heritage Commission

<http://nahc.ca.gov/>

California Native Plant Society

<https://www.cnps.org/helpful-tools>

California Secretary of State Business Search

<https://businesssearch.sos.ca.gov/>

California Stormwater Quality Association

<https://www.casqa.org/resources/california-lid-portal>

California Forest Carbon Plan

<http://resources.ca.gov/wp-content/uploads/2018/05/California-Forest-Carbon-Plan-Final-Draft-for-Public-Release-May-2018.pdf>

California Water Action Plan

http://resources.ca.gov/california_water_action_plan/

California Water Portfolio

<https://water.ca.gov/Programs/California-Water-Plan/Water-Portfolios>

Community FactFinder (SCORP)

<http://www.parksforcalifornia.org/communities>

Department of Water Resources Disadvantaged Communities Mapping Tool

<https://gis.water.ca.gov/app/dacs/>

Governor's Office of Planning and Research CEQA Documents

<http://opr.ca.gov/clearinghouse/ceqa/document-submission.html>

i-Tree Planting

<https://planting.itreetools.org/>

i-Tree Streets

<http://www.itreetools.org/>

Local Conservation Corps

<http://calocalcorps.org/local-conservation-corps/>

Proposition 68 Text (Pub. Res. Code §8000, et seq.)

http://leginfo.legislature.ca.gov/faces/codes_displayexpandedbranch.xhtml?lawCode=PRC&division=45.&title=&part=&chapter=3.&article=&goUp=Y

Safeguarding California

<http://resources.ca.gov/docs/climate/safeguarding/update2018/safeguarding-california-plan-2018-update.pdf>

Save Our Water

<http://saveourwater.com/>

State Wildlife Action Plan

<https://www.wildlife.ca.gov/SWAP>

Water Use Classification by Landscape Species (WUCOLS)

<http://ucanr.edu/sites/WUCOLS/>

APPENDIX P – DEFINITIONS

Unless otherwise stated, the terms used in these grant guidelines have the following meanings:

Acquisition - means obtaining a fee interest or any other interest in real property.

Agency - means the California Natural Resources Agency.

Americans with Disabilities Act (ADA) - means the U.S. Americans with Disabilities Act of 1990 that gives civil rights protections to individuals with disabilities, guaranteeing equal opportunity in employment, public accommodations, transportation, State and local government services, and telecommunications.

Applicant - means an eligible organization requesting funding from a program administered by the State.

Benefitting a Disadvantaged or Severely Disadvantaged Community - means a project within one mile of a disadvantaged or severely disadvantaged community and providing direct benefits to the disadvantaged or severely disadvantaged residents including, but not limited to, training and workforce education, job opportunities, reduced pollution burden, educational and recreational opportunities, increased resilience to climate change, and reduced flooding.

CEQA - means the California Environmental Quality Act, Public Resources Code Section 21000 et seq.; Title 14, California Code of Regulations, Section 15000 et seq.

Climate Adaptation - means the adjustment or preparation of natural or human systems to a new or changing environment which moderates harm or exploits beneficial opportunities.

Climate Change - means any long-term change in average climate conditions in a place or region, whether due to natural causes or the result of human activity.

Community - means a population of persons residing in the same locality under the same local governance, such as a city, town, county, or named unincorporated area.

Conservation Easement - means any limitation in a deed, will or other instrument in the form of an easement, restriction, covenant or condition which is or has been executed by or on behalf of the owner of the land subject to such easement and is binding upon the successive owners of such land, and the purpose of which is to retain land predominantly in its natural, scenic, historical, agricultural, forested or open-space condition (Civil Code Section 815.1).

Deferred Certification Areas - refers to a geographic area that has not been officially segmented for purposes of Local Coastal Plan preparation and, during certification review of the Local Coastal Plan, was not certified.

Development - includes, but is not limited to, improvement, rehabilitation, restoration, enhancement, preservation, protection, and interpretation.

Disadvantaged Community - means a community with an annual median household income less than 80 percent of the statewide average.

Easement - means an interest in land entitling the holder thereof to a limited use or enjoyment of the land in which the interest exists.

Enhancement - means modifications to current conditions that result in a natural resource, recreational area, or existing facility realizing desired improvements (e.g., greater public access, increased adaptation to climate change, etc.) while considering the protection of the natural

environment. It is distinguished from “Restoration” in that it does not imply merely a return to natural conditions, but may include the provision of recreation, or other aspects that were not originally part of the features.

Fair Market Value - means the value placed upon the property as supported by an appraisal that has been reviewed and approved by the State.

Fund or Funds - means the California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access for All Fund, created by Section 80032.

Grant Agreement - means an arrangement between the State and Grantee specifying the payment of funds by the State for the performance of specific project objectives within a specific project performance period by the Grantee.

Grantee - means an applicant that has an agreement for grant funding with the State.

Grants Administrator - means an employee of the State who manages the grants.

Greenhouse Gases - means carbon dioxide, methane, nitrous oxide, hydrofluorocarbons, perfluorocarbons sulfur hexafluoride and nitrogen trifluoride.

Green Infrastructure – means nature-based infrastructure in communities to reduce greenhouse gases, decrease energy consumption, reduce vehicle miles traveled and convert built environments into green spaces that improve air and water quality and provide opportunities for walking, biking and recreation.

Habitat - means the place where an animal or plant normally lives, often characterized by a dominant plant form or physical characteristic (i.e., forest habitat, stream habitat, etc.).

Indirect Costs - means expenses of doing business that are of a general nature and are incurred to benefit at least two or more functions within an organization. These costs are not usually identified specifically with a grant, grant agreement, project or activity, but are necessary for the general operation of the organization. Examples of indirect costs include salaries and benefits of employees not directly assigned to a project; functions such as personnel, business services, information technology, janitorial, and salaries of supervisors and managers not directly related to the project and supported with timesheets; and overhead such as rent, utilities, supplies, etc.

In-Kind - means non-cash donations, from governmental or private sources, and includes volunteers, materials and services.

Interpretation - includes, but is not limited to, a visitor-serving amenity that enhances the ability to understand and appreciate the significance and value of natural, historical and cultural resources and that may utilize educational materials in multiple languages, digital information, and the expertise of a naturalist or other skilled specialist.

Landscape - means arranging or modifying the features of a natural environment, such as planting trees, flowers and/or shrubs, for aesthetic purposes.

Land Tenure/Site Control - means the Applicant owns the project land or has other legal long-term interest with the landowner that is satisfactory to the State.

Local Agency - means any political subdivision of the State of California, including, but not limited to, any county, city, city and county, district, joint powers authority, local community conservation corps agency, or council of governments.

Local Coastal Plan - means a basic planning tool used by local governments to guide development in the coastal zone and protect coastal resources, in partnership with the California Coastal Commission.

NEPA - means The National Environmental Policy Act that establishes national environmental policy and goals for the protection, maintenance, and enhancement of the environment and provides a process for implementing these goals within federal agencies.

Nonprofit Organization - means a nonprofit corporation qualified to do business in California, and qualified under Section 501 (c) (3) of the Internal Revenue Code.

Other Sources of Funds - means cash or in-kind contributions that are required or used to complete the project beyond the grant funds provided by the GI Grant Program.

Preservation - means rehabilitation, stabilization, restoration, conservation, development, and reconstruction, or any combination of those activities.

Project - means the acquisition or development activity to be accomplished with grant funds, and other funds if necessary, that meets eligibility requirements.

Project Performance Period - refers to the beginning and ending dates of the grant agreement. Eligible costs incurred during this period may be funded from the grant.

Project Scope - means the description or activity of work to be accomplished by the project.

Protection - means those actions necessary to prevent harm or damage to persons, property, or natural, cultural and historic resources, actions to improve access to public open-space areas, or actions to allow the continued use and enjoyment of property or natural, cultural and historic resources, and includes site monitoring, acquisition, development, restoration, preservation, and interpretation.

Public Access - generally refers to full right-of-way from public thoroughfares or public transportation.

Public Agency - means any State of California department or agency, a county, city, public district or public agency formed under California law.

Restore or Restoration - means the improvement of physical structures or facilities and, in the case of natural systems and landscape features, includes, but is not limited to, projects for the control of erosion, stormwater capture and storage or to otherwise reduce stormwater pollution, the control and elimination of invasive species, the planting of native species, the removal of waste and debris, prescribed burning, fuel hazard reduction, fencing out threats to existing or restored natural resources, road elimination, improving instream, riparian, or managed wetland habitat conditions, and other plant and wildlife habitat improvement to increase the natural system value of the property or coastal or ocean resource. Restoration also includes activities described in subdivision (b) of Section 79737 of the Water Code. Restoration projects shall include the planning, monitoring, and reporting necessary to ensure successful implementation of the project objectives.

Riparian Habitat - means lands that contain habitat which grows close to, and which depends upon, soil moisture from a nearby freshwater source.

Secretary - means the Secretary for Natural Resources or his/her representative.

Severely Disadvantaged Community - means a community with a median household income less than 60 percent of the statewide average.

State - means a political subdivision of the State of California.

Urban Area - means a city within the State of California or a geographic area designated or defined as urban by an applicable plan covering the project area, including, but not limited to general plans, specific plans, or community plans.

Urban Recreation - means recreational opportunities located within an urban area or in an area which is demonstrably accessible by the public living in a nearby urban area.

Willing Seller - means the project property owner(s) is/are a willing participant(s) in the proposed real property transaction and at a purchase price not to exceed fair market value, as verified by the State.

END OF GUIDELINES