

**State of California
Office of Administrative Law**

In re:
Department of Water Resources

Regulatory Action:

Title 23, California Code of Regulations

Adopt sections:
Amend sections: 595
Repeal sections:

NOTICE OF FILING AND PRINTING ONLY

Government Code Section 11343.8

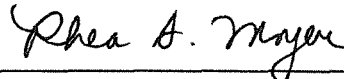
OAL Matter Number: 2021-0514-03

OAL Matter Type: File and Print Only (FP)

This is a conflict-of-interest code that has been approved by the Fair Political Commission and is being submitted for filing with the Secretary of State and printing

OAL filed this regulation(s) or order(s) of repeal with the Secretary of State and will publish the regulation(s) or order(s) of repeal in the California Code of Regulations.

Date: May 25, 2021



Rhea A. Moyer
Associate Governmental
Program Analyst

**For: Kenneth J. Pogue
Director**

**Original: Karla Nemeth, Director
Copy: Amanda Jack**

NOTICE PUBLICATION / REGULATIONS SUBMISSION (See instructions on reverse)

For use by Secretary of State only

STD. 400 (REV. 01-2013)

OAL FILE NUMBERS	NOTICE FILE NUMBER Z- 2019-1011-02	REGULATORY ACTION NUMBER 2021-0514-03	EMERGENCY NUMBER
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ENDORSED - FILED
In the office of the Secretary of State
of the State of California

MAY 25 2021

1:20 PM

For use by Office of Administrative Law (OAL) only	
2021 MAY 14 P 4: 53	OFFICE OF ADMINISTRATIVE LAW
NOTICE	REGULATIONS

AGENCY WITH RULEMAKING AUTHORITY
Department of Water Resources

AGENCY FILE NUMBER (if any)

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE		TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE	
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other		4. AGENCY CONTACT PERSON		TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn			NOTICE REGISTER NUMBER 2019, 43-Z	PUBLICATION DATE 10/25/2019

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) CONFLICT OF INTEREST CODE	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) Z-2019-1011-02
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2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)	
SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT AMEND Section 595 REPEAL
TITLE(S) 23	

3. TYPE OF FILING			
<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input checked="" type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))		<input type="checkbox"/> Other (Specify) _____	

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, 544 and Gov. Code §11347.1)

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)

Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a)) Effective on filing with Secretary of State §100 Changes Without Regulatory Effect Effective other (Specify) 30 days after SOS filing per 2 CCR 18750

6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY

Department of Finance (Form STD. 399) (SAM §6660) Fair Political Practices Commission State Fire Marshal

Other (Specify) _____

7. CONTACT PERSON Amanda Jack	TELEPHONE NUMBER (916) 651-6851	FAX NUMBER (Optional) (916) 653-9629	E-MAIL ADDRESS (Optional) form700@water.ca.gov
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8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE 	DATE 4/18/2021
TYPED NAME AND TITLE OF SIGNATORY Karla A. Nemeth, Director, Department of Water Resources	

For use by Office of Administrative Law (OAL) only

AUTHORIZED FOR FILING AND PRINTING

MAY 25 2021

Office of Administrative Law

DEPARTMENT OF WATER RESOURCES
CONFLICT OF INTEREST CODE

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict-of-interest code which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the **Department of Water Resources (Department)**.

The Director, ~~the Board Members of the Central Valley Protection Board~~, and the Chairman and Members of the California Water Commission must file their statements of economic interests electronically with the **Fair Political Practices Commission**. All other individuals holding designated positions must file their statements with the **Department**. All statements must be made available for public inspection and reproduction under Government Code Section 81008.

NOTE: Authority cited: Sections 81008, 87300, 87306. Government Code. Reference: Section 87302, Government Code.

DWR'S PROPOSED AMENDMENT TO THE CONFLICT OF INTEREST CODE 2020

Designated Positions	Assigned Disclosure Category
EXECUTIVE OFFICE	
Director, Water Resources	1
Chief Deputy Director, Water Resources	1
Assistant Chief Deputy Director	1
Deputy Director, Water Resources	1
Deputy Director, Special Initiatives	1
Assistant Director of Legislative Affairs	25
C.E.A.	1
Chief of Utility Operations, Water Resources	1
Principal Hydroelectric Power Utility Engineer	1
Principal Engineer, Water Resources	1
Supervising Engineer, Water Resources	1
<u>Supervising Engineering Geologist</u>	1
<u>Senior Engineer, Water Resources</u> <u>(Sustainable Groundwater Management Office,</u> <u>Security and Emergency Management Program)</u>	1
<u>Senior Engineering Geologist</u> <u>(Sustainable Groundwater Management Office)</u>	1
<u>Senior Engineering Geologist (Specialist)</u> <u>(Sustainable Ground Water Management Office</u> <u>CA Statewide Groundwater Elevation Monitoring Program</u> <u>Manager)</u>	12, 16, 22, 25
Chief Counsel, Water Resources	4
Supervising Management Auditor	23
Senior Management Auditor	23
Associate Management Auditor	23
Environmental Program Manager II	12, 16, 25
<u>Environmental Program Manager I (Supervisory)</u>	1
Program Manager III, California Bay-Delta Authority	1

Designated Positions	Assigned Disclosure Category
Program Manager II, California Bay-Delta Authority	1
Supervising Architect	2
<u>Staff Services Manager II (Director's Policy Advisor)</u>	1
<u>Staff Services Manager II (Deputy Director's Policy Advisor)</u>	1
<u>Staff Services Manager II (Chief, Bond Accountability Office)</u>	1
<u>Special Consultant</u>	1
Assistant Chief Counsel	4
Attorney (All Levels)	4
Consultants/New Positions ¹	1
Retired Annuitants ²	
California Water Commission	
Executive Officer, California Water Commission	1
Program Manager I, California Bay-Delta Authority	1
Member, California Water Commission	1
<u>OFFICE OF THE CHIEF COUNSEL</u>	
<u>Chief Counsel</u>	1
<u>C.E.A.</u>	1
<u>Assistant Chief Counsel</u>	1
<u>Attorney (All Levels)</u>	1
<u>Consultants/New Positions¹</u>	1
<u>Retired Annuitants²</u>	
DIVISION OF FISCAL SERVICES	
C.E.A.	1
Staff Services Manager III	24
Accounting Administrator III	28

Designated Positions

**Assigned
Disclosure Category**

Accounting Administrator II (Enterprise Accounting Branch)	28
Consultants/New Positions ¹	1
Retired Annuitants ²	
Loans and Grants	
Staff Services Manager II (Financial Analysis and Risk Management Office)	22

DIVISION OF ENVIRONMENTAL SERVICES

C.E.A.	1
Program Manager III, California Bay-Delta Authority	12, 21
Program Manager II, California Bay-Delta Authority	12, 21
Environmental Program Manager II	12, 21
Environmental Program Manager I (Supervisory)	12, 21
Data Processing Manager II	4
Recreation and Wildlife Resources Advisor	1
Consultants/New Positions ¹	1
Retired Annuitants ²	

PUBLIC AFFAIRS OFFICE

Assistant Director of Public Affairs	1
Deputy Assistant Director of Public Affairs	1
Staff Services Manager II (Graphic Creative Services Branch)	8
Graphic Services Supervisor	8
Consultants/New Positions ¹	1
Retired Annuitants ²	

DIVISION OF TECHNOLOGY SERVICES

C.E.A.	1
Data Processing Manager IV <u>Information Technology Manager II</u>	4
Data Processing Manager III <u>Information Technology Manager I</u>	4

Designated Positions**Assigned Disclosure Category**

Data Processing Manager II

4

~~Systems Software Specialist III (Supervisory)~~

5

Consultants/New Positions¹

1

Retired Annuitants²**BUSINESS SERVICES OFFICE**

C.E.A.

1

Staff Services Manager II (Chief, Contract Services)

~~8,1624~~

Staff Services Manager II (Chief, Facilities and Property Branch)

~~8,1624~~

Staff Services Manager II (Chief, Purchasing Services)

~~8,1624~~Staff Services Manager II (Chief, Business Information Branch)

8

Staff Services Manager I (Chief, Printing, Imaging, and Mail Services)

8

Staff Services Manager I (Office of the Chief)
(Small Business/Disabled Veteran Business Enterprise Advocate)~~8,1624~~~~Senior Information Systems Analyst (Supervisor) Information
Technology Supervisor II
(Chief, IT Contracting and Purchasing Services)~~~~8,165~~Consultants/New Positions¹

1

Retired Annuitants²**HUMAN RESOURCES OFFICE**

C.E.A.

1

Staff Services Manager II (Training Officer)

8

Consultants/New Positions¹

1

Retired Annuitants²**CENTRAL VALLEY FLOOD PROTECTION BOARD**~~Executive Officer, Central Valley Flood Protection Board~~

26

~~Attorney (All Levels)~~

26

~~Principal Engineer, Water Resources~~

26

~~Supervising Engineer, Water Resources~~

26

Designated Positions	Assigned Disclosure Category
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Board Member (Central Valley Flood Protection Board)	26
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Consultants/New Positions ¹	4
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Retired Annuitants ²	
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DIVISION OF MULTIBENEFIT INITIATIVES

<u>C.E.A.</u>	1
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<u>Principal Engineer, Water Resources</u>	<u>12, 16, 25, 27</u>
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<u>Supervising Engineer, Water Resources</u>	<u>12, 25, 27</u>
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<u>Program Manager III, California Bay-Delta Authority</u>	<u>25, 27</u>
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<u>Program Manager II, California Bay-Delta Authority</u>	<u>25, 27</u>
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<u>Environmental Program Manager I (Supervisory)</u>	<u>25, 27</u>
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<u>Consultants/New Positions¹</u>	1
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<u>Retired Annuitants²</u>	
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CALIFORNIA ENERGY RESOURCES SCHEDULING BONDS PROGRAMS

<u>C.E.A.</u>	4
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<u>Principal Hydroelectric Power Utility Engineer</u>	4
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<u>Supervising Hydroelectric Power Utility Engineer</u>	3, 10
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<u>Senior Hydroelectric Power Utility Engineer (Specialist)</u>	3, 10
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<u>Associate Hydroelectric Power Utility Engineer</u>	3, 10
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<u>Consultants/New Positions¹</u>	1
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<u>Retired Annuitants²</u>	
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BAY-DELTA OFFICE

<u>C.E.A.</u>	1
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<u>Principal Engineer, Water Resources</u>	12, 21
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<u>Supervising Engineer, Water Resources</u>	12, 21
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<u>Program Manager II, California Bay-Delta Authority</u>	<u>12, 21</u>
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<u>Environmental Program Manager I (Specialist)</u>	<u>12, 21</u>
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<u>Consultants/New Positions¹</u>	1
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<u>Retired Annuitants²</u>	
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Designated Positions

Assigned Disclosure Category

~~Loans and Grants~~

Principal Engineer, Water Resources (South Delta Branch)	12, 21, 22
Supervising Engineer, Water Resources (South Delta Management)	12, 21, 22

DIVISION OF FLOOD MANAGEMENT

C.E.A.	1
Principal Engineer, Water Resources	25, 27
Supervising Engineer, Water Resources	13, 25, 27
Supervising Engineering Geologist	13, 25, 27
Environmental Program Manager I (Supervisory)	25, 27
Program Manager II, California Bay Delta Authority	25, 27
<u>Information Technology Manager I</u>	4
Consultants/New Positions ¹	1
Retired Annuitants ²	

Loans and Grants

Principal Engineer, Water Resources (Flood Projects Office, Hydrology and Flood Operations Office)	22, 25, 27
Supervising Engineer, Water Resources (Hydrology Branch, Local Assistance Projects Branch <u>Statewide Grant Program Branch</u> , Flood Operations Branch, Flood Risk Reduction Projects Branch, Risk Assessment and Mapping Branch, <u>Community Assistance and Risk Awareness Branch</u> Delta Levees Branch)	13, 22, 25, 27
Senior Engineer, Water Resources (Reservoir Coordinated Operations Section, Flood Operations Branch (Supervisory Employees Only), <u>Operations Support Branch (Supervisory Employees Only)</u> , Flood Risk Reduction Projects Branch, Local Assistance Projects Branch <u>Statewide Grant Program Branch</u> , <u>Technical Assistance Section</u> Delta Levees Branch)	22, 25, 27
<u>Senior Engineer, Water Resources</u> (<u>Community Assistance Section</u>)	<u>22</u>

Designated Positions

Assigned Disclosure Category

Program Manager II, California Bay-Delta Authority
(Flood Planning Office)

22, 25, 27

Senior Environmental Scientist
(Flood Projects Office - Environmental Support Section)

22, 25, 27

STATE WATER PROJECT POWER AND RISK OFFICE

C.E.A.

1

Chief of Utility Operations, Water Resources

1

Principal Hydroelectric Power Utility Engineer

3

Supervising Hydroelectric Power Utility Engineer

3

Senior Hydroelectric Power Utility Engineer

3

Consultants/New Positions¹

1

Retired Annuitants²

INTEGRATED REGIONAL WATER MANAGEMENT DIVISION OF REGIONAL ASSISTANCE

C.E.A.

1

Principal Engineer, Water Resources

12, 16

Supervising Engineer, Water Resources

12, 16

Program Manager II, California Bay-Delta Authority

12, 16

Environmental Program Manager II

12, 16

Land and Water Use Program Manager I

12, 16

~~Supervising Engineering Geologist~~

~~12, 16~~

~~Data Processing Manager II~~

~~4~~

Consultants/New Positions¹

1

Retired Annuitants²

Loans and Grants

Principal Engineer, Water Resources
(Financial Assistance Branch)

12, 16, 22

Supervising Engineer, Water Resources
(Financial Assistance Branch)

12, 16, 22

Senior Engineer, Water Resources
(Financial Assistance Branch)

12, 22

Designated Positions**Assigned Disclosure Category**

Environmental Program Manager II (Environmental Restoration and Enhancement <u>Special Restoration Initiatives Branch</u>)	12, 22
Environmental Program Manager I	12, 22
Program Manager II, California Bay-Delta Authority (Environmental Restoration and Enhancement <u>Special Restoration Initiatives Branch</u>)	12, 22
Program Manager II, California Bay-Delta Authority (Financial Assistance Branch)	12, 22
<u>Program Manager II, California Bay-Delta Authority (Water Shortage Contingency Planning Branch)</u>	<u>12, 22</u>
Program Manager I, California Bay-Delta Authority (Financial Assistance Branch)	12, 22
Senior Engineering Geologist (Financial Assistance Branch)	12, 22
Senior Environmental Scientist (Specialist) (Financial Assistance Branch)	12, 22
<u>Senior Environmental Scientist (Supervisory) (Water Use Efficiency Implementation – Agriculture)</u>	<u>12, 16, 22</u>
<u>Senior Land and Water Use Scientist (Water Use Efficiency Implementation – Agriculture)</u>	<u>22</u>

DIVISION OF SAFETY OF DAMS

C.E.A.	1
Principal Engineer, Water Resources	14, <u>21, 22</u>
Supervising Engineer, Water Resources	14, <u>21, 22</u>
Supervising Engineering Geologist	14, <u>21, 22</u>
Consultants/New Positions ¹	1
Retired Annuitants ²	

DIVISION OF STATEWIDE INTEGRATED WATER MANAGEMENT PLANNING

C.E.A.	1
Principal Engineer, Water Resources	12, 16
Supervising Engineer, Water Resources	12, 16

Designated Positions

Assigned Disclosure Category

Program Manager III, California Bay-Delta Authority	12, 16
Program Manager II, California Bay-Delta Authority	12, 16
Land and Water Use Program Manager-I	12, 16
Research Manager III (General) Research Data Manager	12, 16
Consultants/New Positions ¹	1
Retired Annuitants ²	

Loans and Grants

Senior Engineer, Water Resources (Recycling and Water Desalination)	22
Senior Land And Water Use Scientist (Water Use Efficiency - Agriculture)	22

STATE WATER PROJECT ANALYSIS OFFICE

C.E.A.	1
Principal Engineer, Water Resources	9
Principal Hydroelectric Power Utility Engineer	9
Supervising Engineer, Water Resources	9
Supervising Hydroelectric Power Utility Engineer	3
Consultants/New Positions ¹	1
Retired Annuitants ²	

DIVISION OF OPERATIONS AND MAINTENANCE - HEADQUARTERS

C.E.A.	1
Chief of Utility Operations, Water Resources	1
Principal Engineer, Water Resources	9
Supervising Engineer, Water Resources	9, 14
Principal Hydroelectric Power Utility Engineer	10
Supervising Hydroelectric Power Utility Engineer	3, 10
Principal Mechanical and Electrical Engineer, Hydraulic Structures	2
Supervising Control Engineer	10

Designated Positions	Assigned Disclosure Category
Senior Control Engineer (Supervisor)	10
Supervising Telecommunications Engineer	10
Senior Telecommunications Engineer	10
Supervising Electrical Engineer, Hydraulic Structures	2
Supervising Mechanical Engineer, Hydraulic Structures	2
Utility Craftsworker Superintendent, Water Resources	11
Hydroelectric Plant Maintenance Superintendent	11
Hydroelectric Plant Operations Superintendent	11
Staff Services Manager II (Field Divisions)	11
Chief Water and Power Dispatcher	3, 10
Program Water and Power Dispatcher	3, 10
Program Manager II, California Bay-Delta Authority	9, 12
Senior Water and Power Dispatcher	3, 10
Water and Power Dispatcher	3, 10
Water Resources Engineering Associate (Specialist) (Watermaster, Oroville Beckwourth Subcenter)	17
Water Services Supervisor (Oroville)	17
Chief, Field Division, Department of Water Resources	4
Environmental Program Manager I (Oroville)	18
Information Technology Manager I/Systems Software Specialist III (Supervisory)	54
Staff Services Manager II (Headquarters)	9
Chief of Mobile Equipment Operations	8
Senior Right of Way Agent	7, 21
Consultants/New Positions ¹	1
Retired Annuitants ²	
<u>DIVISION OF OPERATIONS AND MAINTENANCE – ASSISTANT DIVISION CHIEF 2 (JOINT OPERATIONS CENTER)</u>	
<u>Chief of Utility Operations, Water Resources</u>	1

Designated Positions**Assigned Disclosure Category**

<u>Principal Engineer, Water Resources</u>	<u>9</u>
<u>Supervising Engineer, Water Resources</u>	<u>9, 14</u>
<u>Supervising Engineer, Water Resources (South Delta Branch)</u>	<u>12, 21</u>
<u>Principal Hydroelectric Power Utility Engineer</u>	<u>10</u>
<u>Supervising Hydroelectric Power Utility Engineer</u>	<u>3, 10</u>
<u>Chief Water and Power Dispatcher</u>	<u>3, 10</u>
<u>Program Water and Power Dispatcher</u>	<u>3, 10</u>
<u>Senior Water and Power Dispatcher</u>	<u>3, 10</u>
<u>Water and Power Dispatcher</u>	<u>3, 10</u>
<u>Consultants/New Positions¹</u>	<u>1</u>
<u>Retired Annuitants²</u>	
<u>Loans and Grants</u>	
<u>Principal Engineer, Water Resources (South Delta Branch)</u>	<u>12, 21, 22</u>
<u>Supervising Engineer, Water Resources (South Delta Management)</u>	<u>12, 21, 22</u>

DIVISION OF OPERATIONS AND MAINTENANCE - FIELD DIVISIONS

<u>Chief, Field Division, Department of Water Resources</u>	<u>1</u>
<u>Hydroelectric Plant Maintenance Superintendent</u>	<u>11</u>
<u>Hydroelectric Plant Operations Superintendent</u>	<u>11</u>
<u>Supervising Hydroelectric Power Utility Engineer</u>	<u>3, 10</u>
<u>Supervising Engineer, Water Resources</u>	<u>9, 14</u>
<u>Environmental Program Manager I (Oroville)</u>	<u>18</u>
<u>Utility Craftworker Superintendent, Water Resources</u>	<u>11</u>
<u>Staff Services Manager II</u>	<u>11</u>
<u>Water Resources Engineering Associate (Specialist) (Watermaster, Oroville Beckwourth Subcenter)</u>	<u>17</u>
<u>Water Services Supervisor (Oroville)</u>	<u>17</u>
<u>Consultants/New Positions¹</u>	<u>1</u>

Designated Positions**Assigned Disclosure Category**Retired Annuitants²**DIVISION OF ENGINEERING**

C.E.A.	1
Principal Engineer, Water Resources	2
Supervising Engineer, Water Resources	2
Senior Engineer, Water Resources (Architectural and Engineering Subject Matter Experts)	2
Chief Construction Supervisor	2
Supervising Land Surveyor	6, 21
Construction Supervisor III, Water Resources	2
Supervising Engineering Geologist	2
Environmental Program Manager I (Supervisory)	2
Senior Architect	2
Construction Management Supervisor (Program Liaison and Drawing File Management)	2
Principal Right of Way Agent	7, <u>17</u> , 21
Supervising Right of Way Agent	7, <u>17</u> , 21
Senior Right of Way Agent	7, <u>17</u> , 21
Associate Right of Way Agent	7, <u>17</u> , 21
Right of Way Agent	7, <u>17</u> , 21
Staff Services Manager II	2
Staff Services Manager I (Architectural and Engineering Program, Architectural and Engineering Service Agreements Section)	2
Supervising Land Agent	6, 21
Data Processing Manager II	4
Consultants/New Positions ¹	1
Retired Annuitants ²	
NORTHERN REGION OFFICE	
Principal Engineer, Water Resources	1
Supervising Engineer, Water Resources	18

Designated Positions

**Assigned
Disclosure Category**

Supervising Engineering Geologist	18
Environmental Program Manager I	18
Administrative Officer II, Resources Agency	18
Consultants/New Positions ¹	1
Retired Annuitants ²	

Loans and Grants

<u>Senior Environmental Scientist</u> <u>(Environmental Compliance Section, Water Storage Investment</u> <u>Program Manager)</u>	<u>22</u>
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Watermasters

Senior Engineer, Water Resources	17
Water Resources Engineering Associate (Specialist)	17
Water Resources Technician II	17

NORTH CENTRAL REGION OFFICE

Principal Engineer, Water Resources	1
Supervising Engineer, Water Resources	15
Administrative Officer II, Resources Agency	15
Consultants/New Positions ¹	1
Retired Annuitants ²	

SOUTH CENTRAL REGION OFFICE

Principal Engineer, Water Resources	1
Supervising Engineer, Water Resources	19
Supervising Engineering Geologist	19
Environmental Program Manager I (Environmental Compliance and Statewide Planning Branch)	19
Administrative Officer II, Resources Agency	19
Consultants/New Positions ¹	1
Retired Annuitants ²	

Designated Positions

Assigned Disclosure Category

Loans and Grants

Supervising Engineer, Water Resources (Special Investigations Branch)	19, 22
Senior Engineer, Water Resources (Special Studies and Technical Support Section)	22
Supervising Engineering Geologist (Groundwater and Regional Planning Branch)	19, 22
Senior Engineering Geologist (Groundwater and Regional Planning Branch)	22

SOUTHERN REGION OFFICE

Principal Engineer, Water Resources	1
Supervising Engineering Geologist	20
Environmental Program Manager I	20
Administrative Officer II, Resources Agency	20
Consultants/New Positions ¹	1
Retired Annuitants ²	

1. Consultants/new positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Director may determine in writing that a particular consultant/new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's/new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code (Gov. Code Sec. 81008).

2. Retired Annuitants who are appointed in classifications corresponding to civil service employee classification categories listed in this conflict-of-interest code will disclose under that civil service classification category.

DISCLOSURE CATEGORIES

Category 1

Every person holding a position designated in this category must report:

All investments and all interests in real property located within the State of California. All sources of income, including receipt of gifts, loans, and travel payments, and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity.

Category 2

Every person holding a position designated in this category must report:

(a) All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from any source which has been a Department design or construction contractor, supplier or consultant; (b) all interests in real property located within the State of California which are sources of the type to be utilized for Department project purposes, including interests that are or may be utilized by Department design or construction contractors, suppliers or consultants.

Category 3

Every person holding a position designated in this category must report:

All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from any source which (a) is an investor-owned electric utility included within the Western ~~Systems~~ Electricity Coordinating Council, or (b) manufactures power generation or transmission facilities or advanced energy systems, or supplies fossil or nuclear fuel, including consulting firms involved in these activities.

Category 4

Every person holding a position designated in this category must report:

All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from any source which (a) purchases, sells or leases Information Technology (IT) equipment, including entities that manufacture IT hardware, develop IT software, maintain IT equipment, market IT services or IT consultants, or (b) is an investor-owned electric utility included within the Western ~~Systems~~ Electricity Coordinating Council, or (c) provides IT management or consulting services.

Category 5

Every person holding a position designated in this category must report:

All investments in, and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from any source of the type to purchase, sell or lease Information Technology (IT) equipment including entities that manufacture IT hardware, develop IT software, maintain IT equipment, or market IT services or IT consultants.

Category 6

Every person holding a position designated in this category must report:

All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from sources of the type (a) involved in transactions concerning real property located within the State of California including entities that purchase, hold or invest in such property, or (b) to engage in business with the Department through its Division of Engineering, Geodetic and Real Estate Branches to provide services, materials, machinery or equipment to any State agency.

Category 7

Every person holding a position designated in this category must report:

All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, derived from or investments and business positions in business entities which engage in land development, construction, or the acquisition, sale, lease or rental of real property including real estate firms, title companies, escrow companies, appraisal services, survey firms, engineering services and consulting firms.

Category 8

Every person holding a position designated in this category must report:

All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any positions of management in any business entity and income, including receipt of gifts, loans, and travel payments, from sources of the type to (a) manufacture, sell, maintain or repair business machines, photographic, video, audio visual or communications equipment, blueprinting services, computer output microfilm, or heavy construction or mobile equipment, or (b) develop or present management training courses or materials, including training consultants.

Category 9

Every person holding a position designated in this category must report:

All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from sources of the type to (a) manufacture, sell, maintain or repair water quality monitoring, water measurement, structural or seismic instrumentation, electrical, mechanical or solid state electronic testing equipment, electrical or mechanical equipment utilized in the generation of electrical power or the pumping of water, water safety or water conservation devices, corrosion control devices or applications, or chemicals utilized for water quality or weed control, or (b) provide consulting services associated with entities described in (a).

Category 10

Every person holding a position designated in this category must report:

All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from sources of the type to (a) manufacture, sell, maintain or repair electrical, mechanical or solid state electronic testing equipment or electrical or mechanical equipment utilized in the generation of electric power or the pumping of water, or (b) provide consulting services associated with entities described in (a), or (c) purchase, sell or lease computers, computer peripheral equipment or software or related solid state electronic equipment, including but not limited to entities that manufacture computer hardware, maintain computer equipment, market computer services, or specialized in the development of general or special purpose software systems.

Category 11

Every person holding a position designated in this category must report:

All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from any source that has entered into contracts to supply goods, services, equipment or machinery used in the operation and maintenance of the respective field division.

Category 12

Every person holding a position designated in this category must report:

All investments in and his and her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from any source that (a) is a recreation concessionaire, or (b) is an agricultural, engineering, environmental, water quality, or other consultant utilized in planning related to water, or (c) manufactures or supplies water quality tests or water reclamation or treatment equipment or supplies aerial survey services.

Category 13

Every person holding a position designated in this category must report:

All investments in and his and her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from any source that (a) provides hydrology and or meteorology services to the Department, or (b) is a contractor or supplier which performs work, directly or indirectly for the Department, or (c) supplies transportation services for snow survey work, or (d) is involved in activities which could be directly affected by administrative actions or decisions regarding flood control.

Category 14

Every person holding a position designated in this category must report:

All investments in and his and her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments from sources of the type to provide geology, seismology or civil engineering services or is an owner or proposed owner of a dam subject to Department of Water Resources' jurisdiction.

Category 15

Every person holding a position designated in this category must report:

All investments in and his and her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from sources of the type to (a) manufacture or supply water resource quality and quantity monitoring equipment, including wastewater and saline water conversion equipment and processes, or (b) provide environmental, engineering, systems evaluation and other consulting services utilized in planning processes, or (c) possess an interest in real property located within the North Central Region Office, Division of Integrated Regional Water Management as outlined on Exhibit L.

Category 16

Every person holding a position designated in this category must report:

All interest in real property located in the State of California.

Category 17

Every person holding a position designated in this category must report:

All investments in and his and her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from any source that diverts water within the watermaster's service area.

Category 18

Every person holding a position designated in this category must report:

All investments in and his and her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from sources of the type to (a) manufacture or supply water resource quality and quantity monitoring equipment, including wastewater and saline water conversion equipment and processes, or (b) provide environmental, engineering, systems evaluation and other services utilized in planning processes, and (c) all interest in real property located in within the Northern Region Office, Division of Integrated Regional Water Management as outlined on Exhibit L.

Category 19

Every person holding a position designated in this category must report:

All investments in and his and her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from sources of the type to (a) manufacture or supply water resource quality and quantity monitoring equipment, including wastewater and saline water conversion equipment and processes, or (b) provide environmental, engineering, systems evaluation and other consulting services utilized in planning processes, or (c) all interest and real property located within the South Central Region Office, Division of Integrated Regional Water Management as outlined on Exhibit L.

Category 20

Every person holding a position designated in this category must report:

All investments in and his and her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity and income, including receipt of gifts, loans, and travel payments, from sources of the type to (a) manufacture or supply water resource quality and quantity monitoring equipment, including wastewater and saline water conversion equipment and processes, or (b) provide environmental, engineering, systems evaluation and other consulting services utilized in planning processes, or (c) all interest and real property located within the Southern Region Office, Division of Integrated Regional Water Management, as outlined on Exhibit L.

Category 21

Every person holding a position designated in this category must report:

All interests in real property located within the highlighted areas shown on attached maps designated Exhibit A – K.

Category 22

Every person holding a position designated in this category must report:

All investments, business positions, and income, including receipt of gifts, loans and travel payments, from sources of the type to receive grants, loans, or scholarships from or through the Department.

Category 23

Every person holding a position designated in this category must report:

Whether, during the reporting period, he/she had a financial interest in any of his/her assignments. If he/she had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interests on the schedules for Fair Political Practices Commission Form 700.

Category 24

Every person holding a position designated in this category must report:

All investments, business positions in business entities, and sources of income, including receipt of loans, gifts and travel payments, from sources that provide goods, equipment, vehicles, services, or leased space of the type utilized by the Department.

Category 25

Every person holding a position designated in this category must report:

All Investments, business positions in a business entity, and income, including receipt of gifts, loans, and travel payments, from any source of the type (a) that is subject to oversight, review, analysis, or regulation by the Department, or (b) that are nonprofit or business entities that appear before the Department, such as agricultural and environmental groups.

Category 26

Every person holding a position designated in this category must report:

~~All interests in real property located within the Central Valley Flood Protection Board's (Board) jurisdictional region as outlined in Exhibit M. All investments and sources of income, including receipt of gifts, loans, and travel payments, and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any source of the type (a) that provides construction, environmental, engineering, systems evaluation and other consulting services utilized in planning processes within the Board's jurisdictional region, (b) that is subject to oversight, review, analysis, or regulation by the Board, or (c) that are nonprofit or business entities that appear before the Board, such as agricultural and environmental groups.~~

Category 27

Every person holding a position designated in this category must report:

All investments in and his or her status as a director, officer, partner, trustee, employee or holder of any position of management in any business entity, and income, including receipt of gifts, loans, and travel payments from any prime contractor, direct contractor, subcontractor, supplier, equipment rental company, consultant, designer, engineering firm or architect involved in any way in the Department of Water Resources construction project for which he or she is performing services.

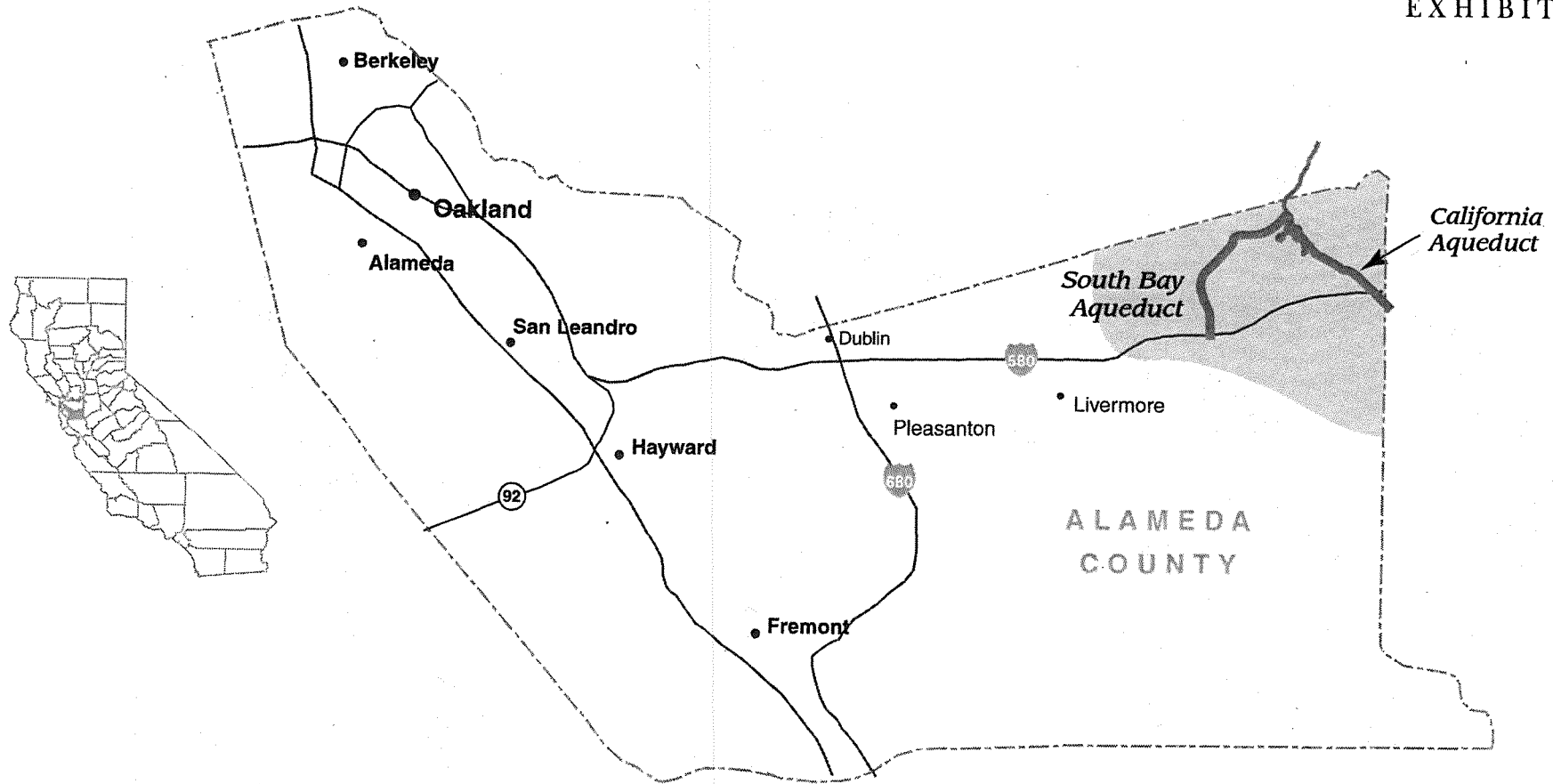
Category 28

Every person holding a position designated in this category must report:

All Investments, business positions in a business entity, and income including receipt of gifts, loans, and travel payments from any source of the type that engage in business with the Department related to financial purposes. Not limited to the issuance of long-term debt and auditing services of generally accepted accounting principles (GAAP) financial statements.

*Gift disclosure: Not all gifts are reportable. For example, a filer does not have to disclose gifts based on a personal friendship where there is no connection between the donor and the duties that the filer performs. This exception and others are outlined in statute and regulations. FPPC regulation 18942 summarizes the major gift exceptions, including those from family members, gifts of home hospitality, gifts related to holidays and certain prizes and awards.

EXHIBIT A



5-mile buffer requiring disclosure of real property ownership along Aqueduct (SWP)

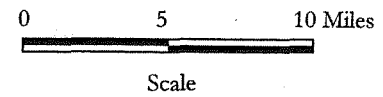
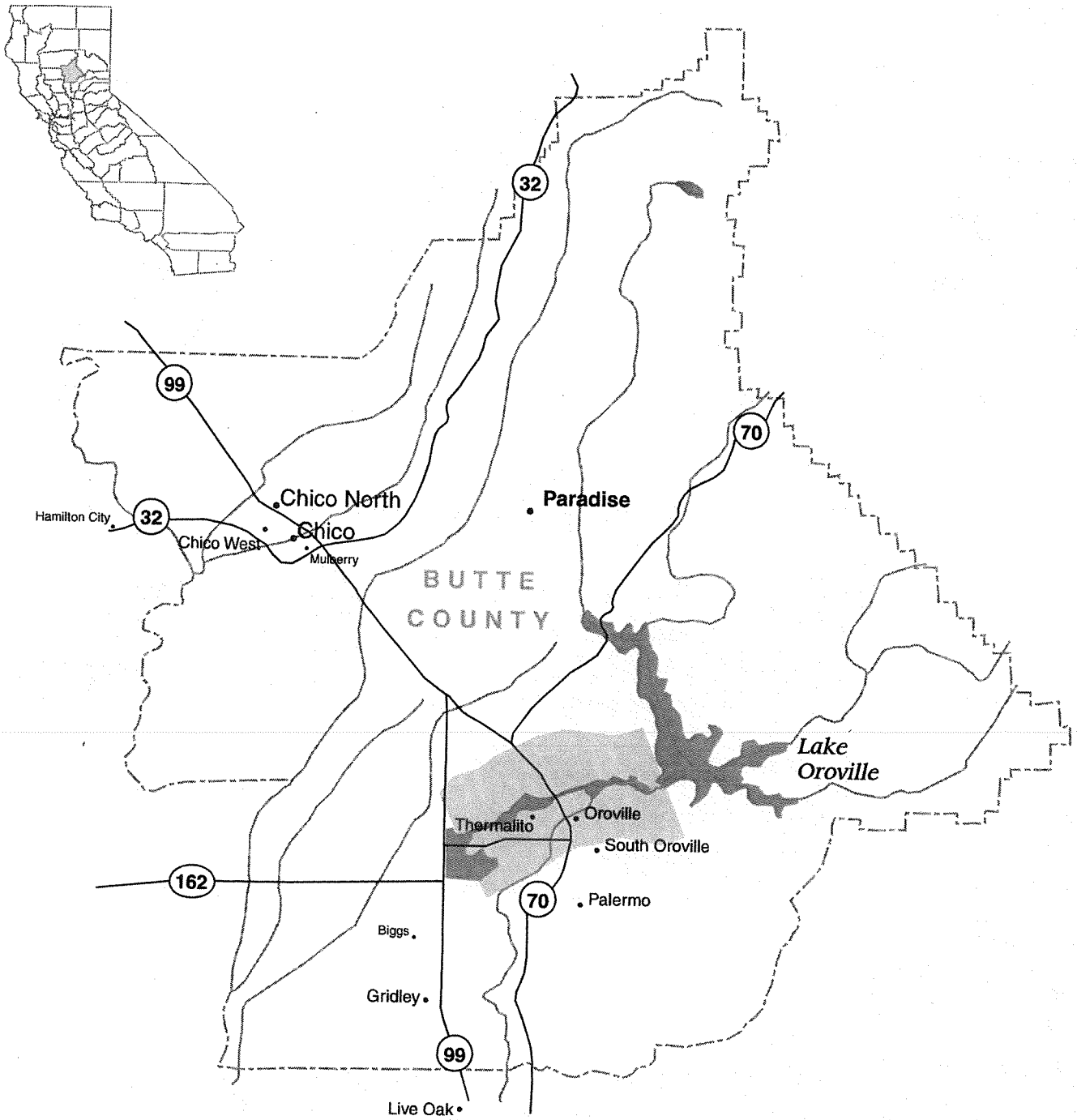


EXHIBIT B



5-mile buffer requiring disclosure of real property ownership along Aqueduct (SWP)

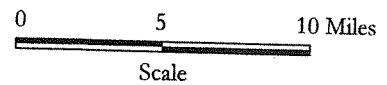


EXHIBIT C

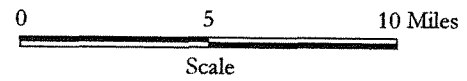
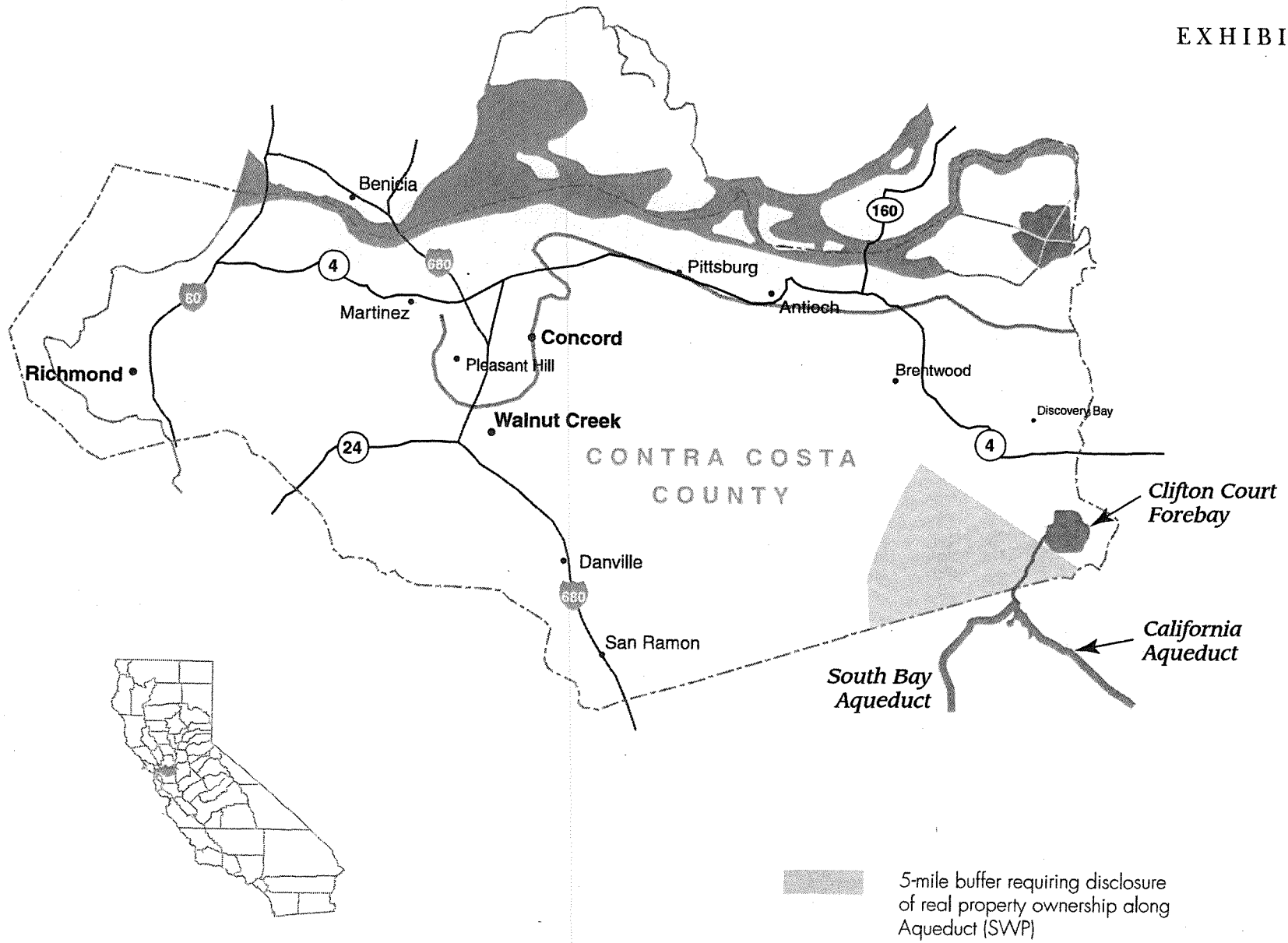
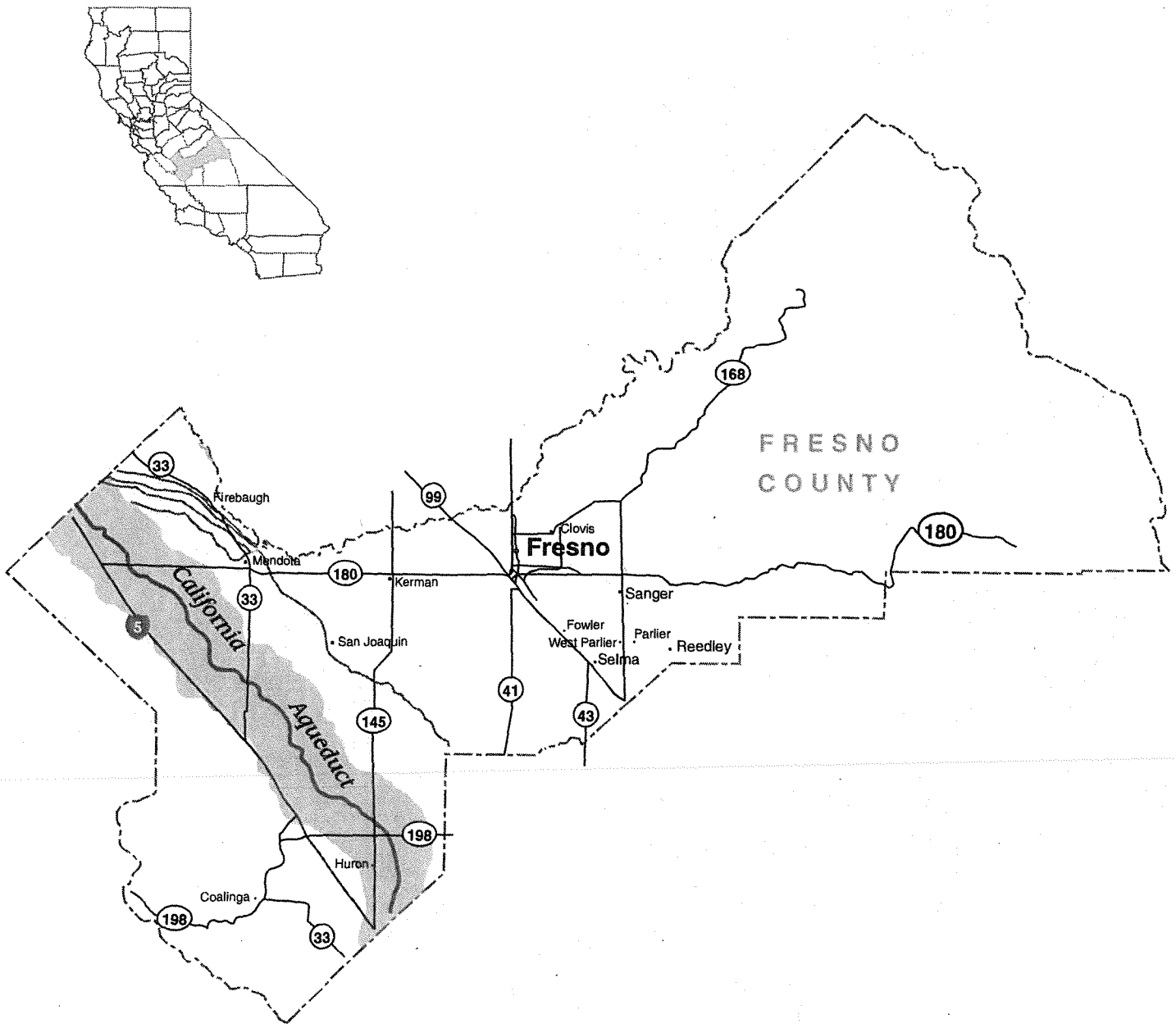


EXHIBIT D



5-mile buffer requiring disclosure of real property ownership along Aqueduct (SWP)

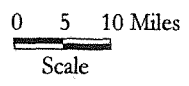
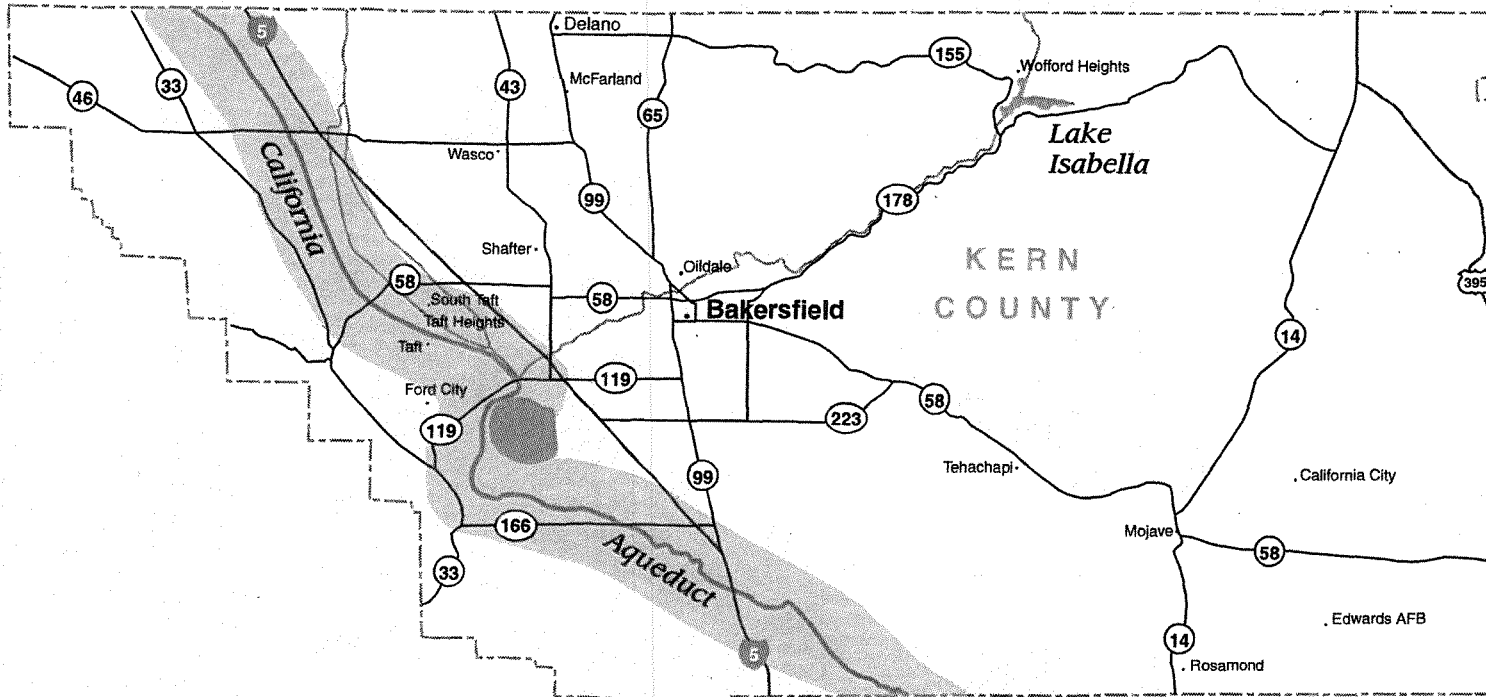


EXHIBIT E



5-mile buffer requiring disclosure
of real property ownership along
Aqueduct (SWP)

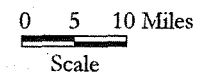
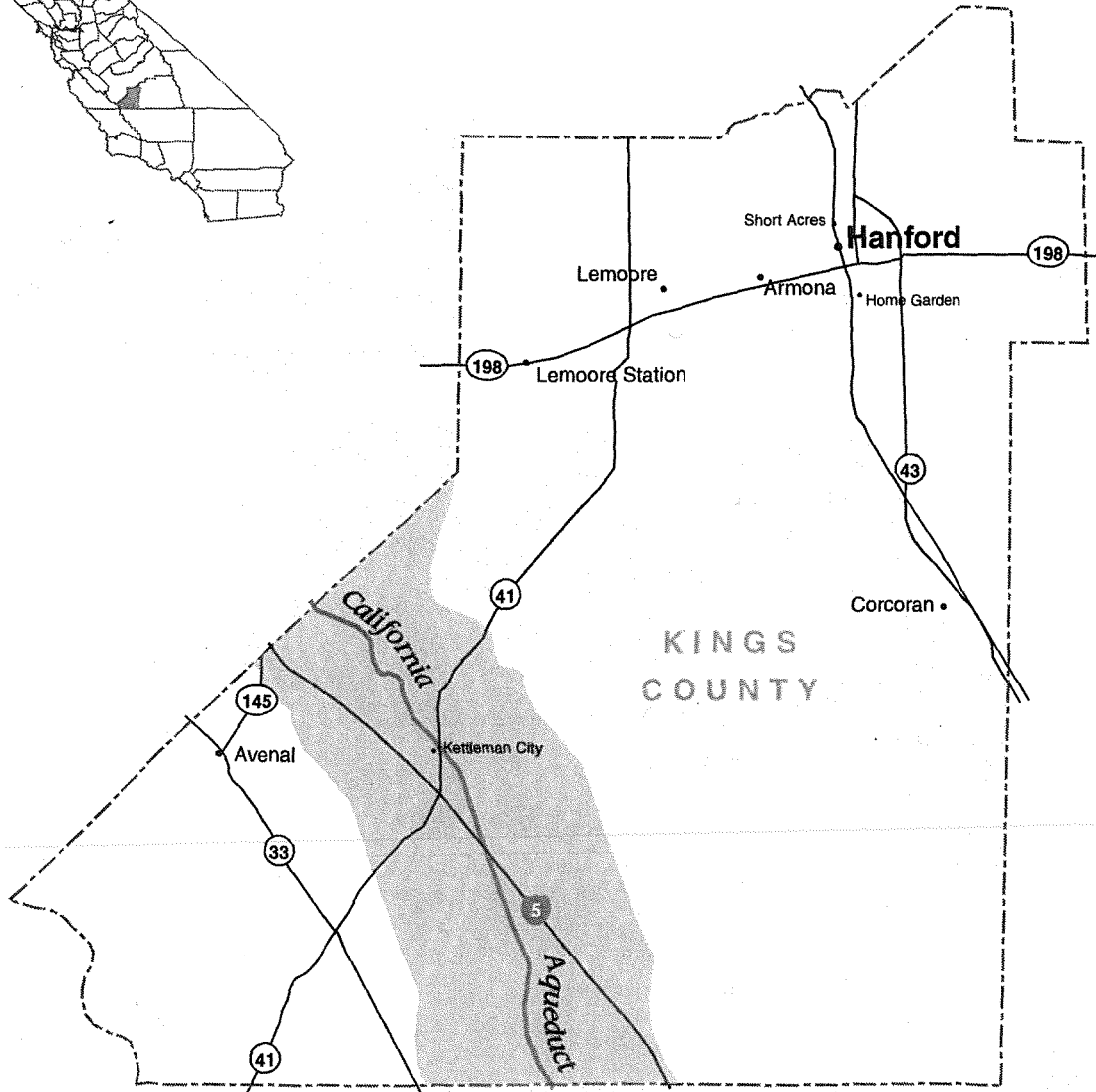



EXHIBIT F



 5-mile buffer requiring disclosure of real property ownership along Aqueduct (SWP)

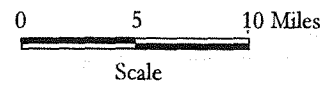


EXHIBIT G



5-mile buffer requiring disclosure of real property ownership along Aqueduct (SWP)

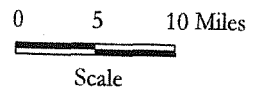
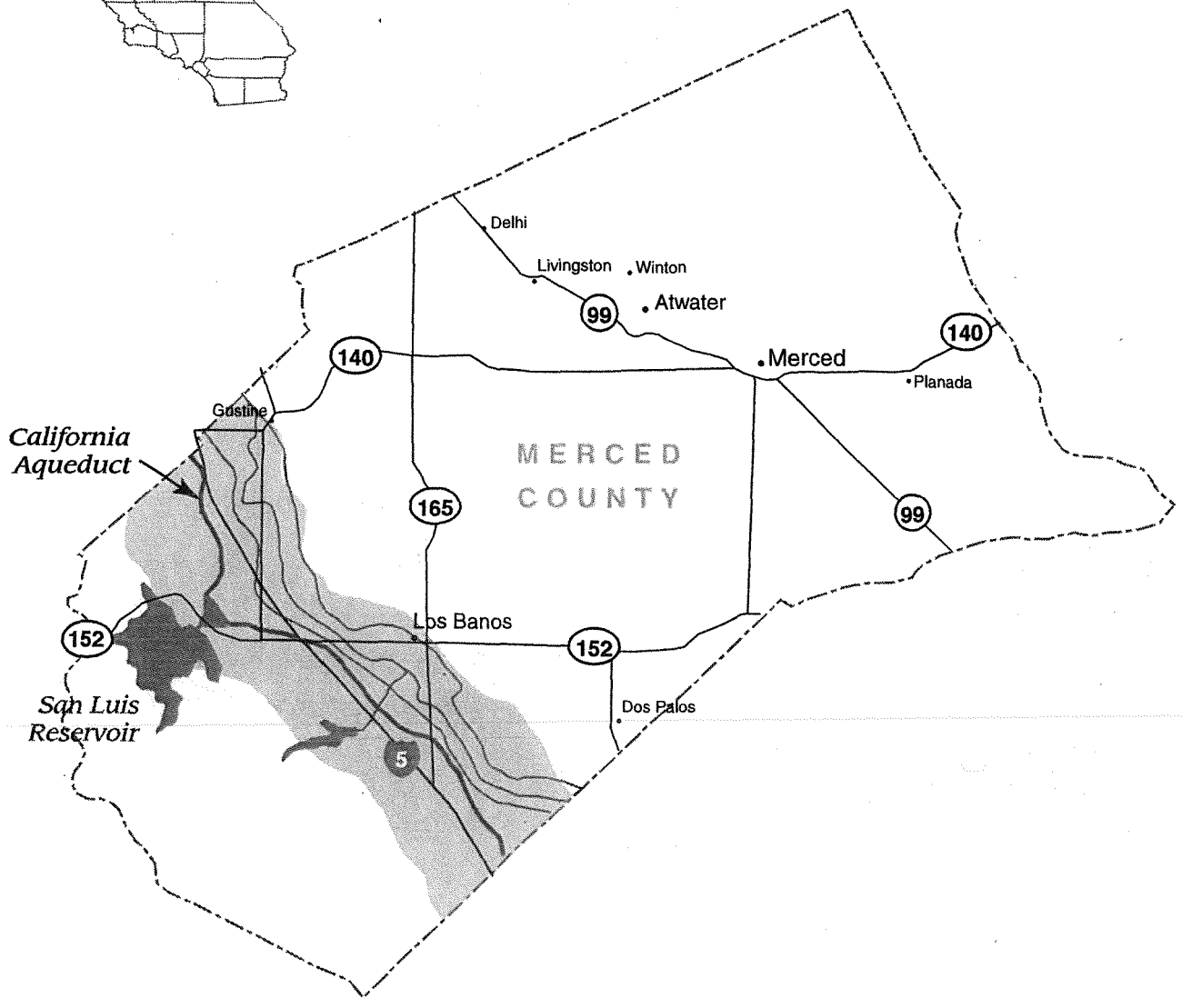


EXHIBIT H



California Aqueduct

San Luis Reservoir

MERCED COUNTY

5-mile buffer requiring disclosure of real property ownership along Aqueduct (SWP)

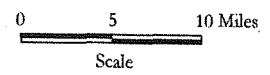
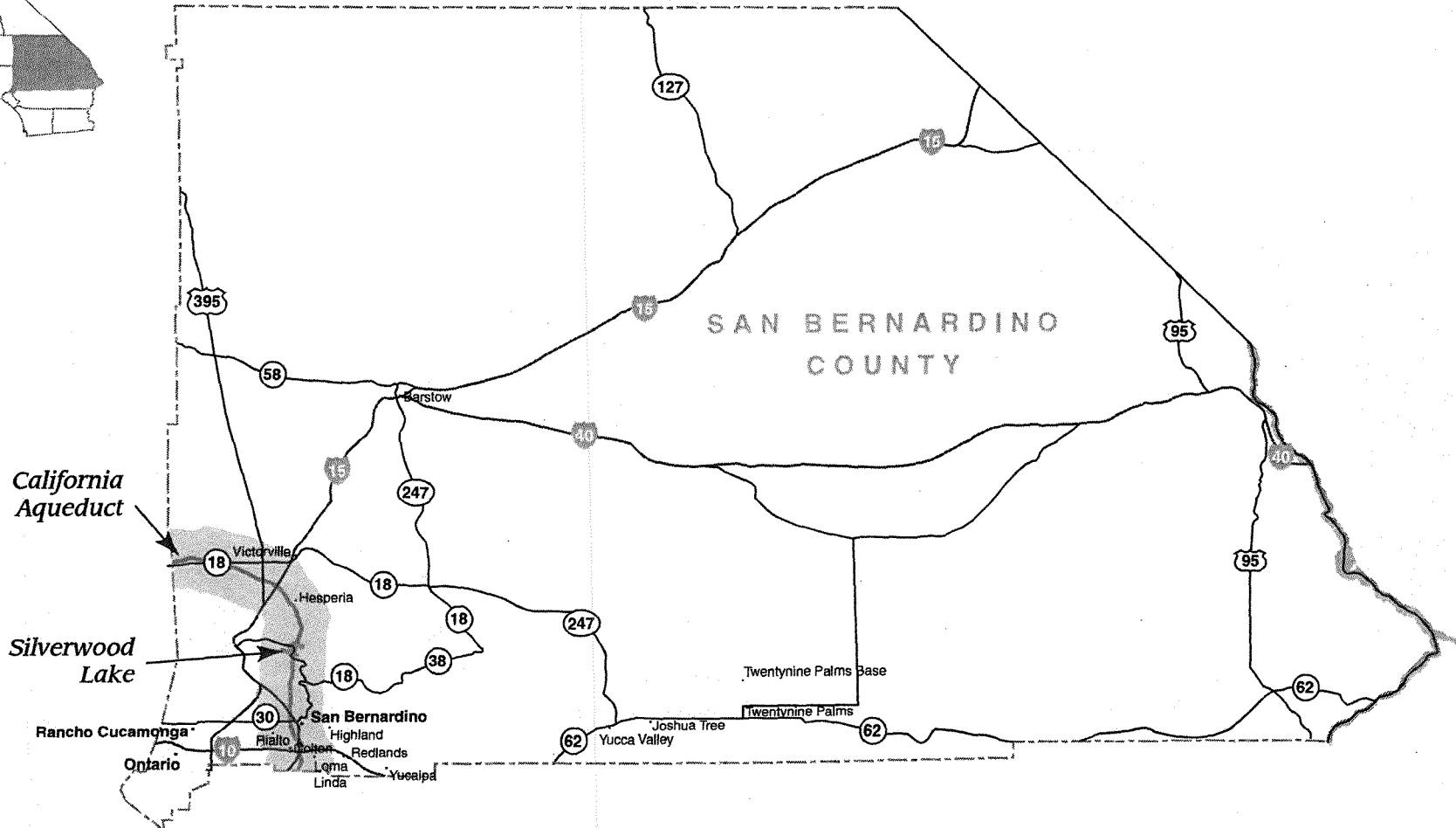



EXHIBIT I



 5-mile buffer requiring disclosure of real property ownership along Aqueduct (SWP)

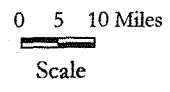


EXHIBIT J

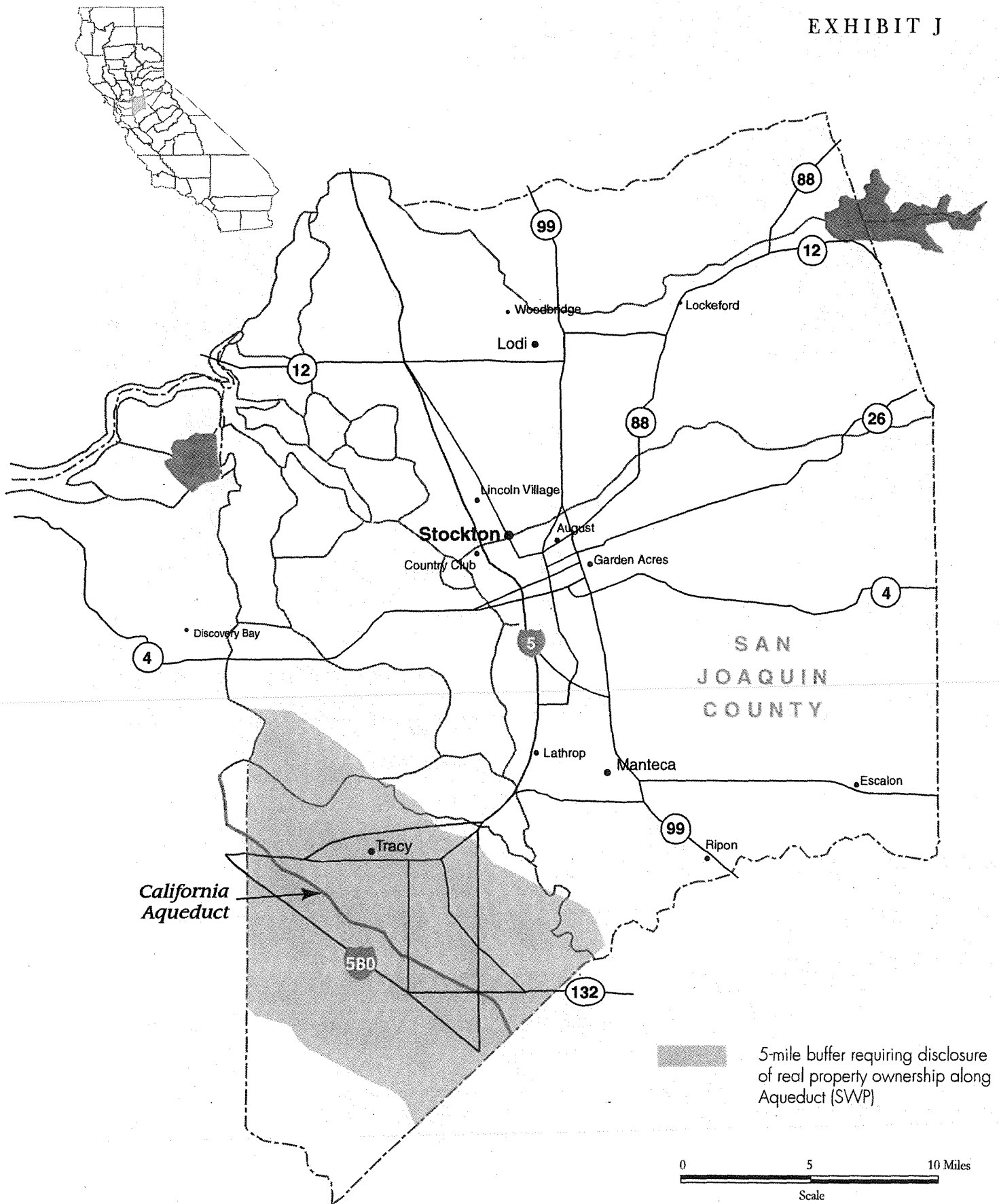
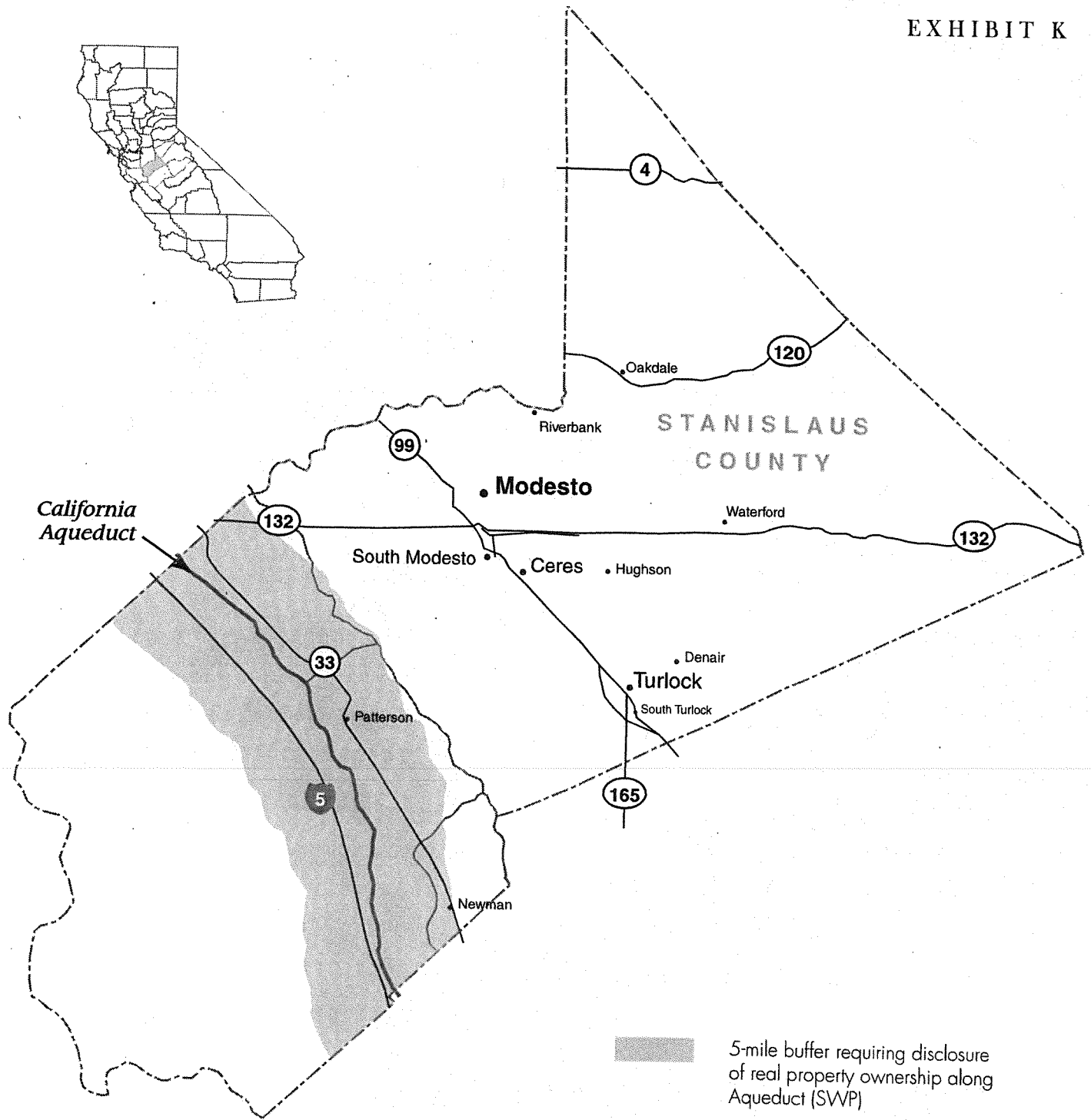


EXHIBIT K



5-mile buffer requiring disclosure of real property ownership along Aqueduct (SWP)

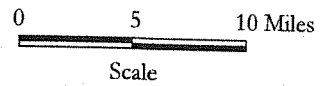
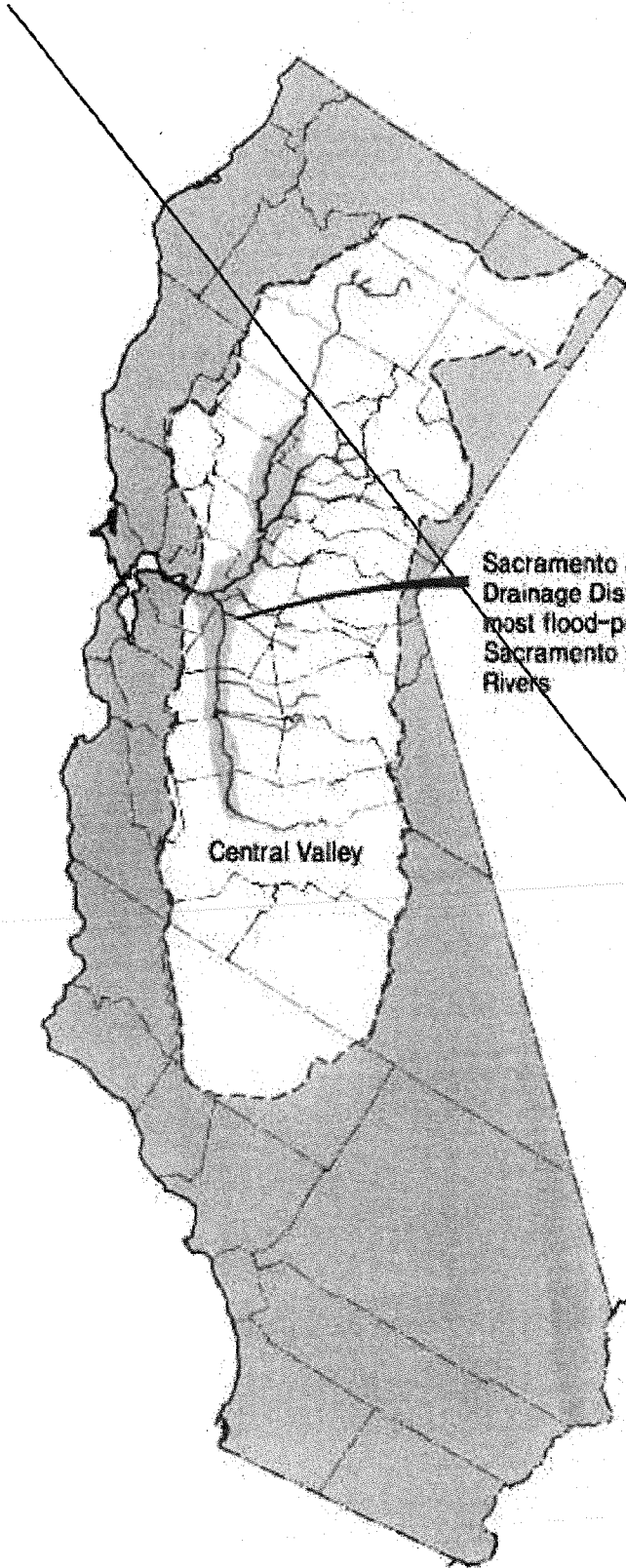


EXHIBIT L



THE CENTRAL VALLEY FLOOD PROTECTION BOARD




Sacramento and San Joaquin
Drainage District, includes the
most flood-prone reaches of the
Sacramento and San Joaquin
Rivers

Central Valley

AREA OF JURISDICTION OF CENTRAL VALLEY FLOOD PROTECTION BOARD

LEGEND

--- JURISDICTIONAL BOUNDARY OF THE BOARD

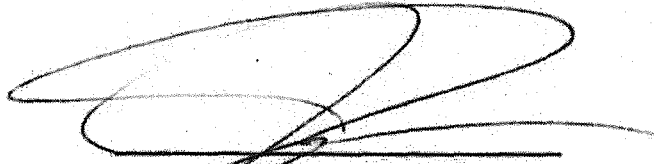
 SACRAMENTO-SAN JOAQUIN DRAINAGE DISTRICT,
WHICH INCLUDES THE MOST FLOOD-PRONE REACHES OF THE
SACRAMENTO AND SAN JOAQUIN RIVERS

This is the last page of the conflict of interest code for the **Department of Water Resources**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the **Department of Water Resources** was approved on 6/3/2019 2020


John M. Feser, Jr.
Senior Commission Counsel
Fair Political Practices Commission

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date:

Effective: