

California  
Environmental  
Quality  
Act

# 2014 CEQA

**STATUTE AND GUIDELINES**



**2014**  
**California Environmental Quality Act**  
**(CEQA)**  
**Statute and Guidelines**

This book is an unofficial copy of CEQA (Public Resources Code 21000–21177) and the CEQA Guidelines (California Code of Regulations, Title 14, Division 6, Chapter 3, Sections 15000–15387) available from <http://leginfo.ca.gov> as of January 1, 2014. While AEP has made every effort to see that this book is accurate, and that no changes have been made to the content of these state documents as a result of reformatting and reprinting, readers should reference official state versions to verify accuracy. Readers should also be aware that some changes in statutes, guidelines, or case law may have gone into effect since the date of publication. This book does not attempt to offer legal advice and readers should consult their own attorney.



40747 Baranda Court  
Palm Desert, CA 92260  
(760) 340-4499  
[www.califaep.org](http://www.califaep.org)

*Guidelines for Implementation of the California Environmental Quality Act have been reproduced with the permission of the California Resources Agency, all rights reserved. AEP thanks the California Resources Agency for its support and cooperation in bringing this resource to the environmental community.*



*AEP recognizes ICF International (formerly ICF Jones & Stokes) for its contribution to the content and editing of this book, including summaries of key CEQA court cases and recent legislation.*

**Passion. Expertise. Results.**

# Contents

OVERVIEW OF THE ASSOCIATION OF ENVIRONMENTAL PROFESSIONALS .....	XVII
SUMMARY OF KEY 2013 CEQA COURT CASES .....	XXII
SUMMARY OF KEY 2013 CEQA LEGISLATION .....	LIII
STATUTE, AS AMENDED IN 2013 .....	1
<b>Chapter 1: Policy</b> .....	<b>1</b>
§ 21000. Legislative intent .....	1
§ 21001. Additional legislative intent .....	1
§ 21001.1. Review of public agency projects .....	2
§ 21002. Approval of projects; feasible alternative or mitigation measures .....	2
§ 21002.1. Use of environmental impact reports; policy .....	2
§ 21003. Planning and environmental review procedures; documents; reports; data base; administration of process .....	3
§ 21003.1. Environmental effects of projects; comments from public and public agencies to lead agencies; availability of information.....	3
§ 21004. Mitigating or avoiding a significant effect; powers of public agency.....	4
§ 21005. Information disclosure provisions; noncompliance; presumption; findings .....	4
§ 21006. Issuance of permits, licenses, certificates or other entitlements; waivers of sovereign .....	4
<b>Chapter 2: Short Title</b> .....	<b>4</b>
§ 21050. Citation.....	4
<b>Chapter 2.5: Definitions</b> .....	<b>4</b>
§ 21060. Application of Definitions .....	4
§ 21060.1. Agricultural land.....	5
§ 21060.3. Emergency .....	5
§ 21060.5. Environment .....	5
§ 21061. Environmental impact report.....	5
§ 21061.1. Feasible .....	5
§ 21061.2. Land evaluation and site assessment .....	5
§ 21061.3. Infill Site .....	5
§ 21062. Local agency .....	6
§ 21063. Public agency .....	6
§ 21064. Negative declaration .....	6

§ 21064.3. Major transit stop ..... 6

§ 21064.5. Mitigated negative declaration..... 6

§ 21065. Project..... 6

§ 21065.3. Project-specific effect ..... 7

§ 21065.5. Geothermal exploratory project ..... 7

§ 21066. Person ..... 7

§ 21067. Lead agency ..... 7

§ 21068. Significant effect on the environment ..... 7

§ 21068.5. Tiering or tier ..... 7

§ 21069. Responsible agency ..... 7

§ 21070. Trustee Agency ..... 7

§ 21071. Urbanized area; definition ..... 7

§ 21072. Qualified urban use; definition ..... 8

**Chapter 2.6: General ..... 8**

§ 21080. Division application to discretionary projects; nonapplication; negative declarations; environmental impact report preparation ..... 8

§ 21080.01. California Men’s Colony West Facility in San Luis Obispo County; inapplicability of division to reopening and operation ..... 11

§ 21080.02. Kings County; vicinity of Corcoran; new prison facilities; application of division ..... 11

§ 21080.03. Kings and Amador (lone) Counties; prisons; application of division ..... 11

§ 21080.04. Rocktram-Krug passenger rail service project; application of division; lead agency; legislative intent ..... 11

§ 21080.05. San Francisco Peninsula commute service project between San Francisco and San Jose; application of division..... 11

§ 21080.07. Riverside and Del Norte Counties; planning and construction of new prison facilities; application of division ..... 12

§ 21080.09. Public higher education; campus location; long-range development plans ..... 12

§ 21080.1. Environmental impact report or negative declaration; determination by lead agency; finality; consultation ..... 12

§ 21080.2. Issuance of lease, permit, license, certificate or other entitlement; determination by lead agency; time ..... 12

§ 21080.3. Consultation with responsible agencies; assistance by office of planning and research..... 13

§ 21080.4. Environmental impact report; requirement determined by lead agency; duties of responsible agencies and certain public agencies; consultation; assistance by office of planning and research ..... 13

§ 21080.5. Plan or other written documentation; submission in lieu of impact report; regulatory programs; criteria; certification; proposed changes; review; commencement of actions; state agencies ..... 14

§ 21080.8. Application of division; conversion of existing rental mobilehome park to resident initiated subdivision, cooperative, condominium for mobilehomes ..... 16

§ 21080.9. Local coastal programs or long-range land use development; university or governmental activities and approvals; application of division..... 16

§ 21080.10. Application of division; general plans; low- or moderate- income or residential housing; agricultural employee housing..... 17

§ 21080.11. Application of division; settlements by state lands commission ..... 17

§ 21080.12. Application of Division; repair of Critical Levees .....17

§ 21080.13. Railroad grade separation projects; application of division .....17

§ 21080.14.....17

§ 21080.14. [DELETED] .....18

§ 21080.16. Application of Division to Seismic Retrofit Projects for Existing local Bridges .....18

§ 21080.17. Application of division to ordinances implementing law relating to construction of dwelling units and second units.....18

§ 21080.18. Application of division to closing of public school maintaining kindergarten or any of grades 1 through 12 .....18

§ 21080.19. Restriping of streets or highways; application of division .....19

§ 21080.20 Bicycle Transportation Plans .....19

§ 21080.20.5 Restriping for bicycle lanes in urbanized areas.....19

§ 21080.21. Application of division to public right-of-way pipeline projects less than one mile in length .....20

§ 21080.22. Local governments; preparation of general plan amendments; application of division.....21

§ 21080.23. Pipeline projects; application of division .....21

§ 21080.23.5. Pipeline; biogas; application of division .....22

§ 21080.24. Permits; issuance, modification, amendment, or renewal; application of law .....22

§ 21080.25. Ia-rics; application of division.....22

§ 21080.26. Fluoridation; application of division; minor alterations.....24

§ 21080.29. La Playa Project; Application of Division .....24

§ 21080.32. Exemption of specified actions by publicly owned transit agencies; implementation of budget reductions .....25

§ 21080.33. Emergency projects to maintain, repair or restore existing highways; application of division; exceptions .....25

§ 21080.35. Carrying out or approving a project; definition.....26

§ 21080.35.....26

§ 21080.37. alteration of existing roadway; application of division .....27

§ 21081. Necessary findings where environmental impact report identifies effects.....28

§ 21081.2 Exception to Findings for Infill Residential Projects.....29

§ 21081.5. Feasibility of mitigation measures or project alternatives; basis for findings.....29

§ 21081.6. Findings or negative declarations; reporting or monitoring project changes; effect on environment; conditions .....29

§ 21081.7. Transportation information; submission of report to transportation planning agency .....30

§ 21082. Public agencies; adoption of objectives, criteria and procedures; consistency with guidelines .....30

§ 21082.1. Draft environmental impact report, environmental impact report, or negative declaration; preparation by public agency .....31

§ 21082.2. Significant effect on environment; determination; environmental impact report preparation .....31

§ 21083. Office of planning and research; preparation and development of guidelines; conditions .....32

§ 21083.01. guidelines amendments; fire hazard .....33

§ 21083.05.....33

§ 21083.1. Legislative intent; interpretation by courts .....33

§ 21083.2. Archaeological resources; determination of effect of project; EIR or negative declaration; mitigation measures.....33

§ 21083.3. Application of division to approval of subdivision map or other project; limitation; mitigation measures under prior environmental impact report; public hearing; finding.....35

§ 21083.4. Counties; Conversion of Oak Woodlands; Mitigation Alternatives; Oak Woodlands Conservation Act Grant Use; Exemptions.....36

§ 21083.5. Environmental impact statement or report; submission in lieu of impact report; compliance by adoption of Tahoe regional plan; public review and notice requirements.....37

§ 21083.6. Combined environmental impact report and statement; time limits .....38

§ 21083.7. Use of impact statement as the impact report; consultations.....38

§ 21083.8.1. Reuse plans.....38

§ 21083.9. Scoping meetings .....40

§ 21084. List of exempt classes of projects; projects damaging scenic resources.....41

§ 21084.1. Historical resource; substantial adverse change.....41

§ 21085.7. [DELETED].....41

§ 21086. Addition or deletion of exempt classes of projects; procedure.....41

§ 21088. Distribution of guidelines, amendments and changes; notice .....42

§ 21089. Fees.....42

§ 21090. Redevelopment plan deemed single project .....42

§ 21090.1. Geothermal exploratory project deemed separate and distinct from field development project.....43

§ 21091. Draft environmental impact reports and negative declarations; review periods.....43

§ 21091.5. Public review period for draft environmental impact report; publicly owned airports .....44

§ 21092. Public notice of preparation of environmental impact report or negative declaration; publication .....44

§ 21092.1. Addition of new information; notice and consultation .....45

§ 21092.2. Requests for certain notices.....46

§ 21092.3. Posting of certain notices .....46

§ 21092.4. Consultation with transportation planning agencies and public agencies.....46

§ 21092.5. Proposed response to public agency comments received by lead agency; notice to agency commenting on negative declaration; untimely comments.....46

§ 21092.6. Application of Govt. C. § 65962.5; duties of lead agency; notice by environmental protection agency of failure to specify.....47

§ 21093. Legislative findings and declaration; public agencies may tier environmental impact reports .....47

§ 21094. Later projects; tiered environmental impact reports; initial study; use of prior reports .....47

§ 21094.5. ....49

§ 21094.5.5.....51

§ 21095. Amendment to state guidelines to provide optional methodology to evaluate environmental effects of agricultural land conversions .....51

§ 21096. Airport-related safety hazards and noise problems; projects within airport comprehensive land use plan boundaries or within two nautical miles of airport; preparation of environmental impact reports.....52

§ 21097. [DELETED].....52

§ 21098. Low-level flight path; military impact zone; special use airspace ..... 52

**Chapter 2.7: Modernization of Transportation Analysis for Transit-Oriented Infill Projects** ..... **53**

**§ 21099** ..... 53

**Chapter 3: State Agencies, Boards and Commissions** ..... **54**

§ 21100. Environmental impact report on proposed state projects; significant effect; cumulative impact analysis ..... 54

§ 21100.1. Information required in certain environmental impact reports ..... 55

§ 21100.2. Leases, permits, licenses, certificates and other entitlements; establishment of time limits for impact reports and negative declarations ..... 55

§ 21100.2. .... 56

§ 21101. Environmental impact report on proposed federal projects ..... 56

§ 21102. Request for or authorization of expenditure of funds; statement of effect on environment ..... 57

§ 21104. State lead agency; consultations prior to completion of impact report ..... 57

§ 21104.2. Consultation and findings; effect of projects on threatened or endangered species ..... 57

§ 21105. Environmental impact report and comments as part of regular project report; availability to legislature and general public ..... 58

§ 21106. Request of funds to protect environment ..... 58

§ 21108. State agency, board or commission; approval of determination to carry out project; notice; contents; public inspection; posting ..... 58

**Chapter 4: Local Agencies** ..... **58**

§ 21150. Environmental impact report required before allocation of state or federal funds ..... 58

§ 21151. Local agencies; preparation and completion of impact report; submission as part of general plan report; significant effect ..... 59

§ 21151.1. Waste-burning projects; land disposal facilities, and offsite large treatment facilities; environmental impact reports; application of section; exemptions; offsite facility defined ..... 59

§ 21151.2. School site proposed acquisition or addition; notice to planning commission; investigation; report ..... 61

§ 21151.4. Construction or alteration of facility Within One-Fourth of a mile of school; reasonable anticipation of air emission or handling of hazardous or acutely hazardous material; approval of environmental impact report or negative declaration ..... 61

§ 21151.5. Time limits for preparation of environmental impact reports and negative declarations ..... 62

§ 21151.7. Preparation and certification of completion of environmental impact report for open-pit mining operation by lead agency ..... 62

§ 21151.8. Schoolsite acquisition or construction; approval of environmental impact report or negative declaration; conditions ..... 62

§ 21151.9. Projects subject to this division; compliance requirement ..... 65

§ 21151.10. [DELETED] ..... 65

§ 21152. Local agency; approval or determination to carry out project; notice; contents; public inspection; posting ..... 65

§ 21152.1. Local Agency; Exempt Housing Projects; Notice Filing and Posting ..... 65

§ 21153. Local lead agency; consultations prior to completion of impact report ..... 66

§ 21154. Issuance of project order by state; effect on impact report of local agency ..... 66

**Chapter 4.2: Implementation of the Sustainable Communities Strategy** ..... **66**

§ 21155. .... 66

§ 21155. 1 ..... 67

§ 21155.2 ..... 69

§ 21155.3 ..... 71

§ 21155.4 ..... 71

**Chapter 4.5: Streamlined Environmental Review** ..... 71

**Article 1: Findings** ..... 71

§ 21156. Legislative intent ..... 71

**Article 2: Master Environmental Impact Report** ..... 72

§ 21157. Preparation; content; fee program ..... 72

§ 21157.1. Review of subsequent projects described in report; requirements ..... 73

§ 21157.5. Mitigated negative declarations; preparation; conditions; alternative ..... 73

§ 21157.6. Limitation period on use of environmental impact report ..... 74

§ 21157.7. Improvements to Roadway Segments of Highway 99: Use of Master Environmental Impact Report ..... 74

**Article 3: Focused Environmental Impact Report** ..... 75

§ 21158. Purpose; content; additional significant effect on the environment ..... 75

§ 21158.1. Regulatory programs certified under Public Resources Code § 21080.5; certain master and focused environmental impact reports ..... 76

§ 21158.5. Multiple-family residential development of not more than 100 units; residential and commercial or retail mixed-use development of not more than 100,000 square feet; preparation of report; limitations ..... 76

**Article 4: Expedited Environmental Review for Environmentally Mandated Projects** ..... 77

§ 21159. Rule or regulation adoption; environmental analysis; content ..... 77

§ 21159.1. Utilization of focused environmental impact report; requirements; limitations ..... 77

§ 21159.2. Negative declaration; mitigated negative declaration; environmental impact report on compliance project; project-specific issued; contents ..... 78

§ 21159.3. Deadlines for preparation of report ..... 78

§ 21159.4. Agencies; article application ..... 79

**Article 5: Public Assistance Program** ..... 79

§ 21159.9. Implementation of program ..... 79

**Article 6: Special Review of Housing Projects** ..... 79

§ 21159.20. Definitions ..... 79

§ 21159.21. Criteria to qualify for housing project exemptions ..... 80

§ 21159.22. Agricultural employee housing exemption ..... 81

§ 21159.23. Low-income housing exemption ..... 83

§ 21159.24. Infill housing exemption ..... 83

§ 21159.25. [DELETED] ..... 84

§ 21159.26. Reductions in housing units as mitigation discouraged ..... 84

§ 21159.27. Prohibition against piecemealing to qualify for exemptions ..... 85

§ 21159.28. .... 85

**Chapter 5: Submission of Information** ..... 85

§ 21160. Application for lease, permit, license, etc.; data and information; purpose; trade secrets ..... 85

§ 21161. Completion of impact report; notice; validity of project ..... 86

§ 21162. [DELETED] ..... 86

**Chapter 6: Limitations** ..... **86**

§ 21165. Lead agency; preparation of impact report ..... 86

§ 21166. Subsequent or supplemental impact report; conditions ..... 86

§ 21166.1. Effect of preparation of impact report by lead agency ..... 86

§ 21167. Commencement of actions or proceedings; time ..... 87

§ 21167.1. Preferential hearing or other civil actions; designation of judges to develop expertise ..... 87

§ 21167.2. Failure to commence action or proceeding within time limits; presumption that impact report complies with division ..... 88

§ 21167.3. Assumption that impact report or negative declaration complies with division; conditional approval or disapproval ..... 88

§ 21167.4. Mandate proceeding alleging noncompliance with division; hearing request; dismissal; briefing schedules and hearing dates ..... 88

§ 21167.5. Proof of service; filing with initial pleading ..... 89

§ 21167.6. Record of proceedings; clerk’s transcript on appeal; filing briefs on appeal; date of appeal for hearing ..... 89

§ 21167.6.5. Service of real party in interest; listing and notice to responsible and trustee agencies; failure to name potential parties ..... 91

§ 21167.7. Copy of pleadings to attorney general; granting of relief ..... 91

§ 21167.8. Settlement meeting; presettlement and settlement statements; further settlement conference; failure to participate ..... 91

§ 21167.9. .... 92

§ 21167.10. .... 92

§ 21168. Review of determination; finding or decision of public agency; law governing; scope ..... 93

§ 21168.5. Abuse of discretion ..... 93

§ 21168.6. Mandate to public utilities commission; supreme court jurisdiction ..... 93

§ 21168.6.5. .... 93

§ 21168.6.6. ..... 99

§ 21168.7. Declaration of existing law ..... 104

§ 21168.9. Public agency actions; noncompliance with division; court order; content; restrictions ..... 104

§ 21169. Validation of projects ..... 104

§ 21169.11. .... 105

§ 21170. Judicial proceedings in progress; effect ..... 105

§ 21171. Moratorium provision for certain projects ..... 105

§ 21172. .... 105

[DELETED] ..... 105

§ 21172.5. [DELETED] ..... 105

§ 21173. Severability ..... 105

§ 21174. Construction of division; enforcement of other provisions; coastal act ..... 106

§ 21175. [DELETED] ..... 106

§ 21176. [DELETED] ..... 106

§ 21177. Presentation of grounds for noncompliance; objections to approval of project ..... 106

**Chapter 6.5: Jobs and Economic Improvement through Environmental Leadership Act of 2011** ..... **106**

§21178. .... 106

§21180. .... 107

§21181. .... 108

§21182. .... 108

§21183. .... 108

§21184. .... 109

§21185. .... 109

§21186. .... 109

§21187. .... 110

§21188. .... 111

§21189. .... 111

§21189.1. .... 111

§21189.2. .... 111

§21189.3. .... 111

**CHAPTER 3: GUIDELINES FOR IMPLEMENTATION OF THE CALIFORNIA ENVIRONMENTAL QUALITY ACT ..... 112**

**Article 1. General ..... 112**

15000. Authority ..... 112

15001. Short Title ..... 112

15002. General Concepts ..... 113

15003. Policies ..... 114

15004. Time of Preparation ..... 115

15005. Terminology ..... 116

15006. Reducing Delay and Paperwork ..... 116

15007. Amendments ..... 117

**Article 2. General Responsibilities ..... 118**

15020. General ..... 118

15021. Duty to Minimize Environmental Damage and Balance Competing Public Objectives ..... 118

15022. Public Agency Implementing Procedures ..... 118

15023. Office of Planning and Research (OPR) ..... 119

15024. Secretary for Resources ..... 120

15025. Delegation of Responsibilities ..... 120

**Article 3. Authorities Granted to Public Agencies by CEQA ..... 121**

15040. Authority Provided by CEQA ..... 121

15041. Authority to Mitigate ..... 121

15042. Authority to Disapprove Projects ..... 122

15043. Authority to Approve Projects Despite Significant Effects ..... 122

15044. Authority to Comment ..... 122

15045. Fees ..... 122

**Article 4. Lead Agency ..... 123**

15050. Lead Agency Concept ..... 123

15051. Criteria for Identifying the Lead Agency ..... 123

15052. Shift in Lead Agency Designation..... 124

15053. Designation of Lead Agency by the Office of Planning and Research..... 124

**Article 5. Preliminary Review of Projects and Conduct of Initial Study..... 125**

15060. Preliminary Review..... 125

15060.5. Preapplication Consultation..... 125

15061. Review for Exemption..... 125

15062. Notice of Exemption ..... 126

15063. Initial Study..... 127

15064. Determining the Significance of the Environmental Effects Caused by a Project..... 129

15064.4. Determining the Significance of Impacts from Greenhouse Gas Emissions..... 132

15064.5. Determining the Significance of Impacts to Archeological and Historical Resources..... 133

15064.7. Thresholds of Significance..... 135

15065. Mandatory Findings of Significance..... 136

**Article 6. Negative Declaration Process..... 137**

15070. Decision to Prepare a Negative or Mitigated Negative Declaration ..... 137

15071. Contents ..... 137

15072. Notice of Intent to Adopt a Negative Declaration or Mitigated Negative Declaration..... 138

15073. Public Review of a Proposed Negative Declaration or Mitigated Negative Declaration ..... 139

15073.5. Recirculation of a Negative Declaration Prior to Adoption..... 140

15074. Consideration and Adoption of a Negative Declaration or Mitigated Negative Declaration..... 140

15074.1. Substitution of Mitigation Measures in a Proposed Mitigated Negative Declaration..... 141

15075. Notice of Determination on a Project for which a Proposed Negative or Mitigated Negative Declaration has been Approved..... 142

**Article 7. EIR Process ..... 143**

15080. General..... 143

15081. Decision to Prepare an EIR..... 143

15081.5. EIRs Required by Statute..... 143

15082. Notice of preparation and Determination of Scope of EIR ..... 144

15083. Early Public Consultation..... 146

15083.5. [DELETED]..... 146

15084. Preparing the Draft EIR..... 146

15085. Notice of Completion..... 147

15086. Consultation Concerning Draft EIR..... 147

15087. Public Review of Draft EIR..... 148

15088. Evaluation of and Response to Comments..... 150

15088.5. Recirculation of an EIR Prior to Certification..... 150

15089. Preparation of Final EIR..... 152

15090. Certification of the Final EIR..... 152

15091. Findings..... 152

15092. Approval..... 153

15093. Statement of Overriding Considerations..... 153

15094. Notice of Determination..... 154

15095. Disposition of a Final EIR..... 155

15096. Process for a Responsible Agency ..... 155

15097. Mitigation Monitoring or Reporting. .... 157

**Article 8. Time Limits ..... 158**

15100. General ..... 158

15101. Review of Application for Completeness ..... 158

15102. Initial Study ..... 158

15103. Response to Notice of Preparation ..... 159

15104. Convening of Meetings ..... 159

15105. Public Review Period for a Draft EIR or a Proposed Negative Declaration or Mitigated  
Negative Declaration ..... 159

15106. [DELETED] ..... 160

15107. Completion of Negative Declaration ..... 160

15108. Completion and Certification of EIR ..... 160

15109. Suspension of Time Periods ..... 160

15110. Projects with Federal Involvement ..... 160

15111. Projects with Short Time Periods for Approval ..... 161

15112. Statutes of Limitations ..... 161

**Article 9. Contents of Environmental Impact Reports ..... 162**

15120. General ..... 162

15121. Informational Document ..... 162

15122. Table of Contents or Index ..... 163

15123. Summary ..... 163

15124. Project Description ..... 163

15125. Environmental Setting ..... 164

15126. Consideration and Discussion of Environmental Impacts ..... 164

15126.2 Consideration and Discussion of Significant Environmental Impacts. .... 165

15126.4 Consideration and Discussion of Mitigation Measures Proposed to Minimize Significant  
Effects ..... 166

15126.6. Consideration and Discussion of Alternatives to the Proposed Project. .... 168

15127. Limitations on Discussion of Environmental Impact ..... 171

15128. Effects Not Found to be Significant ..... 171

15129. Organizations and Persons Consulted ..... 171

15130. Discussion of Cumulative Impacts ..... 171

15131. Economic and Social Effects ..... 173

15132. Contents of Final Environmental Impact Report ..... 173

**Article 10. Considerations in Preparing EIRs and Negative Declarations ..... 174**

15140. Writing ..... 174

15141. Page Limits ..... 174

15142. Interdisciplinary Approach ..... 174

15143. Emphasis ..... 174

15144. Forecasting ..... 174

15145. Speculation ..... 175

15146. Degree of Specificity ..... 175

15147. Technical Detail ..... 175

15148. Citation ..... 175

15149. Use of Registered Professionals in Preparing EIRs ..... 175

15150. Incorporation by Reference ..... 176

15151. Standards for Adequacy of an EIR ..... 176

15152. Tiering ..... 177

15153. Use of an EIR from an Earlier Project ..... 178

15154. Projects Near Airports ..... 179

15155. City or County Consultation with Water Agencies ..... 179

**Article 11. Types of EIRs ..... 182**

15160. General ..... 182

15161. Project EIR ..... 182

15162. Subsequent EIRs and Negative Declarations ..... 182

15163. Supplement to an EIR ..... 183

15164. Addendum to an EIR or Negative Declaration ..... 183

15165. Multiple and Phased Projects ..... 184

15166. EIR as Part of a General Plan ..... 184

15167. Staged EIR ..... 184

15168. Program EIR ..... 185

15169. Master Environmental Assessment ..... 186

15170. Joint EIR-EIS ..... 187

**Article 11.5 Master Environmental Impact Report ..... 187**

15175. Master EIR ..... 187

15176. Contents of a Master EIR ..... 188

15177. Subsequent Projects Within the Scope of the MEIR ..... 189

15178. Subsequent Projects Identified in the MEIR ..... 189

15179. Limitations on the Use of the Master EIR ..... 191

15179.5. Focused EIRs and Small Projects ..... 191

**Article 12. Special Situations ..... 192**

15180. Redevelopment Projects ..... 192

15181. [DELETED] ..... 192

15182. Residential Projects Pursuant to a Specific Plan ..... 192

15183. Projects Consistent with a Community Plan or Zoning ..... 193

15183.3 Streamlining for infill projects ..... 195

15183.5. Tiering and Streamlining the Analysis of Greenhouse Gas Emissions ..... 199

15184. State Mandated Local Projects ..... 200

15185. Administrative Appeals ..... 201

15186. School Facilities ..... 201

15187. Environmental Review of New Rules and Regulations ..... 203

15188. Focused EIR for Pollution Control Equipment ..... 204

15189. Compliance with Performance Standard or Treatment Requirement Rule or Regulation ..... 204

15190. Deadlines for Compliance with Sections 15188 and 15189 ..... 205

15190.5. Department Of Defense Notification Requirement .....205

**Article 12.5 Exemptions for Agricultural Housing, Affordable Housing, and Residential Infill Projects .....206**

15191. Definitions .....206

15192. Threshold Requirements for Exemptions for Agricultural Housing, Affordable Housing, and Residential Infill Projects .....209

15193. Agricultural Housing Exemption .....211

15194. Affordable Housing Exemption .....212

15195. Residential Infill Exemption .....213

15196. Notice of Exemption for Agricultural Housing, Affordable Housing, and Residential Infill Projects .....214

**Article 13. Review and Evaluation of EIRs and Negative Declarations.....214**

15200. Purposes of Review .....214

15201. Public Participation .....215

15202. Public Hearings .....215

15203. Adequate Time for Review and Comment .....215

15204. Focus of Review .....216

15205. Review by State Agencies .....216

15206. Projects of Statewide, Regional, or Areawide Significance .....217

15207. Failure to Comment .....219

15208. Retention and Availability of Comments .....219

15209. Comments on Initiative of Public Agencies .....219

**Article 14. Projects Also Subject to the National Environmental Policy Act (NEPA) .....219**

15220. General .....219

15221. NEPA Document Ready Before CEQA Document .....220

15222. Preparation of Joint Documents .....220

15223. Consultation With Federal Agencies .....220

15224. Time Limits .....220

15225. Circulation of Documents .....220

15226. Joint Activities .....221

15227. State Comments on a Federal Project .....221

15228. Where Federal Agency Will Not Cooperate .....221

15229. Baseline Analysis for Military Base Reuse Plan EIRs .....222

**Article 15. Litigation .....223**

15230. Time Limits and Criteria .....223

15231. Adequacy of EIR or Negative Declaration for Use By Responsible Agencies .....224

15232. Request for Hearing .....224

15233. Conditional Permits .....224

**Article 16. EIR Monitor .....224**

15240. EIR Monitor .....224

**Article 17. Exemption for Certified State Regulatory Programs .....225**

15250. General .....225

15251. List of Certified Programs .....225

15252. Substitute Document ..... 226

15253. Use of an EIR Substitute by a Responsible Agency ..... 227

**Article 18. Statutory Exemptions ..... 228**

15260. General ..... 228

15261. Ongoing Project ..... 228

15262. Feasibility and Planning Studies ..... 228

15263. Discharge Requirements ..... 229

15264. Timberland Preserves ..... 229

15265. Adoption of Coastal Plans and Programs ..... 229

15266. General Plan Time Extension ..... 229

15267. Financial Assistance to Low or Moderate Income Housing ..... 229

15268. Ministerial Projects ..... 230

15269. Emergency Projects ..... 230

15270. Projects Which are Disapproved ..... 231

15271. Early Activities Related to Thermal Power Plants ..... 231

15272. Olympic Games ..... 231

15273. Rates, Tolls, Fares, and Charges ..... 232

15274. Family Day Care Homes ..... 232

15275. Specified Mass Transit Projects ..... 232

15276. Transportation Improvement and Congestion Management Programs ..... 232

15277. Projects Located Outside California ..... 233

15278. Application of Coatings ..... 233

15279. [DELETED] ..... 234

15280. [DELETED] ..... 234

15281. Air Quality Permits ..... 234

15282. Other Statutory Exemptions ..... 234

15283. Housing Needs Allocation ..... 235

15284. Pipelines ..... 235

15285. Transit Agency Responses to Revenue Shortfalls ..... 237

**Article 19. Categorical Exemptions ..... 238**

15300. Categorical Exemptions ..... 238

15300.1. Relation to Ministerial Projects ..... 238

15300.2. Exceptions ..... 238

15300.3. Revisions to List of Categorical Exemptions ..... 239

15300.4. Application By Public Agencies ..... 239

15301. Existing Facilities ..... 239

15302. Replacement or Reconstruction ..... 240

15303. New Construction or Conversion of Small Structures ..... 241

15304. Minor Alterations to Land ..... 241

15305. Minor Alterations in Land Use Limitations ..... 242

15306. Information Collection ..... 242

15307. Actions by Regulatory Agencies for Protection of Natural Resources ..... 242

15308. Actions by Regulatory Agencies for Protection of the Environment.....	243
15309. Inspections.....	243
15310. Loans.....	243
15311. Accessory Structures.....	243
15312. Surplus Government Property Sales.....	243
15313. Acquisition of Lands for Wildlife Conservation Purposes.....	244
15314. Minor Additions to Schools.....	244
15315. Minor Land Divisions.....	244
15316. Transfer of Ownership of Land in Order to Create Parks.....	244
15317. Open Space Contracts or Easements.....	244
15318. Designation of Wilderness Areas.....	245
15319. Annexations of Existing Facilities and Lots for Exempt Facilities.....	245
15320. Changes in Organization of Local Agencies.....	245
15321. Enforcement Actions by Regulatory Agencies.....	245
15322. Educational or Training Programs Involving No Physical Changes.....	246
15323. Normal Operations of Facilities for Public Gatherings.....	246
15324. Regulations of Working Conditions.....	246
15325. Transfers of Ownership of Interest In Land to Preserve Existing Natural Conditions and Historical Resources.....	246
15326. Acquisition of Housing for Housing Assistance Programs.....	247
15327. Leasing New Facilities.....	247
15328. Small Hydroelectric Projects at Existing Facilities.....	247
15329. Cogeneration Projects at Existing Facilities.....	248
15330. Minor Actions to Prevent, Minimize, Stabilize, Mitigate or Eliminate the Release or Threat of Release of Hazardous Waste or Hazardous Substances.....	248
15331. Historical Resource Restoration/Rehabilitation.....	249
15332. In-Fill Development Projects.....	249
15333. Small Habitat Restoration Projects.....	249
<b>Article 20. Definitions.....</b>	<b>250</b>
15350. General.....	250
15351. Applicant.....	250
15352. Approval.....	250
15353. CEQA.....	250
15354. Categorical Exemption.....	251
15355. Cumulative Impacts.....	251
15356. Decision-Making Body.....	251
15357. Discretionary Project.....	251
15358. Effects.....	251
15359. Emergency.....	252
15360. Environment.....	252
15361. Environmental Documents.....	252
15362. EIR - Environmental Impact Report.....	252
15363. EIS - Environmental Impact Statement.....	253

15364. Feasible .....	253
15364.5. Greenhouse Gas .....	253
15365. Initial Study .....	253
15366. Jurisdiction by Law .....	253
15367. Lead Agency .....	254
15368. Local Agency .....	254
15369. Ministerial .....	254
15369.5. Mitigated Negative Declaration .....	254
15370. Mitigation .....	254
15371. Negative Declaration .....	255
15372. Notice of Completion .....	255
15373. Notice of Determination .....	255
15374. Notice of Exemption .....	255
15375. Notice of Preparation .....	255
15376. Person .....	256
15377. Private Project .....	256
15378. Project .....	256
15379. Public Agency .....	257
15380. Endangered, Rare or Threatened Species .....	257
15381. Responsible Agency .....	258
15382. Significant Effect on the Environment .....	258
15383. State Agency .....	258
15384. Substantial Evidence .....	258
15385. Tiering .....	258
15386. Trustee Agency .....	259
15387. Urbanized Area .....	259
<b>CEQA GUIDELINES APPENDICES .....</b>	<b>260</b>
Appendix A: CEQA Process Flow Chart .....	261
Appendix B: Statutory Authority of State Departments .....	262
Appendix C: Notice of Completion & Environmental Document Transmittal .....	265
Appendix D: Notice of Determination .....	267
Appendix E: Notice of Exemption .....	268
Appendix F: Energy Conservation .....	269
Appendix G: Environmental Checklist form .....	271
Appendix H: Environmental Information Form .....	286
Appendix I: Notice of Preparation .....	287
Appendix J: Examples of Tiering EIRs .....	289
Appendix k: Criteria for shortened clearinghouse review .....	292
Appendix L: Notice of Completion of Draft EIR .....	293
<u>Appendix M: Performance Standards for Infill Projects Eligible for Streamlined Review</u> .....	294
<u>Appendix N: Infill Environmental Checklist form</u> .....	298
<b>INDEX .....</b>	<b>314</b>

**CEQA GUIDELINES TOPICS INDEX ..... 322**

# Overview of the Association of Environmental Professionals

AEP is a non-profit organization of professionals working to improve their skills as environmental and resource managers. Since its formation in 1974, AEP has grown to over 1,700 members: planners, environmental scientists, biologists, lawyers, noise specialists, transportation planners, paralegals, archeologists, geologists, engineers, visual analysts, and other professionals in numerous disciplines. There are nine regional AEP chapters covering the following regions:

- Central
- Channel Counties
- Inland Empire
- Los Angeles County
- Monterey Bay Area
- Orange County
- San Diego
- San Francisco Bay
- Superior California

AEP is dedicated to the enhancement, maintenance and protection of the natural and human environment, as well as the continued improvement of the environmental profession and its members.

## AEP's Mission is to:

- Enhance, maintain and protect the quality of the natural and human environment.
- Encourage and carry out research and education, including regular meetings for the benefit of AEP members, the public and concerned professionals in all fields related to environmental planning and analysis.
- Improve public awareness and involvement in the environmental planning, analysis and review process.
- Improve communication and advance the state of the art among people who deal with the environmental planning, analysis and evaluation.

## NAEP AFFILIATION

California AEP is affiliated with the National Association of Environmental Professionals and serves as the California Chapter for NAEP. California AEP members are not obligated to join NAEP but may do so to receive the additional benefits of NAEP. California AEP's affiliation with NAEP provides additional benefits to members of both organizations by fostering networking and educational opportunities between the two organizations. For additional information about NAEP, please visit [www.naep.org](http://www.naep.org).

## AEP MEMBERSHIP CATEGORIES

### Full (Individual) Membership

A full (individual) membership includes all of the many services, benefits and discounts of membership. Depending on your location, you will become a member of the local chapter nearest

you and will start to receive the newsletter for that Chapter, which will list AEP activities in your area. The AEP State Board also provides outlying area support through the Directors at Large, to keep members in touch with items of interest and to facilitate establishment of new Chapters.

### **Agency/Corporate Membership**

Agency or Corporate Members are provided two or one “floating” membership, respectively. Corporate Members also receive special recognition at the annual State Conference, a 10 percent discount on advertising, and a highlighted listing in AEP’s statewide magazine the *Environmental Monitor*. Each Chapter may provide additional recognition for Corporate Members. The floating memberships entitle individuals to attend local chapter activities, regional workshops and the State Conference at the discounted member rate. NOTE: The agency or company takes responsibility to notify the appropriate AEP officer regarding which employee will attend a given AEP activity on behalf of the company.

### **Emeritus Membership**

Emeritus Members are provided full membership benefits at a reduced rate if they have just retired and have been full AEP members for the past five years.

### **Young Professionals Membership**

Students who have graduated from college within the past two years with a degree in and/or beginning a career in the environmental field can have the benefits of full membership at this reduced rate. To qualify, a person must have been a registered student member with AEP the year before graduation and must supply proof of graduation upon request. This reduced membership rate can be claimed for up to two years past graduation.

### **Student Membership**

The student membership includes all of the individual membership services and eligibility for the annual AEP Student Awards Program. Student members must be currently enrolled in 12 units or more at an accredited school. The State Conference Committee typically offers students reduced registration rates to the State Conference. In addition, AEP is an excellent resource for internship opportunities and networking with environmental professionals while seeking employment opportunities. Contact the local chapter for more information on student benefits and activities.

## **AEP MEMBERSHIP BENEFITS AND SERVICES**

### **Web Site**

AEP’s website is a great resource for members, providing information about upcoming events, pending legislation and membership information. AEP’s website address is [www.califaep.org](http://www.califaep.org).

### **Environmental Monitor**

The Environmental Monitor is a quarterly statewide magazine with information on top leaders in the profession, articles of interest, job opportunities and summaries of state and local chapter activities. The magazine is recognized throughout the environmental planning profession as an important source of information.

## **Environmental Assessor**

The Environmental Assessor is a pull-out of environmental legislative activities for your personal library. The Environmental Assessor includes information describing the latest environmental legislation working through the State Senate and Assembly, the status of such legislation, and the position of AEP on such legislation. It also provides an update from AEP's State Legislative Committee and its interaction with and recommendations to the State Legislature.

## **Local Chapter Activities**

Local chapters are governed by the local members with guidance and assistance, as needed, from the State Board. The local chapters offer a wide range of services and activities, typically consisting of a regular newsletter, membership meetings featuring top environmental professionals discussing current environmental topics, and a chance to network with local environmental professionals from diverse backgrounds and environmental fields. Some chapters engage in educational activities based upon topical environmental issues and trends.

## **Environmental Services Bulletin**

The Environmental Services Bulletin announces statewide job opportunities. This service also allows for private consultants to bid on new project contracts.

## **Annual State Conference**

California AEP holds an annual Conference at various locations throughout the state, providing members with an opportunity to hear leading speakers in the environmental field, improve their skills, network with people from around the state, and discuss major environmental issues with experts in the field.

## **Professional Practice Insurance Discounts**

AEP members can receive a discount on general liability and professional liability insurance through AEP's partnership with Hilb Rogal & Hobbs PPIB.

## **Biannual CEQA Workshops**

Annual CEQA workshops provide updates of existing and recently adopted CEQA laws and current court actions. AEP members receive a discount when attending the annual CEQA workshops at locations throughout the state. The format of the workshops has proven successful, providing both basic and advanced information.

## **Membership Certificate**

A membership certificate, suitable for framing, is sent to each new AEP member.

## **Annual CEQA Handbook**

AEP annually publishes a CEQA Handbook, including the up to date text of the Statute and Guidelines, an update of CEQA Legislation and Court Cases, and a comprehensive index. Members receive a free copy of the CEQA Handbook. Additional copies in hardcopy and electronic (CD) format are available.

## Legislative Activities

AEP has an active Legislative Committee which closely tracks and responds to proposed CEQA legislation in order to influence the pending legislation in a positive and meaningful manner. Current summaries and analysis of the bills are published regularly in the *Environmental Assessor* and on AEP's website.

## Regional Area Support

Regional area support ensures representation no matter where you live. Directors-at-Large and state-sponsored events provide service to all areas within California.

## Professional Award Program

The Professional Award Program provides an excellent opportunity for professional recognition of outstanding achievements and document preparation. AEP offers an awards program, the results of which are presented at the State Conference.

## Association of Environmental Professionals Continuing Education Credit

Members who attend AEP workshops and other events earn special credit. CEQA Workshop participants are able to count attendance toward continuing education credit requirements under AICP and MCLE.

## Member Services

To contact the AEP Membership Hotline, call (760) 340-4499.

## Special Committees

The AEP Board appoints special committees to address issues of concern to its membership. Committees include the Legislative Committee, the Mitigation Practices Working Group, and the Environmental Justice Working Group.

## Professional Discounts

AEP is continuously negotiating professional discounts for AEP members to attend U.C. Extension courses and to obtain professional guidebooks of interest to members. The discounts vary depending on the event and/or the publisher.

Membership application forms are also available from your local AEP Chapter  
or at [www.califaep.org](http://www.califaep.org).

## NEW/RENEWAL MEMBERSHIP APPLICATION



Please mail this portion along with your check made payable to AEP: c/o Lynne C. Snyder, CMP, Meetings Xceptional, 40747 Baranda Court, Palm Desert, CA 92260

AEP can not invoice for new memberships. Questions: 760.340.4499, fax: 760.674.2479.

[www.CalifAEP.org](http://www.CalifAEP.org)

Online application available at [http://www.califaep.org/index.php?option=com\\_oesnsc&view=register](http://www.califaep.org/index.php?option=com_oesnsc&view=register)

### GENERAL INFORMATION

First \_\_\_\_\_ Last \_\_\_\_\_ Certification \_\_\_\_\_ Firm/Agency \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ Email \_\_\_\_\_

Daytime Phone No. \_\_\_\_\_ Ext. \_\_\_\_\_ Fax \_\_\_\_\_

Check to be OMITTED from the AEP Web Site Directory. Employment type:  Non-Profit  Private  Public  Other \_\_\_\_\_

Please send me a National Association of Environmental Professionals (NAEP) membership application.

### TO PAY BY CREDIT CARD (PLEASE FILL OUT BELOW)

Card Holder Name \_\_\_\_\_ Signature \_\_\_\_\_

Billing Address \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Card type  Visa  Mastercard  Discover Card No. \_\_\_\_\_ CVC# \_\_\_\_\_ Exp. Date \_\_\_\_\_

### AREAS OF INTEREST (SELECT 3)

- |   |  |  |   |
|---|--|--|---|
| <input type="checkbox"/> 1. CEQA/NEPA Compliance          | <input type="checkbox"/> 6. Noise                      | <input type="checkbox"/> 11. Cultural Resources                      | <input type="checkbox"/> 15. Geographic Information Systems |
| <input type="checkbox"/> 2. Environmental Impact Analysis | <input type="checkbox"/> 7. Transportation             | <input type="checkbox"/> 12. Growth Management/<br>Regional Planning | <input type="checkbox"/> 16. Environmental Justice          |
| <input type="checkbox"/> 3. Resource Management           | <input type="checkbox"/> 8. Hydrology/Water            | <input type="checkbox"/> 13. Environmental Law/Policy                | <input type="checkbox"/> 17. Environmental Engineering      |
| <input type="checkbox"/> 4. Solid/Hazardous Waste         | <input type="checkbox"/> 9. Endangered Species/Biology | <input type="checkbox"/> 14. Land Use/Site Planning                  | <input type="checkbox"/> 18. Other _____                    |
| <input type="checkbox"/> 5. Air Quality                   | <input type="checkbox"/> 10. Geology/Seismic           |  |   |

### CHAPTER REGIONS AND MEMBERSHIP

#### AEP CODE OF ETHICS

- I will conduct myself and my work in a manner that will uphold the values, integrity, and respect of the profession.
- I will uphold the stated intent as well as the letter of environmental policies, laws, and regulations which are adopted by governmental bodies or agencies.
- I will not engage in, encourage, or condone dishonesty, fraud, deceit, discrimination, or misrepresentation in the solicitation, preparation, or use of work prepared by me or under my direction.
- I will fully disclose to my employers and my prospective clients any economic or ethical interests which could reasonably be interpreted as a conflict of interest by them or by other affected parties with regard to my professional work.
- I will ensure a good faith effort at full disclosure, technical accuracy, sound methodology, clarity, and objectivity in the collection, analysis, interpretation, and presentation of environmental information by me or under my direction.
- I will achieve and maintain the highest level of professional competency, for myself and require the same for those I supervise.

Sign/line acknowledges full understanding & acceptance of the AEP Code of Ethics & Professional Conduct Guidelines.

OFFICE USE ONLY Co \_\_\_\_\_ P \_\_\_\_\_ Date \_\_\_\_\_ Amount \_\_\_\_\_ Check # \_\_\_\_\_

Contributions or gifts to AEP are not tax deductible as charitable contributions for income tax purposes. However, they may be tax deductible as ordinary and necessary business expenses subject to restrictions imposed as a result of association lobbying activities. AEP estimates that the nondeductible portion of your dues allocable to lobbying is 5%.

Status \_\_\_\_\_ Please Check \_\_\_\_\_

New Member Application \_\_\_\_\_

Renewal Membership \_\_\_\_\_

Change of Address, Etc. \_\_\_\_\_

Membership Category Annual Dues Please Check

Full AEP Member \$150.00 \_\_\_\_\_

AEP Sponsor Member \$250.00 \_\_\_\_\_

*Government/Corporate Sponsors are provided one Full Membership which can "float" within the sponsor company.*

Young Professionals Member \$70.00 \_\_\_\_\_

*Must have graduated in 2011 or 2012.*

Emeritus Member \$70.00 \_\_\_\_\_

*Must have been a full member within the last 5 years.*

AEP Full Time Student Member \$35.00 \_\_\_\_\_

*Please include current student schedule showing 12 units or more with application.*

Note: See full category descriptions on line at [CalifAEP.org](http://CalifAEP.org)

#### AEP Chapter Areas (check one chapter only)

- |   |  |
|---|--|
| <input type="checkbox"/> 1. Channel Counties    | <input type="checkbox"/> 6. Orange County          |
| <input type="checkbox"/> 2. Inland Empire       | <input type="checkbox"/> 7. San Diego              |
| <input type="checkbox"/> 3. Los Angeles         | <input type="checkbox"/> 8. San Francisco Bay Area |
| <input type="checkbox"/> 4. Monterey Bay        | <input type="checkbox"/> 9. Central                |
| <input type="checkbox"/> 5. Superior California |  |

## Summary of Key 2013 CEQA Court Cases

By Terry Rivasplata and Jonathan Riker of ICF International (formerly ICF Jones & Stokes)

The following discussions of California court decisions are summaries of the main points of each case from November 1, 2012 through October 31, 2013 and are certainly no substitute for legal advice. For more detail and interpretation, please consult your legal counsel.

### Definition of “Project” and “Approval”

#### ***Central Basin Municipal Water District v. Water Replenishment District of Southern California (Dec. 10, 2012) 211 Cal.App.4th 943***

The Water Replenishment District declared a “water emergency” in the Central Basin, an adjudicated groundwater basin. The adjudication imposed a “physical solution” to groundwater overdraft within the basin and authorized the Water Replenishment District to declare a water emergency, subject to specific limitations on groundwater pumping.

The Central Basin MWD challenged the declaration, asserting that it should be subject to CEQA. The trial court sustained the Water Replenishment District’s demurrer, effectively upholding the water emergency. The Court of Appeal affirmed the demurrer.

In the published portion of this decision, the Court found that CEQA is inapplicable to the Water Replenishment District’s action because “the declaration of a water emergency by itself has no environmental impact and therefore is not a project within the meaning of CEQA.” In addition, because the adjudication of the water basin strictly limits the Water Replenishment District’s authority to change any part of the terms for groundwater use set out in that judgment, the decision is ministerial. Further, even if the Water Replenishment District’s action were subject to CEQA, CEQA is trumped by the physical solution imposed by the adjudication of the groundwater basin. CEQA cannot be used “to frustrate the physical solution in the Central Basin.”

#### ***Neighbors for Fair Planning v. City and County of San Francisco (June 25, 2013) 217 Cal.App.4th 540***

This case involves a proposal to demolish the existing Booker T. Washington Community Service Center and replace it with a mixed use project that would both expand the community center and provide 48 new units of affordable housing. The City Board of Supervisors approved the project on appeal, with modifications to reduce the building’s visual mass, noise levels, and impacts on immediate neighbors, and certified the related EIR. The Board also approved the rezoning of the site to a “special use district” (SUD) that allowed the requested density and building height.

Neighbors sued, alleging that the City’s involvement in the project in the years prior to certification of the EIR constituted de facto project approval. This included funding and design assistance from the Mayor’s Office of Housing (MOH). In Neighbors’ view, the EIR should have been certified earlier in that process. In the published portion of its decision, the Court of Appeal rejected this claim and held in the City’s favor.

The California Supreme Court’s *Save Tara* decision is the leading light on the issue of the timing of CEQA review. Accordingly, the Court of Appeal carefully reviewed the *Save Tara* reasoning when making its decision. A key passage from that decision is: “courts should look not only to the terms of the agreement but to the surrounding circumstances to determine whether, as a practical matter, the agency has committed itself to the project as a whole or to any particular features, so as to effectively preclude any alternatives or mitigation measures that CEQA would otherwise require to be considered, including the alternative of not going forward with the project.”

Five months prior to the Planning Commission’s approval of the project, the MOH entered into an agreement to loan the Center \$788,000 (about 4% of the project’s total cost), of which up to

\$550,000 could be disbursed prior to completion of the CEQA document. This money was to be used for architectural and engineering design, survey and appraisal preparation, preparation of CEQA and NEPA documents, legal expenses, and related administrative work. If the proposed development was not approved by a date certain, the loan would come due immediately. The agreement stated that it was not committing the City to the project:

By entering into this Agreement, MOH and Borrower intend to preserve the possibility of developing the Project as affordable housing by lending funds to Borrower for the Predevelopment Activities. The City does not, however, commit to or otherwise endorse the Project by entering into this Agreement. The Project remains subject to review by City agencies and City discretion to disapprove or modify the Project.

The Court found that this agreement was distinguishable from the City of West Hollywood agreement that was found to be impermissible in *Save Tara*. First, West Hollywood's agreement explicitly stated that its purpose was to cause the reuse and redevelopment of the property consistent with the project outlined in the agreement. The MOH made no such commitment. Second, although both the MOH and West Hollywood agreements loaned money for specific projects, the Tara developer was not required to repay its loan (approximately \$1 million) unless the project received final approval from the city. This was not the case with the MOH's loan to the Center. In addition, the money loaned by the MOH was "expressly restricted to such exploratory and development costs recognized in *Save Tara* not to require CEQA review." Third, West Hollywood's agreement limited that city's authority to enforce CEQA by allowing its city manager to waive CEQA requirements. The MOH agreement explicitly required completion of the CEQA process. Fourth, statements and actions by West Hollywood, including initiation of tenant relocation prior to certification of an EIR, indicated that the city was committed to the project. No such concrete commitment was made by the MOH.

As stated by the Court, "in this case, the activities funded by the City's loan are limited to studies, are not irreversible, and will not cause any disruptions of the current activities on the Site or physical changes in the environment."

Neighbors also contended that introduction of the SUD ordinance prior to certification of an EIR was impermissible under CEQA. The Court disagreed. It found that there is no authority supporting the claim that introduction of an ordinance is the same as approval of an ordinance (which requires completion of CEQA).

In the final issue addressed in the published portion of the decision, Neighbors claimed that various statements made by a City Supervisor, City staff's review and comment on project design, and statements made by the project proponent in favor of their project constituted evidence that the City had pre-approved this project before completing CEQA review. The Court rejected each of these contentions. In the Court's view, staff involvement in the project's design "is neither unusual, suspicious, nor demonstrative of preapproval." Further, "[n]either Supervisor Alioto-Pier's advocacy of the Project, a lone e-mail from a nonprofit organization soliciting support for it, albeit inadvertently sent from a City e-mail address, nor the Center's publications promoting the Project indicate the City improperly committed to the Project prior to the requisite environmental review."

***California Building Industry Assoc. v. Bay Area Air Quality Management District (Aug. 13, 2013) 218 Cal.App.4th 1171***

The Bay Area AQMD, after lengthy research, hearings, and testimony, adopted substantial revisions to its CEQA Air Quality Guidelines establishing significance thresholds for greenhouse gas emissions as well as for toxic air contaminants (TACs) and PM<sub>2.5</sub>. The TAC thresholds set buffer zones around existing and planned sources of TACs and within 500 feet of freeways.

The CBIA sued, alleging that the guidelines were a "project" subject to CEQA review and that the TAC/PM<sub>2.5</sub> standards were arbitrary and capricious because they required the analysis of the

impact of the environment on a project. The trial court ruled in favor of CBIA with regards to the guidelines being a project, vacated their approval, and declined to take up the other allegations as a result. The Court of Appeal reversed that decision and reinstated the guidelines.

The Court found that, in general, the adoption of local CEQA guidelines is not subject to CEQA. State CEQA Guidelines Section 15064.7 establishes the required procedure for enacting generally applicable thresholds of significance. It does not require a CEQA review as part of the process. More specific to this case, the Court found that the environmental changes that CBIA argued would result from implementation of the proposed TAC/PM2.5 thresholds (i.e., increased denials of infill projects and encouragement of sprawl through the relocation of development to suburban locations) were speculative and not reasonably foreseeable.

The Court of Appeal chose to take up the unresolved issue of the validity of the TAC/PM2.5 thresholds rather than refer it back to the trial court. CBIA argued that these thresholds were invalid because they would protect proposed projects from the environment, rather than protect the environment from the projects. This claim was based on the *Ballona Wetlands* decision and its predecessors. The Court declined to rule on that basis, ducking the issue of whether it considered *Ballona Wetlands* to be correctly decided. Instead, it focused on CBIA's claims as a facial challenge to the thresholds. It concluded that "[t]he receptor thresholds are not facially invalid because the case law cited by CBIA [in arguing the *Ballona Wetlands* line of reasoning] does not bar their application in all or even most cases." Further, "CBIA has not carried its burden of establishing the levels for cumulative and single-source TAC emissions were arbitrary or unsupported by substantial evidence." The record showed that the AQMD had carefully studied the issue of health risk related to TAC emissions when developing the threshold.

## Statutory and Categorical Exemption Cases

### ***Concerned Dublin Citizens v. City of Dublin* (Mar. 28, 2013) 214 Cal.App.4th 1301**

This case involves a challenge to the City's use of the EIR prepared for the 2002 Eastern Dublin Specific Plan when considering a development project consistent with that plan. CEQA has a special streamlining provision for residential projects that are consistent with an adopted specific plan. Subsection (a) of Government Code Section 65457 states:

Any residential development project, including any subdivision, or any zoning change that is undertaken to implement and is consistent with a specific plan for which an environmental impact report has been certified after January 1, 1980, is exempt from the requirements of Division 13 (commencing with Section 21000) of the Public Resources Code. However, if after adoption of the specific plan, an event as specified in Section 21166 of the Public Resources Code occurs, the exemption provided by this subdivision does not apply unless and until a supplemental environmental impact report for the specific plan is prepared and certified in accordance with the provisions of Division 13 (commencing with Section 21000) of the Public Resources Code. After a supplemental environmental impact report is certified, the exemption specified in this subdivision applies to projects undertaken pursuant to the specific plan.

The Eastern Dublin Specific Plan was adopted to provide for the development of a transit and pedestrian-oriented development adjacent to the East Dublin/Pleasanton BART station. The Specific Plan established a "stage 1 development plan" that laid out the basic parameters for future development of sites within the transit village. The Specific Plan provides that individual development projects require the approval of a "stage 2" planned development rezoning.

The project at issue involved a 505-unit residential development proposal for "Site C" within the Specific Plan. The proposal submitted to the City increased the amount of residential development set out for Site C, but eliminated the site's commercial retail component. Some of the proposed

ground floor residential units would be able to be turned into retail, but that was not a part of the proposal.

The City found that the proposed development was consistent with the Specific Plan. It approved the project, adopting a CEQA exemption under Section 65457. Concerned Dublin Citizens (Concerned Dublin) appealed. The trial court denied Concerned Dublin's request for a writ, holding in favor of the City. The Court of Appeal affirmed that decision.

The Court considered Section 65457 to establish a statutory CEQA exemption for residential projects. It applied the substantial evidence rule to its examination of the City's decision to find the project exempt. Because this is a statutory exemption, the Court concluded that the key issue was whether the project qualified for the exemption, not whether it would have significant environmental effects. Section 65457 does not require preparation of a subsequent EIR for a proposed residential project, instead "it prohibits application of the exemption 'unless and until a supplemental environmental impact report for the specific plan is prepared and certified.'" (emphasis in original)

The Court found that there was substantial evidence to support the City's conclusion that this was a residential project. Any prospective future conversion of ground-level units to commercial use would require a separate permit review process. Additionally, although the property is zoned for mixed use, the application was for a purely residential development. Put another way, the project "is not automatically entitled to develop commercial property simply because such use would comply with zoning requirements."

Concerned Dublin claimed that because the City had adopted a program EIR for the Specific Plan, "it cannot now change and exempt itself from the tiered EIRs that must necessarily follow." The Court rejected that argument, stating that "nothing in section 15168 or any other provision mandates a particular level of environmental review in evaluating projects within the scope of a certified program EIR."

Concerned Dublin argued that the proposal increased the number of units allowed on site C (the application included a transfer of residential allocation from site A within the Specific Plan) and that this constituted a substantial change that required additional CEQA review of the specific plan. The Court disagreed. The transfer of units is expressly allowed under the plan's provisions. Site A is adjacent to site C and the total number of residential units was not increased by the transfer.

Concerned Dublin also claimed that significant new information regarding greenhouse gases (GHG) had come to be known since the EIR was certified in 2002, thereby requiring additional environmental analysis. The Court rejected that argument too. Although the Bay Area AQMD had adopted new GHG guidance in 2010, they were not retroactive to this project and, in any case, are in abeyance pending the outcome of a court challenge. The City's staff report for the project had set out a timeline from the early 1990s to the establishment of the California Climate Action Registry in 2000 to demonstrate that the environmental impact of GHG emissions was known in 2002. Concerned Dublin tried to argue that adoption of the BAAQMD guidance was information that could not have been known in 2002. The Court disagreed: "the adoption of guidelines for analyzing and evaluating the significance of data does not constitute new information if the underlying information was otherwise known or should have been known at the time the EIR was certified."

***Golden Gate Land Holdings v. East Bay Regional Park District (April 12, 2013) 215 Cal.App.4th 353***

Golden Gate Land Holdings is the owner of Golden Gate Fields racetrack which adjoins San Francisco Bay in Albany. The District condemned eight acres of Golden Gate Fields' shoreline to help complete the Eastshore State Park and a section of the San Francisco Bay Trail. The District

adopted a Class 25 categorical exemption (acquisition, sale, or other transfer to preserve open space and lands for park purposes) for the condemnation action.

Golden Gate sued, challenging both the “public necessity” of the District’s condemnation resolutions and its use of a Class 25 exemption. The trial court invalidated the use of the exemption, but in light of CEQA Guidelines Section 15004 (which provides that “CEQA compliance should be completed prior to acquisition of a site for a public project”) allowed the District to proceed with the condemnation, pending completion of the CEQA process prior to actual property acquisition. In the published portion of its opinion, the Court of Appeal upheld the lower court’s decision.

Between the time of the trial court’s decision and the arguments before the Court of Appeal, the District prepared an EIR for the project and adopted a new resolution of necessity for its condemnation action. The District, unsurprisingly, argued that this mooted the CEQA challenge. The Court disagreed, noting that “[b]ecause we could still award the relief Golden Gate seeks – setting aside the District’s resolutions – we conclude that the present controversy is not moot.”

Golden Gate argued that after concluding that the District had violated CEQA with adoption of the categorical exemption, the trial court was required to vacate the District’s entire resolution of necessity. The Court disagreed. Public Resources Code Section 21168.9 allows a court to limit its remedy to those parts of the agency’s action that are in noncompliance with CEQA. The trial court distinguished between CEQA and eminent domain law – noting that CEQA was concerned about changes in land use while eminent domain dealt solely with a change in ownership. The trial court “severed” the CEQA inadequacy from the District’s eminent domain action and allowed the eminent domain to proceed, as long as the District stopped short of actual acquisition.

In reviewing the decision of the trial court, the Court of Appeal found that this is not a case of whether an EIR must be prepared, but instead “when an EIR must be prepared in a case where CEQA and eminent domain law intersect.” The Court found that the trial court’s decision fulfilled the provisions of Section 21168.9(b) that “the order shall be limited to that portion of a determination, finding, or decision or the specific project activity or activities found to be in noncompliance only if a court finds that (1) the portion or specific project activity or activities are severable, (2) severance will not prejudice complete and full compliance with [CEQA], and (3) the court has not found the remainder of the project to be in noncompliance with [CEQA].” Here, CEQA compliance was severable from eminent domain law compliance, but eminent domain proceedings could not be completed to project acquisition and improvements absent CEQA compliance.

The Court distinguished the facts in this case, where the condemnation would preserve open space for park purposes, from those in prior decisions where an actual environment-altering project was proposed.

Here, on the other hand, there is no evidence that, by continuing its eminent domain proceedings, the District was going to be prejudiced in its “consideration or implementation of particular mitigation measures or alternatives to the [proposed improvements]” or that the eminent domain proceedings “could result in an adverse change or alteration to the physical environment.” (§ 21168.9, subd.(a)(2).) There is no danger that construction of the improvements will begin without exploration of their environmental impact. And, there is no danger that the District will be pressured to overlook adverse environmental consequences of a particular trail alignment because it has already spent millions of dollars acquiring the subject property rights. In fact, the trial court specifically ordered that no acquisition would take place until CEQA review was complete.

### ***May v. City of Milpitas (July 16, 2013) 217 Cal.App.4th 1307***

The City approved amendments to a site development permit, a tentative map, and a conditional use permit for the Citation Residential Project to allow the development of 732 condominium units.

The project was consistent with the Transit Area Specific Plan for which an EIR had been previously certified. The City found the project exempt from CEQA either under Guidelines Section 15061 (the “common sense” exemption) or Section 15168 (project consistent with a program EIR with no subsequent CEQA document required). The City then filed a notice of exemption.

May challenged the exemption in court, alleging that new information of substantial importance was available that required preparation of a subsequent EIR. The City requested a demurrer (essentially dismissal of the case) on the theory that the litigation was not filed within the 30-day statute of limitations for challenges to residential projects approved on the basis of a specific plan EIR (Government Code Section 65457). The trial court sustained the demurrer.

The Court of appeal agreed with the lower court’s decision. The statute of limitations for a challenge under Section 65457 is 30 days, not 35 days, as provided for other types of exemptions. This is because Section 65457 explicitly states that the statute of limitations is 30 days from the time of project approval. Filing a Notice of Exemption for the project did not invoke the 35-day statute of limitations.

### ***Save the Plastic Bag Coalition v. County of Marin (July 25, 2013) 218 Cal.App.4th 209***

Marin County enacted an ordinance prohibiting the use of single-use plastic grocery bags and imposing a fee of up to 5 cents on single-use paper bags. The ordinance applied to grocery stores, pharmacies, convenience food stores, and other stores that sell food or perishable items (although not restaurants) – approximately 40 establishments in the unincorporated area. The County Agricultural Commissioner prepared an analysis of the proposed ordinance that described the volume of plastic bags released into the environment in the County, costs of clean-up, and the adverse environmental effects of paper bags as a replacement for plastic. It concluded that “[b]y pursuing a ban on plastic with a mandatory charge on paper, the County can successfully rebut the plastic industry’s challenge that simply banning plastic would shift people from one bad environmental impact (plastic) to another one (paper).”

For its CEQA finding, Marin County applied the categorical exemptions for regulations intended to protect natural resources and the environment (CEQA Guidelines Sections 15307 and 15308). During deliberations on the ordinance, Save the Plastic Bag expressed its opposition and submitted 90 documents in support of its contention that an EIR should be prepared, including comparative life-cycle analyses of the environmental costs of plastic bags and their replacements. They claimed that this evidence supported a fair argument that the proposed ordinance may result in significant environmental effects. The County nonetheless approved the ordinance.

Save the Plastic Bag sued, the trial court held in favor of the County and this appeal ensued. The Court of Appeal affirmed the lower court’s decision. The published portion of the case dealt with the question of whether the County could apply an exemption to this ordinance.

The Court began its analysis by noting that there is a split of authority among the Appellate Courts over the standard of review to apply to categorical exemptions. Some cases have held that a categorical exemption must be invalidated if there is a fair argument that any of the exceptions listed in Guidelines Section 15300.2 apply. Others have held that a substantial evidence test should be applied to the local agency’s findings that the particular exemption is applicable to the project. The Court then declared that it need not take a position on this split because it would not alter the outcome of this case. Note that the California Supreme Court is expected to settle this matter when it decides *Berkeley Hills Preservation v. City of Berkeley*.

The plaintiff cited the California Supreme Court’s decision in *Save the Plastic Bag Coalition v. City of Manhattan Beach* (July 14, 2011) 52 Cal.4th 155 [negative declaration adopted for a plastic bag ban upheld due to its limited potential impact] to support its case. Although the Supreme Court had upheld Manhattan Beach’s negative declaration in the face of similar arguments brought by

them, Save the Plastic Bag now asserted that the case supported the argument that an EIR is required for any plastic bag ban in a city or county larger than Manhattan Beach and in smaller cities and counties based on cumulative impacts.

The Court disagreed. “Manhattan Beach does not preclude a public agency from relying on a categorical exemption for a plastic bag ban” and the same reasoning that applied in the Supreme Court’s holding could be applied here. At the same time, whether a categorical exemption can be applied will depend on the unique facts and circumstances in front of the lead agency.

The passages in Manhattan Beach upon which plaintiff relies do not support a conclusion that Marin County abused its discretion by relying on a categorical exemption from CEQA. The court simply recognized that there may be circumstances when more comprehensive environmental review will be required if it can be shown that a plastic bag ban will result in a significant increase in paper bag use. That is simply not the case here. Marin County’s ordinance applies to roughly 40 stores, compared to over 200 stores affected by Manhattan Beach’s ordinance. If the broader impacts of increased paper bag use could be described as insubstantial in Manhattan Beach—where there was no charge for paper bags—it is even more trivial in this case, which involves significantly fewer retailers, each of whom will charge fees for paper bags and thereby increase the incentive for consumers to bring reusable bags when shopping. Further, because our review of the facts is limited to the record before the public agency, we may not properly consider the population of Marin County, which is not part of the administrative record. (See *State Bd. of Chiropractic Examiners v. Superior Court* (2009) 45 Cal.4th 963, 977.) In any event, the relevant population figure for purposes of comparison is the population of the unincorporated areas of the county, where the ordinance applies. Given that the number of affected stores in unincorporated areas is substantially less than the number of stores that would be affected if the ordinance were applied county-wide (40 as compared to 440), it is also reasonable to assume the population in unincorporated areas is substantially less than the overall population of the county. Thus, the analysis in Manhattan Beach does not compel the conclusion that Marin County was required to perform a more comprehensive CEQA review. If anything, a comparative analysis involving stores and population figures in Manhattan Beach and the unincorporated parts of Marin County reinforces the conclusion that, just as in Manhattan Beach, the environmental impacts of the ordinance are insignificant.

Save the Plastic Bag also claimed that the County could not apply the Class 7 and 8 categorical exemptions because they are limited to the actions of regulatory agencies and the County is not a regulatory agency in this instance. They made the unique argument, without any citation to supporting authority that legislative actions such as the enactment of an ordinance are never subject to the Class 7 and 8 exemptions. The Court dismissed this argument.

## Negative Declaration and Mitigated Negative Declaration Cases

### ***Taxpayers for Accountable School Bond Spending v. San Diego USD* (April 25, 2013) 215 Cal.App.4th 1013**

In 2008, school district voters approved a bond measure (Measure A) that was to fund, among other things, renovations and upgrades to the Hoover High School stadium. In 2011, the District approved changes to the stadium, including new field lights for night games and changes in parking, on the basis of a mitigated negative declaration. The District also acted to exempt this project from city zoning requirements.

Taxpayers sued, alleging that the mitigated negative declaration was inadequate, that field lighting could not be funded through Measure A, and that the District improperly exempted the project from City zoning. The trial court dismissed the suit and held in favor of the District. The Court of

Appeal reversed the decision regarding funding of field lighting and CEQA adequacy, but upheld the District's determination that the stadium project was exempt from zoning because it was for educational purposes (as allowed under Government Code Section 53094). It also noted that the District's resolution exempting the stadium was not a CEQA action because it did not commit the District to any particular course of action and the resolution was not a "project" under CEQA.

The Court, in a rambling discussion, explained that Measure A did not specifically list field lighting as a project to be funded. Therefore, it could not be funded from Measure A proceeds.

On the subject of CEQA, Taxpayers argued that the project description was "misleading" because it did not identify the precise number of night games that might be played in the stadium. The Court disagreed on that point. The District's characterization of 15 and "a few more" evening events was reasonable. Any events beyond that would require further CEQA analysis.

Taxpayers argued that there was a fair argument that the proposed two 100-foot and two 90-foot light standards would result in light trespassing onto neighboring residences, degrading the existing visual character of the neighborhood. The District's MND included a comprehensive lighting impact study which concluded that the lights' light spill and glare control system and future mature landscaping at the school would preclude excessive levels of light from reaching nearby homes during and after games when the lights were on. The Court disagreed. The impact study indicated that while lights could be seen from the neighborhood, considering the limited hours of lighting and number of events, the lighting would not be excessive nor would it disrupt neighbors' sleep. The Court similarly found no substantial evidence to support Taxpayers' assertion that the new light fixtures would have a significant direct visual impact on the neighborhood.

Taxpayers contended that the stadium lights would adversely affect an adjacent historic neighborhood. The MND concluded that there were no historic resources on the high schools site, but did not consider the surrounding area. Nonetheless, the Court found that there was no substantial evidence that the adjoining neighborhood or any element in it is a historic resource for CEQA purposes, nor was there evidence showing that the stadium project would have a adversely affect a historical resource if one was one present. Vague references to a historic district in City documents that did not specifically show this neighborhood as being historic or eligible for listing was not substantial evidence of the presence of a historic district.

Taxpayers claimed that there was a fair argument the project would result in significant effects on the area's traffic and parking. The traffic study prepared for the project looked at traffic generation and parking demand at five other high schools in the district to derived an estimate of game attendance and parking demand at Hoover High School. Although estimated parking demand would exceed supply by 174 spaces, the report concluded that with the 56 new spaces being created by the project there would be no significant effect. The District asserted that the CEQA Guidelines does not require an analysis of the project's effect on parking because, under *San Franciscans Upholding the Downtown Plan v. City and County of San Francisco* (2002) 102 Cal.App.4th 656, a parking shortage is a "social inconvenience," not a physical effect on the environment. The Court found that the traffic study prepared for the project fell short in at least two areas: the survey of five of the District's other high schools did not explain why those schools were comparable to the project and did not validate the estimated attendance by comparing it to Hoover's afternoon game attendance, and it did not determine the baseline stadium attendance and off-site neighborhood parking supply at Hoover under existing conditions.

The Court disagreed with the assertion that parking can never be a primary physical impact on the environment. The absence of parking on the list of impacts in the Guidelines does not mean it is not a physical effect. "[R]egardless of whether parking is considered a primary or secondary impact of a project, a project's impact on parking generally should be studied for any potential impact on the environment." On that basis, the Court found that the MND failed to adequately analyze the project's effect on parking in the area and that "extensive evidence" from area residents

in the form of “personal observations and opinions” constituted substantial evidence that there may be a significant effect on parking.

The Court reached the same conclusion with regard to traffic. Comments from neighbors recounted “the significant traffic problems they observed during past events at the stadium” and how the neighborhood’s narrow streets contributed to congestion during those times. The Court concluded that in the cases of both parking and traffic, the District had abused its discretion by relying on the inadequate MND. The Court remanded the case to the trial court and ordered an EIR to be prepared. The Court also enjoined the District from using funds from Measure A to pay for fielding lighting at any stadium in the district.

## Environmental Impact Report Cases

### ***Banning Ranch Conservancy v. City of Newport Beach (Dec. 12, 2012) 211 Cal.App.4th 1209***

In 2006, the City purchased an 18.9 acre site for the future “Sunset Ridge Park” and in 2009 issued a notice of preparation (NOP) for the EIR that it would prepare for the park. Coincidentally, the city had received an application to develop the Banning Ranch property abutting the future park with a substantial residential, commercial, and resort/hotel project. The City issued an NOP for that project’s EIR shortly before the park NOP. Both the proposed park and the proposed development project would obtain access to the West Coast Highway at the same point and would share the use of the future “Bluff Road” from that point. In 2010, the City certified the EIR for the park and entered into an access agreement with the Banning Ranch developer granting the City an easement to build and maintain an access road to the park.

Banning Ranch Conservancy (BRC) brought suit over the park EIR alleging that it should have also analyzed the Banning Ranch development and that it was inadequate in a number of areas. The trial court decided in favor of the City. The Court of Appeal agreed and upheld the lower court’s ruling.

BRC alleged that the City had improperly undertaken a “piecemeal” review of the Sunset Ridge Park, its access road, and Banning Ranch projects (which they claimed were a single project) by preparing individual EIRs for the park and Banning Ranch. The California Supreme Court’s *Laurel Heights Improvement Assoc. v. Regents of University of California* (1988) 47 Cal.3d 376 decision explained that “an EIR must include an analysis of the environmental effects of future expansion or other action if: (1) it is a reasonably foreseeable consequence of the initial project; and (2) the future expansion or action will be significant in that it will likely change the scope or nature of the initial project or its environmental effects.”

The Court of Appeal held that the Banning Ranch development was not a reasonably foreseeable consequence of the park and access road. Although certainly the Banning Ranch project was reasonably foreseeable, “the park’s access road is only a baby step toward the [] project.” The park project doesn’t change any zoning to accommodate the larger project, doesn’t act as a catalyst for that already planned project, and the scope of the Banning Ranch project is much larger than just the access road. Further, the park and Banning Ranch projects have different proponents, serve different purposes, and the park can be built regardless of whether or not the Banning Ranch development occurs. The Court also found importance in the fact that the eventual construction of Bluff Road was envisioned in the City’s general plan, whether or not Banning Ranch is ever developed.

BRC alleged that the park EIR insufficiently analyzed the cumulative traffic impact of the park and Banning Ranch by failing to account for any Banning Ranch traffic. The Court disagreed. In the Final EIR, the City explained that Bluff Road was consistent with the general plan and the general plan EIR had analyzed the traffic impact of both the park and a potential development on the Banning Ranch. The Court held that although the cumulative impact analysis “could have been set forth more directly,” the City’s effort was adequate.

BRC alleged that the EIR should have analyzed the growth-inducing impacts of the park's infrastructure. The Court disagreed.

Here, substantial evidence supports the EIR's conclusion that the park will not have a growth-inducing impact, particularly in regard to the [Banning Ranch] project. Most notably, the [Banning Ranch] project was proposed first — the park is not inducing it. As our piecemeal analysis showed, the [Banning Ranch] project is not a consequence of the park. [] The park has the independent purpose of providing recreational facilities that, as the EIR noted, are badly needed to serve the City's existing residents.

BRC alleged that the EIR's analysis of cumulative biological impacts was inadequate because it did not mention the Banning Ranch project. The Court disagreed. Both the park and Banning Ranch projects were covered by the Natural Communities Conservation Plan (NCCP) for that area of Orange County. The Final EIR clarified that the Banning Ranch development was assumed in the cumulative biological resources analysis, both projects would be participants in the NCCP's mitigation requirements, and neither project is located within the NCCP reserve system that is set aside to protect key native habitat as part of an ecosystem-based mitigation scheme. This satisfied CEQA's requirements.

BRC also alleged that the EIR downplayed the park's potential impact on habitat for the California gnatcatcher, a threatened bird species, and failed to include mitigation. The Court disagreed with this argument too. The Court found that the EIR had indeed analyzed the project's impact and disclosed that approximately 0.68 acres of habitat would be adversely affected. The EIR also set out specific mitigation measures that would reduce the project's impact to a less-than-significant level. The Court rejected BRC's claim that the entire park site is per se significant because all of it is designated as critical habitat by the U.S. Fish and Wildlife Service. BRC "cites no cases deeming every square foot within these USFWS designations to be equally significant, or significant at all." Substantial evidence, including the opinions of the City's biologist, supported the EIR's conclusions and the adequacy of the mitigation measures.

BRC alleged that the EIR failed to disclose that the park project was inconsistent with the Coastal Act, particularly the Act's protection of "environmental sensitive habitat areas" (ESHAs). Again, the Court disagreed with the plaintiff. The EIR noted that the site contained no ESHAs, that two areas were potential ESHAs, and that on-site and off-site habitat restoration activities would reduce any impacts to a less-than-significant level. BRC claimed that the Coastal Commission was highly likely to disagree with that assessment. The Court was not convinced that a prospective disagreement made the EIR inadequate.

[] All the EIR needed to do at this stage is "discuss any inconsistencies" with the Coastal Act. [] There are no inconsistencies at the moment; the EIR adequately flagged potential inconsistencies and addressed them in advance through proposed mitigation.

### ***Save Cuyama Valley v. Santa Barbara County (Feb. 8, 2013) 213 Cal.App.4th 1059***

The County prepared an EIR for a proposed gravel mining operation (Diamond Rock mine) on the Cuyama River. The mine, eventually intended to cover 84 acres, would be located adjacent to the river bed, surrounded by low flood control berms, such that it would not excavate directly from the bed. The EIR stated that the mine could affect river hydraulics by changing the course of the river, degrading the downstream channel by inducing scour, and causing bank erosion. However, it analyzed the potential and concluded, on the basis of a tailored threshold of significance, that these impacts were unlikely to occur and that they could be mitigated by specific measures identified in the EIR.

The EIR also examined whether the mine's water demand would have a significant effect on groundwater supply. The EIR used the County's adopted 1992 threshold for groundwater impact (and associated methodology) to determine that the operation's water demand of 28.12 acre-feet per

year (afy) did not exceed the 31 afy threshold for the Cuyama River watershed. Regarding water quality, the EIR found that depth to groundwater in the area ranged from 40 to 100 feet and therefore could be located within the maximum mining depth of 90 feet. If that were the case, the operation could adversely affect water quality. However, the County imposed a measure limiting excavation to not less than “an average of 6 feet above water level” that would avoid that impact.

Save Cuyama sued the County, the Superior Court denied their writ, and this appeal followed. The Court of Appeal upheld the validity of the County’s EIR.

Save Cuyama asserted that the County violated CEQA by using a tailored threshold of significance for hydrological impacts, rather than relying on Appendix G of the CEQA Guidelines. It claimed that the County could only deviate from Appendix G if it were to formally adopt a different threshold. The Court disagreed, stating that “CEQA only requires that a threshold be formally adopted if it is for ‘general use’—that is, for use in evaluating significance in all future projects.” It affirmed that Appendix G is only a model checklist and is not intended to be a set of thresholds.

□ Those thresholds are “only” a “suggest[ion].” (CEQA Guidelines, § 15063, subd. (f).) To require any deviation from them to be documented and justified, as Save Cuyama suggests, is to elevate Appendix G from a suggested threshold to the presumptive threshold. This flatly contradicts both CEQA’s description of Appendix G as only suggested and CEQA’s mandate that agencies have the power to devise their own thresholds. [reference deleted]

The EIR’s conclusions regarding hydrological impacts were supported by substantial evidence. This adequately explains the reasoning behind the conclusions. The substantiality of this evidence is not undermined by differing opinions from the Environmental Protection Agency and Save Cuyama’s experts. Disagreement among experts does not make an EIR inadequate.

Save Cuyama’s claim that the hydrology mitigation measure was improperly vague and deferred also lost. The deferred mitigation met the requirements set out in the CEQA Guidelines. The mitigation measure was committed to by adopting it as a condition of approval and it was sufficiently detailed to allow it to be implemented effectively.

Save Cuyama challenged the use of the County’s groundwater supply threshold, asserting that it was used to assess both individual and cumulative impacts of the project and that it is out of date. The Court disagreed that using a groundwater basin-based threshold was inadequate. Although the EIR lacked an examination of the mine’s non-cumulative impact on water supply, “the Report already finds the mine has no significant cumulative impact under what is an undoubtedly more stringent cumulative-impact threshold.”

The County had consulted with its water agency and examined more recent studies to confirm the continued adequacy of its groundwater threshold. The Court held that this supports the County’s finding that the 1992 threshold remains relevant for this project.

Save Cuyama pointed out that the EIR concluded that the project’s impact on water quality would be less than significant, even though the pit depth was within the range of groundwater depths in the area. The Court agreed – the EIR lacked substantial evidence to support this conclusion. However, this was not a prejudicial error that would preclude informed decisionmaking and therefore was not a reason to invalidate the EIR. In the Court’s opinion:

Save Cuyama has not shown how this error matters. Notwithstanding the Report’s erroneous conclusion that the impact was not significant, the County still insisted that Troesh implement Condition 64. Critically, this condition obligates Troesh to ensure that no groundwater is exposed—at whatever depth it is encountered. [emphasis in original] Although Save Cuyama contests the condition’s efficacy, it does not dispute that the condition—if feasible—would be wholly effective in negating the mine’s adverse impact on water quality. Consequently, on these facts, the Report’s unsupported conclusion regarding significance is of no moment.

***Habitat and Watershed Caretakers v. City of Santa Cruz (Feb. 19, 2013) 211 Cal.App.4th 429***

The City and UC Santa Cruz have long had a contentious “town-gown” relationship. Issues have included the impact of campus growth on housing demand in the City and on the City’s water supplies. The City provides water and sewer service to a portion of the campus, with the University being the city’s largest water customer. Existing water supplies are insufficient to meet dry-year demand and the City’s only option for increasing supplies is a desalination plant that is now in the early planning stages.

In 2006, the Regents adopted a Long Range Development Plan (LRDP) for the campus which included an increase in student enrollment and development of “North Campus,” an area that is outside of the City’s water and sewer service area. The City and others sued the Regents over the adoption of the LRDP and EIR in a case that was resolved by a comprehensive settlement agreement (CSA). The CSA committed the Regents to limiting enrollment and providing increased on-campus housing. The housing commitment was contingent upon the City seeking approval from the Local Agency Formation Commission (LAFCO) of a sphere of influence expansion to encompass the North Campus and City support for the University’s application for extraterritorial water and sewer service for North Campus. Under the CSA, the LAFCO’s denial of the sphere of influence expansion would release the University from its on-campus housing commitment. The CSA was between the City, Regents, and other entities, but did not include the LAFCO as a party.

The City prepared an EIR for its sphere of influence application to the LAFCO and the Regents’ concurrent request for extraterritorial service. The EIR identified inadequate water supply as a significant and unavoidable impact. The City freely incorporated mitigation measures from the LRDP EIR when applicable to impacts identified its EIR.

Habitat sued, alleging that the City’s EIR was inadequate because it did not adequately discuss the project’s impacts, failed to include a reasonable range of alternatives, and failed to propose adequate mitigation measures. The trial court decided in favor of the City. The Court of Appeal reversed that decision and directed the trial court to order the City to correct vacate the certification of the EIR.

Habitat claimed that the EIR’s analysis of water supply did not conform to the requirements set out by the Supreme Court in its *Vineyard Area Citizens* decision. The Court of Appeal reviewed the pertinent sections of the EIR, as well as the water supply assessment, and concluded that the EIR adequately disclosed the City’s existing water supply shortage in drought years and the North Campus’ minor contribution to future shortages. The Court noted that an EIR is not required to establish a likely source of water. It satisfies CEQA by disclosing the reasonably foreseeable impacts of supplying water to the project, and discussing potential alternatives and mitigation measures, while acknowledging the degree of uncertainty involved. The City’s EIR did so, including “conservation and curtailment” as its primary approach to reducing the amount of shortage and the uncertainty of completing its desalination plant.

Habitat further claimed that the EIR failed to discuss the impact of developing North Campus on erosion in the adjoining watershed as a result of increased runoff and to delineate wetlands in the North Campus area. The Court found that the EIR had adequately addressed these impacts, in part through reliance upon the analyses and mitigation measures of the LRDP’s EIR and upon the storm water management plan subsequently prepared for the LRDP. Although the City’s EIR did not contain a copy of the storm water management plan, it was described sufficiently in the Final EIR so as not to deprive decisionmakers of the information necessary to evaluate the level of impact and adequacy of mitigation measures.

With regard to wetland delineation, the City’s EIR acknowledged the existence of wetlands on the North Campus and incorporated the LRDP EIR’s pertinent mitigation measures. The City’s EIR adequately explained how the mitigation would provide for the pre-construction delineations and compliance with the Clean Water Act. In the words of the Court:

Here, the City incorporated the mitigation measures for wetlands loss that were spelled out in the LRDP EIR into the draft EIR. It did not defer to some future agency's consideration of such mitigation measures. While the City did not itself map the wetlands, these mitigation measures required that they be identified in advance of development and subjected to the identified mitigation measures.

Habitat argued that the increased water demand from North Campus' development would result in reasonably foreseeable biological impacts on the San Lorenzo River and other streams. The Court disagreed. There was substantial evidence in the record that the City did not intend to draw more water from existing sources to increase its supply. Accordingly, the possible impacts on those sources are existing impacts, not impacts of this project. "These water supply challenges that the City faces in serving its existing users are not significantly impacted by this project, as those challenges exist with or without this project."

Habitat claimed that the EIR failed to consider the increased water demand that might result from induced off-campus development in the City. The Court found that the EIR had adequately explained that the indirect population increase and housing demand was within or nearly within the City's existing projections, and the City's already expected housing units would satisfy this demand. The City's conclusion was supported by substantial evidence. "While there might be a legitimate basis for disagreement with the City's analysis and conclusions regarding indirect growth, our standard of review requires us to defer to its resolution of these factual questions."

Habitat contended that the project description and objectives were not accurate and therefore the EIR failed to examine a reasonable range of alternatives. They claimed that the project description stated that the City was required to provide water to North Campus when the CSA actually only required the City to initiate the LAFCO application. Habitat argued that the CSA did not "excuse the City from its CEQA duty to assess whether it should provide water for North Campus development and, if so, in what quantity and under what conditions and mitigations." (emphasis in original)

The Court disagreed. The Court deferred to the City's interpretation of the CSA – that the agreement established an obligation in the part of the Regents to apply for services, but preserved the City's discretion over whether or not to apply to LAFCO for an SOI amendment. As lead agency (under agreement with the LAFCO), the City was responsible for preparing an adequate EIR that addressed the entire project, including the City's decision to apply for an SOI amendment, the Regents' application for services, and LAFCO's action on the amendment. The Court was satisfied that the EIR addressed these elements of the project.

With regard to the project objectives, the Court found that the draft EIR's description of the project objectives was not supported by substantial evidence. However, the final EIR corrected this problem and the Court was satisfied that the objectives described the underlying purpose of project: to provide water and sewer services to the North Campus in order to trigger the commitments that Regents made in the CSA.

The EIR examined one project alternative and the no-project alternative, and discussed two additional alternatives that were dismissed from further analysis. The project alternative would not reduce any of the project's impacts. Habitat argued that the EIR was inadequate because it failed to consider any alternative that would have reduced the project's impact on the City's water supply, specifically either a reduced-development or limited-water alternative. The Court noted that because the EIR was prepared for both City and LAFCO actions, "the alternatives discussion was required to address the feasible options open to both agencies." With that, the Court rejected the reduced-development alternative suggested by Habitat, "[b]ecause LAFCO lacks the power to impose conditions that would directly restrict the Regents' development of North Campus."

The City argued that a limited-water alternative was properly left out because it would not meet the basic project objective, would not avoid a significant impact on water supply because the Regents could still develop areas within the existing water service area, and the City has no jurisdiction over

campus development. The Court rejected that argument for lack of supporting substantial evidence. The City failed to identify the “basic Project objective” that the alternative did not meet. Further, the City failed to support its conclusion that a limited-water alternative would not reduce project impacts because the University would simply develop other portions of the campus. Further, while LAFCO could not impose land use restrictions as part of its action, it could condition the availability of water and sewer service on water supply availability or place a supply ceiling on service. The Court concluded that “[b]y failing to mention, discuss, or analyze any feasible alternatives, the draft EIR and the final EIR failed to satisfy the informational purpose of CEQA, which included providing LAFCO with relevant information.”

Habitat argued that the EIR lacked “specific, certain, and enforceable mitigation measures” for the impact on water supply. The Court disagreed. The EIR incorporated numerous mitigation measures from the LRDP EIR that would limit campus demand. Those measures were certain and specific. It was not important that the measures were not developed by the City. The EIR contained substantial evidence that they would be effective.

Habitat also attacked the City’s findings and statement of overriding considerations. The Court noted that the findings were inadequate because the EIR had not examined a reasonable range of alternatives. However, it declined to decide on the findings as an independent claim. The City’s statement of overriding considerations identified six reasons, any one of which was sufficient to justify approval of the project. The Court found that three of these were supported by substantial evidence in the record and upheld the overriding considerations on that basis.

#### ***North Coast Rivers Alliance v. Marin Municipal Water District Board (May 21, 2013) 216 Cal.App.4th 614***

The MMWD approved a seawater desalination plant to provide up to 5 million gallons per day of potable water. This would be used to supplement the MMWD’s existing supplies to reliably meet projected water demand by 2025. The Alliance successfully challenged the project’s EIR in the trial court. The trial court set aside the EIR and the MMWD’s approval of the desalination plant. The Court of Appeal reversed that decision.

The Alliance argued that new water tanks associated with the project would create significant visual impacts. The Court disagreed. The EIR included a detailed analysis of the potential visual impacts, mitigation measures to lessen the impacts, and substantial evidence to support the conclusion that the impacts were less than significant. The Alliance also contended that the mitigation measure for one of the tanks was inadequate because it did no more than require a landscaping plan to be prepared and followed. The Court dismissed this claim as well. Citing the 2009 decision in *California Native Plant Society v. City of Rancho Cordova*, the Court found:

In sum, the District has evaluated the potentially significant visual impacts of the tanks, has identified a landscaping plan with the goal of creating a “visual screen” to “minimize” the contrast between the tanks and the ridge top, and has committed itself to implement, monitor, and maintain the landscaping. Although the specific details of how mitigation will be achieved under the landscaping plan is deferred until the construction phase, the EIR gives adequate assurance that visual impacts will be mitigated by the selection and location of appropriate plantings. Accordingly, we conclude mitigation measure 4.1-3 was sufficient.

The Court dismissed the Alliance’s claim that the EIR failed to adequately analyze the project’s alleged inconsistency with local plans for failure to exhaust administrative remedies. The claim that the EIR failed to adequately address the issue of liquefaction was dismissed on the same grounds. Further, the Court held that the EIR included a detailed discussion of earthquake impacts, including construction methods that would avoid impacts.

The desalination plant’s outflow pipe to San Francisco Bay would require semi-annual chlorine shock treatments to kill “bio-fouling organisms.” The EIR concluded that this would not result in a

significant effect because the pipeline would be closed during the treatment and de-chlorinated before it was re-opened. It included evidence that this would comply with waste discharge standards and permits. The Alliance argued that the EIR failed to recognize the potential significant effect of shock chlorination. The Court disagreed, finding the EIR's discussion sufficient and supported by substantial evidence.

[] CEQA does not require detailed analysis of an impact that is less than significant. The EIR in this case adequately discussed the impact of shock-chlorination and associated wastewater impacts. The EIR described the shock-chlorination process, its frequency, and wastewater disposal. The EIR evaluated whether wastewater produced by the Project could impact water quality. Applying the applicable significance standards, the EIR determined this impact would be less than significant. The EIR further explains "the bioassay testing that was conducted on brine generated in the Pilot Plant study" supports the conclusion that shock-chlorination chemicals would not cause toxicity in receiving waters. This explanation was sufficient.

The EIR examined the potential for the project's intake to suck up (entrain) fish from the Bay. Both the DFG and USFWS commented on the DEIR to state that the plant's pilot project did not undertake a sufficient study to be able to adequately estimate the population of affected species. The Alliance claimed that the project would have significant effects on aquatic species, despite the fact that the MMWD's comprehensive, 1-year survey of entrainment potential (and a 2-month sampling for eggs and larvae) found otherwise. The Court dismissed this claim. Substantial evidence in the record supported the MMWD's conclusions and the Court refused to substitute its judgment on the adequacy of the study for that of the lead agency. Quoting the *Laurel Heights I* decision, it noted that "A project opponent or reviewing court can always imagine some additional study or analysis that might provide helpful information. It is not for them to design the EIR. That further study ... might be helpful does not make it necessary." DFG and USFWS asked MMWD to undertake an additional year-long sampling for eggs and larvae, which it chose not to do, explaining its reasons in the EIR. The Court held that "[t]he issue is not whether other methods might have been used, but whether the agency relied on evidence that a "reasonable mind might accept as sufficient to support the conclusion reached" in the EIR." Evidence of disagreement with other agencies is not enough to show a lack of substantial evidence in support of the agency's determination.

The Alliance argued that the environmental setting insufficiently described the age and types of species present in the Bay. The Court disagreed, pointing to substantial evidence in the record that the MMWD's studies had been comprehensive and included long-term data available from DFG.

The Alliance unsuccessfully argued that the mitigation measure to limit the effects of project-related pile driving was impermissibly deferred. The Court found that although the mitigation measure relied upon consultation with NOAA Fisheries "regarding appropriate measures to mitigation potential effects," additional requirements under Section 404 and the ESA along with standards for acceptable levels of sound contained in the EIR made sure that such consultation would occur and would result in a reduction of potential impacts.

The Alliance argued that the EIR should have examined the use of green energy credits as an alternative to mitigate the project's energy impacts. MMWD explained in the EIR its reasons for rejecting that alternative and concluded that the proposed project "represents an appropriate use of energy that has been designed to use that energy efficiently []." The Court dismissed this claim as well. An EIR is not required to consider every conceivable alternative to a project, nor is it required to consider alternatives that would not substantially lessen any of the project's significant effects. Because substantial evidence supported MMWD's conclusion that energy impacts would be less than significant, the EIR did not need to consider a green energy alternative.

The EIR contained a comprehensive analysis of the project's greenhouse gas (GHG) emissions, set against the background of AB 32's statewide reduction goal and the County's commitment to reduce its GHG emissions to 15% below 1990 levels by 2020, a commitment which MMWD had

adopted as well. The analysis also examined other GHG emitters by sector to conclude that the plant's emissions would not curtail meeting the County/MMWD reduction commitment. The Court upheld this in the face of the Alliance's challenge. The mere fact that the Alliance disagreed with MMWD's conclusions is insufficient to support the Court's invalidation of those conclusions. In response to comments, the Final EIR included a new alternative consisting of a pipeline to deliver water from neighboring Sonoma County and increased conservation. The Alliance argued that this required recirculation of the EIR. The Court disagreed. Recirculation is not required unless "significant new information" has been added to the EIR. The Final EIR examined the feasibility of the pipeline alternative and explained the reasons why it was not feasible, including uncertainty over available Sonoma County supplies and MMWD storage capacity. In addition, because the additional water provided under this alternative would only be available in non-drought years, the alternative failed to meet the project's objective to provide reliable water during emergencies and drought conditions. The Court upheld MMWD's conclusion that this infeasible alternative was not "significant new information."

### ***Save Panoche Valley v. San Benito County (June 25, 2013) 217 Cal.App.4th 503***

The County approved a 420 megawatt solar power project on a 4,885-acre site in the rural Panoche Valley in the southern portion of the county. The extensive site was used for cattle grazing, but also provides important habitat for San Joaquin kit fox, giant kangaroo rat, blunt-nose leopard lizard, and various types of birds. The County also acted to cancel the Williamson Act contracts that existed on the project site.

Save Panoche brought suit, alleging that the Williamson Act cancellation failed to comply with the requirements of the Act and that CEQA's requirements had not been met. The trial court held in favor of the County. The Court of Appeal upheld that decision.

The Court found that the County had properly cancelled the Williamson Act contracts, based on the Act's provision for cancellations that are "within the public interest." The County's findings supported the cancellation by describing the public interest as furthering the state's progress toward achieving its goals for renewable energy, as represented by the state's "renewables portfolio standard," and efforts to reduce greenhouse gas emissions.

Save Panoche argued that the County violated CEQA by approving the project when the Final EIR had identified an alternative that would avoid many of the project's impacts. The Court found that the County's findings that the alternative in question was infeasible were supported by substantial evidence. The record contained evidence that the alternative of building the solar facility in the Westlands region of Kings and Fresno Counties was infeasible because it could not be completed in a reasonable period of time given the split jurisdictions, it was not "proximate" to the proposal site (Westland is approximately 60 miles away), and because the owner of the land would not make the land available to the project proponent.

Save Panoche argued that the EIR failed to adequately address potential effects on the blunt-nosed leopard lizard, particularly in light of comments from the Department of Fish and Game (DFG) that the project would result in an unlawful "take" of the species. The Court disagreed. DFG's comment was not unequivocal and in fact included specific measures that DFG felt would mitigate the impact on the lizard. The EIR incorporated those measures. The Court held that the County was not required to undertake protocol surveys for the lizard in order for the EIR to be adequate. The Final EIR addressed DFG's concerns by requiring protocol surveys prior to construction and a buffer area around each lizard that is found. The Court also rejected Save Panoche's claim that the mitigation measures for leopard lizard, golden eagle, condor, and other species were improperly deferred because they called for preconstruction surveys. It found that the EIR's mitigation measures were sufficiently specific with regard to actions to occur after the surveys and "not loose or open-ended."

The Court also declined to support Save Panoche's claim that project impacts on giant kangaroo rat and kit fox habitat were inadequately mitigated. Save Panoche failed to show that the evidence relied upon by the County to determine that the EIR's mitigation measures would mitigate habitat impacts could not support the County's findings. Save Panoche could "not simply rely on conclusions made by DFG that are contrary to the Board's conclusions. Doing so does not fulfill Save Panoche Valley's burden to demonstrate that substantial evidence does not support the Board's findings." Save Panoche's disagreement with the Board's determination "does not necessarily mean that the Board violated CEQA, as long as sufficient evidence supported its findings." For the same reasons, the Court rejected Save Panoche's claim that the mitigation lands identified in the EIR weren't suitable habitat and that the mitigation ratios for habitat were inadequate.

Panoche Valley argued that the EIR's mitigation of agricultural impacts by establishing an equivalent acreage of conservation easements on similar land and requiring future restoration of the site at the end of the project's lifetime were inadequate because they did not create new agricultural land. The Court noted that it was unaware of any case law that supported this approach. The Court was satisfied with the County's approach.

In a novel twist, Panoche Valley argued that the Board's statement of overriding considerations was improper because it was issued on October 12, 2010 while the project was not approved until November 10, 2010. The Court rejected this as well. The Board of Supervisors approved the cancellation of the Williamson Act contracts in October, which required adoption of a statement of overriding considerations. The Planning Commission approved the conditional use permit for the solar facility in November, utilizing the previously adopted overriding considerations. Panoche Valley also argued that the County's findings lacked supportive substantial evidence. The Court noted that the record contained sufficient evidence to support all the findings.

### ***Masonite Corp. v. County of Mendocino (July 26, 2013) 218 Cal.App.4th 230***

This is a fight over a proposed surface mine on a 65-acre site that includes 45 acres identified as "prime farmland" by the Farmland Mapping and Monitoring Program. Its Draft EIR found that the project would have a significant and unavoidable impact as a result of the permanent loss of prime farmland and that the impact could not be feasibly mitigated. Considering the use of an agricultural conservation easement (ACE) as mitigation, the county concluded that this was infeasible because "[a]n ACE does not replace the on-site resources, but rather, it addresses the indirect and cumulative effects of farmland conversion." The DEIR explained that the project would have a limited indirect effect because the site is already surrounded by non-agricultural uses.

The Department of Conservation noted in its comments on the DEIR that ACEs, obtained either by direct purchase or through donation of fees to an entity whose purpose includes their acquisition, are a common and appropriate means of mitigating the loss of agricultural land. The Final EIR's response simply cited the discussion in the DEIR.

Masonite brought suit against the County alleging multiple inadequacies in the EIR. The trial court held in favor of the County. The Court of Appeal reversed, with directions to set aside the EIR and the project approval until the EIR has been recirculated.

The published portion of the case focuses solely on the issue of whether an ACE is a feasible mitigation measure for the loss of prime farm land. After reviewing "the relatively sparse case law involving ACEs," the CEQA Guidelines, prevailing practice, and state public policy, the Court concluded that ACEs "may appropriately mitigate for the direct loss of farmland when a project converts agricultural land to a nonagricultural use, even though an ACE does not replace the onsite resources." By preserving substitute resources, ACEs compensation for the loss of farmland, consistent with the Guidelines' definition of mitigation. Using offsite ACEs to mitigate the loss of agricultural land is akin to the accepted practice of offsite preservation of land for endangered

species. A number of other published cases have supported this approach, suggesting “that the County is an outlier in believing that ACEs cannot feasibly be used to mitigate the conversion of prime farmland to other uses.”

The County further argued that it is legally prohibited from accepting in-lieu fees to acquire ACEs because it does not have an established farmland mitigation program. The Court disagreed. The lack of a county program is immaterial. In-lieu fees may be legitimately donated to a local, regional, or statewide entity whose purpose includes the acquisition and stewardship of ACEs. The problem was the FEIR did not explain why in-lieu fees were infeasible in this situation.

***Neighbors for Smart Rail v. Exposition Metro Line Construction Authority (August 5, 2013) 57 Cal.4th 439***

In 2010, the Exposition Metro Authority prepared and certified an EIR for the second phase of the light rail line along the Exposition Corridor connecting downtown Los Angeles and Santa Monica (phase one was approved in 2005). The second phase was anticipated to be completed and begin operations in 2015. The Construction Authority prepared and certified an EIR for the project. The EIR described the existing environmental conditions and used that as the baseline for analyzing most environmental issues. However, for purposes of air quality, greenhouse gas emissions, and traffic analyses, the Construction Authority applied a 2030 baseline reflecting the Southern California Association of Governments’ (SCAG’s) 2030 regional demographic projections and its list of transit service and road improvements expected to be in place by 2030.

Neighbors brought suit, arguing among other things that a 2030 baseline was inconsistent with the CEQA Guideline’s provision that the existing condition at the time of release of the NOP or at the initiation of the CEQA process is “normally” the baseline. The Court of Appeal found no fault in the 2030 baseline, holding that in a growing area it made sense to utilize a future projection as the baseline because existing conditions would not reflect conditions at the time the project reached full operation. It upheld the baseline and the Authority’s EIR.

The Supreme Court, in a split opinion worthy of King Solomon, held that the EIR’s 2030 baseline year for traffic and air quality impact analysis was improper, but that it did not result in a “prejudicial error” that would require the Court to invalidate the EIR. The Court reached the following conclusions:

- “[T]hat existing conditions is the normal baseline under CEQA, but that factual circumstances can justify an agency departing from that norm when necessary to prevent misinforming or misleading the public and decisionmakers.”
- “CEQA and the Guidelines dictate a rule less restrictive than *Sunnyvale West’s* but more restrictive than that articulated by the Court of Appeal [in this case]. Projected future conditions may be used as the sole baseline for impacts analysis if their use in place of measured existing conditions—a departure from the norm stated in Guidelines section 15125(a)—is justified by unusual aspects of the project or the surrounding conditions. That the future conditions analysis would be informative is insufficient, but an agency does have discretion to completely omit an analysis of impacts on existing conditions when inclusion of such an analysis would detract from an EIR’s effectiveness as an informational document, either because an analysis based on existing conditions would be uninformative or because it would be misleading to decision makers and the public.”
- “[I]n appropriate circumstances an existing conditions analysis may take account of environmental conditions that will exist when the project begins operations; the agency is not strictly limited to those prevailing during the period of EIR preparation. An agency may, where appropriate, adjust its existing conditions baseline to account for a major

change in environmental conditions that is expected to occur before project implementation. In so adjusting its existing conditions baseline, an agency exercises its discretion on how best to define such a baseline under the circumstance of rapidly changing environmental conditions. (*Communities for a Better Environment*, supra, 48 Cal.4th at p. 328.) [] we find nothing precluding an agency from employing, under appropriate factual circumstances, a baseline of conditions expected to obtain at the time the proposed project would go into operation.”

- “[T]he burden of justification articulated above applies when an agency substitutes a future conditions analysis for one based on existing conditions, omitting the latter, and not to an agency’s decision to examine project impacts on both existing and future conditions. As the *Sunnyvale West* court observed, a project’s effects on future conditions are appropriately considered in an EIR’s discussion of cumulative effects and in discussion of the no project alternative. (*Sunnyvale West*, supra, 190 Cal.App.4th at pp. 1381-1382.) But nothing in CEQA law precludes an agency, as well, from considering both types of baseline—existing and future conditions—in its primary analysis of the project’s significant adverse effects. (*Pfeiffer*, supra, 200 Cal.App.4th at p. 1573; *Woodward Park Homeowners Assn., Inc. v. City of Fresno* (2007) 150 Cal.App.4th 683, 707.) The need for justification arises when an agency chooses to evaluate only the impacts on future conditions, foregoing the existing conditions analysis called for under the CEQA Guidelines.” (footnote omitted)
- “Even when a project is intended and expected to improve conditions in the long term—20 or 30 years after an EIR is prepared—decision makers and members of the public are entitled under CEQA to know the short- and medium-term environmental costs of achieving that desirable improvement. These costs include not only the impacts involved in constructing the project but also those the project will create during its initial years of operation. Though we might rationally choose to endure short- or medium-term hardship for a long-term, permanent benefit, deciding to make that trade-off requires some knowledge about the severity and duration of the near-term hardship. An EIR stating that in 20 or 30 years the project will improve the environment, but neglecting, without justification, to provide any evaluation of the project’s impacts in the meantime, does not “giv[e] due consideration to both the short-term and long-term effects” of the project (Cal. Code Regs., tit. 14, § 15126.2, subd. (a)) and does not serve CEQA’s informational purpose well. The omission of an existing conditions analysis must be justified, even if the project is designed to alleviate adverse environmental conditions over the long term.”
- “*Sunnyvale West Neighborhood Assn. v. City of Sunnyvale City Council*, supra, 190 Cal.App.4th 1351, and *Madera Oversight Coalition, Inc. v. County of Madera*, supra, 199 Cal.App.4th 48, are disapproved insofar as they hold an agency may never employ predicted future conditions as the sole baseline for analysis of a project’s environmental impacts.”

The Authority asserted that because the project is located in an area of rapid change, projections of traffic and air quality in the year 2030 (when the rail line would reach maximum ridership) offered a more realistic view of baseline conditions than did existing conditions. After reviewing the record, the Court disagreed, finding that there was no substantial evidence to support that assertion. It noted that “[t]he expectation of change may make it important for the agency to also examine impacts under future conditions (whether in the significant impacts analysis, the cumulative impacts analysis, or the discussion of the no project alternative), but it does not constitute

substantial evidence supporting a determination that an existing conditions analysis would be uninformative or misleading.” The Court looked at ridership as “a characteristic of the project in operation, not a characteristic of the environmental baseline against which project impacts are measured.”

The Authority argued that one reason that 2030 is a reasonable baseline year was because 2030 is also the planning horizon for transportation projects under SCAG’s Regional Transportation Plan and that is consistent with federal funding requirements for transportation projects. The Court dismissed this claim as well. It opined that “an EIR must be judged on its fulfillment of CEQA’s mandates, not those of other statutes,” particularly when there is no issue of conflict or incompatibility between CEQA and the other statutes.

At this point, having lost the argument over its use of a 2030 baseline year, one would expect that the Authority would see its EIR invalidated and its approval of the Expo line overturned. That did not happen in this case.

The Court examined the question of whether the shortcomings in the EIR’s analysis of traffic and air quality impacts resulting from using a baseline set too far in the future actually constituted a prejudicial error that would deprive the public and decision makers of substantial relevant information about the project’s likely significant impacts. After reviewing the evidence, the Court held that, in this particular case, it did not. In the words of the Court:

To comply fully with CEQA’s informational mandate, the Expo Authority should have analyzed the project’s effects on existing traffic congestion and air quality conditions. Under the specific circumstances of this case, however, its failure to do so did not deprive agency decision makers or the public of substantial information relevant to approving the project, and is therefore not a ground for setting that decision aside.

While overshadowed by the baseline discussion, this case also had some interesting things to say about the feasibility of mitigation that requires the cooperation of other agencies.

The EIR recognized that the project could result in an adverse spillover of parking in neighborhoods near those planned stations that would not include parking facilities. It included a mitigation measure committing the Authority to monitoring changes in parking after the opening of the transit line. If a parking shortage occurred, then the Authority would help the affected jurisdiction create an appropriate permit parking program. If a permit program was inappropriate, then the Authority would work with the jurisdiction to implement another solution such as time-restricted, metered, or shared parking. The Authority’s adopted Section 15091 findings stated that it had adopted mitigation as part of the project and that the mitigation was within the responsibility of another public agency that can or should adopt the mitigation. As required, the findings were supported by substantial evidence.

Neighbors argued that this mitigation was not enforceable because it relied on the actions of the affected local jurisdictions. The Court disagreed. The mitigation measure committed the Authority to monitoring and helping local jurisdictions reach a solution to spillover parking. “Neighbors’s (sic) speculation a municipality might not agree to a permit parking program—which MTA would pay for and which would benefit the municipality’s own residents—is not sufficient to show the agency violated CEQA by adopting this mitigation measure.”

### ***San Diego Citizenry Group v. County of San Diego* (Aug. 26, 2013) 219 Cal.App.4th 1**

The county adopted a new ordinance that streamlined the winery approval process and allowed small boutique wineries in certain zones by right, subject to standard development requirements and operational limits. During consideration of the ordinance, the DEIR was recirculated with additional analysis of water supply issues. After numerous public hearings the Board of Supervisors certified the FEIR, approved the ordinance, and adopted a statement of overriding

considerations balancing unavoidable impacts with specific regulatory and economic benefits resulting from a streamlined approval process.

Citizenry Group brought suit alleging that the EIR certified for the ordinance was inadequate and that the ordinance was inconsistent with the County general plan. The trial court rejected these claims and ruled in favor of the county. The Court of Appeal upheld that decision.

Citizenry Group claimed that the EIR inadequately discussed mitigation measures; failed to adequately address a measure adopted in 2008 to mitigate boutique wineries' traffic impacts on private roads; failed to provide sufficient information about the Project's significant impacts; provided insufficient information regarding impacts to water supplies; had a misleading discussion of grading permits; and that the statement of overriding considerations was inadequate. The Court dismissed each of these claims.

The Court found that the EIR's discussion of mitigation measures was sufficient and supported by substantial evidence. It rejected Citizenry Group's contention that the FEIR was required to discuss every infeasible mitigation measure. The 11 impact avoidance measures built into the zoning ordinance serve to mitigate the impacts of ministerial permits. However, additional mitigation measures would be infeasible because they would require the issuance of discretionary permits – inconsistent with the core objective of the project. The EIR properly disclosed that the ordinance would result in significant unavoidable impacts and the County made the required statement of override. CEQA can't guarantee that an agency's decisions will always be without impacts.

The EIR discussed and rejected a traffic measure addressing impacts to private roads that was included in a 2008 version of the ordinance that was subsequently rescinded. Citizenry Group argued that the discussion was inadequate because it didn't state a legitimate reason for deleting the measure. The Court dismissed this argument. The 2008 traffic measure required that boutique wineries located on private roads enter into an agreement with all other property owners along the road and obtain a discretionary permit from the county. The Court found that substantial evidence supported the county's rejection of that measure: “[t]he Project's goal was to encourage the growth of grapes and the related wine industry by allowing a by-right use, and the high costs and lengthy delays associated with administrative permits—and the ramifications of requiring private agreements—were discussed at length during the administrative hearings and in the FEIR's responses to comments.”

Citizenry Group also claimed that the EIR failed to provide sufficient information about the project's significant impacts because it did not disclose where and how many by-right wineries might be developed in the project area. The Court was not convinced. It concluded that the EIR's degree of specificity was consistent with the degree of specificity in the ordinance. The county made a good faith effort to identify the general areas where new wineries might be located and based its impact analyses on the likely extent of that development. This included traffic analysis based on projected traffic generation from each new winery, the maximum number of wineries that might be accommodated before significant impacts occur, and traffic modeling of key roadway segments using general plan circulation element and SANDAG data (the EIR concluded that there would be a significant unavoidable impact).

Citizenry Group's next claim was that the EIR's analysis of water supply impacts was inadequate in light of the Supreme Court's *Vineyard Citizens* decision. The Court disagreed:

The FEIR analyzes, among other things, the use of water by existing grape growers and winery operators, and extrapolates from this information. The FEIR discusses projected declines in agricultural use in the area given the scarcity and cost of water, and states that one of the goals of the Project is to encourage crops that use less water, such as grapes. While the FEIR acknowledges, as it must, that there is no way to know how many by-right wineries might develop, the FEIR complies with *Vineyard's* directives by making findings "regarding incorporated mitigation measures, infeasibility of mitigation, and

overriding benefits of the project [citation] as to each alternative prong of the analysis." (*Vineyard*, supra, 40 Cal.4th at p. 434.)

The Court quickly dealt with Citizenry Group's claim that the EIR improperly relied upon grading permit requirements to conclude that impacts of boutique wineries would be mitigated. The Court declared that: "SDCG's contention the grading permit discussion is 'materially misleading' is without merit. The grading permit discussion is factually correct and provides relevant information for the public and decisionmakers."

Citizenry Group's final CEQA claim was that the EIR was so deficient that it did not provide an evidentiary basis for the statement of override. The Court disagreed, stating that: "the FEIR here relied on conservative assumptions, found significant environmental impacts and adequately apprised the decision maker of the severity of those impacts."

### ***Friends of Oroville v. City of Oroville* (Sept. 18, 2013) 219 Cal.App.4th 832**

The City Council, on appeal from the planning commission, approved the replacement of an existing WalMart with a WalMart Supercenter in late 2010. Friends of Oroville challenged the project's EIR, alleging that the greenhouse gas (GHG) emissions analysis was inadequate, and that the fair share traffic fee was infeasible, the hydrology analysis was inadequate, and the City violated CEQA's notice requirements. The trial court denied Friends' claims and held in favor of the City. The Court of Appeal reversed in part, and affirmed in part. As a result, the City will be required to repair portions of the EIR.

The Court published only those parts of this decision relating to the GHG and hydrological analysis claims. The following summary is therefore limited to those parts.

The City's approach to the GHG analysis began by setting the threshold of significance as "whether the project would significantly hinder or delay California's ability to meet the reduction targets contained in AB 32." In addition to the AB 32 Scoping Plan, the City also analyzed the project's GHG emissions in light of the Air Resources Board's "Early Action Measures," the Attorney General's list of GHG mitigation measures, and a 2008 white paper published by the California Air Pollution Control Officers Association. The EIR's analysis quantified the project's anticipated GHG emissions (68% of which were from motor vehicles), noted that those emissions were only about 0.003 percent of California's 2004 GHG emissions, and identified a number of mitigation measures to reduce the project's non-vehicular operational emissions. Based on the mitigation, the EIR concluded that the project's contribution was less than significant, it would not cross the threshold of hindering or delaying the state's ability to meet the AB 32 targets, and therefore the project's impact was less than significant.

The Court had several problems with the City's approach to GHG emissions analysis and held that it was inadequate. Relying on the decision in *Citizens for Responsible Equitable Environmental Development v. City of Chula Vista* (2011) 197 Cal.App.4th 327 (Citizens), the Court concluded that:

[T]he City misapplied the Assembly Bill 32 threshold-of-significance standard in two related ways: (1) by applying a meaningless, relative number to determine insignificant impact; and (2) by failing to ascertain the existing Wal-Mart's GHG emissions, and the impact on GHG emissions from the Project's mitigation measures.

First, the City noted that the Project, at buildout, would emit operationally about 15,000 metric tons of carbon dioxide equivalents yearly, which is 0.003 percent (i.e., just 3 one-thousandths of 1 percent) of California's 2004 GHG emissions. This relative comparison is meaningless, though, in determining the Project's environmental impact regarding GHG emissions. It conjures a comparison worse than apples to oranges. Of course, one store's GHG emissions will pale in comparison to those of the world's eighth largest economy. The relevant question to be addressed in the EIR is not the relative amount of

GHG emitted by the Project when compared with California's GHG emissions, but whether the Project's GHG emissions should be considered significant in light of the threshold-of-significance standard of Assembly Bill 32, which seeks to cut about 30 percent from business-as-usual emission levels projected for 2020, or about 10 percent from 2010 levels. (See *Communities for a Better Environment v. California Resources Agency* (2002) 103 Cal.App.4th 98, 118-120 [discussing an analogous issue of how to determine whether a project's relatively small, additional environmental impact is significant in an area already highly impacted].)

Second, the City misapplied the Assembly Bill 32 threshold-of-significance standard by failing to calculate the GHG emissions for the existing Wal-Mart and failing to quantitatively or qualitatively ascertain or estimate the effect of the Project's mitigation measures on GHG emissions (MM AIR-8a through MM AIR-8e). (See CEQA Guidelines, §15064.4, subd. (a).) Without these determinations, ascertaining whether Assembly Bill 32's target reductions are being met is difficult if not futile. The EIR quantified the GHG emissions for the proposed Project (precisely 14,817 metric tons of carbon dioxide equivalents per year for operational emissions) and the sources comprising those emissions in percentage terms (motor vehicle—68 percent; natural gas—4 percent; electrical generation—11 percent; refrigerant use—17 percent), but failed to do so for the existing Wal-Mart store. Surely, if these precise calculations could be done for the *proposed* Project, something similar can be done for the *existing* Wal-Mart. Nor did the EIR make any attempt to determine or estimate the quantitative or qualitative effect on GHG emissions from MM AIR-8a through MM AIR-8e. Consequently, the EIR does not sufficiently show whether Assembly Bill 32's target reductions are being met. These calculations were done in *Citizens*. (*Citizens*, supra, 197 Cal.App.4th at p. 337.) Such calculations and estimates, or a reasonable equivalent, must be done here. (emphasis in original)

The Court also criticized the City's failure to pay sufficient attention to the project's traffic-related GHG emissions and to mitigate for those. The Court pointed out that the AB 32 Scoping Plan does not have transportation-related measures that would apply to the project. Any mitigation would have to be at the local level. Complicating the traffic issue was an internal inconsistency in the EIR – the energy conservation analysis concluded that a WalMart Superstore would capture more trips than a conventional WalMart, thereby reducing vehicle miles travelled, the GHG analysis concluded that the project would not result in any significant changes in vehicle miles travelled, and the traffic analysis suggested that the project was so large that it would attract trips from neighboring communities. This inconsistency and lack of mitigation focusing on the major source of project emissions could not support the City's finding that GHG emissions would be less than significant.

Friends argued that the hydrology analysis failed to describe the high permeability of the site, which is underlain by hydraulic mining tailings, in the discussion of baseline conditions. This, they asserted, undercut the EIR's mitigation measure HYD-4 requiring preparation of a drainage plan that would ensure that project runoff would be limited to "pre-development condition[s]." The Court dismissed this claim. The EIR included a geotechnical report that analyzed the surface and subsurface composition of the site, including its permeability to water percolation.

Friends also argued that the EIR lacked sufficient information about the baseline water quality conditions of the nearby Feather River to support the conclusion that mitigation measure HYD-2a (requiring a stormwater management plan and prevention of polluted runoff from leaving the site) would be effective. The EIR stated that there are no water bodies in the city that are listed "impaired water bodies." The Court held that was sufficient to support the EIR's conclusions.

Friends took aim at both measures HYD-2a and HYD-4, claiming that they improperly deferred the formulation of mitigation until after the project's approval. An EIR may defer the formulation of

mitigation details when the lead agency commits itself to mitigation and the measures include specific performance standards or criteria that must be met for the project to proceed. Measure HYD-2a specified that the project's stormwater management plan was to include 11 standard pollution prevention measures. Measure HYD-4 specified that the project's drainage plan must "ensure that runoff from the project site is released at a rate no greater than that of the pre-development condition." In both cases, the Court found that these were adequate performance standards and dismissed Friends claim.

## Certified Regulatory Program

### ***POET v. California Air Resources Board (August 8, 2013) 218 Cal.App.4th 681***

In December 2008, CARB adopted the AB 32 "scoping plan" describing the programs and methods by which the state will achieve the target of a reduction in greenhouse gas (GHG) emissions to 1990 levels by 2020. One aspect of the scoping plan is the "low carbon fuel standard" (LCFS) for transportation fuels, a regulation requiring the lowering of the carbon content gasoline, diesel, and their replacements. The LCFS is identified as a "discrete early action" under the scoping plan, and CARB moved to adopt the necessary regulations by 2010. In this vein, the Air Board conditionally approved the LCFS regulations by resolution in April 2009 and the Board's Executive Officer approved the "final" LCFS regulations at the end of the rulemaking process in November 2009 and March 2010. The environmental review of the LCFS was not completed at the time of the Board's action in 2009.

CARB's rulemaking is subject to a "certified regulatory program" which exempts CARB from the need to prepare an EIR. POET asserted that CARB had approved the LCFS prior to completing the CEQA analysis embodied in its certified regulatory program. CARB countered that its certified regulatory program essentially described the only CEQA requirements that applied to CARB and that it was not subject to the CEQA Guidelines. Further, following the provisions of its certified regulatory program meant that it could not have acted improperly and that the court should give deference to CARB's interpretation of the CEQA statutes and regulations. The Court disagreed. It reiterated the rule for judicial examination: when claims are based a question of law, the Court will apply its independent judgment; and when claims are based on questions of fact, the Court will review the record to determine whether the agency's action is based on substantial evidence. This is a question of law.

The Court held that CARB's certified regulatory program had improperly allowed the LCFS to be approved before its environmental review was complete. Contrary to CARB's assertion, its certified regulatory program was subject to the CEQA Guidelines and "while exempt from certain requirements of CEQA, [is] not exempt from the timing requirements in Guideline Section 15004." Section 15004 provides that prior to project approval the lead agency must consider the EIR or negative declaration, or "another document authorized by [the] guidelines to be used in place of an EIR or negative declaration." The Court took this to be a reference to certified regulatory programs.

Given that the environmental analysis was required to be completed before project approval, what constituted "approval" in this case? The Court applied the definition of approval in Guidelines Section 15352 ("the decision by a public agency to a definite course of action in regard to a project") to answer this question. CARB claimed that the LCFS regulations were not approved for CEQA purposes until its Executive Office took final action. The Court rejected this argument, holding that the principle set out by the California Supreme Court's in its *Save Tara* decision applies to this case. *Save Tara v. City of West Hollywood* involved the preliminary approval of a development agreement and commitment of city funds with a condition that CEQA review would be completed at a future time. The Supreme Court rejected the City of West Hollywood's approach

because the city had essentially committed itself to the project by that action, regardless of its intention to take additional actions and complete CEQA review in the future.

The Court looked to the background of the Board's April 2009 resolution to determine whether that action constituted approval of the project. It saw that the public notice of the Board meeting stated that it would "consider adoption" of the LCFS at the meeting. In addition, the resolution passed by the Board included the statement that "the Board hereby approves for adoption." Further, the Board filed a notice of determination referencing approval of the resolution. Based on this record, the Court concluded that the April 2009 resolution created "significant bureaucratic momentum" for the LCFS. After reviewing the action of the Executive Officer under the authority delegated by the Board, the Court found that the resolution "effectively precluded the Executive Officer from adopting alternatives []." The Court held that CARB had violated CEQA by acting to approve the project prior to completing the environmental review.

POET claimed that CARB had improperly split responsibility for environmental review by delegating it to its Executive Officer, who did not have approval authority, rather than to the Board. The Court agreed:

Under the facts of this case, it is clear that ARB violated a fundamental policy of CEQA when it gave the responsibility for completing the environmental review process to the Executive Officer because he did not have the authority to approve or disapprove the project. In particular, the Executive Officer had no authority to alter the way the Board resolved the controversy regarding the carbon intensity values added to certain fuel pathways to account for indirect effects caused by land use changes.

Using reformulated gasoline and low sulfur diesel fuels as the benchmarks, the LCFS established performance goals for transportation fuels, ratcheting down the carbon value yearly between 2011 and 2020. Fuel producers and importers would be required to meet these standards, but the regulations did not prohibit or require the use of any particular type of fuel. The carbon intensity value assigned to the various fuels was determined using a lifecycle analysis of the GHG emissions from producing and using the fuel.

One method for reducing the carbon content of transportation fuels is to promote the increased use of biodiesel. In the initial statement of reasons for the LCFS, CARB noted that "Biodiesel feedstocks can have a significant effect on emissions of ROG, PM, and NOx. NOx is of particular interest because biodiesel has been reported to increase NOx emissions." Despite commenters' concerns over the potential for NOx emissions increases, the issue of biodiesel NOx was not resolved in the LCFS and it in fact provided for two pathways for biodiesel to be substituted for diesel. CARB began a study of how to avoid NOx emissions from biodiesel after adoption of the LCFS, but it did not adopt any regulations that would do so.

POET asserted that this constituted an improper deferral of mitigation measures. The Court agreed. The Court reviewed the key cases describing the proper method for deferring mitigation measures and drew from them the following two principles important to the case:

[] First, the deferral of the formulation of mitigation measures requires the agency to commit itself to specific performance criteria for evaluating the efficacy of the measures implemented. Second, the "activity" constituting the CEQA project may not be undertaken without mitigation measures being in place "to minimize any significant adverse effect on the environment of the activity." (§ 21080.5, subd. (d)(3)(A).) In other words, the deferral relates only to the formulation of mitigation measures, not the mitigation itself. Once the project reaches the point where activity will have a significant adverse effect on the environment, the mitigation measures must be in place.

Here, CARB did not commit to any specific performance standards. CARB's statement that future rulemaking will establish specifications to ensure there is no increase in NOx "established no objective performance criteria for measuring whether [that] stated goal will be achieved." In

addition, CARB proceeded with adoption of LCFS regulations without concurrently putting in place mitigation measures to address the increase in NOx.

The Court set aside CARB's CEQA equivalent document and decision to approve the regulations. However, because of the importance of the LCFS to achieving California's AB 32 goal for carbon emissions reduction, the regulations themselves were left in place, pending CARB's timely compliance with the writ.

POET also challenged CARB under the Administrative Practices Act (APA), alleging that CARB had illegally omitted key e-mail correspondence with its consultants regarding modeling of the indirect effects on land use of establishing the low carbon fuel standard (for example, changes in cropping due to increase in ethanol-source plantings) from the record. The correspondence was also needed in order to determine the extent to which the production of biofuels could increase GHG emissions. POET argued that this thwarted the public's right to information.

The Court agreed. It held that as a matter of law, interpretation of the APA was subject to the independent review of the Court, without deference to the agency. The e-mails were considered "other factual information" under the APA, which obligated CARB to include them in the rulemaking file. As with CEQA issues, rather than overturn the LCFS, the Court ordered CARB to include the e-mails in the rulemaking file that would be compiled for its reconsideration of the LCFS. Of interest to state agencies contemplating a rulemaking, the Court's opinion carefully describes the APA's requirements for the contents of the rulemaking file.

## CEQA Procedural Litigation

### *California Clean Energy Committee v. City of San Jose (Oct. 29, 2013) — Cal.App.4th —*

The City of San Jose prepared a program EIR for its general plan update ("Envision San Jose"). The city planning commission, after deliberating over Envision San Jose, approved the general plan update, certified the final program EIR, and recommended approval to the City Council. Because adoption of the general plan is a legislative action, only the Council has the power to give final approval to that plan. The Clean Energy Committee had submitted a lengthy comment letter on the draft EIR, recommending that it be recirculated, but did not appeal the planning commission's certification of the final EIR nor otherwise assert that the final EIR or its certification were deficient. After its own deliberations, the City Council duly approved Envision San Jose, with the finding that the planning commission had previously certified the final EIR and certifying the final EIR again. The Clean Energy Committee did not appear at the City Council hearing, nor did it submit any comments to the Council alleging that the final EIR had not been properly certified or was otherwise deficient.

The Clean Energy Committee filed suit against the City alleging that the final EIR was inadequate and that it should have been recirculated because it contained significant changes from the draft EIR. The trial court granted the City's motion for summary judgment on the basis that the Clean Energy Committee had failed to exhaust its administrative remedies by not appealing the planning commission's certification of the final EIR.

The Court of Appeal reversed this decision, effectively allowing the Clean Energy Committee to pursue its claim again in the trial court. The Court summed up its decision as follows:

First we address the lawfulness of the planning commission's certification of the EIR, because if the EIR was not lawfully certified by the planning commission then no administrative appeal need be taken to exhaust administrative remedies. We conclude that the EIR was not properly certified by the planning commission, as the planning commission could not be delegated the duty to certify a final EIR given that it is not a decisionmaking body with respect to the Envision San Jose project. Second, we address CCEC's argument that it exhausted its administrative remedies by filing its comment letters with the planning commission. We conclude that CCEC exhausted its

administrative remedies with respect to the challenges to the sufficiency of the EIR and CCEC's argument that the draft EIR should have been recirculated, as these points were adequately raised with the city council via CCEC's comment letter.

The City argued that it was undertaking an allowable bifurcation of the certification process. In brief, it had delegated to the planning commission only part of the certification of the final EIR – that portion related to determining that the EIR was complete and conforms to CEQA. The City claimed that the City Council then completed the certification by finding that it had reviewed the final EIR prior to its decision and that the EIR reflected its independent judgment. The Court rejected this approach. Because the planning commission could not approve the project (it only recommends approval of a general plan amendment), it could not be the lead agency responsible for certifying the EIR. The Court held that “[u]nder our analysis, the city council, as the decisionmaking body with respect to the Envision San Jose plan, is the entity tasked with certifying that the final EIR was compliant with CEQA. The delegation as part of its certification duty to the planning commission, as a nonelected nondecisionmaking body, therefore improperly segregated environmental review from project approval.” Nonetheless, although the City's procedure was faulty, the City Council's own certification of the final EIR appeared to be adequate for CEQA purposes.

The Court examined the doctrine of exhaustion of administrative remedies and concluded that in this situation, where the planning commission did not have the authority to certify the EIR, the Clean Energy Committee did not have to file an administrative appeal to the City Council of the commission's action in order to exhaust its administrative remedies. In the words of the Court:

[] City reviewed and certified the EIR de novo, as it is the decisionmaking body with respect to the Envision San Jose plan. Indeed, the city council's resolution stated that it had reviewed and considered the final EIR, along with the comments submitted during the public hearing and comment period. Accordingly, the comment letter issued by CCEC to the planning commission during the public comment period, which specified the alleged deficiencies in the EIR, sufficiently apprised the city council of CCEC's arguments regarding the adequacy of the EIR and the need for recirculation. []

***San Joaquin Raptor Rescue Center v. County of Merced (May 31, 2013) 216 Cal.App.4th 1167***

The Center brought suit against the County over approval of a 9 parcel subdivision. The Center alleged that the County had violated the Ralph M. Brown Act by failing to mention on the Planning Commission agenda that the Commission was to consider the subdivision and the related mitigated negative declaration (MND). In the published portion of this case, the Court of Appeal held in favor of the Center.

The purpose of the Brown Act is ensuring that the public is informed in advance of the items to be considered by the public agency. As described by the Court, “[t]he Brown Act mandates that *each* item of business be *described* in the agenda, not left to speculation of surmise.” (emphasis in original) Court found that the MND was “a distinct item of business,” not simply a component of project approval because it required a separate action by the Commission (adoption) and concerned discrete issues of CEQA compliance and environmental impact. Therefore, the purposes of the Brown Act “would be impaired if a public agency could refuse to disclose in its meeting agenda that it will be considering approval of a CEQA document. Such an approach would allow a potentially controversial issue to be quietly proposed and decided without having to open the discussion to meaningful public input.”

In answer to the County's argument that including reference to the MND in the agenda would be cumbersome, the Court explained that “the Commission could have easily complied with the agenda requirement by simply adding a few words, such as ‘and consider adoption of a mitigated negative declaration’ regarding the project. In any event, even assuming the County is correct that

agendas disclosing CEQA documents as items of business are more cumbersome, we would still be required to apply the Brown Act in accordance with its clear terms, as we have done.”

***Sierra Club v. The Superior Court of Orange County (July 8, 2013) 57 Cal.4th 157***

This case dealt with the Sierra Club’s request for GIS data files held by Orange County in a GIS-based data base (the OC Landbase). Although it is not strictly a CEQA case, it bears on what data may be requested from local agencies during the CEQA process.

The County argued successfully at the appellate level that the Public Records Act exception from disclosure requirements applicable to computer programs and computer mapping programs extended to the data contained in that program. In other words, because the program was exempt from disclosure, the data contained in that program should also be exempt. The Supreme Court has reversed that holding. Here’s the gist of the Supreme Court’s unanimous decision.

In sum, the analysis above leads us to conclude that although the term “computer mapping systems” by itself is ambiguous, the ordinary meaning of “computer software” supports Sierra Club’s contention that the public records exemption for computer mapping systems covers GIS mapping software but not GIS-formatted data. It is true that the Legislature has elsewhere used the term “computer software” more broadly to include “related data.” (Pen. Code, § 502, subd. (b)(3).) But such an interpretation — applied not only to “computer mapping systems” but also in parallel to “computer graphics systems” in [Gov Code] section 6254.9(b) would substantially undermine section 6253.9(a)(1)’s general mandate that “[t]he agency shall make the information available in any electronic format in which it holds the information.” Accordingly, we believe the better view, based on statutory text and context, is that GIS-formatted databases are not covered by the statutory exclusion of computer software, including computer mapping systems, from the definition of a public record.

Further:

Because the OC Landbase is not excluded from the definition of a public record under section 6254.9(b), and because the County does not argue that the database is otherwise exempt from disclosure, the County must produce the OC Landbase in response to Sierra Club’s request “in any electronic format in which it holds the information” (§ 6253.9(a)(1)) at a cost not to exceed the direct cost of duplication (§§ 6253.9(a)(2), 6253, subd. (b)).

This is a new twist on the Public Records Act and establishes the duty of local agencies to disclose information held in electronic format.

***Alliance for the Protection of the Auburn Community Environment v. County of Placer (April 2, 2013) 215 Cal.App.4th 25***

The Court of Appeal upheld a demurrer based on the Alliance’s failure to initiate litigation within the 30-day statute of limitations. Code provisions allowing a court to delay a filing beyond the statute of limitations did not apply in this case, and may not apply in CEQA cases where the CEQA statute makes no provision for extensions of the statute of limitations.

***Citizens for Ceres v. The Superior Court of Stanislaus County (July 8, 2013) 217 Cal.App.4th 889***

This case involves a dispute over what constitutes the administrative record for purposes of a CEQA lawsuit. Citizens for Ceres (Citizens) challenged the City of Ceres’ (City) approval of a WalMart-anchored shopping center. The issue before the court is whether the City could exclude hundreds of documents from the administrative record under a claim of attorney-client privilege.

Knowing that the project would be controversial and possibly result in litigation, the City and developer structured communications between the agency, CEQA consultant, and applicant to fall within what it believed would qualify for attorney-client privilege. This included all communications between the City and the applicant. Citizens raised the issue when, in reviewing the administrative record prepared by the City for litigation over the shopping center, it noticed that there were no communications included between the City and applicant. When Citizens demanded the additional documents, the City responded that it had no intention to release these communications because they were protected by attorney-client privilege.

Citizens requested that the trial court issue a writ requiring the City to include these documents in the administrative record. The trial court denied the writ. In the published portion of its opinion, the Court of Appeal reversed that decision.

We reject the challenger's argument that CEQA's provisions defining the administrative record abrogate the attorney-client privilege and the attorney work-product doctrine. Those CEQA provisions do not reflect an intent on the part of the Legislature to eliminate privileges wholesale.

We conclude, however, that the common-interest doctrine, which is designed to preserve privileges from waiver by disclosure under some circumstances, does not protect otherwise privileged communications disclosed by the developer to the city or by the city to the developer prior to approval of the project. This is because, when environmental review is in progress, the interests of the lead agency and a project applicant are fundamentally divergent. While the applicant seeks the agency's approval on the most favorable, least burdensome terms possible, the agency is duty bound to analyze the project's environmental impacts objectively. An agency must require feasible mitigation measures for all significant impacts and consider seriously and without bias whether the project should be rejected if mitigation is infeasible or approved in light of overriding considerations.

The applicant and agency cannot be considered to be advancing any shared interest when they share legal advice at the preapproval stage. Under established principles, this means that the common-interest doctrine does not apply. After approval, by contrast, the agency and applicant have a united interest in defending the project as approved, and privileges are not waived by disclosures between them from that time onward. In making this distinction between preapproval and postapproval disclosures, we potentially disagree with *California Oak Foundation v. County of Tehama* (2009) 174 Cal.App.4th 1217, 1222-1223 (California Oak), in which the court found the common-interest doctrine to be applicable to postapproval disclosures between an applicant and a lead agency and perhaps also to preapproval disclosures between them. We will grant writ relief to allow the trial court to apply the rule we have stated.

Key to the Court's decision was its concern that the City's focus on preparing a legally defensible EIR to support the project's approval might abrogate CEQA's requirement that the EIR be objective and "present the conclusion best supported by facts." Although after project approval, the City and applicant may have a common interest in defending the EIR, before project approval "the agency does not have even partially common interests with the applicant."

In our view, the lead agency's obligation not to commit to the project in advance, but instead to carry out an environmental review process and create environmental documents that reveal the project's impacts without fear or favor, and only then make up its mind about project approval, means the agency cannot have an interest, prior to project approval, in producing a legally defensible EIR or other environmental document that supports the applicant's proposal. At the same time, of course, the applicant's primary interest in the environmental review process is in having the agency produce a favorable EIR that will pass legal muster. These interests are fundamentally at odds.

The Court was careful to note that its decision does not imply that decision makers are legally prevented from having a favorable opinion of a project, or that the agency and applicant cannot work together on the EIR. “The point is simply that the lead agency, as an agency, cannot have any commitment to the project as proposed until after environmental review is complete.”

□ the policies behind the attorney-client privilege and the attorney work-product doctrine do not support the suspension of waiver principles when communications are disclosed between agency and applicant before project approval. The purpose of the attorney-client privilege is to enhance the effectiveness of the adversarial system by encouraging candid communication between lawyers and their clients. This purpose does not include encouraging strategizing between a private applicant and a government agency to meet a future challenge by members of the public to a decision in favor of the applicant if, at the time of the strategizing, the agency has not, and legitimately could not, have yet made that decision. The purpose of the attorney work-product doctrine is to allow attorneys to advise and prepare without risk of revealing their strategies to the other side or of giving the other side the benefit of their efforts. Before completion of environmental review, the agency cannot have as a legitimate goal the secret preparation, in collaboration with the applicant, of a legal defense of a project to which it must be still uncommitted.

***Comunidad en Accion v. Los Angeles City Council (Sept. 20, 2013) 219 Cal.App.4th 1116***

In this case, Comunidad challenged the City’s approval of a new 104,000-square-foot solid waste transfer station, an expanded materials recycling facility, and an expanded green waste processing center at the existing Bradley Landfill site in Sun Valley, as well as the EIR certified for the facility. Comunidad challenged the facility approval under the antidiscrimination statute in Government Code and the adequacy of its EIR under CEQA.

Section 11135 provides, in part: “No person in the State of California shall, on the basis of race, national origin, ethnic group identification, religion, age, sex, sexual orientation, color, genetic information, or disability, be unlawfully denied full and equal access to the benefits of, or be unlawfully subjected to discrimination under, any program or activity that is conducted, operated, or administered by the state or by any state agency, is funded directly by the state, or receives any financial assistance from the state.” The Court of Appeal found in favor of the City on this point, opining that “Comunidad failed to raise a triable issue of material fact supporting the inference the City’s siting decision subjected residents of Sun Valley to discrimination □.” Comunidad essentially argued that because Sun Valley is a largely Latino community locating the facility there exposed residents to disparate adverse effects in a discriminatory manner. The Court held that Section 11135 did not apply in this situation. The state grant funds received by the City’s Local Enforcement Agency were designated to be used only for carrying out “solid waste facilities permit and inspection program,” and technically were not funds granted to the City as a whole. The city’s designation of 25 agencies and departments as part of an integrated waste management policy plan does not show that the agencies are part of a combined “program or activity” as used in Section 11135.

In any case, our interest lies with CEQA, so this summary will spend no more time on the discrimination claim.

The trial court dismissed Comunidad’s CEQA claims on the grounds that Comunidad had failed to request a court hearing within the time limit established by CEQA. CEQA establishes an expedited trial schedule for CEQA cases. Public Resources Code Section requires the plaintiff to request a hearing within 90 days of filing their petition for writ of mandate. Comunidad failed to do so, but filed a request for relief from the deadline with the court shortly after the deadline had run. Comunidad’s attorney claimed that he inadvertently omitted the 90-day hearing request from his personal calendaring system and that his mistake was compounded by a family illness that required

him to leave the state for a period that coincided with the deadline for the request for hearing. Nonetheless, the trial court denied his request for relief.

The Court of Appeal examined whether the failure of Comunidad's attorney was an excusable error under Code of Civil Procedure Section 473, allowing relief from the strict application of the 90-day deadline. After reviewing pertinent case law, the Court concluded that:

Applying these cases here shows the trial court abused its discretion in denying Comunidad relief from default. The one-week delay in requesting a hearing was an isolated mistake in an otherwise vigorous and thorough presentation of Comunidad's claims. In contrast to Huh, the lead attorney made a single calendaring error, not a series of errors resulting from disorganization. This isolated mistake is indistinguishable from ones that courts have regularly granted relief (Nilsson, *supra*, 249 Cal.App.2d at p. 983) and any doubt is to be resolved in favor of granting relief (Elston, *supra*, 38 Cal.3d at pp. 233-235).

A simple calendaring error, whether a failure by a clerk or an attorney, can be an excusable error.

## Summary of Key 2013 CEQA Legislation

By Terry Rivasplata and Jonathan Riker of ICF International

The following are summaries of the CEQA-related bills that were signed into law in 2013. All the signed legislation will take effect January 1, 2014, unless otherwise noted. The texts of these bills and any Legislative committee analyses can be found at <http://www.leginfo.ca.gov/bilinfo.html>. The acronym “PRC” is used for references to the Public Resources Code.

**AB 417 (Frazier).** This bill establishes a statutory exemption for bicycle transportation plans in an urbanized area (new PRC Section 21080.20). Prior to determining that a project is exempt, the lead agency is required to: (1) hold noticed public hearings in the areas affected by the bicycle transportation plan to hear and respond to public comments; and (2) prepare an assessment of any traffic and safety impacts of the project and include measures in the bicycle transportation plan to mitigate potential vehicular traffic impacts and bicycle and pedestrian safety impacts. AB 417 requires a local agency that determines that the bicycle transportation plan is exempt and determines to carry out that project, to file a notice of the determination with the county clerk.

AB 417 amends PRC Section 21080.20.5, which exempts the restriping of streets and highways for bicycle lanes in an urbanized area that is consistent with a bicycle transportation plan. AB 417 provides that the assessment of traffic and safety impacts and mitigation otherwise required for this exemption does not apply when either of the following conditions exists:

- Measures to mitigate these impacts are identified in an EIR, negative declaration, or mitigated negative declaration for the bicycle transportation plan, certified or approved no more than five years prior to making the determination, the measures are included in the plan, and those measures are incorporated into the project.
- An assessment was prepared pursuant to Section 21080.20 no more than five years prior to making the determination, the measures to mitigate these impacts are included in the plan, and those measures are incorporated into the project.

AB 417 will be repealed by its own provisions on January 1, 2018.

(Chapter 613, Statutes of 2013)

**SB 105 (Committee on Budget).** This bill is the Governor’s prison realignment and prisoner transfer legislation. It does not amend the provisions of CEQA directly but includes a statutory exemption from CEQA for agreements between the Department of Corrections and private entities to provide housing for prisoners within and outside of California (Penal Code 2915). This bill took effect on September 12, 2013.

(Chapter 310, Statutes of 2013)

**SB 743 (Steinberg).** This bill is intended to streamline the CEQA process for the proposed Sacramento Kings arena in downtown Sacramento. It also includes CEQA revisions that will apply to infill projects statewide. Following are the key topics covered by this statute.

### Congestion Management

SB 743 amends the Congestion Management Act to harmonize that law and its definitions with SB 743 and the sustainable communities strategies. This includes new definitions “bus rapid transit corridor” and “infill opportunity zone.” (Government Code Section 65088.1) Gov. Code Section 65088.4 provides that a city or county may designate an infill opportunity zone by adopting a resolution after determining that the infill opportunity zone is consistent with the general plan and any applicable specific plan. SB 743 narrows the areas that may be designated by further requiring that the area is a transit priority area under an adopted sustainable communities strategy or

alternative planning strategy. The amendment to Section 65088.4 also provides that the level of service standards established under a congestion management plan do not apply to the streets and highways within an infill opportunity zone.

*New Metrics for Traffic Impacts in Infill Areas*

SB 743 adds a new chapter to CEQA for Modernization of Transportation Analysis for Transit-Oriented Infill Projects, beginning with PRC Section 21099. “Infill site” is defined as “a lot located within an urban area that has been previously developed, or on a vacant site where at least 75 percent of the perimeter of the site adjoins, or is separated only by an improved public right-of-way from, parcels that are developed with qualified urban uses.” “Transit priority area” is defined as “an area within one-half mile of a major transit stop that is existing or planned, if the planned stop is scheduled to be completed within the [four year] planning horizon included in a Transportation Improvement Program adopted pursuant to Section 450.216 or 450.322 of Title 23 of the Code of Federal Regulations.”

OPR and the Natural Resources Agency are directed to amend the CEQA Guidelines to establish criteria for determining the significance of the transportation impacts of projects in transit priority areas. The criteria are to promote: the reduction of greenhouse gas emissions; development of multimodal transportation networks; and “a diversity of land uses.” Specifically moving away from LOS as a measure of traffic impact, the bill directs the criteria to include metrics that include: vehicle miles travelled, vehicle miles travelled per capita, automobile trip generation rates, or automobile trips generated. OPR may also develop criteria for transportation models to be used to determine significance.

Upon adoption of these Guidelines amendments, “automobile delay, as described solely by level of service or similar measures of vehicular capacity or traffic congestion shall not be considered a significant impact on the environment pursuant to [CEQA], except in locations specifically identified in the guidelines, if any.” SB 743 further states that the adequacy of parking for a project shall not support a finding of significance.

SB 743 distinguishes other traffic-related impacts, stating that this limitation “does not relieve a public agency of the requirement to analyze a project’s potentially significant transportation impacts related to air quality, noise, safety, or any other impact associated with transportation. The methodology established by these guidelines shall not create a presumption that a project will not result in significant impacts related to air quality, noise, safety, or any other impact associated with transportation.” At the same time, SB 743 states that this does not preclude the application of locally adopted general plan policies, zoning, conditions of approval, or thresholds of significance that may be more protective of the environment.

In addition to the above criteria, Section 21099(c) allows the Guidelines amendments to include “alternative metrics” for transportation impacts outside of transit priority areas that may include traffic levels of service.

*Limiting Impacts on Aesthetics and Parking at Infill Sites*

New Section 21099(d) states that aesthetic and parking impacts of a residential, mixed-use residential, or employment center project on an infill site within a transit priority area are not to be considered significant environmental impacts. It reserves the lead agency’s right to consider aesthetic impacts under a local design review ordinance “or other discretionary powers.”

*Statutory Exemption for Developments in Specific Plans in Transit Priority Areas*

New Section 21155.4 creates a statutory exemption for residential, employment center, or mixed-use development project, including any subdivision or zoning change, that meets all of the following criteria:

- The project is proposed within a transit priority area.

- The project is undertaken to implement and is consistent with a specific plan for which an EIR has been certified.
- The project is consistent with the general use designation, density, building intensity, and applicable policies specified for the project area in either an approved sustainable communities strategy or an alternative planning strategy.

Further environmental review shall be conducted only if any of the events specified in Section 21166 (subsequent EIR section) have occurred.

#### AB 900 Fix

The Jobs and Economic Improvement Through Environmental Leadership Act of 2011 (AB 900) requires a party bringing an action or proceeding alleging that a lead agency's approval of a project certified by the Governor as an environmental leadership development project is in violation of CEQA to file the action or proceeding with the Court of Appeal with geographic jurisdiction over the project (PRC Section 21185) and requires the Court of Appeal to issue its decision within 175 days of the filing of the petition. AB 900 requires the lead agency to concurrently prepare the record of proceeding for the leadership project with the review and consideration of the project. The above provision does not apply to a project for which a lead agency fails to certify an EIR by June 1, 2014. AB 900 is repealed by its own terms on January 1, 2015. Since its passage, AB 900 has been held by a Superior Court to unconstitutionally exclude the Superior Courts from the judicial process. That decision is on appeal.

To fix AB 900's shortcoming, SB 743 repeals Section 21185, sending litigation proceedings under AB 900 to the Superior Court (as in all other CEQA cases). SB 743 also directs the Judicial Council, on or before July 1, 2014, to adopt a rule of court to establish procedures applicable to actions or proceedings seeking judicial review of a public agency's action in certifying the EIR and in granting project approval that requires the actions or proceedings, including any appeals therefrom, be resolved within 270 days of the certification of the record of proceedings. SB 743 extends the operation of the judicial review procedures unless the lead agency fails to certify an EIR for an environmental leadership project on or before January 1, 2016. The bill provides that the above provisions do not apply to a project if the Governor does not certify the project as an environmental leadership development project prior to January 1, 2016. The bill requires the lead agency, within 10 days of the Governor's certification, to issue, at the applicant's expense, a specified public notice. AB 743 extends the repeal of the Jobs and Economic Improvement Through Environmental Leadership Act to January 1, 2017.

#### Kings Arena Streamlining

SB 743 requires the public agency (i.e., the City of Sacramento), in certifying the EIR and granting approvals for the downtown arena project of the Kings NBA basketball team, to provide for the concurrent preparation of the record of proceedings and the certification of the record of proceeding within 5 days of the filing of a specified notice. Under this bill, the downtown arena is required to meet LEED gold certification for new construction within one year of completing the first NBA season. It also is required to minimize operational traffic congestion and air quality impact through design and/or feasible mitigation measures that would:

- "Achieve and maintain carbon neutrality or better by reducing to at least zero the net emissions of greenhouse gases [] from private automobile trips to the downtown arena as compared to the baseline as verified by the Sacramento Metropolitan Air Quality Management District." The baseline is expected be the existing Kings arena.
- "Achieve a per attendee reduction in greenhouse gas emissions from automobiles and light trucks compared to per attendee greenhouse gas emissions associated with the existing arena during the 2012–13 NBA season that will exceed the carbon reduction targets for 2020 and 2035 achieved in the sustainable communities strategy prepared by the Sacramento Area Council of Governments []."

- Achieve and maintain vehicle-miles-traveled per attendee for NBA events at the downtown arena that is no more than 85 percent of the baseline.

As a condition of approval of the arena project, the City would have to require the applicant, with respect to any measures specific to the operation of the project, to implement CEQA measures by the end of the first NBA season, or June of the first season, whichever is later during which an NBA team has played at the downtown arena. The mitigation specifically identified by SB 743 to be “considered and implemented, if feasible and necessary,” includes but is not limited to:

- Temporarily expanding the capacity of a public transit line, as needed, to serve downtown arena events.
- Providing private charter buses or other similar services, as needed, to serve downtown arena events.
- Paying its fair share of the cost of measures that expand the capacity of a public fixed or light rail station that is used by spectators attending downtown arena events.

The applicant’s use of offset credits is to be limited to after feasible local emission reduction measures have been implemented. The applicant, to the extent feasible, is required place the highest priority on the purchase of offset credits that produce emission reductions within the city or the boundaries of the Sacramento Metropolitan Air Quality Management District.

SB 743 also gives the City the authority to undertake an eminent domain proceeding to acquire property prior to completion of the EIR.

The bill establishes unique notice requirements for the downtown arena’s CEQA documents:

- Within 10 days after the release of the draft EIR, the City shall conduct an informational workshop to inform the public of the key analyses and conclusions of that report.
- Within 10 days before the close of the public comment period, the City shall hold a public hearing to receive testimony on the draft EIR. A transcript of the hearing shall be included as an appendix to the final EIR.
- Within five days following the close of the public comment period, a commenter on the draft EIR may submit to the City a written request for nonbinding mediation. The City and applicant shall participate in nonbinding mediation with all commenters who submitted timely comments on the draft EIR and who requested the mediation. Mediation conducted pursuant to this paragraph shall end no later than 35 days after the close of the public comment period. A request for mediation shall identify all areas of dispute raised in the comment submitted by the commenter that are to be mediated. The City shall select one or more mediators who shall be retired judges or recognized experts with at least five years experience in land use and environmental law or science, or mediation. The applicant shall bear the costs of mediation. The City is required to adopt, as a condition of approval, any measures agreed upon by the City, the applicant, and any commenter who requested mediation. A commenter who agrees to a measure pursuant to this provision is precluded from raising the issue addressed by that measure as a basis for an action or proceeding challenging the city’s decision to certify the EIR or to grant one or more initial project approvals.

SB 743 limits the need for the City to consider any comments received after the close of the draft EIR review period, except where the comments raise the following:

- New issues raised in the response to comments by the lead agency.
- New information released by the public agency subsequent to the release of the draft EIR, such as new information set forth or embodied in a staff report, proposed permit, proposed resolution, ordinance, or similar documents.
- Changes made to the project after the close of the public comment period.

- Proposed conditions for approval, mitigation measures, or proposed findings required by Section 21081 or a proposed reporting and monitoring program required by paragraph (1) of subdivision (a) of Section 21081.6, where the lead agency releases those documents subsequent to the release of the draft EIR.
- New information that was not reasonably known and could not have been reasonably known during the public comment period

SB 743 provides the following for the release of the draft EIR and handling of comments received:

- No later than three business days following the date of the release of the draft EIR, the City shall make available to the public in a readily accessible electronic format the draft EIR and all other documents submitted to or relied on by the City in its preparation. A document prepared by the City or submitted by the applicant after the date of the release of the draft EIR that is a part of the record of the proceedings shall be made available to the public in a readily accessible electronic format within five business days after the document is prepared or received by the City.
- Notwithstanding the above, documents submitted to or relied on by the City that were not prepared specifically for the project and are copyright protected are not required to be made readily accessible in an electronic format. For those copyright protected documents, the City shall make an index of these documents available in an electronic format no later than the date of the release of the draft EIR, or within five business days if the document is received or relied on by the City after the release of the draft EIR. The index must specify the libraries or City offices in which hardcopies of the copyrighted materials are available for public review.
- The City shall encourage written comments on the project to be submitted in a readily accessible electronic format, and shall make any such comment available to the public in a readily accessible electronic format within five days of its receipt.
- Within seven business days after the receipt of any comment that is not in an electronic format, the City shall convert that comment into a readily accessible electronic format and make it available to the public in that format.
- The lead agency shall indicate in the record of the proceedings late comments received that were not considered by the City pursuant to SB 743.

SB 743 limits the ability of a court to enjoin construction of the arena unless the court finds either of the following:

- The continued construction or operation of the downtown arena presents an imminent threat to the public health and safety.
- The downtown arena site contains unforeseen important Native American artifacts or unforeseen important historical, archaeological, or ecological values that would be materially, permanently, and adversely affected by the continued construction or operation of the downtown arena unless the court stays or enjoins the construction or operation of the downtown arena.

If the court finds that either of the above is satisfied, the court shall only enjoin those specific activities associated with the downtown arena that present an imminent threat to public health and safety or that materially, permanently, and adversely affect unforeseen important Native American artifacts or unforeseen important historical, archaeological, or ecological values.

Where an action or proceeding brought pursuant to this section challenges aspects of the project other than the downtown arena and those portions or specific project activities are severable from the downtown arena, the court may enter an order as to aspects of the project other than the downtown arena that includes one or more of the remedies set forth in CEQA.

(Chapter 386, Statutes of 2013)

<b>Changes made to CEQA in 2013</b>				
<b>Section</b>	<b>Effect</b>	<b>Bill</b>	<b>Chapter</b>	<b>Effective</b>
<b>21080.20.5</b>	<b>Amend</b>	<b>AB 417</b>	<b>613</b>	<b>January 1, 2014</b>
<b>21080.20</b>	<b>Add, Repeal</b>			<b>January 1, 2014</b>
<b>Chapter 2.7 (commencing with Section 21099), 21155.4</b>	<b>Add</b>	<b>SB 743</b>	<b>386</b>	<b>January 1, 2014</b>
<b>21168.6.6, 21185</b>	<b>Add, Repeal</b>		<b>386</b>	<b>January 1, 2014</b>
<b>21181, 21183, 21186, 21187, 21189.1, 21189.3</b>	<b>Amend</b>		<b>386</b>	<b>January 1, 2014</b>
<b>Changes made to CEQA Guidelines in 2013</b>				
<b>15183.3</b>	<b>Add</b>	<b>N/A</b>	<b>N/A</b>	<b>January 4, 2013</b>
<b>Appendix M</b>	<b>Add</b>	<b>N/A</b>	<b>N/A</b>	<b>January 4, 2013</b>
<b>Appendix N</b>	<b>Add</b>	<b>N/A</b>	<b>N/A</b>	<b>January 4, 2013</b>

California Environmental Quality Act  
California Public Resources Code  
Division 13. Environmental Quality

Statute, as amended in 2013

[The 2013 revisions are shown as follows: new additions are underlined and deletions are indicated by ~~strikeout~~.]

Chapter 1: Policy

§ 21000. LEGISLATIVE INTENT

The Legislature finds and declares as follows:

- (a) The maintenance of a quality environment for the people of this state now and in the future is a matter of statewide concern.
- (b) It is necessary to provide a high-quality environment that at all times is healthful and pleasing to the senses and intellect of man.
- (c) There is a need to understand the relationship between the maintenance of high-quality ecological systems and the general welfare of the people of the state, including their enjoyment of the natural resources of the state.
- (d) The capacity of the environment is limited, and it is the intent of the Legislature that the government of the state take immediate steps to identify any critical thresholds for the health and safety of the people of the state and take all coordinated actions necessary to prevent such thresholds being reached.
- (e) Every citizen has a responsibility to contribute to the preservation and enhancement of the environment.
- (f) The interrelationship of policies and practices in the management of natural resources and waste disposal requires systematic and concerted efforts by public and private interests to enhance environmental quality and to control environmental pollution.
- (g) It is the intent of the Legislature that all agencies of the state government which regulate activities of private individuals, corporations, and public agencies which are found to affect the quality of the environment, shall regulate such activities so that major consideration is given to preventing environmental damage, while providing a decent home and satisfying living environment for every Californian.

§ 21001. ADDITIONAL LEGISLATIVE INTENT

The Legislature further finds and declares that it is the policy of the state to:

- (a) Develop and maintain a high-quality environment now and in the future, and take all action necessary to protect, rehabilitate, and enhance the environmental quality of the state.
- (b) Take all action necessary to provide the people of this state with clean air and water, enjoyment of aesthetic, natural, scenic, and historic environmental qualities, and freedom from excessive noise.
- (c) Prevent the elimination of fish or wildlife species due to man's activities, insure that fish and wildlife populations do not drop below self-perpetuating levels, and preserve for future generations representations of all plant and animal communities and examples of the major periods of California history.
- (d) Ensure that the long-term protection of the environment, consistent with the provision of a decent home and suitable living environment for every Californian, shall be the guiding criterion in public decisions.
- (e) Create and maintain conditions under which man and nature can exist in productive harmony to fulfill the social and economic requirements of present and future generations.
- (f) Require governmental agencies at all levels to develop standards and procedures necessary to protect environmental quality.
- (g) Require governmental agencies at all levels to consider qualitative factors as well as economic and technical factors and long-term benefits and costs, in addition to short-term benefits and costs and to consider alternatives to proposed actions affecting the environment.

#### **§ 21001.1. REVIEW OF PUBLIC AGENCY PROJECTS**

The Legislature further finds and declares that it is the policy of the state that projects to be carried out by public agencies be subject to the same level of review and consideration under this division as that of private projects required to be approved by public agencies.

#### **§ 21002. APPROVAL OF PROJECTS; FEASIBLE ALTERNATIVE OR MITIGATION MEASURES**

The Legislature finds and declares that it is the policy of the state that public agencies should not approve projects as proposed if there are feasible alternatives or feasible mitigation measures available which would substantially lessen the significant environmental effects of such projects, and that the procedures required by this division are intended to assist public agencies in systematically identifying both the significant effects of proposed projects and the feasible alternatives or feasible mitigation measures which will avoid or substantially lessen such significant effects. The Legislature further finds and declares that in the event specific economic, social, or other conditions make infeasible such project alternatives or such mitigation measures, individual projects may be approved in spite of one or more significant effects thereof.

#### **§ 21002.1. USE OF ENVIRONMENTAL IMPACT REPORTS; POLICY**

In order to achieve the objectives set forth in Section 21002, the Legislature hereby finds and declares that the following policy shall apply to the use of environmental impact reports prepared pursuant to this division:

- (a) The purpose of an environmental impact report is to identify the significant effects on the environment of a project, to identify alternatives to the project, and to indicate the manner in which those significant effects can be mitigated or avoided.
- (b) Each public agency shall mitigate or avoid the significant effects on the environment of projects that it carries out or approves whenever it is feasible to do so.
- (c) If economic, social, or other conditions make it infeasible to mitigate one or more significant effects on the environment of a project, the project may nonetheless be carried out or approved at the discretion of a public agency if the project is otherwise permissible under applicable laws and regulations.

- (d) In applying the policies of subdivisions (b) and (c) to individual projects, the responsibility of the lead agency shall differ from that of a responsible agency. The lead agency shall be responsible for considering the effects, both individual and collective, of all activities involved in a project. A responsible agency shall be responsible for considering only the effects of those activities involved in a project which it is required by law to carry out or approve. This subdivision applies only to decisions by a public agency to carry out or approve a project and does not otherwise affect the scope of the comments that the public agency may wish to make pursuant to Section 21104 or 21153.
- (e) To provide more meaningful public disclosure, reduce the time and cost required to prepare an environmental impact report, and focus on potentially significant effects on the environment of a proposed project, lead agencies shall, in accordance with Section 21100, focus the discussion in the environmental impact report on those potential effects on the environment of a proposed project which the lead agency has determined are or may be significant. Lead agencies may limit discussion on other effects to a brief explanation as to why those effects are not potentially significant.

### **§ 21003. PLANNING AND ENVIRONMENTAL REVIEW PROCEDURES; DOCUMENTS; REPORTS; DATA BASE; ADMINISTRATION OF PROCESS**

The Legislature further finds and declares that it is the policy of the state that:

- (a) Local agencies integrate the requirements of this division with planning and environmental review procedures otherwise required by law or by local practice so that all those procedures, to the maximum feasible extent, run concurrently, rather than consecutively.
- (b) Documents prepared pursuant to this division be organized and written in a manner that will be meaningful and useful to decision makers and to the public.
- (c) Environmental impact reports omit unnecessary descriptions of projects and emphasize feasible mitigation measures and feasible alternatives to projects.
- (d) Information developed in individual environmental impact reports be incorporated into a data base which can be used to reduce delay and duplication in preparation of subsequent environmental impact reports.
- (e) Information developed in environmental impact reports and negative declarations be incorporated into a data base which may be used to make subsequent or supplemental environmental determinations.
- (f) All persons and public agencies involved in the environmental review process be responsible for carrying out the process in the most efficient, expeditious manner in order to conserve the available financial, governmental, physical, and social resources with the objective that those resources may be better applied toward the mitigation of actual significant effects on the environment.

#### **§ 21003.1. ENVIRONMENTAL EFFECTS OF PROJECTS; COMMENTS FROM PUBLIC AND PUBLIC AGENCIES TO LEAD AGENCIES; AVAILABILITY OF INFORMATION**

The Legislature further finds and declares it is the policy of the state that:

- (a) Comments from the public and public agencies on the environmental effects of a project shall be made to lead agencies as soon as possible in the review of environmental documents, including, but not limited to, draft environmental impact reports and negative declarations, in order to allow the lead agencies to identify, at the earliest possible time in the environmental review process, potential significant effects of a project, alternatives, and mitigation measures which would substantially reduce the effects.

- (b) Information relevant to the significant effects of a project, alternatives, and mitigation measures which substantially reduce the effects shall be made available as soon as possible by lead agencies, other public agencies, and interested persons and organizations.
- (c) Nothing in subdivisions (a) or (b) reduces or otherwise limits public review or comment periods currently prescribed either by statute or in guidelines prepared and adopted pursuant to Section 21083 for environmental documents, including, but not limited to, draft environmental impact reports and negative declarations.

#### **§ 21004. MITIGATING OR AVOIDING A SIGNIFICANT EFFECT; POWERS OF PUBLIC AGENCY**

In mitigating or avoiding a significant effect of a project on the environment, a public agency may exercise only those express or implied powers provided by law other than this division. However, a public agency may use discretionary powers provided by such other law for the purpose of mitigating or avoiding a significant effect on the environment subject to the express or implied constraints or limitations that may be provided by law.

#### **§ 21005. INFORMATION DISCLOSURE PROVISIONS; NONCOMPLIANCE; PRESUMPTION; FINDINGS**

- (a) The Legislature finds and declares that it is the policy of the state that noncompliance with the information disclosure provisions of this division which precludes relevant information from being presented to the public agency, or noncompliance with substantive requirements of this division, may constitute a prejudicial abuse of discretion within the meaning of Sections 21168 and 21168.5, regardless of whether a different outcome would have resulted if the public agency had complied with those provisions.
- (b) It is the intent of the Legislature that, in undertaking judicial review pursuant to Sections 21168 and 21168.5, courts shall continue to follow the established principle that there is no presumption that error is prejudicial.
- (c) It is further the intent of the Legislature that any court, which finds, or, in the process of reviewing a previous court finding, finds, that a public agency has taken an action without compliance with this division, shall specifically address each of the alleged grounds for noncompliance.

#### **§ 21006. ISSUANCE OF PERMITS, LICENSES, CERTIFICATES OR OTHER ENTITLEMENTS; WAIVERS OF SOVEREIGN**

The Legislature finds and declares that this division is an integral part of any public agency's decisionmaking process, including, but not limited to, the issuance of permits, licenses, certificates, or other entitlements required for activities undertaken pursuant to federal statutes containing specific waivers of sovereign immunity.

## **Chapter 2: Short Title**

#### **§ 21050. CITATION**

This division shall be known and may be cited as the California Environmental Quality Act.

## **Chapter 2.5: Definitions**

#### **§ 21060. APPLICATION OF DEFINITIONS**

Unless the context otherwise requires, the definitions in this chapter govern the construction of this division.

### § 21060.1. AGRICULTURAL LAND

- (a) "Agricultural land" means prime farmland, farmland of statewide importance, or unique farmland, as defined by the United States Department of Agriculture land inventory and monitoring criteria, as modified for California.
- (b) In those areas of the state where lands have not been surveyed for the classifications specified in subdivision (a), "agricultural land" means land that meets the requirements of "prime agricultural land" as defined in paragraph (1), (2), (3), or (4) of subdivision (c) of Section 51201 of the Government Code.

### § 21060.3. EMERGENCY

"Emergency" means a sudden, unexpected occurrence, involving a clear and imminent danger, demanding immediate action to prevent or mitigate loss of, or damage to, life, health, property, or essential public services. "Emergency" includes such occurrences as fire, flood, earthquake, or other soil or geologic movements, as well as such occurrences as riot, accident, or sabotage.

### § 21060.5. ENVIRONMENT

"Environment" means the physical conditions that exist within the area which will be affected by a proposed project, including land, air, water, minerals, flora, fauna, noise, or objects of historic or aesthetic significance.

### § 21061. ENVIRONMENTAL IMPACT REPORT

"Environmental impact report" means a detailed statement setting forth the matters specified in Sections 21100 and 21100.1; provided that information or data which is relevant to such a statement and is a matter of public record or is generally available to the public need not be repeated in its entirety in such statement, but may be specifically cited as the source for conclusions stated therein; and provided further that such information or data shall be briefly described, that its relationship to the environmental impact report shall be indicated, and that the source thereof shall be reasonably available for inspection at a public place or public building. An environmental impact report also includes any comments which are obtained pursuant to Section 21104 or 21153, or which are required to be obtained pursuant to this division.

An environmental impact report is an informational document which, when its preparation is required by this division, shall be considered by every public agency prior to its approval or disapproval of a project. The purpose of an environmental impact report is to provide public agencies and the public in general with detailed information about the effect which a proposed project is likely to have on the environment; to list ways in which the significant effects of such a project might be minimized; and to indicate alternatives to such a project.

In order to facilitate the use of environmental impact reports, public agencies shall require that such reports contain an index or table of contents and a summary. Failure to include such index, table of contents, or summary shall not constitute a cause of action pursuant to Section 21167.

### § 21061.1. FEASIBLE

"Feasible" means capable of being accomplished in a successful manner within a reasonable period of time, taking into account economic, environmental, social, and technological factors.

### § 21061.2. LAND EVALUATION AND SITE ASSESSMENT

"Land evaluation and site assessment" means a decision-making methodology for assessing the potential environmental impact of state and local projects on agricultural land.

### § 21061.3. INFILL SITE

"Infill site" means a site in an urbanized area that meets either of the following criteria:

- (a) The site has not been previously developed for urban uses and both of the following apply:
  - (1) The site is immediately adjacent to parcels that are developed with qualified urban uses, or at least 75 percent of the perimeter of the site adjoins parcels that are developed with qualified urban uses and the remaining 25 percent of the site adjoins parcels that have previously been developed for qualified urban uses.
  - (2) No parcel within the site has been created within the past 10 years unless the parcel was created as a result of the plan of a redevelopment agency.
- (b) The site has been previously developed for qualified urban uses.

#### **§ 21062. LOCAL AGENCY**

“Local agency” means any public agency other than a state agency, board, or commission. For purposes of this division a redevelopment agency and a local agency formation commission are local agencies, and neither is a state agency, board, or commission.

#### **§ 21063. PUBLIC AGENCY**

“Public agency” includes any state agency, board, or commission, any county, city and county, city, regional agency, public district, redevelopment agency, or other political subdivision.

#### **§ 21064. NEGATIVE DECLARATION**

“Negative declaration” means a written statement briefly describing the reasons that a proposed project will not have a significant effect on the environment and does not require the preparation of an environmental impact report.

#### **§ 21064.3. MAJOR TRANSIT STOP**

“Major transit stop” means a site containing an existing rail transit station, a ferry terminal served by either a bus or rail transit service, or the intersection of two or more major bus routes with a frequency of service interval of 15 minutes or less during the morning and afternoon peak commute periods.

#### **§ 21064.5. MITIGATED NEGATIVE DECLARATION**

“Mitigated negative declaration” means a negative declaration prepared for a project when the initial study has identified potentially significant effects on the environment, but (1) revisions in the project plans or proposals made by, or agreed to by, the applicant before the proposed negative declaration and initial study are released for public review would avoid the effects or mitigate the effects to a point where clearly no significant effect on the environment would occur, and (2) there is no substantial evidence in light of the whole record before the public agency that the project, as revised, may have a significant effect on the environment.

#### **§ 21065. PROJECT**

“Project” means an activity which may cause either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment, and which is any of the following:

- (a) An activity directly undertaken by any public agency.
- (b) An activity undertaken by a person which is supported, in whole or in part, through contracts, grants, subsidies, loans, or other forms of assistance from one or more public agencies.
- (c) An activity that involves the issuance to a person of a lease, permit, license, certificate, or other entitlement for use by one or more public agencies.

**§ 21065.3. PROJECT-SPECIFIC EFFECT**

“Project-specific effect” means all the direct or indirect environmental effects of a project other than cumulative effects and growth-inducing effects.

**§ 21065.5. GEOTHERMAL EXPLORATORY PROJECT**

“Geothermal exploratory project” means a project as defined in Section 21065 composed of not more than six wells and associated drilling and testing equipment, whose chief and original purpose is to evaluate the presence and characteristics of geothermal resources prior to commencement of a geothermal field development project as defined in Section 65928.5 of the Government Code. Wells included within a geothermal exploratory project must be located at least one-half mile from geothermal development wells which are capable of producing geothermal resources in commercial quantities.

**§ 21066. PERSON**

“Person” includes any person, firm, association, organization, partnership, business, trust, corporation, limited liability company, company, district, county, city and county, city, town, the state, and any of the agencies and political subdivisions of those entities, and, to the extent permitted by federal law, the United States, or any of its agencies or political subdivisions.

**§ 21067. LEAD AGENCY**

“Lead agency” means the public agency which has the principal responsibility for carrying out or approving a project which may have a significant effect upon the environment.

**§ 21068. SIGNIFICANT EFFECT ON THE ENVIRONMENT**

“Significant effect on the environment” means a substantial, or potentially substantial, adverse change in the environment.

**§ 21068.5. TIERING OR TIER**

“Tiering” or “tier” means the coverage of general matters and environmental effects in an environmental impact report prepared for a policy, plan, program or ordinance followed by narrower or site-specific environmental impact reports which incorporate by reference the discussion in any prior environmental impact report and which concentrate on the environmental effects which (a) are capable of being mitigated, or (b) were not analyzed as significant effects on the environment in the prior environmental impact report.

**§ 21069. RESPONSIBLE AGENCY**

“Responsible agency” means a public agency, other than the lead agency, which has responsibility for carrying out or approving a project.

**§ 21070. TRUSTEE AGENCY**

“Trustee agency” means a state agency that has jurisdiction by law over natural resources affected by a project, that are held in trust for the people of the State of California.

**§ 21071. URBANIZED AREA; DEFINITION**

“Urbanized area” means either of the following:

- (a) An incorporated city that meets either of the following criteria:
  - (1) Has a population of at least 100,000 persons.
  - (2) Has a population of less than 100,000 persons if the population of that city and not more than two contiguous incorporated cities combined equals at least 100,000 persons.

- (b) An unincorporated area that satisfies the criteria in both paragraph (1) and (2) of the following criteria:
  - (1) Is either of the following:
    - (A) Completely surrounded by one or more incorporated cities, and both of the following criteria are met:
      - (i) The population of the unincorporated area and the population of the surrounding incorporated city or cities equals not less than 100,000 persons.
      - (ii) The population density of the unincorporated area at least equals the population density of the surrounding city or cities.
    - (B) Located within an urban growth boundary and has an existing residential population of at least 5,000 persons per square mile. For purposes of this subparagraph, an “urban growth boundary” means a provision of a locally adopted general plan that allows urban uses on one side of the boundary and prohibits urban uses on the other side.
  - (2) The board of supervisors with jurisdiction over the unincorporated area has previously taken both of the following actions:
    - (A) Issued a finding that the general plan, zoning ordinance, and related policies and programs applicable to the unincorporated area are consistent with principles that encourage compact development in a manner that does both of the following:
      - (i) Promotes efficient transportation systems, economic growth, affordable housing, energy efficiency, and an appropriate balance of jobs and housing.
      - (ii) Protects the environment, open space, and agricultural areas.
    - (B) Submitted a draft finding to the Office of Planning and Research at least 30 days prior to issuing a final finding, and allowed the office 30 days to submit comments on the draft findings to the board of supervisors.

**§ 21072. QUALIFIED URBAN USE; DEFINITION**

“Qualified urban use” means any residential, commercial, public institutional, transit or transportation passenger facility, or retail use, or any combination of those uses.

**Chapter 2.6: General**

**§ 21080. DIVISION APPLICATION TO DISCRETIONARY PROJECTS; NONAPPLICATION; NEGATIVE DECLARATIONS; ENVIRONMENTAL IMPACT REPORT PREPARATION**

- (a) Except as otherwise provided in this division, this division shall apply to discretionary projects proposed to be carried out or approved by public agencies, including, but not limited to, the enactment and amendment of zoning ordinances, the issuance of zoning variances, the issuance of conditional use permits, and the approval of tentative subdivision maps unless the project is exempt from this division.
- (b) This division does not apply to any of the following activities:
  - (1) Ministerial projects proposed to be carried out or approved by public agencies.
  - (2) Emergency repairs to public service facilities necessary to maintain service.
  - (3) Projects undertaken, carried out, or approved by a public agency to maintain, repair, restore, demolish, or replace property or facilities damaged or destroyed as a result of a disaster in a disaster-stricken area in which a state of emergency has been proclaimed by the Governor pursuant to Chapter 7 (commencing with Section 8550) of Division 1 of Title 2 of the Government Code.

- (4) Specific actions necessary to prevent or mitigate an emergency.
- (5) Projects which a public agency rejects or disapproves.
- (6) Actions undertaken by a public agency relating to any thermal powerplant site or facility, including the expenditure, obligation, or encumbrance of funds by a public agency for planning, engineering, or design purposes, or for the conditional sale or purchase of equipment, fuel, water (except groundwater), steam, or power for a thermal powerplant, if the powerplant site and related facility will be the subject of an environmental impact report, negative declaration, or other document, prepared pursuant to a regulatory program certified pursuant to Section 21080.5, which will be prepared by the State Energy Resources Conservation and Development Commission, by the Public Utilities Commission, or by the city or county in which the powerplant and related facility would be located if the environmental impact report, negative declaration, or document includes the environmental impact, if any, of the action described in this paragraph.
- (7) Activities or approvals necessary to the bidding for, hosting or staging of, and funding or carrying out of, an Olympic games under the authority of the International Olympic Committee, except for the construction of facilities necessary for the Olympic games.
- (8) The establishment, modification, structuring, restructuring, or approval of rates, tolls, fares, or other charges by public agencies which the public agency finds are for the purpose of (A) meeting operating expenses, including employee wage rates and fringe benefits, (B) purchasing or leasing supplies, equipment, or materials, (C) meeting financial reserve needs and requirements, (D) obtaining funds for capital projects necessary to maintain service within existing service areas, or (E) obtaining funds necessary to maintain those intracity transfers as are authorized by city charter. The public agency shall incorporate written findings in the record of any proceeding in which an exemption under this paragraph is claimed setting forth with specificity the basis for the claim of exemption.
- (9) All classes of projects designated pursuant to Section 21084.
- (10) A project for the institution or increase of passenger or commuter services on rail or highway rights-of-way already in use, including modernization of existing stations and parking facilities.
- (11) A project for the institution or increase of passenger or commuter service on high-occupancy vehicle lanes already in use, including the modernization of existing stations and parking facilities.
- (12) Facility extensions not to exceed four miles in length which are required for the transfer of passengers from or to exclusive public mass transit guideway or busway public transit services.
- (13) A project for the development of a regional transportation improvement program, the state transportation improvement program, or a congestion management program prepared pursuant to Section 65089 of the Government Code.
- (14) Any project or portion thereof located in another state which will be subject to environmental impact review pursuant to the National Environmental Policy Act of 1969 (42 U.S.C. Sec. 4321 et seq.) or similar state laws of that state. Any emissions or discharges that would have a significant effect on the environment in this state are subject to this division.
- (15) Projects undertaken by a local agency to implement a rule or regulation imposed by a state agency, board, or commission under a certified regulatory program pursuant to Section 21080.5. Any site-specific effect of the project which was not analyzed as a significant effect on the environment in the plan or other written documentation required by Section 21080.5 is subject to this division.

- (16) The selection, credit, and transfer of emission credits by the South Coast Air Quality Management District pursuant to Section 40440.14 of the Health and Safety Code, until the repeal of that section of January 1, 2012, or a later date. (c) If a lead agency determines that a proposed project, not otherwise exempt from this division, would not have a significant effect on the environment, the lead agency shall adopt a negative declaration to that effect. The negative declaration shall be prepared for the proposed project in either of the following circumstances:
- (1) There is no substantial evidence, in light of the whole record before the lead agency, that the project may have a significant effect on the environment.
  - (2) An initial study identifies potentially significant effects on the environment, but (A) revisions in the project plans or proposals made by, or agreed to by, the applicant before the proposed negative declaration and initial study are released for public review would avoid the effects or mitigate the effects to a point where clearly no significant effect on the environment would occur, and (B) there is no substantial evidence, in light of the whole record before the lead agency, that the project, as revised, may have a significant effect on the environment.
- (c) If a lead agency determines that a proposed project, not otherwise exempt from this division, would not have a significant effect on the environment, the lead agency shall adopt a negative declaration to that effect. The negative declaration shall be prepared for the proposed project in either of the following circumstances:
- (1) There is no substantial evidence, in light of the whole record before the lead agency, that the project may have a significant effect on the environment.
  - (2) An initial study identifies potentially significant effects on the environment, but (A) revisions in the project plans or proposals made by, or agreed to by, the applicant before the proposed negative declaration and initial study are released for public review would avoid the effects or mitigate the effects to a point where clearly no significant effect on the environment would occur, and (B) there is no substantial evidence, in light of the whole record before the lead agency, that the project, as revised, may have a significant effect on the environment.
- (d) If there is substantial evidence, in light of the whole record before the lead agency, that the project may have a significant effect on the environment, an environmental impact report shall be prepared.
- (e) (1) For the purposes of this section and this division, substantial evidence includes fact, a reasonable assumption predicated upon fact, or expert opinion supported by fact.
- (2) Substantial evidence is not argument, speculation, unsubstantiated opinion or narrative, evidence that is clearly inaccurate or erroneous, or evidence of social or economic impacts that do not contribute to, or are not caused by, physical impacts on the environment.
- (f) As a result of the public review process for a mitigated negative declaration, including administrative decisions and public hearings, the lead agency may conclude that certain mitigation measures identified pursuant to paragraph (2) of subdivision (c) are infeasible or otherwise undesirable. In those circumstances, the lead agency, prior to approving the project, may delete those mitigation measures and substitute for them other mitigation measures that the lead agency finds, after holding a public hearing on the matter, are equivalent or more effective in mitigating significant effects on the environment to a less than significant level and that do not cause any potentially significant effect on the environment. If those new mitigation measures are made conditions of project approval or are otherwise made part of the project approval, the deletion of the former measures and the substitution of the new mitigation measures shall not constitute an action or circumstance requiring recirculation of the mitigated negative declaration.

- (g) Nothing in this section shall preclude a project applicant or any other person from challenging, in an administrative or judicial proceeding, the legality of a condition of project approval imposed by the lead agency. If, however, any condition of project approval set aside by either an administrative body or court was necessary to avoid or lessen the likelihood of the occurrence of a significant effect on the environment, the lead agency's approval of the negative declaration and project shall be invalid and a new environmental review process shall be conducted before the project can be reapproved, unless the lead agency substitutes a new condition that the lead agency finds, after holding a public hearing on the matter, is equivalent to, or more effective in, lessening or avoiding significant effects on the environment and that does not cause any potentially significant effect on the environment.

**§ 21080.01. CALIFORNIA MEN'S COLONY WEST FACILITY IN SAN LUIS OBISPO COUNTY; INAPPLICABILITY OF DIVISION TO REOPENING AND OPERATION**

This division shall not apply to any activity or approval necessary for the reopening and operation of the California Men's Colony West Facility in San Luis Obispo County.

**§ 21080.02. KINGS COUNTY; VICINITY OF CORCORAN; NEW PRISON FACILITIES; APPLICATION OF DIVISION**

This division shall not apply to any activity or approval necessary for or incidental to planning, design, site acquisition, construction, operation, or maintenance of the new prison facility at or in the vicinity of Corcoran in Kings County as authorized by the act that enacted this section.

**§ 21080.03. KINGS AND AMADOR (IONE) COUNTIES; PRISONS; APPLICATION OF DIVISION**

This division shall not apply to any activity or approval necessary for or incidental to the location, development, construction, operation, or maintenance of the prison in the County of Kings, authorized by Section 9 of Chapter 958 of the Statutes of 1983, as amended, and of the prison in the County of Amador (Ione), authorized by Chapter 957 of the Statutes of 1983, as amended.

**§ 21080.04. ROCKTRAM-KRUG PASSENGER RAIL SERVICE PROJECT; APPLICATION OF DIVISION; LEAD AGENCY; LEGISLATIVE INTENT**

- (a) Notwithstanding paragraph (10) of subdivision (b) of Section 21080, this division applies to a project for the institution of passenger rail service on a line paralleling State Highway 29 and running from Rocktram to Krug in the Napa Valley. With respect to that project, and for the purposes of this division, the Public Utilities Commission is the lead agency.
- (b) It is the intent of the Legislature in enacting this section to abrogate the decision of the California Supreme Court "that Section 21080, subdivision (b)(11), exempts Wine Train's institution of passenger service on the Rocktram-Krug line from the requirements of CEQA" in *Napa Valley Wine Train, Inc. v. Public Utilities Com.*, 50 Cal. 3d 370.
- (c) Nothing in this section is intended to affect or apply to, or to confer jurisdiction upon the Public Utilities Commission with respect to, any other project involving rail service.

**§ 21080.05. SAN FRANCISCO PENINSULA COMMUTE SERVICE PROJECT BETWEEN SAN FRANCISCO AND SAN JOSE; APPLICATION OF DIVISION**

This division does not apply to a project by a public agency to lease or purchase the rail right-of-way used for the San Francisco Peninsula commute service between San Francisco and San Jose, together with all branch and spur lines, including the Dumbarton and Vasona lines.

**§ 21080.07. RIVERSIDE AND DEL NORTE COUNTIES; PLANNING AND CONSTRUCTION OF NEW PRISON FACILITIES; APPLICATION OF DIVISION**

This division shall not apply to any activity or approval necessary for or incidental to planning, design, site acquisition, construction, operation, or maintenance of the new prison facilities located in any of the following places:

- (a) The County of Riverside.
- (b) The County of Del Norte.

**§ 21080.09. PUBLIC HIGHER EDUCATION; CAMPUS LOCATION; LONG-RANGE DEVELOPMENT PLANS**

- (a) For purposes of this section, the following definitions apply:
  - (1) "Public higher education" has the same meaning as specified in Section 66010 of the Education Code.
  - (2) "Long range development plan" means a physical development and land use plan to meet the academic and institutional objectives for a particular campus or medical center of public higher education.
- (b) The selection of a location for a particular campus and the approval of a long range development plan are subject to this division and require the preparation of an environmental impact report. Environmental effects relating to changes in enrollment levels shall be considered for each campus or medical center of public higher education in the environmental impact report prepared for the long range development plan for the campus or medical center.
- (c) The approval of a project on a particular campus or medical center of public higher education is subject to this division and may be addressed, subject to the other provisions of this division, in a tiered environmental analysis based upon a long range development plan environmental impact report.
- (d) Compliance with this section satisfies the obligations of public higher education pursuant to this division to consider the environmental impact of academic and enrollment plans as they affect campuses or medical centers, provided that any such plans shall become effective for a campus or medical center only after the environmental effects of those plans have been analyzed as required by this division in a long range development plan environmental impact report or tiered analysis based upon that environmental impact report for that campus or medical center, and addressed as required by this division.

**§ 21080.1. ENVIRONMENTAL IMPACT REPORT OR NEGATIVE DECLARATION; DETERMINATION BY LEAD AGENCY; FINALITY; CONSULTATION**

- (a) The lead agency shall be responsible for determining whether an environmental impact report, a negative declaration, or a mitigated negative declaration shall be required for any project which is subject to this division. That determination shall be final and conclusive on all persons, including responsible agencies, unless challenged as provided in Section 21167.
- (b) In the case of a project described in subdivision (c) of Section 21065, the lead agency shall, upon the request of a potential applicant, provide for consultation prior to the filing of the application regarding the range of actions, potential alternatives, mitigation measures, and any potential and significant effects on the environment of the project.

**§ 21080.2. ISSUANCE OF LEASE, PERMIT, LICENSE, CERTIFICATE OR OTHER ENTITLEMENT; DETERMINATION BY LEAD AGENCY; TIME**

In the case of a project described in subdivision (c) of Section 21065, the determination required by Section 21080.1 shall be made within 30 days from the date on which an application for a project

has been received and accepted as complete by the lead agency. This period may be extended 15 days upon the consent of the lead agency and the project applicant.

### **§ 21080.3. CONSULTATION WITH RESPONSIBLE AGENCIES; ASSISTANCE BY OFFICE OF PLANNING AND RESEARCH**

- (a) Prior to determining whether a negative declaration or environmental impact report is required for a project, the lead agency shall consult with all responsible agencies and trustee agencies. Prior to that required consultation, the lead agency may informally contact any of those agencies.
- (b) In order to expedite the requirements of subdivision (a), the Office of Planning and Research, upon request of a lead agency, shall assist the lead agency in determining the various responsible agencies and trustee agencies, for a proposed project. In the case of a project described in subdivision (c) of Section 21065, the request may also be made by the project applicant.

### **§ 21080.4. ENVIRONMENTAL IMPACT REPORT; REQUIREMENT DETERMINED BY LEAD AGENCY; DUTIES OF RESPONSIBLE AGENCIES AND CERTAIN PUBLIC AGENCIES; CONSULTATION; ASSISTANCE BY OFFICE OF PLANNING AND RESEARCH**

- (a) If a lead agency determines that an environmental impact report is required for a project, the lead agency shall immediately send notice of that determination by certified mail or an equivalent procedure to each responsible agency, the Office of Planning and Research, and those public agencies having jurisdiction by law over natural resources affected by the project that are held in trust for the people of the State of California. Upon receipt of the notice, each responsible agency, the office, and each public agency having jurisdiction by law over natural resources affected by the project that are held in trust for the people of the State of California shall specify to the lead agency the scope and content of the environmental information that is germane to the statutory responsibilities of that responsible agency, the office, or the public agency in connection with the proposed project and which, pursuant to the requirements of this division, shall be included in the environmental impact report. The information shall be specified in writing and shall be communicated to the lead agency by certified mail or equivalent procedure not later than 30 days after the date of receipt of the notice of the lead agency's determination. The lead agency shall request similar guidance from appropriate federal agencies.
- (b) To expedite the requirements of subdivision (a), the lead agency, any responsible agency, the Office of Planning and Research, or a public agency having jurisdiction by law over natural resources affected by the project that are held in trust for the people of the State of California, may request one or more meetings between representatives of those agencies and the office for the purpose of assisting the lead agency to determine the scope and content of the environmental information that any of those responsible agencies, the office, or the public agencies may require. In the case of a project described in subdivision (c) of Section 21065, the request may also be made by the project applicant. The meetings shall be convened by the lead agency as soon as possible, but not later than 30 days after the date that the meeting was requested.
- (c) To expedite the requirements of subdivision (a), the Office of Planning and Research, upon request of a lead agency, shall assist the lead agency in determining the various responsible agencies, public agencies having jurisdiction by law over natural resources affected by the project that are held in trust for the people of the State of California, and any federal agencies that have responsibility for carrying out or approving a proposed project. In the case of a project described in subdivision (c) of Section 21065, that request may also be made by the project applicant.

- (d) With respect to the Department of Transportation, and with respect to any state agency that is a responsible agency or a public agency having jurisdiction by law over natural resources affected by the project that are held in trust for the people of the State of California, subject to the requirements of subdivision (a), the Office of Planning and Research shall ensure that the information required by subdivision (a) is transmitted to the lead agency, and that affected agencies are notified regarding meetings to be held upon request pursuant to subdivision (b), within the required time period.

**§ 21080.5. PLAN OR OTHER WRITTEN DOCUMENTATION; SUBMISSION IN LIEU OF IMPACT REPORT; REGULATORY PROGRAMS; CRITERIA; CERTIFICATION; PROPOSED CHANGES; REVIEW; COMMENCEMENT OF ACTIONS; STATE AGENCIES**

- (a) Except as provided in Section 21158.1, when the regulatory program of a state agency requires a plan or other written documentation containing environmental information and complying with paragraph (3) of subdivision (d) to be submitted in support of any activity listed in subdivision (b), the plan or other written documentation may be submitted in lieu of the environmental impact report required by this division if the Secretary of the Resources Agency has certified the regulatory program pursuant to this section.
- (b) This section applies only to regulatory programs or portions thereof that involve either of the following:
- (1) The issuance to a person of a lease, permit, license, certificate, or other entitlement for use.
  - (2) The adoption or approval of standards, rules, regulations, or plans for use in the regulatory program.
- (c) A regulatory program certified pursuant to this section is exempt from Chapter 3 (commencing with Section 21100), Chapter 4 (commencing with Section 21150), and Section 21167, except as provided in Article 2 (commencing with Section 21157) of Chapter 4.5.
- (d) To qualify for certification pursuant to this section, a regulatory program shall require the utilization of an interdisciplinary approach that will ensure the integrated use of the natural and social sciences in decision-making and that shall meet all of the following criteria:
- (1) The enabling legislation of the regulatory program does both of the following:
    - (A) Includes protection of the environment among its principal purposes.
    - (B) Contains authority for the administering agency to adopt rules and regulations for the protection of the environment, guided by standards set forth in the enabling legislation.
  - (2) The rules and regulations adopted by the administering agency for the regulatory program do all of the following:
    - (A) Require that an activity will not be approved or adopted as proposed if there are feasible alternatives or feasible mitigation measures available that would substantially lessen any significant adverse effect that the activity may have on the environment.
    - (B) Include guidelines for the orderly evaluation of proposed activities and the preparation of the plan or other written documentation in a manner consistent with the environmental protection purposes of the regulatory program.
    - (C) Require the administering agency to consult with all public agencies that have jurisdiction, by law, with respect to the proposed activity.
    - (D) Require that final action on the proposed activity include the written responses of the issuing authority to significant environmental points raised during the evaluation process.
    - (E) Require the filing of a notice of the decision by the administering agency on the proposed activity with the Secretary of the Resources Agency. Those notices shall be

available for public inspection, and a list of the notices shall be posted on a weekly basis in the Office of the Resources Agency. Each list shall remain posted for a period of 30 days.

- (F) Require notice of the filing of the plan or other written documentation to be made to the public and to ~~any~~ person who requests, in writing, notification. The notification shall be made in a manner that will provide the public or ~~any~~ person requesting notification with sufficient time to review and comment on the filing.
- (3) The plan or other written documentation required by the regulatory program does both of the following:
- (A) Includes a description of the proposed activity with alternatives to the activity, and mitigation measures to minimize any significant adverse effect on the environment of the activity.
- (B) Is available for a reasonable time for review and comment by other public agencies and the general public.
- (e) (1) The Secretary of the Resources Agency shall certify a regulatory program that the secretary determines meets all the qualifications for certification set forth in this section, and withdraw certification on determination that the regulatory program has been altered so that it no longer meets those qualifications. Certification and withdrawal of certification shall occur only after compliance with Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code.
- (2) In determining whether or not a regulatory program meets the qualifications for certification set forth in this section, the inquiry of the secretary shall extend only to the question of whether the regulatory program meets the generic requirements of subdivision (d). The inquiry may not extend to individual decisions to be reached under the regulatory program, including the nature of specific alternatives or mitigation measures that might be proposed to lessen any significant adverse effect on the environment of the activity.
- (3) If the secretary determines that the regulatory program submitted for certification does not meet the qualifications for certification set forth in this section, the secretary shall adopt findings setting forth the reasons for the determination.
- (f) After a regulatory program has been certified pursuant to this section, a proposed change in the program that could affect compliance with the qualifications for certification specified in subdivision (d) may be submitted to the Secretary of the Resources Agency for review and comment. The scope of the secretary's review shall extend only to the question of whether the regulatory program meets the generic requirements of subdivision (d). The review may not extend to individual decisions to be reached under the regulatory program, including specific alternatives or mitigation measures that might be proposed to lessen any significant adverse effect on the environment of the activity. The secretary shall have 30 days from the date of receipt of the proposed change to notify the state agency whether the proposed change will alter the regulatory program so that it no longer meets the qualification for certification established in this section and will result in a withdrawal of certification as provided in this section.
- (g) An action or proceeding to attack, review, set aside, void, or annul a determination or decision of a state agency approving or adopting a proposed activity under a regulatory program that has been certified pursuant to this section on the basis that the plan or other written documentation prepared pursuant to paragraph (3) of subdivision (d) does not comply with this section shall be commenced not later than 30 days from the date of the filing of notice of the approval or adoption of the activity.
- (h) (1) An action or proceeding to attack, review, set aside, void, or annul a determination of the Secretary of the Resources Agency to certify a regulatory program pursuant to this section

on the basis that the regulatory program does not comply with this section shall be commenced within 30 days from the date of certification by the secretary.

- (2) In an action brought pursuant to paragraph (1), the inquiry shall extend only to whether there was a prejudicial abuse of discretion by the secretary. Abuse of discretion is established if the secretary has not proceeded in a manner required by law or if the determination is not supported by substantial evidence.
- (i) For purposes of this section, a county agricultural commissioner is a state agency.
- (j) For purposes of this section, an air quality management district or air pollution control district is a state agency, except that the approval, if any, by a district of a nonattainment area plan is subject to this section only if, and to the extent that, the approval adopts or amends rules or regulations.
- (k) (1) The secretary, by July 1, 2004, shall develop a protocol for reviewing the prospective application of certified regulatory programs to evaluate the consistency of those programs with the requirements of this division. Following the completion of the development of the protocol, the secretary shall provide a report to the Senate Committee on Environmental Quality and the Assembly Committee on Natural Resources regarding the need for a grant of additional statutory authority authorizing the secretary to undertake a review of the certified regulatory programs.
- (2) The secretary may update the protocol, and may update the report provided to the legislative committees pursuant to paragraph (1) and provide, in compliance with Section 9795 of the Government Code, the updated report to those committees if additional statutory authority is needed.
- (3) The secretary shall provide a significant opportunity for public participation in developing or updating the protocol described in paragraph (1) or (2) including, but not limited to, at least two public meetings with interested parties. A notice of each meeting shall be provided at least 10 days prior to the meeting to any person who files a written request for a notice with the agency and to the Senate Committee on Environmental Quality and the Assembly Committee on Natural Resources.

**§ 21080.8. APPLICATION OF DIVISION; CONVERSION OF EXISTING RENTAL MOBILEHOME PARK TO RESIDENT INITIATED SUBDIVISION, COOPERATIVE, CONDOMINIUM FOR MOBILEHOMES**

This division does not apply to the conversion of an existing rental mobilehome park to a resident initiated subdivision, cooperative, or condominium for mobilehomes if the conversion will not result in an expansion of or change in existing use of the property.

**§ 21080.9. LOCAL COASTAL PROGRAMS OR LONG-RANGE LAND USE DEVELOPMENT; UNIVERSITY OR GOVERNMENTAL ACTIVITIES AND APPROVALS; APPLICATION OF DIVISION**

This division shall not apply to activities and approvals by any local government, as defined in Section 30109, or any state university or college, as defined in Section 30119, as necessary for the preparation and adoption of a local coastal program or long-range land use development plan pursuant to Division 20 (commencing with Section 30000); provided, however, that certification of a local coastal program or long-range land use development plan by the California Coastal Commission pursuant to Chapter 6 (commencing with Section 30500) of Division 20 shall be subject to the requirements of this division. For the purpose of Section 21080.5, a certified local coastal program or long-range land use development plan constitutes a plan for use in the California Coastal Commission’s regulatory program.

**§ 21080.10. APPLICATION OF DIVISION; GENERAL PLANS; LOW- OR MODERATE- INCOME OR RESIDENTIAL HOUSING; AGRICULTURAL EMPLOYEE HOUSING**

This division does not apply to any of the following:

- (a) An extension of time, granted pursuant to Section 65361 of the Government Code, for the preparation and adoption of one or more elements of a city or county general plan.
- (b) Actions taken by the Department of Housing and Community Development or the California Housing Finance Agency to provide financial assistance or insurance for the development and construction of residential housing for persons and families of low or moderate income, as defined in Section 50093 of the Health and Safety Code, if the project that is the subject of the application for financial assistance or insurance will be reviewed pursuant to this division by another public agency.

**§ 21080.11. APPLICATION OF DIVISION; SETTLEMENTS BY STATE LANDS COMMISSION**

This division shall not apply to settlements of title and boundary problems by the State Lands Commission and to exchanges or leases in connection with those settlements.

**§ 21080.12. APPLICATION OF DIVISION; REPAIR OF CRITICAL LEVEES**

- (a) This division does not apply to the repair of critical levees of the State Plan for Flood Control specified pursuant to Section 8361 of the Water Code within an existing levee footprint to meet standards of public health and safety funded pursuant to Section 5096.821, except as otherwise provided in Section 15300.2 of Title 14 of the California Code of Regulations.
- (b) For purposes of undertaking urgent levee repairs, the lead agency shall do all of the following:
  - (1) Conduct outreach efforts in the vicinity of the project to ensure public awareness of the proposed repair work prior to approval of the project.
  - (2) To the extent feasible, comply with standard construction practices, including, but not limited to, any rules, guidelines, or regulations adopted by the applicable air district for construction equipment and for control of particulate matter emissions.
  - (3) To the extent feasible, use equipment powered by emulsified diesel fuel, electricity, natural gas, or ultralow sulfur diesel as an alternative to conventional diesel-powered construction equipment.
- (c) This section shall remain in effect only until July 1, 2016, and as of that date is repealed, unless a later enacted statute, that is enacted before July 1, 2016, deletes or extends that date.

**§ 21080.13. RAILROAD GRADE SEPARATION PROJECTS; APPLICATION OF DIVISION**

This division shall not apply to any railroad grade separation project which eliminates an existing grade crossing or which reconstructs an existing grade separation.

**§ 21080.14.**

- (a) This division does not apply to the closure of a railroad grade crossing by order of the Public Utilities Commission, pursuant to the commission's authority under Chapter 6 (commencing with Section 1201) of Part 1 of Division 1 of the Public Utilities Code, if the commission finds the crossing to present a threat to public safety.
- (b) This section shall not apply to any crossing for high-speed rail, as defined in subdivision (c) of Section 185012 of the Public Utilities Code, or any crossing for any project carried out by the High-Speed Rail Authority, as described in Section 185020 of the Public Utilities Code, or a successor agency.
- (c) (1) Whenever a state agency determines that a project is not subject to this division pursuant to this section, and it approves or determines to carry out the project, the state agency shall

file a notice with the Office of Planning and Research in the manner specified in subdivisions (b) and (c) of Section 21108.

- (2) Whenever a local agency determines that a project is not subject to this division pursuant to this section, and it approves or determines to carry out the project, the local agency shall file a notice with the Office of Planning and Research and with the county clerk in each county in which the project will be located in the manner specified in subdivisions (b) and (c) of Section 21152.
- (d) This section shall remain in effect only until January 1, 2016, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2016, deletes or extends that date.

**§ 21080.14. [DELETED]**

**§ 21080.16. APPLICATION OF DIVISION TO SEISMIC RETROFIT PROJECTS FOR EXISTING LOCAL BRIDGES**

- (a) This division does not apply to a seismic retrofit project on an existing local bridge, except as otherwise provided in Section 15300.2 of Title 14 of the California Code of Regulations, that is identified pursuant to Section 179.1 of the Streets and Highways Code.
- (b) For purposes of undertaking the seismic retrofit project, the lead agency shall do all of the following:
  - (1) Conduct outreach efforts in the vicinity of the project to ensure public awareness of the proposed project prior to approval of the project.
  - (2) To the extent feasible, comply with standard construction practices, including, but not limited to, any rules, guidelines, or regulations adopted by the applicable air district for construction equipment and for control of particulate matter emissions.
  - (3) To the extent feasible, use equipment powered by emulsified diesel fuel, electricity, natural gas, or ultralow sulfur diesel as an alternative to conventional diesel-powered construction equipment.
- (c) For purposes of this section an “existing local bridge” means a bridge that is located on a local street or highway.
- (d) For purposes of this section a “seismic retrofit project” means a project urgently needed to bring a dangerous and unsafe bridge up to contemporary seismic standards and retaining the same purposes, capacity, and location as the existing bridge.
- (e) This section shall remain in effect only until January 1, 2011, and as of that date is repealed, unless a later enacted statute, this is enacted before January 1, 2011, deletes or extends that date.

**§ 21080.17. APPLICATION OF DIVISION TO ORDINANCES IMPLEMENTING LAW RELATING TO CONSTRUCTION OF DWELLING UNITS AND SECOND UNITS**

This division does not apply to the adoption of an ordinance by a city or county to implement the provisions of Section 65852.1 or Section 65852.2 of the Government Code.

**§ 21080.18. APPLICATION OF DIVISION TO CLOSING OF PUBLIC SCHOOL MAINTAINING KINDERGARTEN OR ANY OF GRADES 1 THROUGH 12**

This division does not apply to the closing of any public school in which kindergarten or any of grades 1 through 12 is maintained or the transfer of students from that public school to another school if the only physical changes involved are categorically exempt under Chapter 3 (commencing with Section 15000) of Division 6 of Title 14 of the California Administrative Code.

## § 21080.19. RESTRIPING OF STREETS OR HIGHWAYS; APPLICATION OF DIVISION

This division does not apply to a project for restriping of streets or highways to relieve traffic congestion.

## § 21080.20 BICYCLE TRANSPORTATION PLANS

- (a) This division does not apply to a bicycle transportation plan prepared pursuant to Section 891.2 of the Streets and Highways Code for an urbanized area for restriping of streets and highways, bicycle parking and storage, signal timing to improve street and highway intersection operations, and related signage for bicycles, pedestrians, and vehicles.
- (b) Prior to determining that a project is exempt pursuant to this section, the lead agency shall do both of the following:
- (1) Hold noticed public hearings in areas affected by the bicycle transportation plan to hear and respond to public comments. Publication of the notice shall be no fewer times than required by Section 6061 of the Government Code, by the public agency in a newspaper of general circulation in the area affected by the proposed project. If more than one area will be affected, the notice shall be published in the newspaper of largest circulation from among the newspapers of general circulation in those areas.
  - (2) Prepare an assessment of any traffic and safety impacts of the project and include measures in the bicycle transportation plan to mitigate potential vehicular traffic impacts and bicycle and pedestrian safety impacts.
- (c) If a local agency determines that a project is not subject to this division pursuant to this section, and it determines to approve or carry out that project, the notice shall be filed with the Office of Planning and Research and the county clerk in the county in which the project is located in the manner specified in subdivisions (b) and (c) of Section 21152.
- (d) This section shall remain in effect only until January 1, 2018, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2018, deletes or extends that date.

## § 21080.20.5 RESTRIPING FOR BICYCLE LANES IN URBANIZED AREAS

- (a) This division does not apply to a project that consists of the restriping of streets and highways for bicycle lanes in an urbanized area that is consistent with a bicycle transportation plan prepared pursuant to Section 891.2 of the Streets and Highways Code.
- (b) Prior to determining that a project is exempt pursuant to this section, the lead agency shall do both of the following:
- (1) (A) Prepare an assessment of any traffic and safety impacts of the project and include measures in the project to mitigate potential vehicular traffic impacts and bicycle and pedestrian safety impacts.
  - (B) The requirement to prepare an assessment pursuant to subparagraph (A) shall not apply if either of the following conditions is met:
    - (i) Measures to mitigate these impacts are identified in an environmental impact report, negative declaration, or mitigated negative declaration prepared pursuant to this division for the bicycle transportation plan, certified or approved no more than five years prior to making the determination, the measures are included in the plan, and those measures are incorporated into the project.
    - (ii) An assessment was prepared pursuant to paragraph (2) of subdivision (b) of Section 21080.20 no more than five years prior to making the determination, the measures to mitigate these impacts are included in the plan, and those measures are incorporated into the project.

- (2) Hold noticed public hearings in areas affected by the project to hear and respond to public comments. Publication of the notice shall be no fewer times than required by Section 6061 of the Government Code, by the public agency in a newspaper of general circulation in the area affected by the proposed project. If more than one area will be affected, the notice shall be published in the newspaper of largest circulation from among the newspapers of general circulation in those areas.
- (c) (1) ~~If/Whenever~~ a state agency determines that a project is not subject to this division pursuant to this section, and it determines to approve or carry out that project, the notice shall be filed with the Office of Planning and Research in the manner specified in subdivisions (b) and (c) of Section 21108.
- (2) ~~If/Whenever~~ a local agency determines that a project is not subject to this division pursuant to this section, and it determines to approve or carry out that project, the notice shall be filed with the Office of Planning and Research, and filed with the county clerk in the county in which the project is located in the manner specified in subdivisions (b) and (c) of Section 21152.
- (d) This section shall remain in effect only until January 1, 2018, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2018, deletes or extends that date.

#### **§ 21080.21. APPLICATION OF DIVISION TO PUBLIC RIGHT-OF-WAY PIPELINE PROJECTS LESS THAN ONE MILE IN LENGTH**

- (a) This division does not apply to any project of less than one mile in length within a public street or highway or any other public right-of-way for the installation of a new pipeline or the maintenance, repair, restoration, reconditioning, relocation, replacement, removal, or demolition of an existing pipeline.
- (b) For purposes of this section, "pipeline" means subsurface pipelines and subsurface or surface accessories or appurtenances to a pipeline, such as mains, traps, vents, cables, conduits, vaults, valves, flanges, manholes, and meters.
- (c) In determining the applicability of the exemption provided by this section to a natural gas pipeline safety enhancement activity under review by a resource agency, the resource agency shall consider only the length of pipeline that is within its legal jurisdiction.
- (d) For purposes of this section, the following definitions shall apply:
- (1) "Natural gas pipeline safety enhancement activity" means an activity undertaken by a public utility as part of a program to enhance the safety of intrastate natural gas pipelines in accordance with a decision, rule, or regulation adopted by the Public Utilities Commission.
- (2) "Resource agency" means the State Lands Commission, the California Coastal Commission, the Department of Fish and Game, or the State Water Resources Control Board, and local or regional agencies with permitting authority under the California Coastal Act of 1976 (Division 20 (commencing with Section 30000)) or Chapter 4 (commencing with Section 13200) of Division 7 of the Water Code.
- (e) This section shall remain in effect only until January 1, 2018, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2018, deletes or extends that date.

**§ 21080.21.** (a) This division does not apply to any project of less than one mile in length within a public street or highway or any other public right-of-way for the installation of a new pipeline or the maintenance, repair, restoration, reconditioning, relocation, replacement, removal, or demolition of an existing pipeline. For purposes of this section, "pipeline" includes subsurface facilities but does not include any surface facility related to the operation of the underground facility.

(b) This section shall become operative January 1, 2018.

**§ 21080.22. LOCAL GOVERNMENTS; PREPARATION OF GENERAL PLAN AMENDMENTS; APPLICATION OF DIVISION**

- (a) This division does not apply to activities and approvals by a local government necessary for the preparation of general plan amendments pursuant to Section 29763, except that the approval of general plan amendments by the Delta Protection Commission is subject to the requirements of this division.
- (b) For purposes of Section 21080.5, a general plan amendment is a plan required by the regulatory program of the Delta Protection Commission.

**§ 21080.23. PIPELINE PROJECTS; APPLICATION OF DIVISION**

- (a) This division does not apply to any project which consists of the inspection, maintenance, repair, restoration, reconditioning, relocation, replacement, or removal of an existing pipeline, as defined in subdivision (a) of Section 51010.5 of the Government Code, or any valve, flange, meter, or other piece of equipment that is directly attached to the pipeline, if the project meets all of the following conditions:
  - (1) (A) The project is less than eight miles in length.
  - (B) Notwithstanding subparagraph (A), actual construction and excavation activities undertaken to achieve the maintenance, repair, restoration, reconditioning, relocation, replacement, or removal of an existing pipeline are not undertaken over a length of more than one-half mile at any one time.
  - (2) The project consists of a section of pipeline that is not less than eight miles from any section of pipeline that has been subject to an exemption pursuant to this section in the past 12 months.
  - (3) The project is not solely for the purpose of excavating soil that is contaminated by hazardous materials, and, to the extent not otherwise expressly required by law, the party undertaking the project immediately informs the lead agency of the discovery of contaminated soil.
  - (4) To the extent not otherwise expressly required by law, the person undertaking the project has, in advance of undertaking the project, prepared a plan that will result in notification of the appropriate agencies so that they may take action, if determined to be necessary, to provide for the emergency evacuation of members of the public who may be located in close proximity to the project.
  - (5) Project activities are undertaken within an existing right-of-way and the right-of-way is restored to its condition prior to the project.
  - (6) The project applicant agrees to comply with all conditions otherwise authorized by law, imposed by the city or county planning department as part of any local agency permit process, that are required to mitigate potential impacts of the proposed project, and to otherwise comply with the Keene-Nejedly California Wetlands Preservation Act (Chapter 7 (commencing with Section 5810) of Division 5), the California Endangered Species Act (Chapter 1.5 (commencing with Section 2050) of Division 3 of the Fish and Game Code), and other applicable state laws, and with all applicable federal laws.
- (b) If a project meets all of the requirements of subdivision (a), the person undertaking the project shall do all of the following:
  - (1) Notify, in writing, any affected public agency, including, but not limited to, any public agency having permit, land use, environmental, public health protection, or emergency response authority of the exemption of the project from this division by subdivision (a).

- (2) Provide notice to the public in the affected area in a manner consistent with paragraph (3) of subdivision (b) of Section 21092.
  - (3) In the case of private rights-of-way over private property, receive from the underlying property owner permission for access to the property.
  - (4) Comply with all conditions otherwise authorized by law, imposed by the city or county planning department as part of any local agency permit process, that are required to mitigate potential impacts of the proposed project, and otherwise comply with the Keene-Nejedly California Wetlands Preservation Act (Chapter 7 (commencing with Section 5810) of Division 5), the California Endangered Species Act (Chapter 1.5 (commencing with Section 2050) of Division 3 of the Fish and Game Code), and other applicable state laws, and with all applicable federal laws.
- (c) This section does not apply to either of the following:
- (1) A project in which the diameter of the pipeline is increased.
  - (2) A project undertaken within the boundaries of an oil refinery.

#### **§ 21080.23.5. PIPELINE; BIOGAS; APPLICATION OF DIVISION**

- (a) For purposes of Section 21080.23, "pipeline" also means a pipeline located in Fresno, Kern, Kings, or Tulare County, that is used to transport biogas, and meeting the requirements of Section 21080.23 and all local, state, and federal laws.
- (b) For purposes of this section, "biogas" means natural gas that meets the requirements of Section 2292.5 of Title 13 of the California Code of Regulations and is derived from anaerobic digestion of dairy animal waste.
- (c) This section shall remain in effect only until January 1, 2013, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2013, deletes or extends that date.

#### **§ 21080.24. PERMITS; ISSUANCE, MODIFICATION, AMENDMENT, OR RENEWAL; APPLICATION OF LAW**

This division does not apply to the issuance, modification, amendment, or renewal of a permit by an air pollution control district or air quality management district pursuant to Title V, as defined in Section 39053.3 of the Health and Safety Code, or pursuant to a district Title V program established pursuant to Sections 42301.10, 42301.11, and 42301.12 of the Health and Safety Code, unless the issuance, modification, amendment, or renewal authorizes a physical or operational change to a source or facility.

#### **§ 21080.25. LA-RICS; APPLICATION OF DIVISION**

- (a) For purposes of this section, the following definitions shall apply:
  - (1) "Antenna support structures" means lattice towers, monopoles, and roof-mounts.
  - (2) "Habitat of significant value" includes all of the following:
    - (A) Wildlife habitat of national, statewide, or regional importance.
    - (B) Habitat identified as candidate, fully protected, sensitive, or species of special status by a state or federal agency.
    - (C) Habitat essential to the movement of resident or migratory wildlife.
  - (3) "LA-RICS" means the Los Angeles Regional Interoperable Communications System, consisting of a long-term evolution broadband mobile data system, a land mobile radio system, or both.
  - (4) "LMR" means a land mobile radio system.

- (5) "LTE" means a long-term evolution broadband mobile data system.
- (6) "Riparian area" means an area that is transitional between terrestrial and aquatic ecosystems, that is distinguished by gradients in biophysical conditions, ecological processes, and biota, and that meets the following criteria:
  - (A) Is an area through which surface and subsurface hydrology connect waterbodies with their adjacent uplands.
  - (B) Is adjacent to perennial, intermittent, and ephemeral streams, lakes, or estuarine or marine shorelines.
  - (C) Includes those portions of terrestrial ecosystems that significantly influence exchanges of energy and matter with aquatic ecosystems.
- (7) "Wetlands" has the same meaning as defined in the United States Fish and Wildlife Service Manual, Part 660 FW 2 (June 21, 1993).
- (8) "Wildlife habitat" means the ecological communities upon which wild animals, birds, plants, fish, amphibians, and invertebrates depend for their conservation and protection.
- (b) If all the criteria specified in subdivision (c) are met at the individual project site, this division does not apply to the design, site acquisition, construction, operation, or maintenance of the following elements of the LA-RICS:
  - (1) Antennas, including microwave dishes and arrays.
  - (2) Antenna support structures.
  - (3) Equipment enclosures.
  - (4) Central system switch facilities.
  - (5) Associated foundations and equipment.
- (c) As a condition of the exemption specified in subdivision (b), all of the following criteria shall be met at the individual project site:
  - (1) The project site is publicly owned and already contains one or both of the following:
    - (A) An antenna support structure and one or both of the following components:
      - (i) Antennas.
      - (ii) Equipment enclosures.
    - (B) A police, sheriff, or fire station, or other public facility that transmits or receives public safety radio signals.
  - (2) Construction and implementation at the project site would not have a substantial adverse impact on wetlands, riparian areas, or habitat of significant value, and would not harm any species protected by the federal Endangered Species Act of 1973 (16 U.S.C. Sec. 1531 et seq.), the Native Plant Protection Act (Chapter 10 (commencing with Section 1900) of the Fish and Game Code), or the California Endangered Species Act (Chapter 1.5 (commencing with Section 2050) of Division 3 of the Fish and Game Code), or the habitat of those species.
  - (3) Construction and implementation of the project at the site would not have a substantial adverse impact on historical resources pursuant to Section 21084.1.
  - (4) Operation of the project at the site would not exceed the maximum permissible exposure standards established by the Federal Communications Commission, as set forth in Sections 1.1307 and 1.1310 of Title 47 of the Code of Federal Regulations.
  - (5) Any new LTE antenna support structures or LMR antenna support structures would comply with applicable state and federal height restrictions, and any height restrictions mandated by an applicable comprehensive land use plan adopted by an airport land use commission.

The new monopoles shall not exceed 70 feet in height without appurtenances and attachments, and new lattice towers shall not exceed 180 feet in height without appurtenances and attachments.

- (6) Each new central system switch is located within an existing enclosed structure at a publicly owned project site, or is housed at an existing private communications facility.
- (d) This section shall remain in effect only until January 1, 2017, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2017, deletes or extends that date.

#### § 21080.26. FLUORIDATION; APPLICATION OF DIVISION; MINOR ALTERATIONS

This division does not apply to minor alterations to utilities made for the purposes of complying with Sections 4026.7 and 4026.8 of the Health and Safety Code or regulations adopted thereunder.

#### § 21080.29. LA PLAYA PROJECT; APPLICATION OF DIVISION

- (a) A project located in Los Angeles County that is approved by a public agency before the effective date of the act adding this section is not in violation of any requirement of this division by reason of the failure to construct a roadway across the property transferred to the state pursuant to subdivision (c) and to construct a bridge over the adjacent Ballona Channel in Los Angeles County, otherwise required as a mitigation measure pursuant to this division, if all of the following conditions apply:
  - (1) The improvements specified in this subdivision are not constructed, due in whole or in part, to the project owner's or developer's relinquishment of easement rights to construct those improvements.
  - (2) The easement rights in paragraph (1) are relinquished in connection with the State of California, acting by and through the Wildlife Conservation Board of the Department of Fish and Game, acquiring a wetlands project that is a minimum of 400 acres in size and located within the coastal zone.
- (b) Where those easement rights have been relinquished, any municipal ordinance or regulation adopted by a charter city or a general law city shall be inapplicable to the extent that the ordinance or regulation requires construction of the transportation improvements specified in subdivision (a), or would otherwise require reprocessing or resubmittal of a permit or approval, including, but not limited to, a final recorded map, a vesting tentative map, or a tentative map, as a result of the transportation improvements specified in subdivision (a) not being constructed.
- (c) (1) If the Wildlife Conservation Board of the Department of Fish and Game acquires property within the coastal zone that is a minimum of 400 acres in size pursuant to a purchase and sale agreement with Playa Capital Company, LLC, the Controller shall direct the trustee under the Amendment to Declaration of Trust entered into on or about December 11, 1984, by First Nationwide Savings, as trustee, Summa Corporation, as trustor, and the Controller, as beneficiary, known as the HRH Inheritance Tax Security Trust, to convey title to the trust estate of the trust, including real property commonly known as Playa Vista Area C, to the State of California acting by and through the Wildlife Conservation Board of the Department of Fish and Game for conservation, restoration, or recreation purposes only, with the right to transfer the property for those uses to any other agency of the State of California.
  - (2) This subdivision shall constitute the enabling legislation required by the Amendment to Declaration of Trust to empower the Controller to direct the trustee to convey title to the trust estate under the HRH Inheritance Tax Security Trust to the State of California or an agency thereof.

- (3) The conveyance of the trust estate to the Wildlife Conservation Board pursuant to this subdivision shall supersede any duty or obligation imposed upon the Controller under the Probate Code or the Revenue and Taxation Code with respect to the disposition or application of the net proceeds of the trust estate.

### **§ 21080.32. EXEMPTION OF SPECIFIED ACTIONS BY PUBLICLY OWNED TRANSIT AGENCIES; IMPLEMENTATION OF BUDGET REDUCTIONS**

- (a) This section shall only apply to publicly owned transit agencies, but shall not apply to any publicly owned transit agency created pursuant to Section 130050.2 of the Public Utilities Code.
- (b) Except as provided in subdivision (c), and in accordance with subdivision (d), this division does not apply to actions taken on or after July 1, 1995, by a publicly owned transit agency to implement budget reductions caused by the failure of agency revenues to adequately fund agency programs and facilities.
- (c) This section does not apply to any action to reduce or eliminate a transit service, facility, program, or activity that was approved or adopted as a mitigation measure in any environmental document authorized by this division or the National Environmental Policy Act (42 U.S.C. Sec. 4321 et seq.) or to any state or federal requirement that is imposed for the protection of the environment.
- (d) (1) This section applies only to actions taken after the publicly owned transit agency has made a finding that there is a fiscal emergency caused by the failure of agency revenues to adequately fund agency programs and facilities, and after the publicly owned transit agency has held a public hearing to consider those actions. A publicly owned transit agency that has held such a hearing shall respond within 30 days at a regular public meeting to suggestions made by the public at the initial public hearing. Those actions shall be limited to projects defined in subdivision (a) or (b) of Section 21065 which initiate or increase fees, rates, or charges charged for any existing public service, program, or activity; or reduce or eliminate the availability of an existing publicly owned transit service, facility, program, or activity.
- (2) For purposes of this subdivision, "fiscal emergency," when applied to a publicly owned transit agency, means that the agency is projected to have negative working capital within one year from the date that the agency makes the finding that there is a fiscal emergency pursuant to this section. Working capital shall be determined by adding together all unrestricted cash, unrestricted short-term investments, and unrestricted short-term accounts receivable and then subtracting unrestricted accounts payable. Employee retirement funds, including Internal Revenue Code Section 457 deferred compensation plans and Section 401(k) plans, health insurance reserves, bond payment reserves, workers' compensation reserves, and insurance reserves, shall not be factored into the formula for working capital.

### **§ 21080.33. EMERGENCY PROJECTS TO MAINTAIN, REPAIR OR RESTORE EXISTING HIGHWAYS; APPLICATION OF DIVISION; EXCEPTIONS**

This division does not apply to any emergency project undertaken, carried out, or approved by a public agency to maintain, repair, or restore an existing highway, as defined in Section 360 of the Vehicle Code, except for a highway designated as an official state scenic highway pursuant to Section 262 of the Streets and Highways Code, within the existing right-of-way of the highway, damaged as a result of fire, flood, storm, earthquake, land subsidence, gradual earth movement, or landslide, within one year of the damage. This section does not exempt from this division any project undertaken, carried out, or approved by a public agency to expand or widen a highway damaged by fire, flood, storm, earthquake, land subsidence, gradual earth movement, or landslide.

### § 21080.35. CARRYING OUT OR APPROVING A PROJECT; DEFINITION

For the purposes of Section 21069, the phrase “carrying out or approving a project” shall include the carrying out or approval of a plan for a project that expands or enlarges an existing publicly owned airport by any political subdivision, as described in Section 21661.6 of the Public Utilities Code.

#### § 21080.35.<sup>1</sup>

- (a) Except as provided in subdivision (d), this division does not apply to the installation of a solar energy system on the roof of an existing building or at an existing parking lot.
- (b) For the purposes of this section, the following terms mean the following:
  - (1) "Existing parking lot" means an area designated and used for parking of vehicles as of the time of the application for the solar energy system and for at least the previous two years.
  - (2) "Solar energy system" includes all associated equipment. Associated equipment consists of parts and materials that enable the generation and use of solar electricity or solar-heated water, including any monitoring and control, safety, conversion, and emergency responder equipment necessary to connect to the customer's electrical service or plumbing and any equipment, as well as any equipment necessary to connect the energy generated to the electrical grid, whether that connection is onsite or on an adjacent parcel of the building and separated only by an improved right-of-way. "Associated equipment" does not include a substation.
- (c)
  - (1) Associated equipment shall be located on the same parcel of the building, except that associated equipment necessary to connect the energy generated to the electrical grid may be located immediately adjacent to the parcel of the building or immediately adjacent to the parcel of the building and separated only by an improved right-of-way.
  - (2) Associated equipment shall not occupy more than 500 square feet of ground surface and the site of the associated equipment shall not contain plants protected by the Native Plant Protection Act (Chapter 10 (commencing with Section 1900) of Division 2 of the Fish and Game Code).
- (d) This section does not apply if the associated equipment would otherwise require one of the following:
  - (1) An individual federal permit pursuant to Section 401 or 404 of the federal Clean Water Act (33 U.S.C. Sec. 1341 or 1344) or waste discharge requirements pursuant to the Porter-Cologne Water Quality Control Act (Division 7 (commencing with Section 13000) of the Water Code).
  - (2) An individual take permit for species protected under the federal Endangered Species Act of 1973 (16 U.S.C. Sec. 1531 et seq.) or the California Endangered Species Act (Chapter 1.5 (commencing with Section 2050) of Division 3 of the Fish and Game Code).
  - (3) A streambed alteration permit pursuant to Chapter 6 (commencing with Section 1600) of Division 2 of the Fish and Game Code.
- (e) This section does not apply if the installation of a solar energy system at an existing parking lot involves either of the following:

---

<sup>1</sup> Section 21080.35, as added by SB 226, was mislabeled and codified under the same number as an existing code section with unrelated content. AB 226 does not amend the existing language of Section 21080.35.

- (1) The removal of a tree required to be planted, maintained, or protected pursuant to local, state, or federal requirements, unless the tree dies and there is no requirement to replace the tree.
- (2) The removal of a native tree over 25 years old.
- (f) This section does not apply to any transmission or distribution facility or connection.

#### § 21080.37. ALTERATION OF EXISTING ROADWAY; APPLICATION OF DIVISION

- (a) This division does not apply to a project or an activity to repair, maintain, or make minor alterations to an existing roadway if all of the following conditions are met:
  - (1) The project is carried out by a city or county with a population of less than 100,000 persons to improve public safety.
  - (2) (A) The project does not cross a waterway.
    - (B) For purposes of the paragraph, “waterway” means a bay, estuary, lake, pond, river, slough, or a perennial, intermittent, or ephemeral stream, lake, or estuarine-marine shoreline.
  - (3) The project involves negligible or no expansion of an existing use beyond that existing at the time of the lead agency’s determination.
  - (4) The roadway is not a state roadway.
  - (5) (A) The site of the project does not contain wetlands or riparian areas and does not have significant value as a wildlife habitat, and the project does not harm any species protected by the federal Endangered Species Act of 1973 (16 U.S.C. Sec. 1531 et seq.), the Native Plant Protection Act (Chapter 10 (commencing with Section 1900) of Division 2 of the Fish and Game Code), or the California Endangered Species Act (Chapter 1.5 (commencing with Section 2050) of Division 3 of the Fish and Game Code), and the project does not cause the destruction or removal of any species protected by a local ordinance.
    - (B) For the purposes of this paragraph:
      - (i) “Riparian areas” mean those areas transitional between terrestrial and aquatic ecosystems and that are distinguished by gradients in biophysical conditions, ecological processes, and biota. A riparian area is an area through which surface and subsurface hydrology connect waterbodies with their adjacent uplands. A riparian area includes those portions of terrestrial ecosystems that significantly influence exchanges of energy and matter with aquatic ecosystems. A riparian area is adjacent to perennial, intermittent, and ephemeral streams, lakes, and estuarine-marine shorelines.
      - (ii) “Significant value as a wildlife habitat” includes wildlife habitat of national, statewide, regional, or local importance; habitat for species protected by the federal Endangered Species Act of 1973 (16 U.S.C. Sec. 1531, et seq.), the California Endangered Species Act (Chapter 1.5 (commencing with Section 2050) of Division 3 of the Fish and Game Code), or the Native Plant Protection Act (Chapter 10 (commencing with Section 1900) of Division 2 of the Fish and Game Code); habitat identified as candidate, fully protected, sensitive, or species of special status by local, state, or federal agencies; or habitat essential to the movement of resident or migratory wildlife.
      - (iii) “Wetlands” has the same meaning as in the United States Fish and Wildlife Service Manual, Part 660 FW 2 (June 21, 1993).

- (iv) “Wildlife habitat” means the ecological communities upon which wild animals, birds, plants, fish, amphibians, and invertebrates depend for their conservation and protection.
- (6) The project does not impact cultural resources.
- (7) The roadway does not affect scenic resources, as provided pursuant to subdivision (c) of Section 21084.
- (b) Prior to determining that a project is exempt pursuant to this section, the lead agency shall do both of the following:
  - (1) Include measures in the project to mitigate potential vehicular traffic and safety impacts and bicycle and pedestrian safety impacts.
  - (2) Hold a noticed public hearing on the project to hear and respond to public comments. The hearing on the project may be conducted with another noticed lead agency public hearing. Publication of the notice shall be no fewer times than required by Section 6061 of the Government Code, by the public agency in a newspaper of general circulation in the area.
- (c) For purposes of this section, “roadway” means a roadway as defined pursuant to Section 530 of the Vehicle Code and the previously graded and maintained shoulder that is within a roadway right-of-way of no more than five feet from the edge of the roadway.
- (d) Whenever a local agency determines that a project is not subject to this division pursuant to this notice with the Office of Planning and Research, and with the county clerk in the county in which the project will be located in the manner specified in subdivisions (b) and(c) of Section 21152.
- (e) This section shall remain in effect only until January 1, 2016, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2016, deletes or extends that date.

#### **§ 21081. NECESSARY FINDINGS WHERE ENVIRONMENTAL IMPACT REPORT IDENTIFIES EFFECTS**

Pursuant to the policy stated in Sections 21002 and 21002.1, no public agency shall approve or carry out a project for which an environmental impact report has been certified which identifies one or more significant effects on the environment that would occur if the project is approved or carried out unless both of the following occur:

- (a) The public agency makes one or more of the following findings with respect to each significant effect:
  - (1) Changes or alterations have been required in, or incorporated into, the project which mitigate or avoid the significant effects on the environment.
  - (2) Those changes or alterations are within the responsibility and jurisdiction of another public agency and have been, or can and should be, adopted by that other agency.
  - (3) Specific economic, legal, social, technological, or other considerations, including considerations for the provision of employment opportunities for highly trained workers, make infeasible the mitigation measures or alternatives identified in the environmental impact report.
- (b) With respect to significant effects which were subject to a finding under paragraph (3) of subdivision (a), the public agency finds that specific overriding economic, legal, social, technological, or other benefits of the project outweigh the significant effects on the environment.

## § 21081.2 EXCEPTION TO FINDINGS FOR INFILL RESIDENTIAL PROJECTS

- (a) Except as provided in subdivision (c), if a residential project, not exceeding 100 units, with a minimum residential density of 20 units per acre and within one-half mile of a transit stop, on an infill site in an urbanized area is in compliance with the traffic, circulation, and transportation policies of the general plan, applicable community plan, applicable specific plan, and applicable ordinances of the city or county with jurisdiction over the area where the project is located, and the city or county requires that the mitigation measures approved in a previously certified project area environmental impact report applicable to the project be incorporated into the project, the city or county is not required to comply with subdivision (a) of Section 21081 with respect to the making of any findings regarding the impacts of the project on traffic at intersections, or on streets, highways, or freeways.
- (b) Nothing in subdivision (a) restricts the authority of a city or county to adopt feasible mitigation measures with respect to the impacts of a project on pedestrian and bicycle safety.
- (c) Subdivision (a) does not apply in any of the following circumstances:
  - (1) The application for a proposed project is made more than five years after certification of the project area environmental impact report applicable to the project.
  - (2) A major change has occurred within the project area after certification of the project area environmental impact report applicable to the project.
  - (3) The project area environmental impact report applicable to the project was certified with overriding considerations pursuant to subdivision (b) of Section 21081 to the significant impacts on the environment with respect to traffic or transportation.
  - (4) The proposed project covers more than four acres.
- (d) A project shall not be divided into smaller projects in order to qualify pursuant to this section.
- (e) Nothing in this section relieves a city or county from the requirement to analyze the project's effects on traffic at intersections, or on streets, highways, or freeways, or from making a determination that the project may have a significant effect on traffic.
- (f) For the purposes of this section, "project area environmental impact report" means an environmental impact report certified on any of the following:
  - (1) A general plan.
  - (2) A revision or update to the general plan that includes at least the land use and circulation elements.
  - (3) An applicable community plan.
  - (4) An applicable specific plan.
  - (5) A housing element of the general plan, if the environmental impact report analyzed the environmental effects of the density of the proposed project.
  - (6) A zoning ordinance.

## § 21081.5. FEASIBILITY OF MITIGATION MEASURES OR PROJECT ALTERNATIVES; BASIS FOR FINDINGS

In making the findings required by paragraph (3) of subdivision (a) of Section 21081, the public agency shall base its findings on substantial evidence in the record.

## § 21081.6. FINDINGS OR NEGATIVE DECLARATIONS; REPORTING OR MONITORING PROJECT CHANGES; EFFECT ON ENVIRONMENT; CONDITIONS

- (a) When making the findings required by paragraph (1) of subdivision (a) of Section 21081 or when adopting a mitigated negative declaration pursuant to paragraph (2) of subdivision (c) of Section 21080, the following requirements shall apply:

- (1) The public agency shall adopt a reporting or monitoring program for the changes made to the project or conditions of project approval, adopted in order to mitigate or avoid significant effects on the environment. The reporting or monitoring program shall be designed to ensure compliance during project implementation. For those changes which have been required or incorporated into the project at the request of a responsible agency or a public agency having jurisdiction by law over natural resources affected by the project, that agency shall, if so requested by the lead agency or a responsible agency, prepare and submit a proposed reporting or monitoring program.
  - (2) The lead agency shall specify the location and custodian of the documents or other material which constitute the record of proceedings upon which its decision is based.
- (b) A public agency shall provide that measures to mitigate or avoid significant effects on the environment are fully enforceable through permit conditions, agreements, or other measures. Conditions of project approval may be set forth in referenced documents which address required mitigation measures or, in the case of the adoption of a plan, policy, regulation, or other public project, by incorporating the mitigation measures into the plan, policy, regulation, or project design.
  - (c) Prior to the close of the public review period for a draft environmental impact report or mitigated negative declaration, a responsible agency, or a public agency having jurisdiction over natural resources affected by the project, shall either submit to the lead agency complete and detailed performance objectives for mitigation measures which would address the significant effects on the environment identified by the responsible agency or agency having jurisdiction over natural resources affected by the project, or refer the lead agency to appropriate, readily available guidelines or reference documents. Any mitigation measures submitted to a lead agency by a responsible agency or an agency having jurisdiction over natural resources affected by the project shall be limited to measures which mitigate impacts to resources which are subject to the statutory authority of, and definitions applicable to, that agency. Compliance or noncompliance by a responsible agency or agency having jurisdiction over natural resources affected by a project with that requirement shall not limit the authority of the responsible agency or agency having jurisdiction over natural resources affected by a project, or the authority of the lead agency, to approve, condition, or deny projects as provided by this division or any other provision of law.

#### **§ 21081.7. TRANSPORTATION INFORMATION; SUBMISSION OF REPORT TO TRANSPORTATION PLANNING AGENCY**

Transportation information resulting from the reporting or monitoring program required to be adopted by a public agency pursuant to Section 21081.6 shall be submitted to the transportation planning agency in the region where the project is located and to the Department of Transportation for a project of statewide, regional, or areawide significance according to criteria developed pursuant to Section 21083. The transportation planning agency and the Department of Transportation shall adopt guidelines for the submittal of those reporting or monitoring programs.

#### **§ 21082. PUBLIC AGENCIES; ADOPTION OF OBJECTIVES, CRITERIA AND PROCEDURES; CONSISTENCY WITH GUIDELINES**

All public agencies shall adopt by ordinance, resolution, rule, or regulation, objectives, criteria, and procedures for the evaluation of projects and the preparation of environmental impact reports and negative declarations pursuant to this division. A school district, or any other district, whose boundaries are coterminous with a city, county, or city and county, may utilize the objectives, criteria, and procedures of the city, county, or city and county, as may be applicable, in which case, the school district or other district need not adopt objectives, criteria, and procedures of its own. The objectives, criteria, and procedures shall be consistent with the provisions of this division and with the guidelines adopted by the Secretary of the Resources Agency pursuant to Section 21083.

Such objectives, criteria, and procedures shall be adopted by each public agency no later than 60 days after the Secretary of the Resources Agency has adopted guidelines pursuant to Section 21083.

**§ 21082.1. DRAFT ENVIRONMENTAL IMPACT REPORT, ENVIRONMENTAL IMPACT REPORT, OR NEGATIVE DECLARATION; PREPARATION BY PUBLIC AGENCY**

- (a) Any draft environmental impact report, environmental impact report, negative declaration, or mitigated negative declaration prepared pursuant to the requirements of this division shall be prepared directly by, or under contract to, a public agency.
- (b) This section is not intended to prohibit, and shall not be construed as prohibiting, any person from submitting information or other comments to the public agency responsible for preparing an environmental impact report, draft environmental impact report, negative declaration, or mitigated negative declaration. The information or other comments may be submitted in any format, shall be considered by the public agency, and may be included, in whole or in part, in any report or declaration.
- (c) The lead agency shall do all of the following:
  - (1) Independently review and analyze any report or declaration required by this division.
  - (2) Circulate draft documents that reflect its independent judgment.
  - (3) As part of the adoption of a negative declaration or a mitigated negative declaration, or certification of an environmental impact report, find that the report or declaration reflects the independent judgment of the lead agency.
  - (4) Submit a sufficient number of copies of the draft environmental impact report, proposed negative declaration, or proposed mitigated negative declaration, and a copy of the report or declaration in an electronic form as required by the guidelines adopted pursuant to Section 21083, to the State Clearinghouse for review and comment by state agencies, if any of the following apply:
    - (A) A state agency is any of the following:
      - (i) The lead agency.
      - (ii) A responsible agency.
      - (iii) A trustee agency.
    - (B) A state agency otherwise has jurisdiction by law with respect to the project.
  - (C) The proposed project is of sufficient statewide, regional, or areawide environmental significance as determined pursuant to the guidelines certified and adopted pursuant to Section 21083.

**§ 21082.2. SIGNIFICANT EFFECT ON ENVIRONMENT; DETERMINATION; ENVIRONMENTAL IMPACT REPORT PREPARATION**

- (a) The lead agency shall determine whether a project may have a significant effect on the environment based on substantial evidence in light of the whole record.
- (b) The existence of public controversy over the environmental effects of a project shall not require preparation of an environmental impact report if there is no substantial evidence in light of the whole record before the lead agency that the project may have a significant effect on the environment.
- (c) Argument, speculation, unsubstantiated opinion or narrative, evidence which is clearly inaccurate or erroneous, or evidence of social or economic impacts which do not contribute to, or are not caused by, physical impacts on the environment, is not substantial evidence.

Substantial evidence shall include facts, reasonable assumptions predicated upon facts, and expert opinion supported by facts.

- (d) If there is substantial evidence, in light of the whole record before the lead agency, that a project may have a significant effect on the environment, an environmental impact report shall be prepared.
- (e) Statements in an environmental impact report and comments with respect to an environmental impact report shall not be deemed determinative of whether the project may have a significant effect on the environment.

### § 21083. OFFICE OF PLANNING AND RESEARCH; PREPARATION AND DEVELOPMENT OF GUIDELINES; CONDITIONS

- (a) The Office of Planning and Research shall prepare and develop proposed guidelines for the implementation of this division by public agencies. The guidelines shall include objectives and criteria for the orderly evaluation of projects and the preparation of environmental impact reports and negative declarations in a manner consistent with this division.
- (b) The guidelines shall specifically include criteria for public agencies to follow in determining whether or not a proposed project may have a “significant effect on the environment.” The criteria shall require a finding that a project may have a “significant effect on the environment” if one or more of the following conditions exist:
  - (1) A proposed project has the potential to degrade the quality of the environment, curtail the range of the environment, or to achieve short-term, to the disadvantage of long-term, environmental goals.
  - (2) The possible effects of a project are individually limited but cumulatively considerable. As used in this paragraph, “cumulatively considerable” means that the incremental effects of an individual project are considerable when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects.
  - (3) The environmental effects of a project will cause substantial adverse effects on human beings, either directly or indirectly.
- (c) The guidelines shall include procedures for determining the lead agency pursuant to Section 21165.
- (d) The guidelines shall include criteria for public agencies to use in determining when a proposed project is of sufficient statewide, regional, or areawide environmental significance that a draft environmental impact report, a proposed negative declaration, or a proposed mitigated negative declaration shall be submitted to appropriate state agencies, through the State Clearinghouse, for review and comment prior to completion of the environmental impact report, negative declaration, or mitigated negative declaration.
- (e) The Office of Planning and Research shall develop and prepare the proposed guidelines as soon as possible and shall transmit them immediately to the Secretary of the Resources Agency. The Secretary of the Resources Agency shall certify and adopt the guidelines pursuant to Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code, which shall become effective upon the filing thereof. However, the guidelines shall not be adopted without compliance with Sections 11346.4, 11346.5, and 11346.8 of the Government Code.
- (f) The Office of Planning and Research shall, at least once every two years, review the guidelines adopted pursuant to this section and shall recommend proposed changes or amendments to the Secretary of the Resources Agency. The Secretary of the Resources Agency shall certify and adopt guidelines, and any amendments thereto, at least once every two years, pursuant to Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code, which shall become effective upon the filing thereof. However, guidelines

may not be adopted or amended without compliance with Sections 11346.4, 11346.5, and 11346.8 of the Government Code.

#### **§ 21083.01. GUIDELINES AMENDMENTS; FIRE HAZARD**

- (a) On or after January 1, 2013, at the time of the next review of the guidelines prepared and developed to implement this division pursuant to subdivision (f) of Section 21083, the Office of Planning and Research, in cooperation with the Department of Forestry and Fire Protection, shall prepare, develop, and transmit to the Secretary of the Natural Resources Agency recommended proposed changes or amendments to the initial study checklist of the guidelines implementing this division for the inclusion of questions related to fire hazard impacts for projects located on lands classified as state responsibility areas, as defined in Section 4102, and on lands classified as very high fire hazard severity zones, as defined in subdivision (i) of Section 51177 of the Government Code.
- (b) Upon receipt and review, the Secretary of the Natural Resources Agency shall certify and adopt the recommended proposed changes or amendments prepared and developed by the Office of Planning and Research pursuant to subdivision (a).

#### **§ 21083.05.**

The Office of Planning and Research shall periodically update the guidelines for the mitigation of greenhouse gas emissions or the effects of greenhouse gas emissions as required by this division, including, but not limited to, effects associated with transportation or energy consumption to incorporate new information or criteria established by the State Air Resources Board pursuant to Division 25.5 (commencing with Section 38500) of the Health and Safety Code.

#### **§ 21083.1. LEGISLATIVE INTENT; INTERPRETATION BY COURTS**

It is the intent of the Legislature that courts, consistent with generally accepted rules of statutory interpretation, shall not interpret this division or the state guidelines adopted pursuant to Section 21083 in a manner which imposes procedural or substantive requirements beyond those explicitly stated in this division or in the state guidelines.

#### **§ 21083.2. ARCHAEOLOGICAL RESOURCES; DETERMINATION OF EFFECT OF PROJECT; EIR OR NEGATIVE DECLARATION; MITIGATION MEASURES**

- (a) As part of the determination made pursuant to Section 21080.1, the lead agency shall determine whether the project may have a significant effect on archaeological resources. If the lead agency determines that the project may have a significant effect on unique archaeological resources, the environmental impact report shall address the issue of those resources. An environmental impact report, if otherwise necessary, shall not address the issue of nonunique archaeological resources. A negative declaration shall be issued with respect to a project if, but for the issue of nonunique archaeological resources, the negative declaration would be otherwise issued.
- (b) If it can be demonstrated that a project will cause damage to a unique archaeological resource, the lead agency may require reasonable efforts to be made to permit any or all of these resources to be preserved in place or left in an undisturbed state. Examples of that treatment, in no order of preference, may include, but are not limited to, any of the following:
  - (1) Planning construction to avoid archaeological sites.
  - (2) Deeding archaeological sites into permanent conservation easements.
  - (3) Capping or covering archaeological sites with a layer of soil before building on the sites.
  - (4) Planning parks, greenspace, or other open space to incorporate archaeological sites.

- (c) To the extent that unique archaeological resources are not preserved in place or not left in an undisturbed state, mitigation measures shall be required as provided in this subdivision. The project applicant shall provide a guarantee to the lead agency to pay one-half the estimated cost of mitigating the significant effects of the project on unique archaeological resources. In determining payment, the lead agency shall give due consideration to the in-kind value of project design or expenditures that are intended to permit any or all archaeological resources or California Native American culturally significant sites to be preserved in place or left in an undisturbed state. When a final decision is made to carry out or approve the project, the lead agency shall, if necessary, reduce the specified mitigation measures to those which can be funded with the money guaranteed by the project applicant plus the money voluntarily guaranteed by any other person or persons for those mitigation purposes. In order to allow time for interested persons to provide the funding guarantee referred to in this subdivision, a final decision to carry out or approve a project shall not occur sooner than 60 days after completion of the recommended special environmental impact report required by this section.
- (d) Excavation as mitigation shall be restricted to those parts of the unique archaeological resource that would be damaged or destroyed by the project. Excavation as mitigation shall not be required for a unique archaeological resource if the lead agency determines that testing or studies already completed have adequately recovered the scientifically consequential information from and about the resource, if this determination is documented in the environmental impact report.
- (e) In no event shall the amount paid by a project applicant for mitigation measures required pursuant to subdivision (c) exceed the following amounts:
- (1) An amount equal to one-half of 1 percent of the projected cost of the project for mitigation measures undertaken within the site boundaries of a commercial or industrial project.
  - (2) An amount equal to three-fourths of 1 percent of the projected cost of the project for mitigation measures undertaken within the site boundaries of a housing project consisting of a single unit.
  - (3) If a housing project consists of more than a single unit, an amount equal to three-fourths of 1 percent of the projected cost of the project for mitigation measures undertaken within the site boundaries of the project for the first unit plus the sum of the following:
    - (A) Two hundred dollars (\$200) per unit for any of the next 99 units.
    - (B) One hundred fifty dollars (\$150) per unit for any of the next 400 units.
    - (C) One hundred dollars (\$100) per unit in excess of 500 units.
- (f) Unless special or unusual circumstances warrant an exception, the field excavation phase of an approved mitigation plan shall be completed within 90 days after final approval necessary to implement the physical development of the project or, if a phased project, in connection with the phased portion to which the specific mitigation measures are applicable. However, the project applicant may extend that period if he or she so elects. Nothing in this section shall nullify protections for Indian cemeteries under any other provision of law.
- (g) As used in this section, "unique archaeological resource" means an archaeological artifact, object, or site about which it can be clearly demonstrated that, without merely adding to the current body of knowledge, there is a high probability that it meets any of the following criteria:
- (1) Contains information needed to answer important scientific research questions and that there is a demonstrable public interest in that information.
  - (2) Has a special and particular quality such as being the oldest of its type or the best available example of its type.

- (3) Is directly associated with a scientifically recognized important prehistoric or historic event or person.
- (h) As used in this section, “nonunique archaeological resource” means an archaeological artifact, object, or site which does not meet the criteria in subdivision (g). A nonunique archaeological resource need be given no further consideration, other than the simple recording of its existence by the lead agency if it so elects.
- (i) As part of the objectives, criteria, and procedures required by Section 21082 or as part of conditions imposed for mitigation, a lead agency may make provisions for archaeological sites accidentally discovered during construction. These provisions may include an immediate evaluation of the find. If the find is determined to be a unique archaeological resource, contingency funding and a time allotment sufficient to allow recovering an archaeological sample or to employ one of the avoidance measures may be required under the provisions set forth in this section. Construction work may continue on other parts of the building site while archaeological mitigation takes place.
- (j) This section does not apply to any project described in subdivision (a) or (b) of Section 21065 if the lead agency elects to comply with all other applicable provisions of this division. This section does not apply to any project described in subdivision (c) of Section 21065 if the applicant and the lead agency jointly elect to comply with all other applicable provisions of this division.
- (k) Any additional costs to any local agency as a result of complying with this section with respect to a project of other than a public agency shall be borne by the project applicant.
- (l) Nothing in this section is intended to affect or modify the requirements of Section 21084 or 21084.1.

**§ 21083.3. APPLICATION OF DIVISION TO APPROVAL OF SUBDIVISION MAP OR OTHER PROJECT; LIMITATION; MITIGATION MEASURES UNDER PRIOR ENVIRONMENTAL IMPACT REPORT; PUBLIC HEARING; FINDING**

- (a) If a parcel has been zoned to accommodate a particular density of development or has been designated in a community plan to accommodate a particular density of development and an environmental impact report was certified for that zoning or planning action, the application of this division to the approval of any subdivision map or other project that is consistent with the zoning or community plan shall be limited to effects upon the environment which are peculiar to the parcel or to the project and which were not addressed as significant effects in the prior environmental impact report, or which substantial new information shows will be more significant than described in the prior environmental impact report.
- (b) If a development project is consistent with the general plan of a local agency and an environmental impact report was certified with respect to that general plan, the application of this division to the approval of that development project shall be limited to effects on the environment which are peculiar to the parcel or to the project and which were not addressed as significant effects in the prior environmental impact report, or which substantial new information shows will be more significant than described in the prior environmental impact report.
- (c) Nothing in this section affects any requirement to analyze potentially significant offsite impacts and cumulative impacts of the project not discussed in the prior environmental impact report with respect to the general plan. However, all public agencies with authority to mitigate the significant effects shall undertake or require the undertaking of any feasible mitigation measures specified in the prior environmental impact report relevant to a significant effect which the project will have on the environment or, if not, then the provisions of this section

shall have no application to that effect. The lead agency shall make a finding, at a public hearing, as to whether those mitigation measures will be undertaken.

- (d) An effect of a project upon the environment shall not be considered peculiar to the parcel or to the project, for purposes of this section, if uniformly applied development policies or standards have been previously adopted by the city or county, with a finding based upon substantial evidence, which need not include an environmental impact report, that the development policies or standards will substantially mitigate that environmental effect when applied to future projects, unless substantial new information shows that the policies or standards will not substantially mitigate the environmental effect.
- (e) Where a community plan is the basis for application of this section, any rezoning action consistent with the community plan shall be a project subject to exemption from this division in accordance with this section. As used in this section, “community plan” means a part of the general plan of a city or county which (1) applies to a defined geographic portion of the total area included in the general plan, (2) complies with Article 5 (commencing with Section 65300) of Chapter 3 of Division 1 of Title 7 of the Government Code by including or referencing each of the mandatory elements specified in Section 65302 of the Government Code, and (3) contains specific development policies adopted for the area included in the community plan and identifies measures to implement those policies, so that the policies which will apply to each parcel can be determined.
- (f) No person shall have standing to bring an action or proceeding to attack, review, set aside, void, or annul a finding of a public agency made at a public hearing pursuant to subdivision (a) with respect to the conformity of the project to the mitigation measures identified in the prior environmental impact report for the zoning or planning action, unless he or she has participated in that public hearing. However, this subdivision shall not be applicable if the local agency failed to give public notice of the hearing as required by law. For purposes of this subdivision, a person has participated in the public hearing if he or she has either submitted oral or written testimony regarding the proposed determination, finding, or decision prior to the close of the hearing.
- (g) Any community plan adopted prior to January 1, 1982, which does not comply with the definitional criteria specified in subdivision (e) may be amended to comply with that criteria, in which case the plan shall be deemed a “community plan” within the meaning of subdivision (e) if (1) an environmental impact report was certified for adoption of the plan, and (2) at the time of the conforming amendment, the environmental impact report has not been held inadequate by a court of this state and is not the subject of pending litigation challenging its adequacy.

#### **§ 21083.4. COUNTIES; CONVERSION OF OAK WOODLANDS; MITIGATION ALTERNATIVES; OAK WOODLANDS CONSERVATION ACT GRANT USE; EXEMPTIONS**

- (a) For purposes of this section, “oak” means a native tree species in the genus *Quercus*, not designated as Group A or Group B commercial species pursuant to regulations adopted by the State Board of Forestry and Fire Protection pursuant to Section 4526, and that is 5 inches or more in diameter at breast height.
- (b) As part of the determination made pursuant to Section 21080.1, a county shall determine whether a project within its jurisdiction may result in a conversion of oak woodlands that will have a significant effect on the environment. If a county determines that there may be a significant effect to oak woodlands, the county shall require one or more of the following oak woodlands mitigation alternatives to mitigate the significant effect of the conversion of oak woodlands:
  - (1) Conserve oak woodlands, through the use of conservation easements.
  - (2) (A) Plant an appropriate number of trees, including maintaining plantings and replacing dead or diseased trees.

- (B) The requirement to maintain trees pursuant to this paragraph terminates seven years after the trees are planted.
  - (C) Mitigation pursuant to this paragraph shall not fulfill more than one-half of the mitigation requirement for the project.
  - (D) The requirements imposed pursuant to this paragraph also may be used to restore former oak woodlands.
- (3) Contribute funds to the Oak Woodlands Conservation Fund, as established under subdivision (a) of Section 1363 of the Fish and Game Code, for the purpose of purchasing oak woodlands conservation easements, as specified under paragraph (1) of subdivision (d) of that section and the guidelines and criteria of the Wildlife Conservation Board. A project applicant that contributes funds under this paragraph shall not receive a grant from the Oak Woodlands Conservation Fund as part of the mitigation for the project.
- (4) Other mitigation measures developed by the county.
- (c) Notwithstanding subdivision (d) of Section 1363 of the Fish and Game Code, a county may use a grant awarded pursuant to the Oak Woodlands Conservation Act (Article 3.5 (commencing with Section 1360) of Chapter 4 of Division 2 of the Fish and Game Code) to prepare an oak conservation element for a general plan, an oak protection ordinance, or an oak woodlands management plan, or amendments thereto, that meets the requirements of this section.
- (d) The following are exempt from this section:
- (1) Projects undertaken pursuant to an approved Natural Community Conservation Plan or approved subarea plan within an approved Natural Community Conservation Plan that includes oaks as a covered species or that conserves oak habitat through natural community conservation preserve designation and implementation and mitigation measures that are consistent with this section.
  - (2) Affordable housing projects for lower income households, as defined pursuant to Section 50079.5 of the Health and Safety Code, that are located within an urbanized area, or within a sphere of influence as defined pursuant to Section 56076 of the Government Code.
  - (3) Conversion of oak woodlands on agricultural land that includes land that is used to produce or process plant and animal products for commercial purposes.
  - (4) Projects undertaken pursuant to Section 21080.5 of the Public Resources Code.
- (e) (1) A lead agency that adopts, and a project that incorporates, one or more of the measures specified in this section to mitigate the significant effects to oaks and oak woodlands shall be deemed to be in compliance with this division only as it applies to effects on oaks and oak woodlands.
- (2) The Legislature does not intend this section to modify requirements of this division, other than with regard to effects on oaks and oak woodlands.
- (f) This section does not preclude the application of Section 21081 to a project.
- (g) This section, and the regulations adopted pursuant to this section, shall not be construed as a limitation on the power of a public agency to comply with this division or any other provision of law.

#### **§ 21083.5. ENVIRONMENTAL IMPACT STATEMENT OR REPORT; SUBMISSION IN LIEU OF IMPACT REPORT; COMPLIANCE BY ADOPTION OF TAHOE REGIONAL PLAN; PUBLIC REVIEW AND NOTICE REQUIREMENTS**

- (a) The guidelines prepared and adopted pursuant to Section 21083 shall provide that, when an environmental impact statement has been, or will be, prepared for the same project pursuant to the requirements of the National Environmental Policy Act of 1969 (42 U.S.C. Sec. 4321 et

seq.) and implementing regulations, or an environmental impact report has been, or will be, prepared for the same project pursuant to the requirements of the Tahoe Regional Planning Compact (Section 66801 of the Government Code) and implementing regulations, all or any part of that statement or report may be submitted in lieu of all or any part of an environmental impact report required by this division, if that statement or report, or the part which is used, complies with the requirements of this division and the guidelines adopted pursuant thereto.

- (b) Notwithstanding subdivision (a), compliance with this division may be achieved for the adoption in a city or county general plan, without any additions or change, of all or any part of the regional plan prepared pursuant to the Tahoe Regional Planning Compact and implementing regulations by reviewing environmental documents prepared by the Tahoe Regional Planning Agency addressing the plan, providing an analysis pursuant to this division of any significant effect on the environment not addressed in the environmental documents, and proceeding in accordance with Section 21081. This subdivision does not exempt a city or county from complying with the public review and notice requirements of this division.

#### **§ 21083.6. COMBINED ENVIRONMENTAL IMPACT REPORT AND STATEMENT; TIME LIMITS**

In the event that a project requires both an environmental impact report prepared pursuant to the requirements of this division and an environmental impact statement prepared pursuant to the requirements of the National Environmental Policy Act of 1969, an applicant may request and the lead agency may waive the time limits established pursuant to Section 21100.2 or 21151.5 if it finds that additional time is required to prepare a combined environmental impact report-environmental impact statement and that the time required to prepare such a combined document would be shorter than that required to prepare each document separately.

#### **§ 21083.7. USE OF IMPACT STATEMENT AS THE IMPACT REPORT; CONSULTATIONS**

- (a) In the event that a project requires both an environmental impact report prepared pursuant to the requirements of this division and an environmental impact statement prepared pursuant to the requirements of the National Environmental Policy Act of 1969, the lead agency shall, whenever possible, use the environmental impact statement as such environmental impact report as provided in Section 21083.5.
- (b) In order to implement this section, each lead agency to which this section is applicable shall do both of the following, as soon as possible:
- (1) Consult with the federal agency required to prepare such environmental impact statement.
  - (2) Notify the federal agency required to prepare the environmental impact statement regarding any scoping meeting for the proposed project.

#### **§ 21083.8.1. REUSE PLANS**

- (a) (1) For purposes of this section, “reuse plan” for a military base means an initial plan for the reuse of a military base adopted by a local government or a redevelopment agency in the form of a general plan, general plan amendment, specific plan, redevelopment plan, or other planning document, except that the reuse plan shall also consist of a statement of development policies, include a diagram or diagrams illustrating its provisions, and make the designation required in paragraph (2). “Military base” or “base” means a military base or reservation either closed or realigned by, or scheduled for closure or realignment by, the federal government.
- (2) The reuse plan shall designate the proposed general distribution and general location of development intensity for housing, business, industry, open space, recreation, natural resources, public buildings and grounds, roads and other transportation facilities, infrastructure, and other categories of public and private uses of land.

- (b) (1) When preparing and certifying an environmental impact report for a reuse plan, including when utilizing an environmental impact statement pursuant to Section 21083.5, the determination of whether the reuse plan may have a significant effect on the environment may be made in the context of the physical conditions that were present at the time that the federal decision became final for the closure or realignment of the base. The no project alternative analyzed in the environmental impact report shall discuss the existing conditions on the base, as they exist at the time that the environmental impact report is prepared, as well as what could be reasonably expected to occur in the foreseeable future if the reuse plan were not approved, based on current plans and consistent with available infrastructure and services.
- (2) For purposes of this division, all public and private activities taken pursuant to, or in furtherance of, a reuse plan shall be deemed to be a single project. However, further environmental review of any such public or private activity shall be conducted if any of the events specified in Section 21166 have occurred.
- (c) Prior to preparing an environmental impact report for which a lead agency chooses to utilize the provisions of this section, the lead agency shall do all of the following:
  - (A) Hold a public hearing at which is discussed the federal environmental impact statement prepared for, or in the process of being prepared for, the closure of the military base. The discussion shall include the significant effects on the environment examined in the environmental impact statement, potential methods of mitigating those effects, including feasible alternatives, and the mitigative effects of federal, state, and local laws applicable to future nonmilitary activities. Prior to the close of the hearing, the lead agency may specify the baseline conditions for the reuse plan environmental impact report prepared, or in the process of being prepared, for the closure of the base. The lead agency may specify particular physical conditions that it will examine in greater detail than were examined in the environmental impact statement. Notice of the hearing shall be given as provided in Section 21092. The hearing may be continued from time to time.
  - (B) Identify pertinent responsible agencies and trustee agencies and consult with those agencies prior to the public hearing as to the application of their regulatory policies and permitting standards to the proposed baseline for environmental analysis, as well as to the reuse plan and planned future nonmilitary land uses of the base. The affected agencies shall have not less than 30 days prior to the public hearing to review the proposed reuse plan and to submit their comments to the lead agency.
  - (C) At the close of the hearing, the lead agency shall state in writing how the lead agency intends to integrate the baseline for analysis with the reuse planning and environmental review process, taking into account the adopted environmental standards of the community, including, but not limited to, the applicable general plan, specific plan, and redevelopment plan, and including other applicable provisions of adopted congestion management plans, habitat conservation or natural communities conservation plans, integrated waste management plans, and county hazardous waste management plans.
  - (D) At the close of the hearing, the lead agency shall state, in writing, the specific economic or social reasons, including, but not limited to, new job creation, opportunities for employment of skilled workers, availability of low- and moderate-income housing, and economic continuity, which support the selection of the baseline.
- (d) (1) Nothing in this section shall in any way limit the scope of a review or determination of significance of the presence of hazardous or toxic wastes, substances, or materials including, but not limited to, contaminated soils and groundwater, nor shall the regulation of hazardous or toxic wastes, substances, or materials be constrained by prior levels of activity that existed at the time that the federal agency decision to close the military base became final.

- (2) This section does not apply to any project undertaken pursuant to Chapter 6.5 (commencing with Section 25100) of, or Chapter 6.8 (commencing with Section 25300) of, Division 20 of the Health and Safety Code, or pursuant to the Porter-Cologne Water Quality Control Act (Division 7 (commencing with Section 13000) of the Water Code).
- (3) This section may apply to any reuse plan environmental impact report for which a notice of preparation pursuant to subdivision (a) of Section 21092 is issued within one year from the date that the federal record of decision was rendered for the military base closure or realignment and reuse, or prior to January 1, 1997, whichever is later, if the environmental impact report is completed and certified within five years from the date that the federal record of decision was rendered.
- (e) All subsequent development at the military base shall be subject to all applicable federal, state, or local laws, including, but not limited to, those relating to air quality, water quality, traffic, threatened and endangered species, noise, and hazardous or toxic wastes, substances, or materials.

### § 21083.9. SCOPING MEETINGS

- (a) Notwithstanding Section 21080.4, 21104, or 21153, a lead agency shall call at least one scoping meeting for either of the following:
  - (1) A proposed project that may affect highways or other facilities under the jurisdiction of the Department of Transportation if the meeting is requested by the department. The lead agency shall call the scoping meeting as soon as possible, but not later than 30 days after receiving the request from the Department of Transportation.
  - (2) A project of statewide, regional, or areawide significance.
- (b) The lead agency shall provide notice of at least one scoping meeting held pursuant to paragraph (2) of subdivision (a) to all of the following:
  - (1) A county or city that borders on a county or city within which the project is located, unless otherwise designated annually by agreement between the lead agency and the county or city.
  - (2) A responsible agency.
  - (3) A public agency that has jurisdiction by law with respect to the project.
  - (4) A transportation planning agency or public agency required to be consulted pursuant to Section 21092.4.
  - (5) A public agency, organization or individual who has filed a written request for the notice.
- (c) For a public agency, organization, or individual that is required to be provided notice of a lead agency public meeting, the requirement for notice of a scoping meeting pursuant to subdivision (b) may be met by including the notice of a scoping meeting in the public meeting notice.
- (d) A scoping meeting that is held in the city or county within which the project is located pursuant to the National Environmental Policy Act (42 U.S.C. Sec. 4321 et seq.) and the regulations adopted pursuant to that act shall be deemed to satisfy the requirement that a scoping meeting be held for a project subject to paragraph (2) of subdivision (a) if the lead agency meets the notice requirements of subdivision (b) or subdivision (c).
- (e) The referral of a proposed action to adopt or substantially amend a general plan to a city or county pursuant to paragraph (1) of subdivision (a) of Section 65352 of the Government Code may be conducted concurrently with the scoping meeting required pursuant to this section, and the city or county may submit its comments as provided pursuant to subdivision (b) of that section at the scoping meeting.

## § 21084. LIST OF EXEMPT CLASSES OF PROJECTS; PROJECTS DAMAGING SCENIC RESOURCES

- (a) The guidelines prepared and adopted pursuant to Section 21083 shall include a list of classes of projects which have been determined not to have a significant effect on the environment and which shall be exempt from this division. In adopting the guidelines, the Secretary of the Resources Agency shall make a finding that the listed classes of projects referred to in this section do not have a significant effect on the environment.
- (b) A project's greenhouse gas emissions shall not, in and of themselves, be deemed to cause an exemption adopted pursuant to subdivision (a) to be inapplicable if the project complies with all applicable regulations or requirements adopted to implement statewide, regional, or local plans consistent with Section 15183.5 of Title 14 of the California Code of Regulations.
- (c) A project that may result in damage to scenic resources, including, but not limited to, trees, historic buildings, rock outcroppings, or similar resources, within a highway designated as an official state scenic highway, pursuant to Article 2.5 (commencing with Section 260) of Chapter 2 of Division 1 of the Streets and Highways Code, shall be exempted from this division pursuant to subdivision (a). This subdivision does not apply to improvements as mitigation for a project for which a negative declaration has been approved or an environmental impact report has been certified.
- (d) A project located on a site which is included on any list compiled pursuant to Section 65962.5 of the Government Code shall not be exempted from this division pursuant to subdivision (a).
- (e) A project that may cause a substantial adverse change in the significance of an historical resource, as specified in Section 21084.1, shall not be exempted from this division pursuant to subdivision (a).

### § 21084.1. HISTORICAL RESOURCE; SUBSTANTIAL ADVERSE CHANGE

A project that may cause a substantial adverse change in the significance of an historical resource is a project that may have a significant effect on the environment. For purposes of this section, an historical resource is a resource listed in, or determined to be eligible for listing in, the California Register of Historical Resources. Historical resources included in a local register of historical resources, as defined in subdivision (k) of Section 5020.1, or deemed significant pursuant to criteria set forth in subdivision (g) of Section 5024.1, are presumed to be historically or culturally significant for purposes of this section, unless the preponderance of the evidence demonstrates that the resource is not historically or culturally significant. The fact that a resource is not listed in, or determined to be eligible for listing in, the California Register of Historical Resources, not included in a local register of historical resources, or not deemed significant pursuant to criteria set forth in subdivision (g) of Section 5024.1 shall not preclude a lead agency from determining whether the resource may be an historical resource for purposes of this section.

### § 21085.7. [DELETED]

### § 21086. ADDITION OR DELETION OF EXEMPT CLASSES OF PROJECTS; PROCEDURE

- (a) A public agency may, at any time, request the addition or deletion of a class of projects, to the list designated pursuant to Section 21084. That request shall be made in writing to the Office of Planning and Research and shall include information supporting the public agency's position that the class of projects does, or does not, have a significant effect on the environment.
- (b) The Office of Planning and Research shall review each request and, as soon as possible, shall submit its recommendation to the Secretary of the Resources Agency. Following the receipt of that recommendation, the Secretary of the Resources Agency may add or delete the class of projects to the list of classes of projects designated pursuant to Section 21084 that are exempt from the requirements of this division.

- (c) The addition or deletion of a class of projects, as provided in this section, to the list specified in Section 21084 shall constitute an amendment to the guidelines adopted pursuant to Section 21083 and shall be adopted in the manner prescribed in Sections 21083 and 21084.

#### **§ 21088. DISTRIBUTION OF GUIDELINES, AMENDMENTS AND CHANGES; NOTICE**

The Secretary of the Resources Agency shall provide for the timely distribution to all public agencies of the guidelines and any amendments or changes thereto. In addition, the Secretary of the Resources Agency may provide for publication of a bulletin to provide public notice of the guidelines, or any amendments or changes thereto, and of the completion of environmental impact reports prepared in compliance with this division.

#### **§ 21089. FEES**

- (a) A lead agency may charge and collect a reasonable fee from any person proposing a project subject to this division in order to recover the estimated costs incurred by the lead agency in preparing a negative declaration or an environmental impact report for the project and for procedures necessary to comply with this division on the project. Litigation expenses, costs, and fees incurred in actions alleging noncompliance with this division under Section 21167 are not recoverable under this section.
- (b) The Department of Fish and Game may charge and collect filing fees, as provided in Section 711.4 of the Fish and Game Code. Notwithstanding Section 21080.1, a finding required under Section 21081, or any project approved under a certified regulatory program authorized pursuant to Section 21080.5 is not operative, vested, or final until the filing fees required pursuant to Section 711.4 of the Fish and Game Code are paid.
- (c)
  - (1) A public agency may charge and collect a reasonable fee from members of the public for a copy of an environmental document not to exceed the cost of reproducing the environmental document. A public agency may provide the environmental document in an electronic format as provided pursuant to Section 6253.9 of the Government Code.
  - (2) For purposes of this subdivision, "environmental document" means an initial study, negative declaration, mitigated negative declaration, draft and final environmental impact report, a document prepared as a substitute for an environmental impact report, negative declaration, or mitigated negative declaration under a program certified pursuant to Section 21080.5, and a document prepared under the federal National Environmental Policy Act of 1969 (42 U.S.C. Sec. 4321 et seq.) and used by a state or local agency in the place of the initial study, negative declaration, mitigated negative declaration, or an environmental impact report.

#### **§ 21090. REDEVELOPMENT PLAN DEEMED SINGLE PROJECT**

- (a) An environmental impact report for a redevelopment plan may be a master environmental impact report, program environmental impact report, or a project environmental impact report. Any environmental impact report for a redevelopment plan shall specify the type of environmental impact report that is prepared for the redevelopment plan.
- (b) If the environmental impact report for a redevelopment plan is a project environmental impact report, all public and private activities or undertakings pursuant to, or in furtherance of, a redevelopment plan shall be deemed to be a single project. However, further environmental review of any public or private activity or undertaking pursuant to, or in furtherance of, a redevelopment plan for which a project environmental impact report has been certified shall be conducted if any of the events specified in Section 21166 have occurred.

### § 21090.1. GEOTHERMAL EXPLORATORY PROJECT DEEMED SEPARATE AND DISTINCT FROM FIELD DEVELOPMENT PROJECT

For all purposes of this division, a geothermal exploratory project shall be deemed to be separate and distinct from any subsequent geothermal field development project as defined in Section 65928.5 of the Government Code.

### § 21091. DRAFT ENVIRONMENTAL IMPACT REPORTS AND NEGATIVE DECLARATIONS; REVIEW PERIODS

- (a) The public review period for a draft environmental impact report may not be less than 30 days. If the draft environmental impact report is submitted to the State Clearinghouse for review, the review period shall be at least 45 days, and the lead agency shall provide a sufficient number of copies of the document to the State Clearinghouse for review and comment by state agencies.
- (b) The public review period for a proposed negative declaration or proposed mitigated negative declaration may not be less than 20 days. If the proposed negative declaration or proposed mitigated negative declaration is submitted to the State Clearinghouse for review, the review period shall be at least 30 days, and the lead agency shall provide a sufficient number of copies of the document to the State Clearinghouse for review and comment by state agencies.
- (c) (1) Notwithstanding subdivisions (a) and (b), if a draft environmental impact report, proposed negative declaration, or proposed mitigated negative declaration is submitted to the State Clearinghouse for review and the period of review by the State Clearinghouse is longer than the public review period established pursuant to subdivision (a) or (b), whichever is applicable, the public review period shall be at least as long as the period of review and comment by state agencies as established by the State Clearinghouse.
- (2) The public review period and the state agency review period may, but are not required to, begin and end at the same time. Day one of the state agency review period shall be the date that the State Clearinghouse distributes the CEQA document to state agencies.
- (3) If the submittal of a CEQA document is determined by the State Clearinghouse to be complete, the State Clearinghouse shall distribute the document within three working days from the date of receipt. The State Clearinghouse shall specify the information that will be required in order to determine the completeness of the submittal of a CEQA document.
- (d) (1) The lead agency shall consider comments it receives on a draft environmental impact report, proposed negative declaration, or proposed mitigated negative declaration if those comments are received within the public review period.
- (2) (A) With respect to the consideration of comments received on a draft environmental impact report, the lead agency shall evaluate comments on environmental issues that are received from persons who have reviewed the draft and shall prepare a written response pursuant to subparagraph (B). The lead agency may also respond to comments that are received after the close of the public review period.
- (B) The written response shall describe the disposition of each significant environmental issue that is raised by commenters. The responses shall be prepared consistent with Section 15088 of Title 14 of the California Code of Regulations.
- (3) (A) With respect to the consideration of comments received on a draft environmental impact report, proposed negative declaration, proposed mitigated negative declaration, or notice pursuant to Section 21080.4, the lead agency shall accept comments via e-mail and shall treat e-mail comments as equivalent to written comments.
- (B) Any law or regulation relating to written comments received on a draft environmental impact report, proposed negative declaration, proposed mitigated negative declaration,

or notice received pursuant to Section 21080.4, shall also apply to e-mail comments received for those reasons.

- (e) (1) Criteria for shorter review periods by the State Clearinghouse for documents that must be submitted to the State Clearinghouse shall be set forth in the written guidelines issued by the Office of Planning and Research and made available to the public.
- (2) Those shortened review periods may not be less than 30 days for a draft environmental impact report and 20 days for a negative declaration.
- (3) A request for a shortened review period shall only be made in writing by the decision-making body of the lead agency to the Office of Planning and Research. The decision-making body may designate by resolution or ordinance a person authorized to request a shortened review period. A designated person shall notify the decision-making body of this request.
- (4) A request approved by the State Clearinghouse shall be consistent with the criteria set forth in the written guidelines of the Office of Planning and Research .
- (5) A shortened review period may not be approved by the Office of Planning and Research for a proposed project of statewide, regional, or areawide environmental significance as determined pursuant to Section 21083.
- (6) An approval of a shortened review period shall be given prior to, and reflected in, the public notice required pursuant to Section 21092.
- (f) Prior to carrying out or approving a project for which a negative declaration has been adopted, the lead agency shall consider the negative declaration together with comments that were received and considered pursuant to paragraph (1) of subdivision (d).

#### **§ 21091.5. PUBLIC REVIEW PERIOD FOR DRAFT ENVIRONMENTAL IMPACT REPORT; PUBLICLY OWNED AIRPORTS**

Notwithstanding subdivision (a) of Section 21091, or any other provision of this division, the public review period for a draft environmental impact report prepared for a proposed project involving the expansion or enlargement of a publicly owned airport requiring the acquisition of any tide and submerged lands or other lands subject to the public trust for commerce, navigation, or fisheries, or any interest therein, shall be not less than 120 days.

#### **§ 21092. PUBLIC NOTICE OF PREPARATION OF ENVIRONMENTAL IMPACT REPORT OR NEGATIVE DECLARATION; PUBLICATION**

- (a) A lead agency that is preparing an environmental impact report or a negative declaration or making a determination pursuant to subdivision (c) of Section 21157.1 shall provide public notice of that fact within a reasonable period of time prior to certification of the environmental impact report, or adoption of the negative declaration, or making the determination pursuant to subdivision (c) of Section 21157.1.
- (b) (1) The notice shall specify the period during which comments will be received on the draft environmental report or negative declaration, and shall include the date, time, and place of any public meetings or hearings on the proposed project, a brief description of the proposed project and its location, the significant effects on the environment, if any, anticipated as a result of the project, and the address where copies of the draft environmental impact report or negative declaration, and all documents referenced in the draft environmental impact report or negative declaration, are available for review-, and a description of how the draft environmental impact report or negative declaration can be provided in an electronic format.

- (2) This section shall not be construed in any manner that results in the invalidation of an action because of the alleged inadequacy of the notice content, provided that there has been substantial compliance with the notice content requirements of this section.
- (3) The notice required by this section shall be given to the last known name and address of all organizations and individuals who have previously requested notice and shall also be given by at least one of the following procedures:
  - (A) Publication, no fewer times than required by Section 6061 of the Government Code, by the public agency in a newspaper of general circulation in the area affected by the proposed project. If more than one area will be affected, the notice shall be published in the newspaper of largest circulation from among the newspapers of general circulation in those areas.
  - (B) Posting of notice by the lead agency on- and off-site in the area where the project is to be located.
  - (C) Direct mailing to the owners and occupants of contiguous property shown on the latest equalized assessment roll.
- (c) For any project involving the burning of municipal wastes, hazardous waste, or refuse-derived fuel, including, but not limited to, tires, meeting the qualifications of subdivision (d), notice shall be given to all organizations and individuals who have previously requested notice and shall also be given by at least the procedures specified in subparagraphs (A), (B), and (C) of paragraph (3) of subdivision (b). In addition, notification shall be given by direct mailing to the owners and occupants of property within one-fourth of a mile of any parcel or parcels on which is located a project subject to this subdivision.
- (d) The notice requirements of subdivision (c) apply to both of the following:
  - (1) The construction of a new facility.
  - (2) The expansion of an existing facility which burns hazardous waste which would increase its permitted capacity by more than 10 percent. For purposes of this paragraph, the amount of expansion of an existing facility shall be calculated by comparing the proposed facility capacity with whichever of the following is applicable:
    - (A) The facility capacity approved in the facility's hazardous waste facilities permit pursuant to Section 25200 of the Health and Safety Code or its grant of interim status pursuant to Section 25200.5 of the Health and Safety Code, or the facility capacity authorized in any state or local agency permit allowing the construction or operation of a facility for the burning of hazardous waste, granted before January 1, 1990.
    - (B) The facility capacity authorized in the facility's original hazardous waste facilities permit, grant of interim status, or any state or local agency permit allowing the construction or operation of a facility for the burning of hazardous waste, granted on or after January 1, 1990.
- (e) The notice requirements specified in subdivision (b) or (c) shall not preclude a public agency from providing additional notice by other means if the agency so desires, or from providing the public notice required by this section at the same time and in the same manner as public notice otherwise required by law for the project.

### § 21092.1. ADDITION OF NEW INFORMATION; NOTICE AND CONSULTATION

When significant new information is added to an environmental impact report after notice has been given pursuant to Section 21092 and consultation has occurred pursuant to Sections 21104 and 21153, but prior to certification, the public agency shall give notice again pursuant to Section 21092, and consult again pursuant to Sections 21104 and 21153 before certifying the environmental impact report.

### § 21092.2. REQUESTS FOR CERTAIN NOTICES

- (a) The notices required pursuant to Sections 21080.4, 21083.9, 21092, 21108, 21152 and 21161 shall be mailed to every person who has filed a written request for notices with either the clerk of the governing body or, if there is no governing body, the director of the agency. If the agency offers to provide the notices by e-mail, upon filing a written request for notices, a person may request that the notices be provided to him or her by e-mail. The request may also be filed with any other person designated by the governing body or director to receive these requests. The agency may require requests for notices to be annually renewed. The public agency may charge a fee, except to other public agencies, that is reasonably related to the costs of providing this service.
- (b) Subdivision (a) shall not be construed in any manner that results in the invalidation of an action because of the failure of a person to receive a requested notice, if there has been substantial compliance with the requirements of this section.
- (c) The notices required pursuant to Sections 21080.4 and 21161 shall be provided by the State Clearinghouse to any legislator in whose district the project has an environmental impact, if the legislator requests the notice and the State Clearinghouse has received it.

### § 21092.3. POSTING OF CERTAIN NOTICES

The notices required pursuant to Sections 21080.4 and 21092 for an environmental impact report shall be posted in the office of the county clerk of each county in which the project will be located and shall remain posted for a period of 30 days. The notice required pursuant to Section 21092 for a negative declaration shall be so posted for a period of 20 days, unless otherwise required by law to be posted for 30 days. The county clerk shall post the notices within 24 hours of receipt.

### § 21092.4. CONSULTATION WITH TRANSPORTATION PLANNING AGENCIES AND PUBLIC AGENCIES

- (a) For a project of statewide, regional, or areawide significance, the lead agency shall consult with transportation planning agencies and public agencies that have transportation facilities within their jurisdictions that could be affected by the project. Consultation shall be conducted in the same manner as for responsible agencies pursuant to this division, and shall be for the purpose of the lead agency obtaining information concerning the project's effect on major local arterials, public transit, freeways, highways, overpasses, on-ramps, off-ramps, and rail transit service within the jurisdiction of a transportation planning agency or a public agency that is consulted by the lead agency. A transportation planning agency or public agency that provides information to the lead agency shall be notified of, and provided with copies of, environmental documents pertaining to the project.
- (b) As used in this section, "transportation facilities" includes major local arterials and public transit within five miles of the project site and freeways, highways, overpasses, on-ramps, off-ramps, and rail transit service within 10 miles of the project site.

### § 21092.5. PROPOSED RESPONSE TO PUBLIC AGENCY COMMENTS RECEIVED BY LEAD AGENCY; NOTICE TO AGENCY COMMENTING ON NEGATIVE DECLARATION; UNTIMELY COMMENTS

- (a) At least 10 days prior to certifying an environmental impact report, the lead agency shall provide a written proposed response to a public agency on comments made by that agency which conform with the requirements of this division. Proposed responses shall conform with the legal standards established for responses to comments on draft environmental impact reports. Copies of responses or the environmental document in which they are contained, prepared in conformance with other requirements of this division and the guidelines adopted pursuant to Section 21083, may be used to meet the requirements imposed by this section.

- (b) The lead agency shall notify any public agency which comments on a negative declaration, of the public hearing or hearings, if any, on the project for which the negative declaration was prepared. If notice to the commenting public agency is provided pursuant to Section 21092, the notice shall satisfy the requirement of this subdivision.
- (c) Nothing in this section requires the lead agency to respond to comments not received within the comment periods specified in this division, to reopen comment periods, or to delay acting on a negative declaration or environmental impact report.

**§ 21092.6. APPLICATION OF GOVT. C. § 65962.5; DUTIES OF LEAD AGENCY; NOTICE BY ENVIRONMENTAL PROTECTION AGENCY OF FAILURE TO SPECIFY**

- (a) The lead agency shall consult the lists compiled pursuant to Section 65962.5 of the Government Code to determine whether the project and any alternatives are located on a site which is included on any list. The lead agency shall indicate whether a site is on any list not already identified by the applicant. The lead agency shall specify the list and include the information in the statement required pursuant to subdivision (f) of Section 65962.5 of the Government Code, in the notice required pursuant to Section 21080.4, a negative declaration, and a draft environmental impact report. The requirement in this section to specify any list shall not be construed to limit compliance with this division.
- (b) If a project or any alternatives are located on a site which is included on any of the lists compiled pursuant to Section 65962.5 of the Government Code and the lead agency did not accurately specify or did not specify any list pursuant to subdivision (a), the California Environmental Protection Agency shall notify the lead agency specifying any list with the site when it receives notice pursuant to Section 21080.4, a negative declaration, and a draft environmental impact report. The California Environmental Protection Agency shall not be liable for failure to notify the lead agency pursuant to this subdivision.

**§ 21093. LEGISLATIVE FINDINGS AND DECLARATION; PUBLIC AGENCIES MAY TIER ENVIRONMENTAL IMPACT REPORTS**

- (a) The Legislature finds and declares that tiering of environmental impact reports will promote construction of needed housing and other development projects by (1) streamlining regulatory procedures, (2) avoiding repetitive discussions of the same issues in successive environmental impact reports, and (3) ensuring that environmental impact reports prepared for later projects which are consistent with a previously approved policy, plan, program, or ordinance concentrate upon environmental effects which may be mitigated or avoided in connection with the decision on each later project. The Legislature further finds and declares that tiering is appropriate when it helps a public agency to focus upon the issues ripe for decision at each level of environmental review and in order to exclude duplicative analysis of environmental effects examined in previous environmental impact reports.
- (b) To achieve this purpose, environmental impact reports shall be tiered whenever feasible, as determined by the lead agency.

**§ 21094. LATER PROJECTS; TIERED ENVIRONMENTAL IMPACT REPORTS; INITIAL STUDY; USE OF PRIOR REPORTS**

- (a) (1) If a prior environmental impact report has been prepared and certified for a program, plan, policy, or ordinance, the lead agency for a later project that meets the requirements of this section shall examine significant effects of the later project upon the environment by using a tiered environmental impact report, except that the report on the later project is not required to examine those effects that the lead agency determines were either of the following:

- (A) Mitigated or avoided pursuant to paragraph (1) of subdivision (a) of Section 21081 as a result of the prior environmental impact report.
  - (B) Examined at a sufficient level of detail in the prior environmental impact report to enable those effects to be mitigated or avoided by site specific revisions, the imposition of conditions, or by other means in connection with the approval of the later project.
- (2) If a prior environmental impact report has been prepared and certified for a program, plan, policy, or ordinance, and the lead agency makes a finding of overriding consideration pursuant to subdivision (b) of Section 21081, the lead agency for a later project that uses a tiered environmental impact report from that program, plan, policy, or ordinance may incorporate by reference that finding of overriding consideration if all of the following conditions are met:
- (A) The lead agency determines that the project's significant impacts on the environment are not greater than or different from those identified in the prior environmental impact report.
  - (B) The lead agency incorporates into the later project all the applicable mitigation measures identified by the prior environmental impact report.
  - (C) The prior finding of overriding considerations was not based on a determination that mitigation measures should be identified and approved in a subsequent environmental review.
  - (D) The prior environmental impact report was certified not more than three years before the date findings are made pursuant to Section 21081 for the later project.
  - (E) The lead agency has determined that the mitigation measures or alternatives found to be infeasible in the prior environmental impact report pursuant to paragraph (3) of subdivision (a) of Section 21081 remain infeasible based on the criteria set forth in that section.
- (b) This section applies only to a later project that the lead agency determines is all of the following:
- (1) Consistent with the program, plan, policy, or ordinance for which an environmental impact report has been prepared and certified.
  - (2) Consistent with applicable local land use plans and zoning of the city, county, or city and county in which the later project would be located.
  - (3) Not subject to Section 21166.
- (c) For purposes of compliance with this section, an initial study shall be prepared to assist the lead agency in making the determinations required by this section. The initial study shall analyze whether the later project may cause significant effects on the environment that were not examined in the prior environmental impact report.
- (d) All public agencies that propose to carry out or approve the later project may utilize the prior environmental impact report and the environmental impact report on the later project to fulfill the requirements of Section 21081.
- (e) (1) If a lead agency determines pursuant to this subdivision that a cumulative effect has been adequately addressed in a prior environmental impact report, that cumulative effect is not required to be examined in a later environmental impact report, mitigated negative declaration, or negative declaration for purposes of subparagraph (B) of paragraph (1) of subdivision (a).
- (2) When assessing whether there is a new significant cumulative effect, the lead agency shall consider whether the incremental effects of the project are cumulatively considerable.
- (3) (A) For purposes of paragraph (2), if the lead agency determines the incremental effects of the project are significant when viewed in connection with the effects of past, present,

and probable future projects, the incremental effects of a project are cumulatively considerable.

- (B) If the lead agency determines incremental effects of a project are cumulatively considerable, the later environmental impact report, mitigated negative declaration, or negative declaration shall examine those effects.
- (4) If the lead agency makes one of the following determinations, the cumulative effects of a project are adequately addressed for purposes of paragraph (1):
  - (A) The cumulative effect has been mitigated or avoided as a result of the prior environmental impact report and findings adopted pursuant to paragraph (1) of subdivision (a) of Section 21081 as a result of the prior environmental impact report.
  - (B) The cumulative effect has been examined at a sufficient level of detail in the prior environmental impact report to enable the effect to be mitigated or avoided by site-specific revisions, the imposition of conditions, or by other means in connection with the approval of the later project.
- (f) If tiering is used pursuant to this section, an environmental impact report prepared for a later project shall refer to the prior environmental impact report and state where a copy of the prior environmental impact report may be examined.
- (g) This section shall remain in effect only until January 1, 2016, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2016, deletes or extends that date.

#### § 21094.5.

- (a) (1) If an environmental impact report was certified for a planning level decision of a city or county, the application of this division to the approval of an infill project shall be limited to the effects on the environment that (A) are specific to the project or to the project site and were not addressed as significant effects in the prior environmental impact report or (B) substantial new information shows the effects will be more significant than described in the prior environmental impact report. A lead agency's determination pursuant to this section shall be supported by substantial evidence.
- (2) An effect of a project upon the environment shall not be considered a specific effect of the project or a significant effect that was not considered significant in a prior environmental impact report, or an effect that is more significant than was described in the prior environmental impact report if uniformly applicable development policies or standards adopted by the city, county, or the lead agency, would apply to the project and the lead agency makes a finding, based upon substantial evidence, that the development policies or standards will substantially mitigate that effect.
- (b) If an infill project would result in significant effects that are specific to the project or the project site, or if the significant effects of the infill project were not addressed in the prior environmental impact report, or are more significant than the effects addressed in the prior environmental impact report, and if a mitigated negative declaration or a sustainable communities environmental assessment could not be otherwise adopted, an environmental impact report prepared for the project analyzing those effects shall be limited as follows:
  - (1) Alternative locations, densities, and building intensities to the project need not be considered.
  - (2) Growth inducing impacts of the project need not be considered.
- (c) This section applies to an infill project that satisfies both of the following:
  - (1) The project satisfies any of the following:

- (A) Is consistent with the general use designation, density, building intensity, and applicable policies specified for the project area in either a sustainable communities strategy or an alternative planning strategy for which the State Air Resources Board, pursuant to subparagraph (H) of paragraph (2) of subdivision (b) of Section 65080 of the Government Code, has accepted a metropolitan planning organization's determination that the sustainable communities strategy or the alternative planning strategy would, if implemented, achieve the greenhouse gas emission reduction targets.
  - (B) Consists of a small walkable community project located in an area designated by a city for that purpose.
  - (C) Is located within the boundaries of a metropolitan planning organization that has not yet adopted a sustainable communities strategy or alternative planning strategy, and the project has a residential density of at least 20 units per acre or a floor area ratio of at least 0.75.
- (2) Satisfies all applicable statewide performance standards contained in the guidelines adopted pursuant to Section 21094.5.5.
- (d) This section applies after the Secretary of the Natural Resources Agency adopts and certifies the guidelines establishing statewide standards pursuant to Section 21094.5.5.
- (e) For the purposes of this section, the following terms mean the following:
- (1) "Infill project" means a project that meets the following conditions:
    - (A) Consists of any one, or combination, of the following uses:
      - (i) Residential.
      - (ii) Retail or commercial, where no more than one-half of the project area is used for parking.
      - (iii) A transit station.
      - (iv) A school.
      - (v) A public office building.
    - (B) Is located within an urban area on a site that has been previously developed, or on a vacant site where at least 75 percent of the perimeter of the site adjoins, or is separated only by an improved public right-of-way from, parcels that are developed with qualified urban uses.
  - (2) "Planning level decision" means the enactment or amendment of a general plan, community plan, specific plan, or zoning code.
  - (3) "Prior environmental impact report" means the environmental impact report certified for a planning level decision, as supplemented by any subsequent or supplemental environmental impact reports, negative declarations, or addenda to those documents.
  - (4) "Small walkable community project" means a project that is in an incorporated city, which is not within the boundary of a metropolitan planning organization and that satisfies the following requirements:
    - (A) Has a project area of approximately one-quarter mile diameter of contiguous land completely within the existing incorporated boundaries of the city.
    - (B) Has a project area that includes a residential area adjacent to a retail downtown area.
    - (C) The project has a density of at least eight dwelling units per acre or a floor area ratio for retail or commercial use of not less than 0.50.
  - (5) "Urban area" includes either an incorporated city or an unincorporated area that is completely surrounded by one or more incorporated cities that meets both of the following criteria:

- (A) The population of the unincorporated area and the population of the surrounding incorporated cities equal a population of 100,000 or more.
- (B) The population density of the unincorporated area is equal to, or greater than, the population density of the surrounding cities.

#### § 21094.5.5.

- (a) On or before July 1, 2012, the Office of Planning and Research shall prepare, develop, and transmit to the Natural Resources Agency for certification and adoption guidelines for the implementation of Section 21094.5 and the Secretary of the Natural Resources Agency, on or before January 1, 2013, shall certify and adopt the guidelines.
- (b) The guidelines prepared pursuant to this section shall include statewide standards for infill projects that may be amended from time to time and promote all of the following:
  - (1) The implementation of the land use and transportation policies in the Sustainable Communities and Climate Protection Act of 2008 (Chapter 728 of the Statutes of 2008).
  - (2) The state planning priorities specified in Section 65041.1 of the Government Code and in the most recently adopted Environmental Goals and Policy Report issued by the Office of Planning and Research supporting infill development.
  - (3) The reduction of greenhouse gas emissions under the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code).
  - (4) The reduction in per capita water use pursuant to Section 10608.16 of the Water Code.
  - (5) The creation of a transit village development district consistent with Section 65460.1 of the Government Code.
  - (6) Substantial energy efficiency improvements, including improvements to projects related to transportation energy.
  - (7) Protection of public health, including the health of vulnerable populations from air or water pollution, or soil contamination.
- (c) The standards for projects on infill sites shall be updated as frequently as necessary to ensure the protection of the environment.

#### § 21095. AMENDMENT TO STATE GUIDELINES TO PROVIDE OPTIONAL METHODOLOGY TO EVALUATE ENVIRONMENTAL EFFECTS OF AGRICULTURAL LAND CONVERSIONS

- (a) The Resources Agency, in consultation with the Office of Planning and Research, shall develop an amendment to Appendix G of the state guidelines, for adoption pursuant to Section 21083, to provide lead agencies an optional methodology to ensure that significant effects on the environment of agricultural land conversions are quantitatively and consistently considered in the environmental review process.
- (b) The Department of Conservation, in consultation with the United States Department of Agriculture pursuant to Section 658.6 of Title 7 of the Code of Federal Regulations, and in consultation with the Resources Agency and the Office of Planning and Research, shall develop a state model land evaluation and site assessment system, contingent upon the availability of funding from non-General Fund sources. The department shall seek funding for that purpose from non-General Fund sources, including, but not limited to, the United States Department of Agriculture.
- (c) In lieu of developing an amendment to Appendix G of the state guidelines pursuant to subdivision (a), the Resources Agency may adopt the state model land evaluation and site assessment system developed pursuant to subdivision (b) as that amendment to Appendix G.

**§ 21096. AIRPORT-RELATED SAFETY HAZARDS AND NOISE PROBLEMS; PROJECTS WITHIN AIRPORT COMPREHENSIVE LAND USE PLAN BOUNDARIES OR WITHIN TWO NAUTICAL MILES OF AIRPORT; PREPARATION OF ENVIRONMENTAL IMPACT REPORTS**

- (a) If a lead agency prepares an environmental impact report for a project situated within airport land use compatibility plan boundaries, or, if an airport land use compatibility plan has not been adopted, for a project within two nautical miles of a public airport or public use airport, the Airport Land Use Planning Handbook published by the Division of Aeronautics of the Department of Transportation, in compliance with Section 21674.5 of the Public Utilities Code and other documents, shall be utilized as technical resources to assist in the preparation of the environmental impact report as the report relates to airport-related safety hazards and noise problems.
- (b) A lead agency shall not adopt a negative declaration for a project described in subdivision (a) unless the lead agency considers whether the project will result in a safety hazard or noise problem for persons using the airport or for persons residing or working in the project area.

**§ 21097. [DELETED]**

**§ 21098. LOW-LEVEL FLIGHT PATH; MILITARY IMPACT ZONE; SPECIAL USE AIRSPACE**

- (a) For the purposes of this section, the following terms have the following meanings:
- (1) “Low-level flight path” includes any flight path for any aircraft owned, maintained, or that is under the jurisdiction of the United States Department of Defense that flies lower than 1,500 feet above ground level, as indicated in the United States Department of Defense Flight Information Publication, “Area Planning Military Training Routes: North and South America (AP/1B)” published by the United States National Imagery and Mapping Agency.
  - (2) “Military impact zone” includes any area, including airspace, that meets both of the following criteria:
    - (A) Is within two miles of a military installation, including, but not limited to, any base, military airport, camp, post, station, yard, center, homeport facility for a ship, or any other military activity center that is under the jurisdiction of the United States Department of Defense.
    - (B) Covers greater than 500 acres of unincorporated land, or greater than 100 acres of city incorporated land.
  - (3) “Military service” means any branch of the United States Armed Forces.
  - (4) “Special use airspace” means the land area underlying the airspace that is designated for training, research, development, or evaluation for a military service, as that land area is established by the United States Department of Defense Flight Information Publication, “Area Planning: Special Use Airspace: North and South America (AP/1A)” published by the United States National Imagery and Mapping Agency.
- (b) If the United States Department of Defense or a military service notifies a lead agency of the contact office and address for the military service and the specific boundaries of a low-level flight path, military impact zone, or special use airspace, the lead agency shall submit notices, as required pursuant to Sections 21080.4 and 21092, to the military service if the project is within those boundaries and any of the following apply:
- (1) The project includes a general plan amendment.
  - (2) The project is of statewide, regional, or areawide significance.
  - (3) The project is required to be referred to the airport land use commission, or appropriately designated body, pursuant to Article 3.5 (commencing with Section 21670) of Chapter 4 of Part 1 of Division 9 of the Public Utilities Code.

- (c) The requirement to submit notices imposed by this section does not apply to any of the following:
- (1) Response actions taken pursuant to Chapter 6.8 (commencing with Section 25300) of Division 20 of the Health and Safety Code.
  - (2) Response actions taken pursuant to Chapter 6.85 (commencing with Section 25396) of Division 20 of the Health and Safety Code.
  - (3) Sites subject to corrective action orders issued pursuant to Section 25187 of the Health and Safety Code.
- (d) (1) The effect or potential effect that a project may have on military activities does not itself constitute an adverse effect on the environment for the purposes of this division.
- (2) Notwithstanding paragraph (1), a project's impact on military activities may cause, or be associated with, adverse effects on the environment that are subject to the requirements of this division, including, but not limited to, Section 21081.

## **Chapter 2.7: Modernization of Transportation Analysis for Transit-Oriented Infill Projects**

### **§ 21099.**

- (a) For purposes of this section, the following terms mean the following:
- (1) “Employment center project” means a project located on property zoned for commercial uses with a floor area ratio of no less than 0.75 and that is located within a transit priority area.
  - (2) “Floor area ratio” means the ratio of gross building area of the development, excluding structured parking areas, proposed for the project divided by the net lot area.
  - (3) “Gross building area” means the sum of all finished areas of all floors of a building included within the outside faces of its exterior walls.
  - (4) “Infill site” means a lot located within an urban area that has been previously developed, or on a vacant site where at least 75 percent of the perimeter of the site adjoins, or is separated only by an improved public right-of-way from, parcels that are developed with qualified urban uses.
  - (5) “Lot” means all parcels utilized by the project.
  - (6) “Net lot area” means the area of a lot, excluding publicly dedicated land and private streets that meet local standards, and other public use areas as determined by the local land use authority.
  - (7) “Transit priority area” means an area within one-half mile of a major transit stop that is existing or planned, if the planned stop is scheduled to be completed within the planning horizon included in a Transportation Improvement Program adopted pursuant to Section 450.216 or 450.322 of Title 23 of the Code of Federal Regulations.
- (b) (1) The Office of Planning and Research shall prepare, develop, and transmit to the Secretary of the Natural Resources Agency for certification and adoption proposed revisions to the guidelines adopted pursuant to Section 21083 establishing criteria for determining the significance of transportation impacts of projects within transit priority areas. Those criteria shall promote the reduction of greenhouse gas emissions, the development of multimodal transportation networks, and a diversity of land uses. In developing the criteria, the office shall recommend potential metrics to measure transportation impacts that may include, but are not limited to, vehicle miles traveled, vehicle miles traveled per capita, automobile trip

- generation rates, or automobile trips generated. The office may also establish criteria for models used to analyze transportation impacts to ensure the models are accurate, reliable, and consistent with the intent of this section.
- (2) Upon certification of the guidelines by the Secretary of the Natural Resources Agency pursuant to this section, automobile delay, as described solely by level of service or similar measures of vehicular capacity or traffic congestion shall not be considered a significant impact on the environment pursuant to this division, except in locations specifically identified in the guidelines, if any.
- (3) This subdivision does not relieve a public agency of the requirement to analyze a project's potentially significant transportation impacts related to air quality, noise, safety, or any other impact associated with transportation. The methodology established by these guidelines shall not create a presumption that a project will not result in significant impacts related to air quality, noise, safety, or any other impact associated with transportation. Notwithstanding the foregoing, the adequacy of parking for a project shall not support a finding of significance pursuant to this section.
- (4) This subdivision does not preclude the application of local general plan policies, zoning codes, conditions of approval, thresholds, or any other planning requirements pursuant to the police power or any other authority.
- (5) On or before July 1, 2014, the Office of Planning and Research shall circulate a draft revision prepared pursuant to paragraph (1).
- (c) (1) The Office of Planning and Research may adopt guidelines pursuant to Section 21083 establishing alternative metrics to the metrics used for traffic levels of service for transportation impacts outside transit priority areas. The alternative metrics may include the retention of traffic levels of service, where appropriate and as determined by the office.
- (2) This subdivision shall not affect the standard of review that would apply to the new guidelines adopted pursuant to this section.
- (d) (1) Aesthetic and parking impacts of a residential, mixed-use residential, or employment center project on an infill site within a transit priority area shall not be considered significant impacts on the environment.
- (2) (A) This subdivision does not affect, change, or modify the authority of a lead agency to consider aesthetic impacts pursuant to local design review ordinances or other discretionary powers provided by other laws or policies.
- (B) For the purposes of this subdivision, aesthetic impacts do not include impacts on historical or cultural resources.
- (e) The report shall also contain a statement briefly indicating the reasons for determining that various effects on the environment of a project are not significant and consequently have not been discussed in detail in the environmental impact report.

### Chapter 3: State Agencies, Boards and Commissions

#### § 21100. ENVIRONMENTAL IMPACT REPORT ON PROPOSED STATE PROJECTS; SIGNIFICANT EFFECT; CUMULATIVE IMPACT ANALYSIS

- (a) All lead agencies shall prepare, or cause to be prepared by contract, and certify the completion of, an environmental impact report on any project which they propose to carry out or approve that may have a significant effect on the environment. Whenever feasible, a standard format shall be used for environmental impact reports.
- (b) The environmental impact report shall include a detailed statement setting forth all of the following:

- (1) All significant effects on the environment of the proposed project.
  - (2) In a separate section:
    - (A) Any significant effect on the environment that cannot be avoided if the project is implemented.
    - (B) Any significant effect on the environment that would be irreversible if the project is implemented.
  - (3) Mitigation measures proposed to minimize significant effects on the environment, including, but not limited to, measures to reduce the wasteful, inefficient, and unnecessary consumption of energy.
  - (4) Alternatives to the proposed project.
  - (5) The growth-inducing impact of the proposed project.
- (c) The report shall also contain a statement briefly indicating the reasons for determining that various effects on the environment of a project are not significant and consequently have not been discussed in detail in the environmental impact report.
- (d) For purposes of this section, any significant effect on the environment shall be limited to substantial, or potentially substantial, adverse changes in physical conditions which exist within the area as defined in Section 21060.5.
- (e) Previously approved land use documents, including, but not limited to, general plans, specific plans, and local coastal plans, may be used in cumulative impact analysis.

#### **§ 21100.1. INFORMATION REQUIRED IN CERTAIN ENVIRONMENTAL IMPACT REPORTS**

The information described in subparagraph (B) of paragraph (2) of subdivision (b) of Section 21100 shall be required only in environmental impact reports prepared in connection with the following:

- (a) The adoption, amendment, or enactment of a plan, policy, or ordinance of a public agency.
- (b) The adoption by a local agency formation commission of a resolution making determinations.
- (c) A project which will be subject to the requirement for preparing an environmental impact statement pursuant to the requirements of the National Environmental Policy Act of 1969.

#### **§ 21100.2. LEASES, PERMITS, LICENSES, CERTIFICATES AND OTHER ENTITLEMENTS; ESTABLISHMENT OF TIME LIMITS FOR IMPACT REPORTS AND NEGATIVE DECLARATIONS**

- (a) (1) For projects described in subdivision (c) of Section 21065, each state agency shall establish, by resolution or order, time limits that do not exceed the following:
  - (A) One year for completing and certifying environmental impact reports.
  - (B) One hundred eighty days for completing and adopting negative declarations.
- (2) The time limits specified in paragraph (1) shall apply only to those circumstances in which the state agency is the lead agency for a project. These resolutions or orders may establish different time limits for different types or classes of projects, but all limits shall be measured from the date on which an application requesting approval of the project is received and accepted as complete by the state agency.
- (3) No application for a project may be deemed incomplete for lack of a waiver of time periods prescribed in state regulations.
- (4) The resolutions or orders required by this section may provide for a reasonable extension of the time period in the event that compelling circumstances justify additional time and the project applicant consents thereto.

- (b) If a draft environmental impact report, environmental impact report, or focused environmental impact report is prepared under a contract to a state agency, the contract shall be executed within 45 days from the date on which the state agency sends a notice of preparation pursuant to Section 21080.4. The state agency may take longer to execute the contract if the project applicant and the state agency mutually agree to an extension of the time limit provided by this subdivision.
- (c) (1) A public agency may establish a process that would allow an applicant for a natural gas pipeline safety enhancement activity to elect to pay additional fees to be used by the public agency in determining whether to approve a natural gas pipeline safety enhancement activity by entering into a contract with one or more third parties to assist the public agency to perform the analysis, consistent with Article VII of the California Constitution and Section 19130 of the Government Code and the charter of a chartered city or county, as applicable. The public agency may, but is not required to, offer an applicant the option to pay those fees and subject a project to this process.
- (2) All fees paid by a natural gas pipeline safety enhancement activity applicant shall be used exclusively for analysis of that applicant's application for certification.
- (3) For purposes of this section, "natural gas pipeline safety enhancement activity" has the same meaning as defined in paragraph (1) of subdivision (d) of Section 21080.21.
- (d) This section shall remain in effect only until January 1, 2018, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2018, deletes or extends that date.

## § 21100.2.

- (a) (1) For projects described in subdivision (c) of Section 21065, each state agency shall establish, by resolution or order, time limits that do not exceed the following:
  - (A) One year for completing and certifying environmental impact reports.
  - (B) One hundred eighty days for completing and adopting negative declarations.
- (2) The time limits specified in paragraph (1) shall apply only to those circumstances in which the state agency is the lead agency for a project. These resolutions or orders may establish different time limits for different types or classes of projects, but all limits shall be measured from the date on which an application requesting approval of the project is received and accepted as complete by the state agency.
- (3) No application for a project may be deemed incomplete for lack of a waiver of time periods prescribed in state regulations.
- (4) The resolutions or orders required by this section may provide for a reasonable extension of the time period in the event that compelling circumstances justify additional time and the project applicant consents thereto.
- (b) If a draft environmental impact report, environmental impact report, or focused environmental impact report is prepared under a contract to a state agency, the contract shall be executed within 45 days from the date on which the state agency sends a notice of preparation pursuant to Section 21080.4. The state agency may take longer to execute the contract if the project applicant and the state agency mutually agree to an extension of the time limit provided by this subdivision.
- (c) This section shall become operative January 1, 2018.

## § 21101. ENVIRONMENTAL IMPACT REPORT ON PROPOSED FEDERAL PROJECTS

In regard to any proposed federal project in this state which may have a significant effect on the environment and on which the state officially comments, the state officials responsible for such

comments shall include in their report a detailed statement setting forth the matters specified in Section 21100 prior to transmitting the comments of the state to the federal government. No report shall be transmitted to the federal government unless it includes such a detailed statement as to the matters specified in Section 21100.

#### **§ 21102. REQUEST FOR OR AUTHORIZATION OF EXPENDITURE OF FUNDS; STATEMENT OF EFFECT ON ENVIRONMENT**

No state agency, board, or commission shall request funds, nor shall any state agency, board, or commission which authorizes expenditures of funds, other than funds appropriated in the Budget Act, authorize funds for expenditure for any project, other than a project involving only feasibility or planning studies for possible future actions which the agency, board, or commission has not approved, adopted or funded, which may have a significant effect on the environment unless such request or authorization is accompanied by an environmental impact report. Feasibility and planning studies exempted by this section from the preparation of an environmental impact report shall nevertheless include consideration of environmental factors.

#### **§ 21104. STATE LEAD AGENCY; CONSULTATIONS PRIOR TO COMPLETION OF IMPACT REPORT**

- (a) Prior to completing an environmental impact report, the state lead agency shall consult with, and obtain comments from, each responsible agency, trustee agency, any public agency that has jurisdiction by law with respect to the project, and any city or county that borders on a city or county within which the project is located unless otherwise designated annually by agreement between the state lead agency and the city or county, and may consult with any person who has special expertise with respect to any environmental impact involved. In the case of a project described in subdivision (c) of Section 21065, the state lead agency shall, upon the request of the applicant, provide for early consultation to identify the range of actions, alternatives, mitigation measures, and significant effects to be analyzed in depth in the environmental impact report. The state lead agency may consult with persons identified by the applicant who the applicant believes will be concerned with the environmental effects of the project and may consult with members of the public who have made a written request to be consulted on the project. A request by the applicant for early consultation shall be made not later than 30 days after the determination required by Section 21080.1 with respect to the project.
- (b) The state lead agency shall consult with, and obtain comments from, the State Air Resources Board in preparing an environmental impact report on a highway or freeway project, as to the air pollution impact of the potential vehicular use of the highway or freeway.
- (c) A responsible agency or other public agency shall only make substantive comments regarding those activities involved in a project that are within an area of expertise of the agency or that are required to be carried out or approved by the agency. Those comments shall be supported by specific documentation.

#### **§ 21104.2. CONSULTATION AND FINDINGS; EFFECT OF PROJECTS ON THREATENED OR ENDANGERED SPECIES**

The state lead agency shall consult with, and obtain written findings from, the Department of Fish and Game in preparing an environmental impact report on a project, as to the impact of the project on the continued existence of any endangered species or threatened species pursuant to Article 4 (commencing with Section 2090) of Chapter 1.5 of Division 3 of the Fish and Game Code.

### **§ 21105. ENVIRONMENTAL IMPACT REPORT AND COMMENTS AS PART OF REGULAR PROJECT REPORT; AVAILABILITY TO LEGISLATURE AND GENERAL PUBLIC**

The state lead agency shall include the environmental impact report as a part of the regular project report used in the existing review and budgetary process. It shall be available to the Legislature. It shall also be available for inspection by any member of the general public, who may secure a copy thereof by paying for the actual cost of such a copy. It shall be filed by the state lead agency with the appropriate local planning agency of any city, county, or city and county which will be affected by the project.

### **§ 21106. REQUEST OF FUNDS TO PROTECT ENVIRONMENT**

All state agencies, boards, and commissions shall request in their budgets the funds necessary to protect the environment in relation to problems caused by their activities.

### **§ 21108. STATE AGENCY, BOARD OR COMMISSION; APPROVAL OF DETERMINATION TO CARRY OUT PROJECT; NOTICE; CONTENTS; PUBLIC INSPECTION; POSTING**

- (a) Whenever a state agency approves or determines to carry out a project that is subject to this division, the state agency shall file notice of that approval or that determination with the Office of Planning and Research. The notice shall identify the person or persons in subdivision (b) or (c) of Section 21065, as reflected in the agency's record of proceedings, and indicate the determination of the state agency whether the project will, or will not, have a significant effect on the environment and shall indicate whether an environmental impact report has been prepared pursuant to this division.
- (b) Whenever a state agency determines that a project is not subject to this division pursuant to subdivision (b) of Section 21080 or Section 21172, and the state agency approves or determines to carry out the project, the state agency or the person specified in subdivision (b) or (c) of Section 21065 may file notice of the determination with the Office of Planning and Research. A notice filed pursuant to this subdivision shall identify the person or persons in subdivision (b) or (c) of Section 21065, as reflected in the agency's record of proceedings. A notice filed pursuant to this subdivision by a person specified in subdivision (b) or (c) of Section 21065 shall have a certificate of determination attached to it issued by the state agency responsible for making the determination that the project is not subject to this division pursuant to subdivision (b) of Section 21080 or pursuant to Section 21172. The certificate of determination may be in the form of a certified copy of an existing document or record of the state agency.
- (c) All notices filed pursuant to this section shall be available for public inspection, and a list of these notices shall be posted on a weekly basis in the Office of Planning and Research. Each list shall remain posted for a period of 30 days. The Office of Planning and Research shall retain each notice for not less than 12 months.

## **Chapter 4: Local Agencies**

### **§ 21150. ENVIRONMENTAL IMPACT REPORT REQUIRED BEFORE ALLOCATION OF STATE OR FEDERAL FUNDS**

State agencies, boards, and commissions, responsible for allocating state or federal funds on a project-by-project basis to local agencies for any project which may have a significant effect on the environment, shall require from the responsible local governmental agency a detailed statement setting forth the matters specified in Section 21100 prior to the allocation of any funds other than funds solely for projects involving only feasibility or planning studies for possible future actions which the agency, board, or commission has not approved, adopted, or funded.

**§ 21151. LOCAL AGENCIES; PREPARATION AND COMPLETION OF IMPACT REPORT;  
SUBMISSION AS PART OF GENERAL PLAN REPORT; SIGNIFICANT EFFECT**

- (a) All local agencies shall prepare, or cause to be prepared by contract, and certify the completion of, an environmental impact report on any project that they intend to carry out or approve which may have a significant effect on the environment. When a report is required by Section 65402 of the Government Code, the environmental impact report may be submitted as a part of that report.
- (b) For purposes of this section, any significant effect on the environment shall be limited to substantial, or potentially substantial, adverse changes in physical conditions which exist within the area as defined in Section 21060.5.
- (c) If a nonelected decision-making body of a local lead agency certifies an environmental impact report, approves a negative declaration or mitigated negative declaration, or determines that a project is not subject to this division, that certification, approval, or determination may be appealed to the agency's elected decision-making body, if any.

**§ 21151.1. WASTE-BURNING PROJECTS; LAND DISPOSAL FACILITIES, AND OFFSITE  
LARGE TREATMENT FACILITIES; ENVIRONMENTAL IMPACT REPORTS; APPLICATION OF  
SECTION; EXEMPTIONS; OFFSITE FACILITY DEFINED**

- (a) Notwithstanding paragraph (6) of subdivision (b) of Section 21080, or Section 21080.5 or 21084, or any other provision of law, except as provided in this section, a lead agency shall prepare or cause to be prepared by contract, and certify the completion of, an environmental impact report or, if appropriate, a modification, addendum, or supplement to an existing environmental impact report, for any project involving any of the following:
  - (1) The burning of municipal wastes, hazardous waste, or refuse-derived fuel, including, but not limited to, tires, if the project is either of the following:
    - (A) The construction of a new facility.
    - (B) The expansion of an existing facility that burns hazardous waste that would increase its permitted capacity by more than 10 percent.
  - (2) The initial issuance of a hazardous waste facilities permit to a land disposal facility, as defined in subdivision (d) of Section 25199.1 of the Health and Safety Code.
  - (3) The initial issuance of a hazardous waste facilities permit pursuant to Section 25200 of the Health and Safety Code to an offsite large treatment facility, as defined pursuant to subdivision (d) of Section 25205.1 of the Health and Safety Code.
  - (4) A base reuse plan as defined in Section 21083.8. The Legislature hereby finds that no reimbursement is required pursuant to Section 6 of Article XIII\_B of the California Constitution for an environmental impact report for a base reuse plan if an environmental impact report is otherwise required for that base reuse plan pursuant to any other provision of this division.
- (b) For purposes of clause (ii) of subparagraph (A) of paragraph (1) of subdivision (a), the amount of expansion of an existing facility shall be calculated by comparing the proposed facility capacity with whichever of the following is applicable:
  - (1) The facility capacity authorized in the facility's hazardous waste facilities permit pursuant to Section 25200 of the Health and Safety Code or its grant of interim status pursuant to Section 25200.5 of the Health and Safety Code, or the facility capacity authorized in a state or local agency permit allowing the construction or operation of a facility for the burning of hazardous waste, granted before January 1, 1990.
  - (2) The facility capacity authorized in the facility's original hazardous waste facilities permit, grant of interim status, or any state or local agency permit allowing the construction or

operation of a facility for the burning of hazardous waste, granted on or after January 1, 1990.

- (c) For purposes of paragraphs (2) and (3) of subdivision (a), the initial issuance of a hazardous waste facilities permit does not include the issuance of a closure or postclosure permit pursuant to Chapter 6.5 (commencing with Section 25100) of Division 20 of the Health and Safety Code.
- (d) Paragraph (1) of subdivision (a) does not apply to ~~any~~ project that does any of the following:
- (1) Exclusively burns digester gas produced from manure or any other solid or semisolid animal waste.
  - (2) Exclusively burns methane gas produced from a disposal site, as defined in Section 40122, that is used only for the disposal of solid waste, as defined in Section 40191.
  - (3) Exclusively burns forest, agricultural, wood, or other biomass wastes.
  - (4) Exclusively burns hazardous waste in an incineration unit that is transportable and that is either at a site for not longer than three years or is part of a remedial or removal action. For purposes of this paragraph, "transportable" means any equipment that performs a "treatment" as defined in Section 66216 of Title 22 of the California Code of Regulations, and that is transported on a vehicle as defined in Section 66230 of Title 22 of the California Code of Regulations, as those sections read on June 1, 1991.
  - (5) Exclusively burns refinery waste in a flare on the site of generation.
  - (6) Exclusively burns in a flare methane gas produced at a municipal sewage treatment plant.
  - (7) Exclusively burns hazardous waste, or exclusively burns hazardous waste as a supplemental fuel, as part of a research, development, or demonstration project that, consistent with federal regulations implementing the Resource Conservation and Recovery Act of 1976, as amended (42 U.S.C. Sec. 6901 et seq.), has been determined to be innovative and experimental by the Department of Toxic Substances Control and that is limited in type and quantity of waste to that necessary to determine the efficacy and performance capabilities of the technology or process. However, a facility that operated as a research, development, or demonstration project and for which an application is thereafter submitted for a hazardous waste facility permit for operation other than as a research, development, or demonstration project shall be considered a new facility for the burning of hazardous waste and shall be subject to subdivision (a) of Section 21151.1.
  - (8) Exclusively burns soils contaminated only with petroleum fuels or the vapors from these soils.
  - (9) Exclusively treats less than 3,000 pounds of hazardous waste per day in a thermal processing unit operated in the absence of open flame, and submits a worst-case health risk assessment of the technology to the Department of Toxic Substances Control for review and distribution to the interested public. This assessment shall be prepared in accordance with guidelines set forth in the Air Toxics Assessment Manual of the California Air Pollution Control Officers Association.
  - (10) Exclusively burns less than 1,200 pounds per day of medical waste, as defined in Section 117690 of the Health and Safety Code, on hospital sites.
  - (11) Exclusively burns chemicals and fuels as part of firefighter training.
  - (12) Exclusively conducts open burns of explosives subject to the requirements of the air pollution control district or air quality management district and in compliance with OSHA and Cal-OSHA regulations.
  - (13) Exclusively conducts onsite burning of less than 3,000 pounds per day of fumes directly from a manufacturing or commercial process.

- (14) Exclusively conducts onsite burning of hazardous waste in an industrial furnace that recovers hydrogen chloride from the flue gas if the hydrogen chloride is subsequently sold, distributed in commerce, or used in a manufacturing process at the site where the hydrogen chloride is recovered, and the burning is in compliance with the requirements of the air pollution control district or air quality management district and the Department of Toxic Substances Control.
- (e) Paragraph (1) of subdivision (a) does not apply to a project for which the State Energy Resources Conservation and Development Commission has assumed jurisdiction under Chapter 6 (commencing with Section 25500) of Division 15.
- (f) Paragraphs (2) and (3) of subdivision (a) do not apply if the facility only manages hazardous waste that is identified or listed pursuant to Section 25140 or 25141 of the Health and Safety Code on or after January 1, 1992, but not before that date, or only conducts activities that are regulated pursuant to Chapter 6.5 (commencing with Section 25100) of Division 20 of the Health and Safety Code on or after January 1, 1992, but not before that date.
- (g) This section does not exempt a project from any other requirement of this division.
- (h) For purposes of this section, offsite facility means a facility that serves more than one generator of hazardous waste.

#### **§ 21151.2. SCHOOL SITE PROPOSED ACQUISITION OR ADDITION; NOTICE TO PLANNING COMMISSION; INVESTIGATION; REPORT**

To promote the safety of pupils and comprehensive community planning the governing board of each school district before acquiring title to property for a new school site or for an addition to a present school site, shall give the planning commission having jurisdiction notice in writing of the proposed acquisition. The planning commission shall investigate the proposed site and within 30 days after receipt of the notice shall submit to the governing board a written report of the investigation and its recommendations concerning acquisition of the site.

The governing board shall not acquire title to the property until the report of the planning commission has been received. If the report does not favor the acquisition of the property for a school site, or for an addition to a present school site, the governing board of the school district shall not acquire title to the property until 30 days after the commission's report is received.

#### **§ 21151.4. CONSTRUCTION OR ALTERATION OF FACILITY WITHIN ONE-FOURTH OF A MILE OF SCHOOL; REASONABLE ANTICIPATION OF AIR EMISSION OR HANDLING OF HAZARDOUS OR ACUTELY HAZARDOUS MATERIAL; APPROVAL OF ENVIRONMENTAL IMPACT REPORT OR NEGATIVE DECLARATION**

- (a) An environmental impact report shall not be certified or a negative declaration shall not be approved for any project involving the construction or alteration of a facility within one-fourth of a mile of a school that might reasonably be anticipated to emit hazardous air emissions, or that would handle an extremely hazardous substance or a mixture containing extremely hazardous substances in a quantity equal to or greater than the state threshold quantity specified pursuant to subdivision (j) of Section 25532 of the Health and Safety Code, that may pose a health or safety hazard to persons who would attend or would be employed at the school, unless both of the following occur:
- (1) The lead agency preparing the environmental impact report or negative declaration has consulted with the school district having jurisdiction regarding the potential impact of the project on the school.
  - (2) The school district has been given written notification of the project not less than 30 days prior to the proposed certification of the environmental impact report or approval of the negative declaration.

- (b) As used in this section, the following definitions apply:
- (1) “Extremely hazardous substance” means an extremely hazardous substance as defined pursuant to paragraph (2) of subdivision (g) of Section 25532 of the Health and Safety Code.
  - (2) “Hazardous air emissions” means emissions into the ambient air of air contaminants that have been identified as a toxic air contaminant by the State Air Resources Board or by the air pollution control officer for the jurisdiction in which the project is located. As determined by the air pollution control officer, hazardous air emissions also means emissions into the ambient air of a substance identified in subdivisions (a) to (f), inclusive, of Section 44321 of the Health and Safety Code.

#### **§ 21151.5. TIME LIMITS FOR PREPARATION OF ENVIRONMENTAL IMPACT REPORTS AND NEGATIVE DECLARATIONS**

- (a) (1) For projects described in subdivision (c) of Section 21065, each local agency shall establish, by ordinance or resolution, time limits that do not exceed the following:
    - (A) One year for completing and certifying environmental impact reports.
    - (B) One hundred eighty days for completing and adopting negative declarations.
  - (2) The time limits specified in paragraph (1) shall apply only to those circumstances in which the local agency is the lead agency for a project. These ordinances or resolutions may establish different time limits for different types or classes of projects and different types of environmental impact reports, but all limits shall be measured from the date on which an application requesting approval of the project is received and accepted as complete by the local agency.
  - (3) No application for a project may be deemed incomplete for lack of a waiver of time periods prescribed by local ordinance or resolution.
  - (4) The ordinances or resolutions required by this section may provide for a reasonable extension of the time period in the event that compelling circumstances justify additional time and the project applicant consents thereto.
- (b) If a draft environmental impact report, environmental impact report, or focused environmental impact report is prepared under a contract to a local agency, the contract shall be executed within 45 days from the date on which the local agency sends a notice of preparation pursuant to Section 21080.4. The local agency may take longer to execute the contract if the project applicant and the local agency mutually agree to an extension of the time limit provided by this subdivision.

#### **§ 21151.7. PREPARATION AND CERTIFICATION OF COMPLETION OF ENVIRONMENTAL IMPACT REPORT FOR OPEN-PIT MINING OPERATION BY LEAD AGENCY**

Notwithstanding any other provision of law, a lead agency shall prepare or cause to be prepared by contract, and certify the completion of, an environmental impact report for any open-pit mining operation which is subject to the permit requirements of the Surface Mining and Reclamation Act of 1975 (Chapter 9 (commencing with Section 2710) of Division 2) and utilizes a cyanide heap-leaching process for the purpose of producing gold or other precious metals.

#### **§ 21151.8. SCHOOLSITE ACQUISITION OR CONSTRUCTION; APPROVAL OF ENVIRONMENTAL IMPACT REPORT OR NEGATIVE DECLARATION; CONDITIONS**

- (a) An environmental impact report shall not be certified or a negative declaration shall not be approved for a project involving the purchase of a schoolsite or the construction of a new elementary or secondary school by a school district unless all of the following occur:

- (1) The environmental impact report or negative declaration includes information that is needed to determine if the property proposed to be purchased, or to be constructed upon, is any of the following:
    - (A) The site of a current or former hazardous waste disposal site or solid waste disposal site and, if so, whether the wastes have been removed.
    - (B) A hazardous substance release site identified by the Department of Toxic Substances Control in a current list adopted pursuant to Section 25356 of the Health and Safety Code for removal or remedial action pursuant to Chapter 6.8 (commencing with Section 25300) of Division 20 of the Health and Safety Code.
    - (C) A site that contains one or more pipelines, situated underground or aboveground, that carries hazardous substances, extremely hazardous substances, or hazardous wastes, unless the pipeline is a natural gas line that is used only to supply natural gas to that school or neighborhood, or other nearby schools.
    - (D) A site that is within 500 feet of the edge of the closest traffic lane of a freeway or other busy traffic corridor.
  - (2) (A) The school district, as the lead agency, in preparing the environmental impact report or negative declaration has notified in writing and consulted with the administering agency in which the proposed schoolsite is located, pursuant to Section 2735.3 of Title 19 of the California Code of Regulations, and with any air pollution control district or air quality management district having jurisdiction in the area, to identify both permitted and nonpermitted facilities within that district's authority, including, but not limited to, freeways and busy traffic corridors, large agricultural operations, and railyards, within one-fourth of a mile of the proposed schoolsite, that might reasonably be anticipated to emit hazardous emissions or handle hazardous or extremely hazardous substances or waste. The notification by the school district, as the lead agency, shall include a list of the locations for which information is sought.
  - (B) Each administering agency, air pollution control district, or air quality management district receiving written notification from a lead agency to identify facilities pursuant to subparagraph (A) shall provide the requested information and provide a written response to the lead agency within 30 days of receiving the notification. The environmental impact report or negative declaration shall be conclusively presumed to comply with subparagraph (A) as to the area of responsibility of an agency that does not respond within 30 days.
  - (C) If the school district, as a lead agency, has carried out the consultation required by subparagraph (A), the environmental impact report or the negative declaration shall be conclusively presumed to comply with subparagraph (A), notwithstanding any failure of the consultation to identify an existing facility or other pollution source specified in subparagraph (A).
- (3) The governing board of the school district makes one of the following written findings:
    - (A) Consultation identified no facilities of this type or other significant pollution sources specified in paragraph (2).
    - (B) The facilities or other pollution sources specified in paragraph (2) exist, but one of the following conditions applies:
      - (i) The health risks from the facilities or other pollution sources do not and will not constitute an actual or potential endangerment of public health to persons who would attend or be employed at the proposed school.
      - (ii) Corrective measures required under an existing order by another agency having jurisdiction over the facilities or other pollution sources will, before the school is

occupied, result in the mitigation of all chronic or accidental hazardous air emissions to levels that do not constitute an actual or potential endangerment of public health to persons who would attend or be employed at the proposed school. If the governing board makes a finding pursuant to this clause, it shall also make a subsequent finding, prior to occupancy of the school, that the emissions have been so mitigated.

(iii) For a schoolsite with a boundary that is within 500 feet of the edge of the closest traffic lane of a freeway or other busy traffic corridor, the governing board of the school district determines, through analysis pursuant to paragraph (2) of subdivision (b) of Section 44360 of the Health and Safety Code, based on appropriate air dispersion modeling, and after considering any potential mitigation measures, that the air quality at the proposed site is such that neither short-term nor long-term exposure poses significant health risks to pupils.

(c) The facilities or other pollution sources specified in paragraph (2) exist, but conditions in clause (i), (ii) or (iii) of subparagraph (B) cannot be met, and the school district is unable to locate an alternative site that is suitable due to a severe shortage of sites that meet the requirements in subdivision (a) of Section 17213 of the Education Code. If the governing board makes this finding, the governing board shall adopt a statement of Overriding Considerations pursuant to Section 15093 of Title 14 of the California Code of Regulations.

(b) As used in this section, the following definitions shall apply:

- (1) "Hazardous substance" means any substance defined in Section 25316 of the Health and Safety Code.
- (2) "Extremely hazardous substances" means an extremely hazardous substance as defined pursuant to paragraph (2) of subdivision (a) of Section 25532 of the Health and Safety Code.
- (3) "Hazardous waste" means any waste defined in Section 25117 of the Health and Safety Code.
- (4) "Hazardous waste disposal site" means any site defined in Section 25114 of the Health and Safety Code.
- (5) "Hazardous air emissions" means emissions into the ambient air of air contaminants that have been identified as a toxic air contaminant by the State Air Resources Board or by the air pollution control officer for the jurisdiction in which the project is located. As determined by the air pollution control officer, hazardous air emissions also means emissions into the ambient air from any substances identified in subdivisions (a) to (f), inclusive, of Section 44321 of the Health and Safety Code.
- (6) "Administering agency" means an agency designated pursuant to Section 25502 of the Health and Safety Code to implement and enforce Chapter 6.95 (commencing with Section 25500) of Division 20 of the Health and Safety Code.
- (7) "Handle" means handle as defined in Article 1 (commencing with Section 25500) of Chapter 6.95 of Division 20 of the Health and Safety Code.
- (8) "Facilities" means any source with a potential to use, generate, emit or discharge hazardous air pollutants, including, but not limited to, pollutants that meet the definition of a hazardous substance, and whose process or operation is identified as an emission source pursuant to the most recent list of source categories published by the California Air Resources Board.
- (9) "Freeway or other busy traffic corridors" means those roadways that, on an average day, have traffic in excess of 50,000 vehicles in a rural area, as defined in Section 50101 of the

Health and Safety Code, and 100,000 vehicles in an urban area, as defined in Section 50104.7 of the Health and Safety Code.

#### **§ 21151.9. PROJECTS SUBJECT TO THIS DIVISION; COMPLIANCE REQUIREMENT**

Whenever a city or county determines that a project, as defined in Section 10912 of the Water Code, is subject to this division, it shall comply with Part 2.10 (commencing with Section 10910) of Division 6 of the Water Code.

#### **§ 21151.10. [DELETED]**

#### **§ 21152. LOCAL AGENCY; APPROVAL OR DETERMINATION TO CARRY OUT PROJECT; NOTICE; CONTENTS; PUBLIC INSPECTION; POSTING**

- (a) Whenever a local agency approves or determines to carry out a project that is subject to this division, the local agency shall file notice of the approval or the determination within five working days after the approval or determination becomes final, with the county clerk of each county in which the project will be located. The notice shall identify the person or persons in subdivision (b) or (c) of Section 21065, as reflected in the agency's record of proceedings, and indicate the determination of the local agency whether the project will, or will not, have a significant effect on the environment and shall indicate whether an environmental impact report has been prepared pursuant to this division. The notice shall also include certification that the final environmental impact report, if one was prepared, together with comments and responses, is available to the general public.
- (b) Whenever a local agency determines that a project is not subject to this division pursuant to subdivision (b) of Section 21080 or pursuant to Section 21172, and the local agency approves or determines to carry out the project, the local agency or the person specified in subdivision (b) or (c) of Section 21065 may file a notice of the determination with the county clerk of each county in which the project will be located. A notice filed pursuant to this subdivision shall identify the person or persons in subdivision (b) or (c) of Section 21065, as reflected in the agency's record of proceedings. A notice filed pursuant to this subdivision by a person specified in subdivision (b) or (c) of Section 21065 shall have a certificate of determination attached to it issued by the local agency responsible for making the determination that the project is not subject to this division pursuant to subdivision (b) of Section 21080 or Section 21172. The certificate of determination may be in the form of a certified copy of an existing document or record of the local agency.
- (c) All notices filed pursuant to this section shall be available for public inspection, and shall be posted within 24 hours of receipt in the office of the county clerk. A notice shall remain posted for a period of 30 days. Thereafter, the clerk shall return the notice to the local agency with a notation of the period it was posted. The local agency shall retain the notice for not less than 12 months.

#### **§ 21152.1. LOCAL AGENCY; EXEMPT HOUSING PROJECTS; NOTICE FILING AND POSTING**

- (a) When a local agency determines that a project is not subject to this division pursuant to Section 21159.22, 21159.23, or 21159.24, and it approves or determines to carry out that project, the local agency or the person specified in subdivision (b) or (c) of Section 21065, shall file notice of the determination with the Office of Planning and Research.
- (b) All notices filed pursuant to this section shall be available for public inspection, and a list of these notices shall be posted on a weekly basis in the Office of Planning and Research. Each list shall remain posted for a period of 30 days.
- (c) Failure to file the notice required by this section does not affect the validity of a project.
- (d) Nothing in this section affects the time limitations contained in Section 21167.

### § 21153. LOCAL LEAD AGENCY; CONSULTATIONS PRIOR TO COMPLETION OF IMPACT REPORT

- (a) Prior to completing an environmental impact report, every local lead agency shall consult with, and obtain comments from, each responsible agency, trustee agency, any public agency that has jurisdiction by law with respect to the project, and any city or county that borders on a city or county within which the project is located unless otherwise designated annually by agreement between the local lead agency and the city or county, and may consult with any person who has special expertise with respect to any environmental impact involved. In the case of a project described in subdivision (c) of Section 21065, the local lead agency shall, upon the request of the project applicant, provide for early consultation to identify the range of actions, alternatives, mitigation measures, and significant effects to be analyzed in depth in the environmental impact report. The local lead agency may consult with persons identified by the project applicant who the applicant believes will be concerned with the environmental effects of the project and may consult with members of the public who have made written request to be consulted on the project. A request by the project applicant for early consultation shall be made not later than 30 days after the date that the determination required by Section 21080.1 was made with respect to the project. The local lead agency may charge and collect a fee from the project applicant in an amount that does not exceed the actual costs of the consultations.
- (b) In the case of a project described in subdivision (a) of Section 21065, the lead agency may provide for early consultation to identify the range of actions, alternatives, mitigation measures, and significant effects to be analyzed in depth in the environmental impact report. At the request of the lead agency, the Office of Planning and Research shall ensure that each responsible agency, and any public agency that has jurisdiction by law with respect to the project, is notified regarding any early consultation.
- (c) A responsible agency or other public agency shall only make substantive comments regarding those activities involved in a project that are within an area of expertise of the agency or that are required to be carried out or approved by the agency. Those comments shall be supported by specific documentation.

### § 21154. ISSUANCE OF PROJECT ORDER BY STATE; EFFECT ON IMPACT REPORT OF LOCAL AGENCY

Whenever any state agency, board, or commission issues an order which requires a local agency to carry out a project which may have a significant effect on the environment, any environmental impact report which the local agency may prepare shall be limited to consideration of those factors and alternatives which will not conflict with such order.

## Chapter 4.2: Implementation of the Sustainable Communities Strategy

### § 21155.

- (a) This chapter applies only to a transit priority project that is consistent with the general use designation, density, building intensity, and applicable policies specified for the project area in either a sustainable communities strategy or an alternative planning strategy, for which the State Air Resources Board, pursuant to subparagraph (H) of paragraph (2) of subdivision (b) of Section 65080 of the Government Code, has accepted a metropolitan planning organization's determination that the sustainable communities strategy or the alternative planning strategy would, if implemented, achieve the greenhouse gas emission reduction targets.
- (b) For purposes of this chapter, a transit priority project shall (1) contain at least 50 percent residential use, based on total building square footage and, if the project contains between 26

percent and 50 percent nonresidential uses, a floor area ratio of not less than 0.75; (2) provide a minimum net density of at least 20 dwelling units per acre; and (3) be within one-half mile of a major transit stop or high-quality transit corridor included in a regional transportation plan. A major transit stop is as defined in Section 21064.3, except that, for purposes of this section, it also includes major transit stops that are included in the applicable regional transportation plan. For purposes of this section, a high-quality transit corridor means a corridor with fixed route bus service with service intervals no longer than 15 minutes during peak commute hours. A project shall be considered to be within one-half mile of a major transit stop or high-quality transit corridor if all parcels within the project have no more than 25 percent of their area farther than one-half mile from the stop or corridor and if not more than 10 percent of the residential units or 100 units, whichever is less, in the project are farther than one-half mile from the stop or corridor.

### § 21155. 1.

If the legislative body finds, after conducting a public hearing, that a transit priority project meets all of the requirements of subdivisions (a) and (b) and one of the requirements of subdivision (c), the transit priority project is declared to be a sustainable communities project and shall be exempt from this division.

- (a) The transit priority project complies with all of the following environmental criteria:
- (1) The transit priority project and other projects approved prior to the approval of the transit priority project but not yet built can be adequately served by existing utilities, and the transit priority project applicant has paid, or has committed to pay, all applicable in-lieu or development fees.
  - (2) (A) The site of the transit priority project does not contain wetlands or riparian areas and does not have significant value as a wildlife habitat, and the transit priority project does not harm any species protected by the federal Endangered Species Act of 1973 (16 U.S.C. Sec. 1531 et seq.), the Native Plant Protection Act (Chapter 10 (commencing with Section 1900) of Division 2 of the Fish and Game Code), or the California Endangered Species Act (Chapter 1.5 (commencing with Section 2050) of Division 3 of the Fish and Game Code), and the project does not cause the destruction or removal of any species protected by a local ordinance in effect at the time the application for the project was deemed complete.
  - (B) For the purposes of this paragraph, “wetlands” has the same meaning as in the United States Fish and Wildlife Service Manual, Part 660 FW 2 (June 21, 1993).
  - (C) For the purposes of this paragraph:
    - (i) “Riparian areas” means those areas transitional between terrestrial and aquatic ecosystems and that are distinguished by gradients in biophysical conditions, ecological processes, and biota. A riparian area is an area through which surface and subsurface hydrology connect waterbodies with their adjacent uplands. A riparian area includes those portions of terrestrial ecosystems that significantly influence exchanges of energy and matter with aquatic ecosystems. A riparian area is adjacent to perennial, intermittent, and ephemeral streams, lakes, and estuarine-marine shorelines.
    - (ii) “Wildlife habitat” means the ecological communities upon which wild animals, birds, plants, fish, amphibians, and invertebrates depend for their conservation and protection.
    - (iii) Habitat of “significant value” includes wildlife habitat of national, statewide, regional, or local importance; habitat for species protected by the federal Endangered Species Act of 1973 (16 U.S.C. Sec. 1531, et seq.), the California

Endangered Species Act (Chapter 1.5 (commencing with Section 2050) of Division 3 of the Fish and Game Code), or the Native Plant Protection Act (Chapter 10 (commencing with Section 1900) of Division 2 of the Fish and Game Code); habitat identified as candidate, fully protected, sensitive, or species of special status by local, state, or federal agencies; or habitat essential to the movement of resident or migratory wildlife.

- (3) The site of the transit priority project is not included on any list of facilities and sites compiled pursuant to Section 65962.5 of the Government Code.
- (4) The site of the transit priority project is subject to a preliminary endangerment assessment prepared by a registered environmental assessor to determine the existence of any release of a hazardous substance on the site and to determine the potential for exposure of future occupants to significant health hazards from any nearby property or activity.
  - (A) If a release of a hazardous substance is found to exist on the site, the release shall be removed or any significant effects of the release shall be mitigated to a level of insignificance in compliance with state and federal requirements.
  - (B) If a potential for exposure to significant hazards from surrounding properties or activities is found to exist, the effects of the potential exposure shall be mitigated to a level of insignificance in compliance with state and federal requirements.
- (5) The transit priority project does not have a significant effect on historical resources pursuant to Section 21084.1.
- (6) The transit priority project site is not subject to any of the following:
  - (A) A wildland fire hazard, as determined by the Department of Forestry and Fire Protection, unless the applicable general plan or zoning ordinance contains provisions to mitigate the risk of a wildland fire hazard.
  - (B) An unusually high risk of fire or explosion from materials stored or used on nearby properties.
  - (C) Risk of a public health exposure at a level that would exceed the standards established by any state or federal agency.
  - (D) Seismic risk as a result of being within a delineated earthquake fault zone, as determined pursuant to Section 2622, or a seismic hazard zone, as determined pursuant to Section 2696, unless the applicable general plan or zoning ordinance contains provisions to mitigate the risk of an earthquake fault or seismic hazard zone.
  - (E) Landslide hazard, flood plain, flood way, or restriction zone, unless the applicable general plan or zoning ordinance contains provisions to mitigate the risk of a landslide or flood.
- (7) The transit priority project site is not located on developed open space.
  - (A) For the purposes of this paragraph, “developed open space” means land that meets all of the following criteria:
    - (i) Is publicly owned, or financed in whole or in part by public funds.
    - (ii) Is generally open to, and available for use by, the public.
    - (iii) Is predominantly lacking in structural development other than structures associated with open spaces, including, but not limited to, playgrounds, swimming pools, ballfields, enclosed child play areas, and picnic facilities.
  - (B) For the purposes of this paragraph, “developed open space” includes land that has been designated for acquisition by a public agency for developed open space, but does not include lands acquired with public funds dedicated to the acquisition of land for housing purposes.

- (8) The buildings in the transit priority project are 15 percent more energy efficient than required by Chapter 6 of Title 24 of the California Code of Regulations and the buildings and landscaping are designed to achieve 25 percent less water usage than the average household use in the region.
- (b) The transit priority project meets all of the following land use criteria:
- (1) The site of the transit priority project is not more than eight acres in total area.
  - (2) The transit priority project does not contain more than 200 residential units.
  - (3) The transit priority project does not result in any net loss in the number of affordable housing units within the project area.
  - (4) The transit priority project does not include any single level building that exceeds 75,000 square feet.
  - (5) Any applicable mitigation measures or performance standards or criteria set forth in the prior environmental impact reports, and adopted in findings, have been or will be incorporated into the transit priority project.
  - (6) The transit priority project is determined not to conflict with nearby operating industrial uses.
  - (7) The transit priority project is located within one-half mile of a rail transit station or a ferry terminal included in a regional transportation plan or within one-quarter mile of a high-quality transit corridor included in a regional transportation plan.
- (c) The transit priority project meets at least one of the following three criteria:
- (1) The transit priority project meets both of the following:
    - (A) At least 20 percent of the housing will be sold to families of moderate income, or not less than 10 percent of the housing will be rented to families of low income, or not less than 5 percent of the housing is rented to families of very low income.
    - (B) The transit priority project developer provides sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units for very low-, low-, and moderate-income households at monthly housing costs with an affordable housing cost or affordable rent, as defined in Section 50052.5 or 50053 of the Health and Safety Code, respectively, for the period required by the applicable financing. Rental units shall be affordable for at least 55 years. Ownership units shall be subject to resale restrictions or equity sharing requirements for at least 30 years.
  - (2) The transit priority project developer has paid or will pay in-lieu fees pursuant to a local ordinance in an amount sufficient to result in the development of an equivalent number of units that would otherwise be required pursuant to paragraph (1).
  - (3) The transit priority project provides public open space equal to or greater than five acres per 1,000 residents of the project.

## § 21155.2.

- (a) A transit priority project that has incorporated all feasible mitigation measures, performance standards, or criteria set forth in the prior applicable environmental impact reports and adopted in findings made pursuant to Section 21081, shall be eligible for either the provisions of subdivision (b) or (c).
- (b) A transit priority project that satisfies the requirements of subdivision (a) may be reviewed through a sustainable communities environmental assessment as follows:
  - (1) An initial study shall be prepared to identify all significant or potentially significant impacts of the transit priority project, other than those which do not need to be reviewed

pursuant to Section 21159.28 based on substantial evidence in light of the whole record. The initial study shall identify any cumulative effects that have been adequately addressed and mitigated pursuant to the requirements of this division in prior applicable certified environmental impact reports. Where the lead agency determines that a cumulative effect has been adequately addressed and mitigated, that cumulative effect shall not be treated as cumulatively considerable for the purposes of this subdivision.

- (2) The sustainable communities environmental assessment shall contain measures that either avoid or mitigate to a level of insignificance all potentially significant or significant effects of the project required to be identified in the initial study.
  - (3) A draft of the sustainable communities environmental assessment shall be circulated for public comment for a period of not less than 30 days. Notice shall be provided in the same manner as required for an environmental impact report pursuant to Section 21092.
  - (4) Prior to acting on the sustainable communities environmental assessment, the lead agency shall consider all comments received.
  - (5) A sustainable communities environmental assessment may be approved by the lead agency after conducting a public hearing, reviewing the comments received, and finding that:
    - (A) All potentially significant or significant effects required to be identified in the initial study have been identified and analyzed.
    - (B) With respect to each significant effect on the environment required to be identified in the initial study, either of the following apply:
      - (i) Changes or alterations have been required in or incorporated into the project that avoid or mitigate the significant effects to a level of insignificance.
      - (ii) Those changes or alterations are within the responsibility and jurisdiction of another public agency and have been, or can and should be, adopted by that other agency.
  - (6) The legislative body of the lead agency shall conduct the public hearing or a planning commission may conduct the public hearing if local ordinances allow a direct appeal of approval of a document prepared pursuant to this division to the legislative body subject to a fee not to exceed five hundred dollars (\$500).
  - (7) The lead agency's decision to review and approve a transit priority project with a sustainable communities environmental assessment shall be reviewed under the substantial evidence standard.
- (c) A transit priority project that satisfies the requirements of subdivision (a) may be reviewed by an environmental impact report that complies with all of the following:
- (1) An initial study shall be prepared to identify all significant or potentially significant effects of the transit priority project other than those that do not need to be reviewed pursuant to Section 21159.28 based upon substantial evidence in light of the whole record. The initial study shall identify any cumulative effects that have been adequately addressed and mitigated pursuant to the requirements of this division in prior applicable certified environmental impact reports. Where the lead agency determines that a cumulative effect has been adequately addressed and mitigated, that cumulative effect shall not be treated as cumulatively considerable for the purposes of this subdivision.
  - (2) An environmental impact report prepared pursuant to this subdivision need only address the significant or potentially significant effects of the transit priority project on the environment identified pursuant to paragraph (1). It is not required to analyze off-site alternatives to the transit priority project. It shall otherwise comply with the requirements of this division.

### § 21155.3

- (a) The legislative body of a local jurisdiction may adopt traffic mitigation measures that would apply to transit priority projects. These measures shall be adopted or amended after a public hearing and may include requirements for the installation of traffic control improvements, street or road improvements, and contributions to road improvement or transit funds, transit passes for future residents, or other measures that will avoid or mitigate the traffic impacts of those transit priority projects.
- (b) (1) A transit priority project that is seeking a discretionary approval is not required to comply with any additional mitigation measures required by paragraph (1) or (2) of subdivision (a) of Section 21081, for the traffic impacts of that project on intersections, streets, highways, freeways, or mass transit, if the local jurisdiction issuing that discretionary approval has adopted traffic mitigation measures in accordance with this section.
- (2) Paragraph (1) does not restrict the authority of a local jurisdiction to adopt feasible mitigation measures with respect to the effects of a project on public health or on pedestrian or bicycle safety.
- (c) The legislative body shall review its traffic mitigation measures and update them as needed at least every five years.

### § 21155.4

- (a) Except as provided in subdivision (b), a residential, employment center, as defined in paragraph (1) of subdivision (a) of Section 21099, or mixed-use development project, including any subdivision, or any zoning, change that meets all of the following criteria is exempt from the requirements of this division:
  - (1) The project is proposed within a transit priority area, as defined in subdivision (a) of Section 21099.
  - (2) The project is undertaken to implement and is consistent with a specific plan for which an environmental impact report has been certified.
  - (3) The project is consistent with the general use designation, density, building intensity, and applicable policies specified for the project area in either a sustainable communities strategy or an alternative planning strategy for which the State Air Resources Board, pursuant to subparagraph (H) of paragraph (2) of subdivision (b) of Section 65080 of the Government Code, has accepted a metropolitan planning organization's determination that the sustainable communities strategy or the alternative planning strategy would, if implemented, achieve the greenhouse gas emissions reduction targets.
- (b) Further environmental review shall be conducted only if any of the events specified in Section 21166 have occurred.

## Chapter 4.5: Streamlined Environmental Review

### Article 1: Findings

#### § 21156. LEGISLATIVE INTENT

It is the intent of the Legislature in enacting this chapter that a master environmental impact report shall evaluate the cumulative impacts, growth inducing impacts, and irreversible significant effects on the environment of subsequent projects to the greatest extent feasible. The Legislature further intends that the environmental review of subsequent projects be substantially reduced to the extent

that the project impacts have been reviewed and appropriate mitigation measures are set forth in a certified master environmental impact report.

## Article 2: Master Environmental Impact Report

### § 21157. PREPARATION; CONTENT; FEE PROGRAM

- (a) A master environmental impact report may be prepared for any one of the following projects:
- (1) A general plan, element, general plan amendment, or specific plan.
  - (2) A project that consists of smaller individual projects that will be carried out in phases.
  - (3) A rule or regulation that will be implemented by subsequent projects.
  - (4) A project that will be carried out or approved pursuant to a development agreement.
  - (5) A public or private project that will be carried out or approved pursuant to, or in furtherance of, a redevelopment plan.
  - (6) A state highway project or mass transit project that will be subject to multiple stages of review or approval.
  - (7) A regional transportation plan or congestion management plan.
  - (8) A plan proposed by a local agency for the reuse of a federal military base or reservation that has been closed or that is proposed for closure.
  - (9) Regulations adopted by the Fish and Game Commission for the regulation of hunting and fishing.
  - (10) A plan for district projects to be undertaken by a school district, that also complies with applicable school facilities requirements, including, but not limited to, the requirements of Chapter 12.5 (commencing with Section 17070.10) of Part 10 of, and Article 1 (commencing with Section 17210) of Chapter 1 of Part 10.5 of, Division 1 of Title 1 of the Education Code.
- (b) When a lead agency prepares a master environmental impact report, the document shall include all of the following:
- (1) A detailed statement as required by Section 21100.
  - (2) A description of anticipated subsequent projects that would be within the scope of the master environmental impact report, that contains sufficient information with regard to the kind, size, intensity, and location of the subsequent projects, including, but not limited to, all of the following:
    - (A) The specific type of project anticipated to be undertaken.
    - (B) The maximum and minimum intensity of any anticipated subsequent project, such as the number of residences in a residential development, and, with regard to a public works facility, its anticipated capacity and service area.
    - (C) The anticipated location and alternative locations for any development projects.
    - (D) A capital outlay or capital improvement program, or other scheduling or implementing device that governs the submission and approval of subsequent projects.
  - (3) A description of potential impacts of anticipated subsequent projects for which there is not sufficient information reasonably available to support a full assessment of potential impacts in the master environmental impact report. This description shall not be construed as a limitation on the impacts which may be considered in a focused environmental impact report.

- (c) Lead agencies may develop and implement a fee program in accordance with applicable provisions of law to generate the revenue necessary to prepare a master environmental impact report.

#### **§ 21157.1. REVIEW OF SUBSEQUENT PROJECTS DESCRIBED IN REPORT; REQUIREMENTS**

The preparation and certification of a master environmental impact report, if prepared and certified consistent with this division, may allow for the limited review of subsequent projects that were described in the master environmental impact report as being within the scope of the report, in accordance with the following requirements:

- (a) The lead agency for a subsequent project shall be the lead agency or any responsible agency identified in the master environmental impact report.
- (b) The lead agency shall prepare an initial study on any proposed subsequent project. This initial study shall analyze whether the subsequent project may cause any significant effect on the environment that was not examined in the master environmental impact report and whether the subsequent project was described in the master environmental impact report as being within the scope of the report.
- (c) If the lead agency, based on the initial study, determines that a proposed subsequent project will have no additional significant effect on the environment, as defined in subdivision (d) of Section 21158, that was not identified in the master environmental impact report and that no new or additional mitigation measures or alternatives may be required, the lead agency shall make a written finding based upon the information contained in the initial study that the subsequent project is within the scope of the project covered by the master environmental impact report. No new environmental document nor findings pursuant to Section 21081 shall be required by this division. Prior to approving or carrying out the proposed subsequent project, the lead agency shall provide notice of this fact pursuant to Section 21092 and incorporate all feasible mitigation measures or feasible alternatives set forth in the master environmental impact report which are appropriate to the project. Whenever a lead agency approves or determines to carry out any subsequent project pursuant to this section, it shall file a notice pursuant to Section 21108 or 21152.
- (d) Where a lead agency cannot make the findings required in subdivision (c), the lead agency shall prepare, pursuant to Section 21157.7, either a mitigated negative declaration or environmental impact report.

#### **§ 21157.5. MITIGATED NEGATIVE DECLARATIONS; PREPARATION; CONDITIONS; ALTERNATIVE**

- (a) A proposed mitigated negative declaration shall be prepared for any proposed subsequent project if both of the following occur:
- (1) An initial study has identified potentially new or additional significant effects on the environment that were not analyzed in the master environmental impact report.
  - (2) Feasible mitigation measures or alternatives will be incorporated to revise the proposed subsequent project, before the negative declaration is released for public review, in order to avoid the effects or mitigate the effects to a point where clearly no significant effect on the environment will occur.
- (b) If there is substantial evidence in light of the whole record before the lead agency that the proposed subsequent project may have a significant effect on the environment and a mitigated negative declaration is not prepared, the lead agency shall prepare an environmental impact report or a focused environmental impact report pursuant to Section 21158.

**§ 21157.6. LIMITATION PERIOD ON USE OF ENVIRONMENTAL IMPACT REPORT**

- (a) The master environmental impact report shall not be used for the purposes of this chapter if either of the following has occurred:
- (1) The certification of the master environmental impact report occurred more than five years prior to the filing of an application for the subsequent project.
  - (2) The filing of an application for the subsequent project occurs following the certification of the master environmental impact report, and the approval of a project that was not described in the master environmental impact report, may affect the adequacy of the environmental review in the master environmental impact report for any subsequent project.
- (b) A master environmental impact report that was certified more than five years prior to the filing of an application for the subsequent project may be used for purposes of this chapter to review a subsequent project that was described in the master environmental impact report if the lead agency reviews the adequacy of the master environmental impact report and does either of the following:
- (1) Finds that no substantial changes have occurred with respect to the circumstances under which the master environmental impact report was certified or that no new information, which was not known and could not have been known at the time that the master environmental impact report was certified as complete, has become available.
  - (2) Prepares an initial study and, pursuant to the findings of the initial study, does either of the following:
    - (A) Certifies a subsequent or supplemental environmental impact report that has been either incorporated into the previously certified master environmental impact report or references any deletions, additions, or any other modifications to the previously certified master environmental impact report.
    - (B) Approves a mitigated negative declaration that addresses substantial changes that have occurred with respect to the circumstances under which the master environmental impact report was certified or the new information that was not known and could not have been known at the time the master environmental impact report was certified.

**§ 21157.7. IMPROVEMENTS TO ROADWAY SEGMENTS OF HIGHWAY 99; USE OF MASTER ENVIRONMENTAL IMPACT REPORT**

- (a) For purposes of this section, a master environmental impact report is a document prepared in accordance with subdivision (c) for the projects described in subdivision (b) that, upon certification, is followed by review of subsequent projects as provided in Sections 21157.1 and 21157.5.
- (b) A master environmental impact report may be prepared for a plan adopted by the Department of Transportation for improvements to regional segments of Highway 99 funded pursuant to subdivision (b) of Section 8879.23 of the Government Code, to streamline, coordinate, and improve environmental review.
- (c) The report shall include all of the following:
- (1) A detailed statement as required by Section 21100.
  - (2) A description of the anticipated highway improvements along Highway 99 that would be within the scope of the master environmental impact report, that contains sufficient information about all phases of the Highway 99 construction activities, including, but not limited to, all of the following:
    - (A) The specific types of improvements that will be undertaken.

- (B) The anticipated location and alternative locations for any of the Highway 99 improvements, including overpasses, bridges, railroad crossings, and interchanges.
  - (C) A capital outlay or capital improvement program, or other scheduling or implementing device that governs the construction activities associated with the Highway 99 improvements.
- (d) The Department of Transportation may communicate, coordinate, and consult with the Resources Agency, Wildlife Conservation Board, Department of Fish and Game, Department of Conservation, and other appropriate federal, state, or local governments, including interested stakeholders, to consider and implement mitigation requirements on a regional basis for the projects described in subdivision (b). This may include both of the following:
    - (1) Identification of priority areas for mitigation, using information from these agencies and departments as well as from other sources.
    - (2) Utilization of existing conservation programs of the agencies or departments identified in this subdivision, if mitigation under those programs for improvements under this section does not supplant mitigation for a project.
  - (e) The Department of Transportation may execute an agreement, memorandum of understanding, or other similar instrument to memorialize its understanding of any communication, coordination, or implementation activities with other state agencies for the purposes of meeting mitigation requirements on a regional basis.
  - (f) Notwithstanding any other provision of law, nothing in this section is intended to interfere with or prevent the existing authority of an agency or department to carry out its programs, projects, or responsibilities to identify, review, approve, deny, or implement any mitigation requirements, and nothing in this section shall be construed as a limitation on mitigation requirements for the project, or a limitation on compliance with requirements under this division or any other provision of law.
  - (g) Notwithstanding Section 21157.6, the master environmental impact report shall not be used for the purposes of this section, if the certification of the master environmental impact report occurred more than seven years prior to the filing of an application for the subsequent project.

## Article 3: Focused Environmental Impact Report

### § 21158. PURPOSE; CONTENT; ADDITIONAL SIGNIFICANT EFFECT ON THE ENVIRONMENT

- (a) A focused environmental impact report is an environmental impact report on a subsequent project identified in a master environmental impact report. A focused environmental impact report may be utilized only if the lead agency finds that the analysis in the master environmental impact report of cumulative impacts, growth inducing impacts, and irreversible significant effects on the environment is adequate for the subsequent project.

The focused environmental impact report shall incorporate, by reference, the master environmental impact report and analyze only the subsequent project's additional significant effects on the environment, as defined in subdivision (d), and any new or additional mitigation measures or alternatives that were not identified and analyzed by the master environmental impact report.

- (b) The focused environmental impact report need not examine those effects which the lead agency finds were one of the following:
  - (1) Mitigated or avoided pursuant to paragraph (1) of subdivision (a) of Section 21081 as a result of mitigation measures identified in the master environmental impact report which will be required as part of the approval of the subsequent project.

- (2) Examined at a sufficient level of detail in the master environmental impact report to enable those significant environmental effects to be mitigated or avoided by specific revisions to the project, the imposition of conditions, or by other means in connection with the approval of the subsequent project.
- (3) Subject to a finding pursuant to paragraph (2) of subdivision (a) of Section 21081.
- (c) A focused environmental impact report on any subsequent project shall analyze any significant effects on the environment where substantial new or additional information shows that the adverse environmental impact may be more significant than was described in the master environmental impact report. The substantial new or additional information may also show that mitigation measures or alternatives identified in the master environmental impact report, which were previously determined to be infeasible, are feasible and will avoid or reduce the significant effects on the environment of the subsequent project to a level of insignificance.
- (d) For purposes of this chapter, “additional significant effects on the environment” are those project specific effects on the environment which were not addressed as significant effects on the environment in the master environmental impact report.
- (e) Nothing in this chapter is intended to limit or abridge the ability of a lead agency to focus upon the issues that are ripe for decision at each level of environmental review, or to exclude duplicative analysis of environmental effects examined in previous environmental impact reports pursuant to Section 21093.

#### **§ 21158.1. REGULATORY PROGRAMS CERTIFIED UNDER PUBLIC RESOURCES CODE § 21080.5; CERTAIN MASTER AND FOCUSED ENVIRONMENTAL IMPACT REPORTS**

When a lead agency is required to prepare an environmental impact report pursuant to subdivision (d) of Section 21157.1 or is authorized to prepare a focused environmental impact report pursuant to Section 21158, the lead agency may not rely on subdivision (a) of Section 21080.5 for that purpose even though the lead agency’s regulatory program is otherwise certified in accordance with Section 21080.5.

#### **§ 21158.5. MULTIPLE-FAMILY RESIDENTIAL DEVELOPMENT OF NOT MORE THAN 100 UNITS; RESIDENTIAL AND COMMERCIAL OR RETAIL MIXED-USE DEVELOPMENT OF NOT MORE THAN 100,000 SQUARE FEET; PREPARATION OF REPORT; LIMITATIONS**

- (a) Where a project consists of multiple-family residential development of not more than 100 units or a residential and commercial or retail mixed-use development of not more than 100,000 square feet which complies with all of the following, a focused environmental impact report shall be prepared, notwithstanding that the project was not identified in a master environmental impact report:
  - (1) Is consistent with a general plan, specific plan, community plan, or zoning ordinance for which an environmental impact report was prepared within five years of the certification of the focused environmental impact report.
  - (2) The lead agency cannot make the finding described in subdivision (c) of Section 21157.1, a negative declaration or mitigated negative declaration cannot be prepared pursuant to Section 21080, 21157.5, or 21158, and Section 21166 does not apply.
  - (3) Meets one or more of the following conditions:
    - (A) The parcel on which the project is to be developed is surrounded by immediately contiguous urban development.
    - (B) The parcel on which the project is to be developed has been previously developed with urban uses.

- (c) The parcel on which the project is to be developed is within one-half mile of an existing rail transit station.
- (b) A focused environmental impact report prepared pursuant to this section shall be limited to a discussion of potentially significant effects on the environment specific to the project, or which substantial new information shows will be more significant than described in the prior environmental impact report. No discussion shall be required of alternatives to the project, cumulative impacts of the project, or the growth inducing impacts of the project.

## Article 4: Expedited Environmental Review for Environmentally Mandated Projects

### § 21159. RULE OR REGULATION ADOPTION; ENVIRONMENTAL ANALYSIS; CONTENT

- (a) An agency listed in Section 21159.4 shall perform, at the time of the adoption of a rule or regulation requiring the installation of pollution control equipment, or a performance standard or treatment requirement, including a rule or regulation that requires the installation of pollution control equipment or a performance standard or treatment requirement pursuant to the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), an environmental analysis of the reasonably foreseeable methods of compliance. In the preparation of this analysis, the agency may utilize numerical ranges or averages where specific data is not available; however, the agency shall not be required to engage in speculation or conjecture. The environmental analysis shall, at minimum, include all of the following:
  - (1) An analysis of the reasonably foreseeable environmental impacts of the methods of compliance.
  - (2) An analysis of reasonably foreseeable feasible mitigation measures.
  - (3) An analysis of reasonably foreseeable alternative means of compliance with the rule or regulation.
  - (4) For a rule or regulation that requires the installation of pollution control equipment adopted pursuant to the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code), the analysis shall also include reasonably foreseeable greenhouse gas emission impacts of compliance with the rule or regulation.
- (b) The preparation of an environmental impact report at the time of adopting a rule or regulation pursuant to this division shall be deemed to satisfy the requirements of this section.
- (c) The environmental analysis shall take into account a reasonable range of environmental, economic, and technical factors, population and geographic areas, and specific sites.
- (d) This section does not require the agency to conduct a project-level analysis.
- (e) For purposes of this article, the term “performance standard” includes process or raw material changes or product reformulation.
- (f) This section is not intended, and may not be used, to delay the adoption of any rule or regulation for which an analysis is required to be performed pursuant to this section.

### § 21159.1. UTILIZATION OF FOCUSED ENVIRONMENTAL IMPACT REPORT; REQUIREMENTS; LIMITATIONS

- (a) A focused environmental impact report may be utilized if a project meets all of the following requirements:
  - (1) The project consists solely of the installation of either of the following:

- (A) Pollution control equipment required by a rule or regulation of an agency listed in subdivision (a) of Section 21159.4 and other components necessary to complete the installation of that equipment.
  - (B) Pollution control equipment and other components necessary to complete the installation of that equipment that reduces greenhouse gases required by a rule or regulation of an agency listed in Section 21159.4 pursuant to the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code).
- (2) The agency certified an environmental impact report on the rule or regulation or reviewed it pursuant to a certified regulatory program, and, in either case, the review included an assessment of growth inducing impacts and cumulative impacts of, and alternatives to, the project.
  - (3) The environmental review required by paragraph (2) was completed within five years of certification of the focused environmental impact report.
  - (4) An environmental impact report is not required pursuant to Section 21166.
- (b) The discussion of significant effects on the environment in the focused environmental impact report shall be limited to project-specific potentially significant effects on the environment of the project that were not discussed in the environmental analysis of the rule or regulation required pursuant to subdivision (a) of Section 21159. A discussion of growth-inducing impacts or cumulative impacts shall not be required in the focused environmental impact report, and the discussion of alternatives shall be limited to a discussion of alternative means of compliance, if any, with the rule or regulation.

**§ 21159.2. NEGATIVE DECLARATION; MITIGATED NEGATIVE DECLARATION; ENVIRONMENTAL IMPACT REPORT ON COMPLIANCE PROJECT; PROJECT-SPECIFIC ISSUED; CONTENTS**

- (a) If a project consists solely of compliance with a performance standard or treatment requirement imposed by an agency listed in Section 21159.4, the lead agency for the compliance project shall, to the greatest extent feasible, utilize the environmental analysis required pursuant to subdivision (a) of Section 21159 in the preparation of a negative declaration, mitigated negative declaration, or environmental impact report on the compliance project or in otherwise fulfilling its responsibilities under this division. The use of numerical averages or ranges in an environmental analysis shall not relieve a lead agency of its obligations under this division to identify and evaluate the environmental effects of a compliance project.
- (b) If the lead agency determines that an environmental impact report on the compliance project is required, the lead agency shall prepare an environmental impact report which addresses only the project-specific issues related to the compliance project or other issues that were not discussed in sufficient detail in the environmental analysis to enable the lead agency to fulfill its responsibilities under Section 21100 or 21151, as applicable. The mitigation measures imposed by the lead agency for the project shall relate only to the significant effects on the environment to be mitigated. The discussion of alternatives shall be limited to a discussion of alternative means of compliance, if any, with the rule or regulation.

**§ 21159.3. DEADLINES FOR PREPARATION OF REPORT**

In the preparation of any environmental impact report pursuant to Section 21159.1 or 21159.2, the following deadlines shall apply:

- (a) A lead agency shall determine whether an environmental impact report should be prepared within 30 days of its determination that the application for the project is complete.

- (b) If the environmental impact report will be prepared under contract to the lead agency pursuant to Section 21082.1, the lead agency shall issue a request for proposals for preparation of the environmental impact report as soon as it has enough information to prepare a request for proposals, and in any event, not later than 30 days after the time for response to the notice of preparation has expired. The contract shall be awarded within 30 days of the response date for the request for proposals.

#### **§ 21159.4. AGENCIES; ARTICLE APPLICATION**

- (a) This article shall apply to all of the following agencies:
  - (1) The State Air Resources Board.
  - (2) A district as defined in Section 39025 of the Health and Safety Code.
  - (3) The State Water Resources Control Board.
  - (4) A California regional water quality control board.
  - (5) The Department of Toxic Substances Control.
  - (6) The Department of Resources Recycling and Recovery.
- (b) This article shall apply to the State Energy Resources Conservation and Development Commission and the California Public Utilities Commission for rules and regulations requiring the installation of pollution control equipment adopted pursuant to the California Global Warming Solutions Act of 2006 (Division 25.5 (commencing with Section 38500) of the Health and Safety Code).

### **Article 5: Public Assistance Program**

#### **§ 21159.9. IMPLEMENTATION OF PROGRAM**

The Office of Planning and Research shall implement, utilizing existing resources, a public assistance and information program, to ensure efficient and effective implementation of this division, to do all of the following:

- (a) Establish a public education and training program for planners, developers, and other interested parties to assist them in implementing this division.
- (b) Establish and maintain a data-base to assist in the preparation of environmental documents.
- (c) Establish and maintain a central repository for the collection, storage, retrieval, and dissemination of notices of exemption, notices of preparation, notices of determination, and notices of completion provided to the office, and make the notices available through the Internet. The office may coordinate with another state agency for that agency to make the notices available through the Internet.
- (d) Provide to the California State Library copies of documents submitted in electronic format to the Office of Planning and Research pursuant to this division. The California State Library shall be the repository for those electronic documents, which shall be made available for viewing by the general public upon request.

### **Article 6: Special Review of Housing Projects**

#### **§ 21159.20. DEFINITIONS**

For the purposes of this article, the following terms have the following meanings:

- (a) “Census-defined place” means a specific unincorporated land area within boundaries determined by the United States Census Bureau in the most recent decennial census.

- (b) “Community-level environmental review” means either of the following:
- (1) An environmental impact report certified on any of the following:
    - (A) A general plan.
    - (B) A revision or update to the general plan that includes at least the land use and circulation elements.
    - (C) An applicable community plan.
    - (D) An applicable specific plan.
    - (E) A housing element of the general plan, if the environmental impact report analyzed the environmental effects of the density of the proposed project.
  - (2) Pursuant to this division and the implementing guidelines adopted pursuant to this division that govern subsequent review following a program environmental impact report, or pursuant to Section 21157.1, 21157.5, or 21166, a negative declaration or mitigated negative declaration was adopted as a subsequent environmental review document, following and based upon an environmental impact report on any of the projects listed in subparagraphs (A), (C), or (D) of paragraph (1).
- (c) “Low-income households” means households of persons and families of very low and low income, as defined in Sections 50093 and 50105 of the Health and Safety Code.
- (d) “Low- and moderate-income households” means households of persons and families of low or moderate income, as defined in Section 50093 of the Health and Safety Code.

#### § 21159.21. CRITERIA TO QUALIFY FOR HOUSING PROJECT EXEMPTIONS

A housing project qualifies for an exemption from this division pursuant to Section 21159.22, 21159.23, or 21159.24 if it meets the criteria in the applicable section and all of the following criteria:

- (a) The project is consistent with any applicable general plan, specific plan, and local coastal program, including any mitigation measures required by a plan or program, as that plan or program existed on the date that the application was deemed complete and with any applicable zoning ordinance, as that zoning ordinance existed on the date that the application was deemed complete, except that a project shall not be deemed to be inconsistent with the zoning designation for the site if that zoning designation is inconsistent with the general plan only because the project site has not been rezoned to conform with a more recently adopted general plan.
- (b) Community-level environmental review has been adopted or certified.
- (c) The project and other projects approved prior to the approval of the project can be adequately served by existing utilities, and the project applicant has paid, or has committed to pay, all applicable in-lieu or development fees.
- (d) The site of the project does not contain wetlands, does not have any value as a wildlife habitat, and the project does not harm any species protected by the federal Endangered Species Act of 1973 (16 U.S.C. Sec. 1531 et seq.) or by the Native Plant Protection Act (Chapter 10 (commencing with Section 1900) of Division 2 of the Fish and Game Code), the California Endangered Species Act (Chapter 1.5 (commencing with Section 2050), of Division 3 of the Fish and Game Code), and the project does not cause the destruction or removal of any species protected by a local ordinance in effect at the time the application for the project was deemed complete. For the purposes of this subdivision, “wetlands” has the same meaning as in Section 328.3 of Title 33 of the Code of Federal Regulations and “wildlife habitat” means the ecological communities upon which wild animals, birds, plants, fish, amphibians, and invertebrates depend for their conservation and protection.

- (e) The site of the project is not included on any list of facilities and sites compiled pursuant to Section 65962.5 of the Government Code.
- (f) The site of the project is subject to a preliminary endangerment assessment prepared by a registered environmental assessor to determine the existence of any release of a hazardous substance on the site and to determine the potential for exposure of future occupants to significant health hazards from any nearby property or activity.
  - (1) If a release of a hazardous substance is found to exist on the site, the release shall be removed, or any significant effects of the release shall be mitigated to a level of insignificance in compliance with state and federal requirements.
  - (2) If a potential for exposure to significant hazards from surrounding properties or activities is found to exist, the effects of the potential exposure shall be mitigated to a level of insignificance in compliance with state and federal requirements.
- (g) The project does not have a significant effect on historical resources pursuant to Section 21084.1.
- (h) The project site is not subject to any of the following:
  - (1) A wildland fire hazard, as determined by the Department of Forestry and Fire Protection, unless the applicable general plan or zoning ordinance contains provisions to mitigate the risk of a wildland fire hazard.
  - (2) An unusually high risk of fire or explosion from materials stored or used on nearby properties.
  - (3) Risk of a public health exposure at a level that would exceed the standards established by any state or federal agency.
  - (4) Within a delineated earthquake fault zone, as determined pursuant to Section 2622, or a seismic hazard zone, as determined pursuant to Section 2696, unless the applicable general plan or zoning ordinance contains provisions to mitigate the risk of an earthquake fault or seismic hazard zone.
  - (5) Landslide hazard, flood plain, flood way, or restriction zone, unless the applicable general plan or zoning ordinance contains provisions to mitigate the risk of a landslide or flood.
- (i)
  - (1) The project site is not located on developed open space.
  - (2) For the purposes of this subdivision, “developed open space” means land that meets all of the following criteria:
    - (A) Is publicly owned, or financed in whole or in part by public funds.
    - (B) Is generally open to, and available for use by, the public.
    - (C) Is predominantly lacking in structural development other than structures associated with open spaces, including, but not limited to, playgrounds, swimming pools, ballfields, enclosed child play areas, and picnic facilities.
  - (3) For the purposes of this subdivision, “developed open space” includes land that has been designated for acquisition by a public agency for developed open space, but does not include lands acquired by public funds dedicated to the acquisition of land for housing purposes.
- (j) The project site is not located within the boundaries of a state conservancy.

**§ 21159.22. AGRICULTURAL EMPLOYEE HOUSING EXEMPTION**

- (a) This division does not apply to any development project that meets the requirements of subdivision (b), and meets either of the following criteria:

- (1) Consists of the construction, conversion, or use of residential housing for agricultural employees, and meets all of the following criteria:
  - (A) Is affordable to lower income households, as defined in Section 50079.5 of the Health and Safety Code.
  - (B) Lacks public financial assistance.
  - (C) The developer of the development project provides sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units for lower income households for a period of at least 15 years.
- (2) Consists of the construction, conversion, or use of residential housing for agricultural employees and meets all of the following criteria:
  - (A) Is housing for very low, low-, or moderate-income households as defined in paragraph (2) of subdivision (h) of Section 65589.5 of the Government Code.
  - (B) Public financial assistance exists for the development project.
  - (C) The developer of the development project provides sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units for low- and moderate-income households for a period of at least 15 years.
- (b) (1) If the development project is proposed within incorporated city limits or within a census defined place with a minimum population density of at least 5,000 persons per square mile, it is located on a project site that is adjacent, on at least two sides, to land that has been developed, and consists of not more than 45 units, or is housing for a total of 45 or fewer agricultural employees if the housing consists of dormitories, barracks, or other group living facilities.
- (2) If the development project is located on a project site zoned for general agricultural use, and consists of not more than 20 units, or is housing for a total of 20 or fewer agricultural employees if the housing consists of dormitories, barracks, or other group living facilities.
- (3) The project satisfies the criteria in Section 21159.21.
- (4) The development project is not more than five acres in area, except that a project site located in an area with a population density of at least 1,000 persons per square mile shall not be more than two acres in area.
- (c) Notwithstanding subdivision (a), if a project satisfies the criteria described in subdivisions (a) and (b), but does not satisfy the criteria described in paragraph (1) of subdivision (b), this division does not apply to the project if the project meets all of the following criteria:
  - (1) Is located within either an incorporated city or a census-defined place.
  - (2) The population density of the incorporated city or census-defined place has a population density of at least 1,000 persons per square mile.
  - (3) The project site is adjacent on at least two sides to land that has been developed and the project consists of not more than 45 units, or the project consist of dormitories, barracks, or other group housing facilities for a total of 45 or fewer agricultural employees.
- (d) Notwithstanding subdivision (c), this division shall apply to a project that meets the criteria described in subdivision (c) if a public agency that is carrying out or approving the project determines that there is a reasonable possibility that the project, if completed, would have a significant effect on the environment due to unusual circumstances or that the cumulative impacts of successive projects of the same type in the same area, over time, would be significant.

For the purposes of this section, "agricultural employee" has the same meaning as defined by subdivision (b) of Section 1140.4 of the Labor Code.

### § 21159.23. LOW-INCOME HOUSING EXEMPTION

- (a) This division does not apply to any development project that consists of the construction, conversion, or use of residential housing consisting of 100 or fewer that is affordable to low-income households if both of the following criteria are met:
- (1) The developer of the development project provides sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units for lower income households, as defined in Section 50079.5 of the Health and Safety Code, for a period of at least 30 years, at monthly housing costs, as determined pursuant to Section 50053 of the Health and Safety Code.
  - (2) The development project meets all of the following requirements:
    - (A) The project satisfies the criteria described in Section 21159.21.
    - (B) The project site meets one of the following conditions:
      - (i) Has been previously developed for qualified urban uses.
      - (ii) The parcels immediately adjacent to the site are developed with qualified urban uses, or at least 75 percent of the perimeter of the site adjoins parcels that are developed with qualified urban uses and the remaining 25 percent of the perimeter of the site adjoins parcels that have previously been developed for qualified urban uses, and the site has not been developed for urban uses and no parcel within the site has been created within 10 years prior to the proposed development of the site.
    - (C) The project site is not more than five acres in area.
    - (D) The project site is located within an urbanized area or within a census-defined place with a population density of at least 5,000 persons per square mile or, if the project consists of 50 or fewer units, within an incorporated city with a population density of at least 2,500 persons per square mile and a total population of at least 25,000 persons.
- (b) Notwithstanding subdivision (a), if a project satisfies all of the criteria described in subdivision (a) except subparagraph (D) of paragraph (2) of that subdivision, this division does not apply to the project if the project is located within either an incorporated city or a census defined place with a population density of at least 1,000 persons per square mile.
- (c) Notwithstanding subdivision (b), this division applies to a project that meets the criteria of subdivision (b), if there is a reasonable possibility that the project would have a significant effect on the environment or the residents of the project due to unusual circumstances or due to the related or cumulative impacts of reasonably foreseeable projects in the vicinity of the project.
- (d) For the purposes of this section, “residential” means a use consisting of either of the following:
- (1) Residential units only.
  - (2) Residential units and primarily neighborhood-serving goods, services, or retail uses that do not exceed 15 percent of the total floor area of the project.

### § 21159.24. INFILL HOUSING EXEMPTION

- (a) Except as provided in subdivision (b), this division does not apply to a project if all of the following criteria are met:
- (1) The project is a residential project on an infill site.
  - (2) The project is located within an urbanized area.
  - (3) The project satisfies the criteria of Section 21159.21.
  - (4) Within five years of the date that the application for the project is deemed complete pursuant to Section 65943 of the Government Code, community-level environmental review was certified or adopted.

- (5) The site of the project is not more than four acres in total area.
- (6) The project does not contain more than 100 residential units.
- (7) Either of the following criteria are met:
  - (A) (i) At least 10 percent of the housing is sold to families of moderate income, or not less than 10 percent of the housing is rented to families of low income, or not less than 5 percent of the housing is rented to families of very low income.
  - (ii) The project developer provides sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units for very low, low-, and moderate-income households at monthly housing costs determined pursuant to paragraph (3) of subdivision (h) of Section 65589.5 of the Government Code.
  - (B) The project developer has paid or will pay in-lieu fees pursuant to a local ordinance in an amount sufficient to result in the development of an equivalent number of units that would otherwise be required pursuant to subparagraph (A).
- (8) The project is within one-half mile of a major transit stop.
- (9) The project does not include any single level building that exceeds 100,000 square feet.
- (10) The project promotes higher density infill housing. A project with a density of at least 20 units per acre shall be conclusively presumed to promote higher density infill housing. A project with a density of at least 10 units per acre and a density greater than the average density of the residential properties within 1,500 feet shall be presumed to promote higher density housing unless the preponderance of the evidence demonstrates otherwise.
- (b) Notwithstanding subdivision (a), this division shall apply to a development project that meets the criteria described in subdivision (a), if any of the following occur:
  - (1) There is a reasonable possibility that the project will have a project-specific, significant effect on the environment due to unusual circumstances.
  - (2) Substantial changes with respect to the circumstances under which the project is being undertaken that are related to the project have occurred since community-level environmental review was certified or adopted.
  - (3) New information becomes available regarding the circumstances under which the project is being undertaken and that is related to the project, that was not known, and could not have been known, at the time that community-level environmental review was certified or adopted.
- (c) If a project satisfies the criteria described in subdivision (a), but is not exempt from this division as result of satisfying the criteria described in subdivision (b), the analysis of the environmental effects of the project in the environmental impact report or the negative declaration shall be limited to an analysis of the project-specific effect of the projects and any effects identified pursuant to paragraph (2) or (3) of subdivision (b).
- (d) For the purposes of this section, “residential” means a use consisting of either of the following:
  - (1) Residential units only.
  - (2) Residential units and primarily neighborhood-serving goods, services, or retail uses that do not exceed 15 percent of the total floor area of the project.

**§ 21159.25. [DELETED]**

**§ 21159.26. REDUCTIONS IN HOUSING UNITS AS MITIGATION DISCOURAGED**

With respect to a project that includes a housing development, a public agency may not reduce the proposed number of housing units as a mitigation measure or project alternative for a particular

significant effect on the environment if it determines that there is another feasible specific mitigation measure or project alternative that would provide a comparable level of mitigation. This section does not affect any other requirement regarding the residential density of that project.

#### **§ 21159.27. PROHIBITION AGAINST PIECEMEALING TO QUALIFY FOR EXEMPTIONS**

A project may not be divided into smaller projects to qualify for one or more exemptions pursuant to this article.

#### **§ 21159.28.**

- (a) If a residential or mixed-use residential project is consistent with the use designation, density, building intensity, and applicable policies specified for the project area in either a sustainable communities strategy or an alternative planning strategy, for which the State Air Resources Board pursuant to subparagraph (l) of paragraph (2) of subdivision (b) of Section 65080 of the Government Code has accepted the metropolitan planning organization's determination that the sustainable communities strategy or the alternative planning strategy would, if implemented, achieve the greenhouse gas emission reduction targets and if the project incorporates the mitigation measures required by an applicable prior environmental document, then any findings or other determinations for an exemption, a negative declaration, a mitigated negative declaration, a sustainable communities environmental assessment, an environmental impact report, or addenda prepared or adopted for the project pursuant to this division shall not be required to reference, describe, or discuss (1) growth inducing impacts; or (2) any project specific or cumulative impacts from cars and light-duty truck trips generated by the project on global warming or the regional transportation network.
- (b) Any environmental impact report prepared for a project described in subdivision (a) shall not be required to reference, describe, or discuss a reduced residential density alternative to address the effects of car and light-duty truck trips generated by the project.
- (c) "Regional transportation network," for purposes of this section, means all existing and proposed transportation system improvements, including the state transportation system, that were included in the transportation and air quality conformity modeling, including congestion modeling, for the final regional transportation plan adopted by the metropolitan planning organization, but shall not include local streets and roads. Nothing in the foregoing relieves any project from a requirement to comply with any conditions, exactions, or fees for the mitigation of the project's impacts on the structure, safety, or operations of the regional transportation network or local streets and roads.
- (d) A residential or mixed-use residential project is a project where at least 75 percent of the total building square footage of the project consists of residential use or a project that is a transit priority project as defined in Section 21155.

## **Chapter 5: Submission of Information**

### **§ 21160. APPLICATION FOR LEASE, PERMIT, LICENSE, ETC.; DATA AND INFORMATION; PURPOSE; TRADE SECRETS**

Whenever any person applies to any public agency for a lease, permit, license, certificate, or other entitlement for use, the public agency may require that person to submit data and information which may be necessary to enable the public agency to determine whether the proposed project may have a significant effect on the environment or to prepare an environmental impact report.

If any or all of the information so submitted is a "trade secret" as defined in Section 6254.7 of the Government Code by those submitting that information, it shall not be included in the impact report or otherwise disclosed by any public agency. This section shall not be construed to prohibit the

exchange of properly designated trade secrets between public agencies who have lawful jurisdiction over the preparation of the impact report.

#### **§ 21161. COMPLETION OF IMPACT REPORT; NOTICE; VALIDITY OF PROJECT**

Whenever a public agency has completed an environmental impact report, it shall cause a notice of completion of that report to be filed with the Office of Planning and Research. The notice of completion shall briefly identify the project and shall indicate that an environmental impact report has been prepared. The notice of completion shall identify the project location by latitude and longitude. Failure to file the notice required by this section shall not affect the validity of a project.

#### **§ 21162. [DELETED]**

### **Chapter 6: Limitations**

#### **§ 21165. LEAD AGENCY; PREPARATION OF IMPACT REPORT**

- (a) When a project is to be carried out or approved by two or more public agencies, the determination of whether the project may have a significant effect on the environment shall be made by the lead agency, and that agency shall prepare, or cause to be prepared by contract, the environmental impact report for the project, if a report is required by this division. In the event that a dispute arises as to which is the lead agency, any of the disputing public agencies, or in the case of a project described in subdivision (c) of Section 21065 the applicant for such project, may submit the question to the Office of Planning and Research, and the Office of Planning and Research shall designate, within 21 days of receiving the request, the lead agency, giving due consideration to the capacity of that agency to adequately fulfill the requirements of this division.
- (b) For the purposes of this section, a “dispute” means a contested, active difference of opinion between two or more public agencies as to which of those agencies shall prepare any necessary environmental document. A dispute exists where each of those agencies claims that it either has or does not have the obligation to prepare that environmental document. The Office of Planning and Research shall not designate a lead agency in the absence of such a dispute.

#### **§ 21166. SUBSEQUENT OR SUPPLEMENTAL IMPACT REPORT; CONDITIONS**

When an environmental impact report has been prepared for a project pursuant to this division, no subsequent or supplemental environmental impact report shall be required by the lead agency or by any responsible agency, unless one or more of the following events occurs:

- (a) Substantial changes are proposed in the project which will require major revisions of the environmental impact report.
- (b) Substantial changes occur with respect to the circumstances under which the project is being undertaken which will require major revisions in the environmental impact report.
- (c) New information, which was not known and could not have been known at the time the environmental impact report was certified as complete, becomes available.

#### **§ 21166.1. EFFECT OF PREPARATION OF IMPACT REPORT BY LEAD AGENCY**

The decision of a lead agency to prepare an environmental impact report with respect to environmental impacts within a geographic area or for a group of projects shall not be a basis for determining that an environmental document prepared for an individual project within that area or group is inadequate.

**§ 21167. COMMENCEMENT OF ACTIONS OR PROCEEDINGS; TIME**

An action or proceeding to attack, review, set aside, void, or annul the following acts or decisions of a public agency on the grounds of noncompliance with this division shall be commenced as follows:

- (a) An action or proceeding alleging that a public agency is carrying out or has approved a project that may have a significant effect on the environment without having determined whether the project may have a significant effect on the environment shall be commenced within 180 days from the date of the public agency's decision to carry out or approve the project, or, if a project is undertaken without a formal decision by the public agency, within 180 days from the date of commencement of the project.
- (b) An action or proceeding alleging that a public agency has improperly determined whether a project may have a significant effect on the environment shall be commenced within 30 days from the date of the filing of the notice required by subdivision (a) of Section 21108 or subdivision (a) of Section 21152.
- (c) An action or proceeding alleging that an environmental impact report does not comply with this division shall be commenced within 30 days from the date of the filing of the notice required by subdivision (a) of Section 21108 or subdivision (a) of Section 21152 by the lead agency.
- (d) An action or proceeding alleging that a public agency has improperly determined that a project is not subject to this division pursuant to subdivision (b) of Section 21080 or Section 21172 shall be commenced within 35 days from the date of the filing by the public agency, or person specified in subdivision (b) or (c) of Section 21065, of the notice authorized by subdivision (b) of Section 21108 or subdivision (b) of Section 21152. If the notice has not been filed, the action or proceeding shall be commenced within 180 days from the date of the public agency's decision to carry out or approve the project, or, if a project is undertaken without a formal decision by the public agency, within 180 days from the date of commencement of the project.
- (e) An action or proceeding alleging that another act or omission of a public agency does not comply with this division shall be commenced within 30 days from the date of the filing of the notice required by subdivision (a) of Section 21108 or subdivision (a) of Section 21152.
- (f) If a person has made a written request to the public agency for a copy of the notice specified in Section 21108 or 21152 prior to the date on which the agency approves or determines to carry out the project, then not later than five days from the date of the agency's action, the public agency shall deposit a written copy of the notice addressed to that person in the United States mail, first class postage prepaid. The date upon which this notice is mailed shall not affect the time periods specified in subdivisions (b), (c), (d), and (e).

**§ 21167.1. PREFERENTIAL HEARING OR OTHER CIVIL ACTIONS; DESIGNATION OF JUDGES TO DEVELOP EXPERTISE**

- (a) In all actions or proceedings brought pursuant to Sections 21167, 21168, and 21168.5, including the hearing of an action or proceeding on appeal from a decision of a lower court, all courts in which the action or proceeding is pending shall give the action or proceeding preference over all other civil actions, in the matter of setting the action or proceeding for hearing or trial, and in hearing or trying the action or proceeding, so that the action or proceeding shall be quickly heard and determined. The court shall regulate the briefing schedule so that, to the extent feasible, the court shall commence hearings on an appeal within one year of the date of the filing of the appeal.
- (b) To ensure that actions or proceedings brought pursuant to Sections 21167, 21168, and 21168.5 may be quickly heard and determined in the lower courts, the superior courts in all counties with a population of more than 200,000 shall designate one or more judges to develop expertise in this division and related land use and environmental laws, so that those judges will be

available to hear, and quickly resolve, actions or proceedings brought pursuant to Sections 21167, 21168, and 21168.5.

- (c) In an action or proceeding filed pursuant to this chapter that is joined with any other cause of action, the court, upon a motion by any party, may grant severance of the actions. In determining whether to grant severance, the court shall consider such as matters judicial economy, administrative economy, and prejudice to any party.

**§ 21167.2. FAILURE TO COMMENCE ACTION OR PROCEEDING WITHIN TIME LIMITS; PRESUMPTION THAT IMPACT REPORT COMPLIES WITH DIVISION**

If no action or proceeding alleging that an environmental impact report does not comply with the provisions of this division is commenced during the period prescribed in subdivision (c) of Section 21167, the environmental impact report shall be conclusively presumed to comply with the provisions of this division for purposes of its use by responsible agencies, unless the provisions of Section 21166 are applicable.

**§ 21167.3. ASSUMPTION THAT IMPACT REPORT OR NEGATIVE DECLARATION COMPLIES WITH DIVISION; CONDITIONAL APPROVAL OR DISAPPROVAL**

- (a) If an action or proceeding alleging that an environmental impact report or a negative declaration does not comply with the provisions of this division is commenced during the period described in subdivision (b) or (c) of Section 21167, and if an injunction or stay is issued prohibiting the project from being carried out or approved pending final determination of the issue of such compliance, responsible agencies shall assume that the environmental impact report or the negative declaration for the project does comply with the provisions of this division and shall issue a conditional approval or disapproval of such project according to the timetable for agency action in Article 5 (commencing with Section 65950) of Chapter 4.5 of Division 1 of Title 7 of the Government Code. A conditional approval shall constitute permission to proceed with a project when and only when such action or proceeding results in a final determination that the environmental impact report or negative declaration does comply with the provisions of this division.
- (b) In the event that an action or proceeding is commenced as described in subdivision (a) but no injunction or similar relief is sought and granted, responsible agencies shall assume that the environmental impact report or negative declaration for the project does comply with the provisions of this division and shall approve or disapprove the project according to the timetable for agency action in Article 5 (commencing with Section 65950) of Chapter 4.5 of Division 1 of Title 7 of the Government Code. Such approval shall constitute permission to proceed with the project at the applicant's risk pending final determination of such action or proceeding.

**§ 21167.4. MANDATE PROCEEDING ALLEGING NONCOMPLIANCE WITH DIVISION; HEARING REQUEST; DISMISSAL; BRIEFING SCHEDULES AND HEARING DATES**

- (a) In any action or proceeding alleging noncompliance with this division, the petitioner shall request a hearing within 90 days from the date of filing the petition or shall be subject to dismissal on the court's own motion or on the motion of any party interested in the action or proceeding.
- (b) The petitioner shall serve a notice of the request for a hearing on all parties at the time that the petitioner files the request for a hearing.
- (c) Upon the filing of a request by the petitioner for a hearing and upon application by any party, the court shall establish a briefing schedule and a hearing date. In the absence of good cause, briefing shall be completed within 90 days from the date that the request for a hearing is filed, and the hearing, to the extent feasible, shall be held within 30 days thereafter. Good cause may

include, but shall not be limited to, the conduct of discovery, determination of the completeness of the record of proceedings, the complexity of the issues, and the length of the record of proceedings and the timeliness of its production. The parties may stipulate to a briefing schedule or hearing date that differs from the schedule set forth in this subdivision if the stipulation is approved by the court.

- (d) In an action or proceeding alleging noncompliance with this division, the Attorney General may file a motion with the court seeking an expedited schedule for resolution of the case upon the grounds that it would be in the public interest to do so. This subdivision does not affect the rights of any party under existing law to seek an expedited schedule for resolution of the case.
- (e) This section shall remain in effect only until January 1, 2016, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2016, deletes or extends that date.

#### **§ 21167.5. PROOF OF SERVICE; FILING WITH INITIAL PLEADING**

Proof of prior service by mail upon the public agency carrying out or approving the project of a written notice of the commencement of any action or proceeding described in Section 21167 identifying the project shall be filed concurrently with the initial pleading in such action or proceeding.

#### **§ 21167.6. RECORD OF PROCEEDINGS; CLERK'S TRANSCRIPT ON APPEAL; FILING BRIEFS ON APPEAL; DATE OF APPEAL FOR HEARING**

Notwithstanding any other provision of law, in all actions or proceedings brought pursuant to Section 21167, except those involving the Public Utilities Commission, all of the following shall apply:

- (a) At the time that the action or proceeding is filed, the plaintiff or petitioner shall file a request that the respondent public agency prepare the record of proceedings relating to the subject of the action or proceeding. The request, together with the complaint or petition, shall be served personally upon the public agency not later than 10 business days from the date that the action or proceeding was filed.
- (b) (1) The public agency shall prepare and certify the record of proceedings not later than 60 days from the date that the request specified in subdivision (a) was served upon the public agency. Upon certification, the public agency shall lodge a copy of the record of proceedings with the court and shall serve on the parties notice that the record of proceedings has been certified and lodged with the court. The parties shall pay any reasonable costs or fees imposed for the preparation of the record of proceedings in conformance with any law or rule of court.
- (2) The plaintiff or petitioner may elect to prepare the record of proceedings or the parties may agree to an alternative method of preparation of the record of proceedings, subject to certification of its accuracy by the public agency, within the time limit specified in this subdivision.
- (c) The time limit established by subdivision (b) may be extended only upon the stipulation of all parties who have been properly served in the action or proceeding or upon order of the court. Extensions shall be liberally granted by the court when the size of the record of proceedings renders infeasible compliance with that time limit. There is no limit on the number of extensions that may be granted by the court, but no single extension shall exceed 60 days unless the court determines that a longer extension is in the public interest.
- (d) If the public agency fails to prepare and certify the record within the time limit established in paragraph (1) of subdivision (b), or any continuances of that time limit, the plaintiff or petitioner may move for sanctions, and the court may, upon that motion, grant appropriate sanctions.

- (e) The record of proceedings shall include, but is not limited to, all of the following items:
  - (1) All project application materials.
  - (2) All staff reports and related documents prepared by the respondent public agency with respect to its compliance with the substantive and procedural requirements of this division and with respect to the action on the project.
  - (3) All staff reports and related documents prepared by the respondent public agency and written testimony or documents submitted by any person relevant to any findings or statement of overriding considerations adopted by the respondent agency pursuant to this division.
  - (4) Any transcript or minutes of the proceedings at which the decision-making body of the respondent public agency heard testimony on, or considered any environmental document on, the project, and any transcript or minutes of proceedings before any advisory body to the respondent public agency that were presented to the decisionmaking body prior to action on the environmental documents or on the project.
  - (5) All notices issued by the respondent public agency to comply with this division or with any other law governing the processing and approval of the project.
  - (6) All written comments received in response to, or in connection with, environmental documents prepared for the project, including responses to the notice of preparation.
  - (7) All written evidence or correspondence submitted to, or transferred from, the respondent public agency with respect to compliance with this division or with respect to the project.
  - (8) Any proposed decisions or findings submitted to the decisionmaking body of the respondent public agency by its staff, or the project proponent, project opponents, or other persons.
  - (9) The documentation of the final public agency decision, including the final environmental impact report, mitigated negative declaration, or negative declaration, and all documents, in addition to those referenced in paragraph (3), cited or relied on in the findings or in a statement of overriding considerations adopted pursuant to this division.
  - (10) Any other written materials relevant to the respondent public agency's compliance with this division or to its decision on the merits of the project, including the initial study, any drafts of any environmental document, or portions thereof, that have been released for public review, and copies of studies or other documents relied upon in any environmental document prepared for the project and either made available to the public during the public review period or included in the respondent public agency's files on the project, and all internal agency communications, including staff notes and memoranda related to the project or to compliance with this division.
  - (11) The full written record before any inferior administrative decision-making body whose decision was appealed to a superior administrative decision-making body prior to the filing of litigation.
- (f) In preparing the record of proceedings, the party preparing the record shall strive to do so at reasonable cost in light of the scope of the record.
- (g) The clerk of the superior court shall prepare and certify the clerk's transcript on appeal not later than 60 days from the date that the notice designating the papers or records to be included in the clerk's transcript was filed with the superior court, if the party or parties pay any costs or fees for the preparation of the clerk's transcript imposed in conformance with any law or rules of court. Nothing in this subdivision precludes an election to proceed by appendix, as provided in Rule 8.124 of the California Rules of Court.
- (h) Extensions of the period for the filing of any brief on appeal may be allowed only by stipulation of the parties or by order of the court for good cause shown. Extensions for the

filing of a brief on appeal shall be limited to one 30-day extension for the preparation of an opening brief, and one 30-day extension for the preparation of a responding brief, except that the court may grant a longer extension or additional extensions if it determines that there is a substantial likelihood of settlement that would avoid the necessity of completing the appeal.

- (i) At the completion of the filing of briefs on appeal, the appellant shall notify the court of the completion of the filing of briefs, whereupon the clerk of the reviewing court shall set the appeal for hearing on the first available calendar date.

#### **§ 21167.6.5. SERVICE OF REAL PARTY IN INTEREST; LISTING AND NOTICE TO RESPONSIBLE AND TRUSTEE AGENCIES; FAILURE TO NAME POTENTIAL PARTIES**

- (a) The petitioner or plaintiff shall name, as a real party in interest, the person or persons identified by the public agency in its notice filed pursuant to subdivision (a) or (b) of Section 21108 or Section 21152 or, if no notice is filed, the person or persons in subdivision (b) or (c) of Section 21065, as reflected in the agency's record of proceedings for the project that is the subject of an action or proceeding brought pursuant to Section 21167, 21168, or 21168.5, and shall serve the petition or complaint on that real party in interest, by personal service, mail facsimile, or any other method permitted by law not later than 20 business days following service of the petition or complaint on the public agency.
- (b) The public agency shall provide the petitioner or plaintiff, not later than 10 business days following service of the petition or complaint on the public agency, with a list of responsible agencies and any public agency having jurisdiction over a natural resource affected by the project.
- (c) The petitioner or plaintiff shall provide the responsible agencies, and any public agency having jurisdiction over a natural resource affected by the project, with notice of the action or proceeding within 15 days of receipt of the list described in subdivision (b).
- (d) Failure to name potential parties, other than those real parties in interest described in subdivision (a), is not grounds for dismissal pursuant to Section 389 of the Code of Civil Procedure.
- (e) This section is not intended to affect an existing right of a party to intervene in the action.

#### **§ 21167.7. COPY OF PLEADINGS TO ATTORNEY GENERAL; GRANTING OF RELIEF**

Every person who brings an action pursuant to Section 21167 shall comply with the requirements of Section 388 of the Code of Civil Procedure. Every such person shall also furnish pursuant to Section 388 of the Code of Civil Procedure a copy of any amended or supplemental pleading filed by such person in such action to the Attorney General. No relief, temporary or permanent, shall be granted until a copy of the pleading has been furnished to the Attorney General in accordance with such requirements.

#### **§ 21167.8. SETTLEMENT MEETING; PRESETTLEMENT AND SETTLEMENT STATEMENTS; FURTHER SETTLEMENT CONFERENCE; FAILURE TO PARTICIPATE**

- (a) Not later than 20 days from the date of service upon a public agency of a petition or complaint brought pursuant to Section 21167, the public agency shall file with the court a notice setting forth the time and place at which all parties shall meet and attempt to settle the litigation. The meeting shall be scheduled and held not later than 45 days from the date of service of the petition or complaint upon the public agency. The notice of the settlement meeting shall be served by mail upon the counsel for each party. If the public agency does not know the identity of counsel for any party, the notice shall be served by mail upon the party for whom counsel is not known.
- (b) At the time and place specified in the notice filed with the court, the parties shall meet and confer regarding anticipated issues to be raised in the litigation and shall attempt in good faith

to settle the litigation and the dispute which forms the basis of the litigation. The settlement meeting discussions shall be comprehensive in nature and shall focus on the legal issues raised by the parties concerning the project that is the subject of the litigation.

- (c) The settlement meeting may be continued from time to time without postponing or otherwise delaying other applicable time limits in the litigation. The settlement meeting, or a mediation proceeding that is conducted pursuant to Chapter 9.3 (commencing with Section 66030) of Division 1 of Title 7 of the Government Code, is intended to be conducted concurrently with any judicial proceedings.
- (d) If the litigation is not settled, the court, in its discretion, may, or at the request of any party, shall, schedule a further settlement conference before a judge of the superior court. If the petition or complaint is later heard on its merits, the judge hearing the matter shall not be the same judge conducting the settlement conference, except in counties that have only one judge of the superior court.
- (e) The failure of any party, who was notified pursuant to subdivision (a), to participate in the litigation settlement process, without good cause, may result in an imposition of sanctions by the court.
- (f) Not later than 30 days from the date that notice of certification of the record of proceedings was filed and served in accordance with Section 21167.6, the petitioner or plaintiff shall file and serve on all other parties a statement of issues which the petitioner or plaintiff intends to raise in any brief or at any hearing or trial. Not later than 10 days from the date on which the respondent or real party in interest has been served with the statement of issues from the petitioner or plaintiff, each respondent and real party in interest shall file and serve on all other parties a statement of issues which that party intends to raise in any brief or at any hearing or trial.
- (g) This section shall remain in effect only until January 1, 2016, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2016, deletes or extends that date.

#### **§ 21167.9.**

Any action brought in the superior court relating to this division may be subject to a mediation proceeding conducted pursuant to Chapter 9.3 (commencing with Section 66030) of Division 1 of Title 7 of the Government Code.

#### **§ 21167.10.**

- (a) Within five business days of the filing of a notice required by subdivision (a) or (b) of Section 21108, or subdivision (a) or (b) of Section 21152 by the lead agency, a person wishing to bring an action or a proceeding pursuant to Section 21167, 21168, or 21168.5 may file with the lead agency and the real party in interest a notice requesting mediation.
- (b) Within five business days of the receipt of the notice requesting mediation, a lead agency may respond to the person by accepting the request for mediation and proceed with mediation.
- (c) The request for mediation is deemed denied if the lead agency fails to respond within five business days of receiving the request for mediation.
- (d) The limitation periods provided pursuant to this chapter shall be tolled until the completion of the mediation conducted pursuant to this section.
- (e) This section does not apply in cases where the lead agency has not filed the notice required by subdivision (a) or (b) of Section 21108, or subdivision (a) or (b) of Section 21152.
- (g) (1) Except as set forth in paragraph (2), this section shall remain in effect only until January 1, 2016, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2016, deletes or extends that date.

- (2) Notwithstanding paragraph (1), the tolling of the limitation periods provided pursuant to subdivision (d) shall apply if a mediation conducted pursuant to this section is completed on or after January 1, 2016.

### **§ 21168. REVIEW OF DETERMINATION; FINDING OR DECISION OF PUBLIC AGENCY; LAW GOVERNING; SCOPE**

Any action or proceeding to attack, review, set aside, void or annul a determination, finding, or decision of a public agency, made as a result of a proceeding in which by law a hearing is required to be given, evidence is required to be taken and discretion in the determination of facts is vested in a public agency, on the grounds of noncompliance with the provisions of this division shall be in accordance with the provisions of Section 1094.5 of the Code of Civil Procedure.

In any such action, the court shall not exercise its independent judgment on the evidence but shall only determine whether the act or decision is supported by substantial evidence in the light of the whole record.

### **§ 21168.5. ABUSE OF DISCRETION**

In any action or proceeding, other than an action or proceeding under Section 21168, to attack, review, set aside, void or annul a determination, finding, or decision of a public agency on the grounds of noncompliance with this division, the inquiry shall extend only to whether there was a prejudicial abuse of discretion. Abuse of discretion is established if the agency has not proceeded in a manner required by law or if the determination or decision is not supported by substantial evidence.

### **§ 21168.6. MANDATE TO PUBLIC UTILITIES COMMISSION; SUPREME COURT JURISDICTION**

In any action or proceeding under Sections 21168 or 21168.5 against the Public Utilities Commission the writ of mandate shall lie only from the Supreme Court to such commission.

### **§ 21168.6.5.**

- (a) For the purposes of this section, the following definitions shall apply:
- (1) "Applicant" means a private entity or its affiliates that proposes the project and its successors, heirs, and assignees.
  - (2) "Initial project approval" means any actions, activities, ordinances, resolutions, agreements, approvals, determinations, findings, or decisions taken, adopted, or approved by the lead agency required to allow the applicant to commence the construction of the project, as determined by the lead agency.
  - (3) "Project" means a project that substantially conforms to the project description for the Convention Center Modernization and Farmers Field Project set forth in the notice of preparation released by the City of Los Angeles on March 17, 2011.
  - (4) "Stadium" means, except as the context indicates otherwise, the stadium built pursuant to the project for football and other spectator events.
  - (5) "Subsequent project approval" means any actions, activities, ordinances, resolutions, agreements, approvals, determinations, findings, or decisions by the lead agency required for, or in furtherance of, the project that are taken, adopted, or approved following the initial project approvals until the project obtains certificates of occupancy.
  - (6) "Trip ratio" means the total annual number of private automobiles arriving at the stadium for spectator events divided by the total annual number of spectators at the events.
- (b) (1) This section does not apply to the project and shall become inoperative on the date of the release of the draft environmental impact report and is repealed on January 1 of the

following year, if the applicant fails to notify the lead agency prior to the release of the draft environmental impact report for public comment that the applicant is electing to proceed pursuant to this section.

- (2) The lead agency shall notify the Secretary of State if the applicant fails to notify the lead agency of its election to proceed pursuant to this section.
- (c) (1) (A) Notwithstanding any other law, the procedures set forth in subdivision (d) shall apply to any action or proceeding brought to attack, review, set aside, void, or annul the certification of the environmental impact report for the project or the granting of any initial project approvals.
- (B) Notwithstanding any other law, the procedures set forth in subdivision (j) shall apply to any action or proceeding brought to attack, review, set aside, void, or annul any subsequent project approvals.
- (2) Notwithstanding any other law, the procedure set forth in subdivision (f) shall apply to the certification of the environmental impact report for the project and to any initial project approvals.
- (d) (1) An action or proceeding to attack, set aside, void, or annul a determination, finding, or decision of the lead agency certifying the environmental impact report or granting one or more initial project approvals shall be commenced by filing a petition for a writ of mandate with the Second District Court of Appeal and shall be served on the respondent and the real party in interest within 30 days of the filing by the lead agency of the notice required by subdivision (a) of Section 21152.
- (2) The petitioner shall file and serve the opening brief in support of the petition for writ of mandate within 40 days of the filing of the petition for a writ of mandate.
- (3) The respondent and real party in interest shall file and serve any brief in opposition to the petition for writ of mandate within 25 days of the filing of the opening brief.
- (4) The petitioner shall file and serve the reply brief within 20 days of the filing of the last opposition brief to the petitioner's opening brief.
- (5) Except as provided in paragraph (6), parties to the action shall comply with all applicable California Rules of Court in the filing of the petition for writ of mandate and the briefs.
- (6) (A) Rule 8.220 of the California Rules of Court shall not apply to the time periods set forth in paragraphs (2) to (4), inclusive.
- (B) If a petitioner fails to file the opening brief pursuant to paragraph (2), the Court of Appeal shall dismiss the petition.
- (C) If the respondents and real party in interest fail to file the brief in opposition pursuant to paragraph (3), the Court of Appeal shall decide the petition for writ of mandate based on the record, the opening brief, and any oral argument by the petitioner.
- (7) Except upon a showing of extraordinary good cause, the Court of Appeal shall not grant any extensions of time to the deadlines specified in this subdivision. Any extension shall be limited to the minimum amount the Court of Appeal deems to be necessary.
- (8) The Court of Appeal may, on its motion or upon request from a party, appoint a special master to assist the Court of Appeal in conducting the expedited judicial review required pursuant to this subdivision. If the Court of Appeal appoints a special master, the applicant shall pay all reasonable costs for the special master, not to exceed one hundred fifty thousand dollars (\$150,000). If the Court of Appeal determines that the cost of the special master may exceed one hundred fifty thousand dollars (\$150,000), it may request that additional funds be provided by the applicant and, if the applicant agrees to provide the funding, shall use the funds to pay the additional costs of the special master.

- (9) The Court of Appeal shall hold a hearing and issue a decision on all petitions for writ of mandate filed pursuant to this subdivision within 60 days of the filing of the last timely reply brief.
- (10) (A) A petition for review of the decision rendered by the Court of Appeal shall be filed with the Supreme Court and served on all parties to the petition for writ of mandate within 15 days of the decision.
- (B) Any opposition to the petition for review shall be filed and served within 15 days of the filing of the petition for review.
- (C) The Supreme Court shall render a decision on the petition for review within 30 days after the filing of the petition for review or within 15 days after the filing of the opposition to the petition for review, whichever is earlier.
- (11) All briefs and notices filed pursuant to this subdivision shall be electronically served on parties pursuant to Rule 8.71 of the California Rules of Court. Each party to the petition shall provide an electronic service address at which the party agrees to accept the service.
- (12) (A) No provision of law that is inconsistent or conflicts with this subdivision shall apply to a petition for a writ of mandate subject to this subdivision, including, but not limited to, any of the following:
  - (i) Section 21167.4.
  - (ii) Subdivisions (a) through (d), inclusive, and (g) through (i), inclusive, of Section 21167.6.
  - (iii) Subdivision (f) of Section 21167.8.
  - (iv) Section 21167.6.5.
  - (v) Sections 66031 through 66035, inclusive, of the Government Code.
- (B) Except as provided in this section, including subparagraph (A), the requirements of this division are fully applicable to the project.
- (e) (1) The draft and final environmental impact report shall include a notice in not less than 12-point type stating the following:
 

THIS EIR IS SUBJECT TO SECTION 21168.6.5 OF THE PUBLIC RESOURCES CODE, WHICH PROVIDES, AMONG OTHER THINGS, THAT THE LEAD AGENCY NEED NOT CONSIDER CERTAIN COMMENTS FILED AFTER THE CLOSE OF THE PUBLIC COMMENT PERIOD FOR THE DRAFT EIR. ANY JUDICIAL ACTION CHALLENGING THE CERTIFICATION OF THE EIR OR THE APPROVAL OF THE PROJECT DESCRIBED IN THE EIR IS SUBJECT TO THE PROCEDURES SET FORTH IN SECTION 21168.6.5 OF THE PUBLIC RESOURCES CODE AND MUST BE FILED WITH THE SECOND DISTRICT COURT OF APPEAL. A COPY OF SECTION 21168.6.5 OF THE PUBLIC RESOURCES CODE IS INCLUDED IN THE APPENDIX TO THIS EIR.
- (2) The draft environmental impact report and final environmental impact report shall contain, as an appendix, the full text of this section.
- (f) (1) Within 10 days after the release of the draft environmental impact report, the lead agency shall conduct an informational workshop to inform the public of the key analyses and conclusions of that report.
- (2) Within 10 days before the close of the public comment period, the lead agency shall hold a public hearing to receive testimony on the draft environmental impact report. A transcript of the hearing shall be included as an appendix to the final environmental impact report.
- (3) (A) Within five days following the close of the public comment period, a commenter on the draft environmental impact report may submit to the lead agency a written request for

nonbinding mediation. The lead agency and applicant shall participate in nonbinding mediation with all commenters who submitted timely comments on the draft environmental impact report and who requested the mediation. Mediation conducted pursuant to this paragraph shall end no later than 35 days after the close of the public comment period.

- (B) A request for mediation shall identify all areas of dispute raised in the comment submitted by the commenter that are to be mediated.
  - (C) The lead agency shall select one or more mediators who shall be retired judges or recognized experts with at least five years experience in land use and environmental law or science, or mediation. The applicant shall bear the costs of mediation.
  - (D) A mediation session shall be conducted on each area of dispute with the parties requesting mediation on that area of dispute.
  - (E) The lead agency shall adopt, as a condition of approval, any measures agreed upon by the lead agency, the applicant, and any commenter who requested mediation. A commenter who agrees to a measure pursuant to this subparagraph shall not raise the issue addressed by that measure as a basis for a petition for writ of mandate challenging the lead agency's decision to certify the environmental impact report or to grant one or more initial project approvals.
- (4) The lead agency need not consider written comments submitted after the close of the public comment period, unless those comments address any of the following:
- (A) New issues raised in the response to comments by the lead agency.
  - (B) New information released by the public agency subsequent to the release of the draft environmental impact report, such as new information set forth or embodied in a staff report, proposed permit, proposed resolution, ordinance, or similar documents.
  - (C) Changes made to the project after the close of the public comment period.
  - (D) Proposed conditions for approval, mitigation measures, or proposed findings required by Section 21081 or a proposed reporting and monitoring program required by paragraph (1) of subdivision (a) of Section 21081.6, where the lead agency releases those documents subsequent to the release of the draft environmental impact report.
  - (E) New information that was not reasonably known and could not have been reasonably known during the public comment period.
- (5) (A) The lead agency shall file the notice required by subdivision (a) of Section 21152 within five days after the last initial project approval.
- (B) If the notice required by subdivision (a) of Section 21152 is filed after June 1, 2013, this section shall become inoperative as of June 1, 2013, and is repealed as of January 1, 2014.
- (C) In the event this section is repealed pursuant to subparagraph (B), the lead agency shall notify the Secretary of State.
- (g) (1) For a petition for writ of mandate filed pursuant to this section, the lead agency shall prepare and certify the record of the proceedings in accordance with this subdivision and in accordance with Rule 3.1365 of the California Rules of Court. The applicant shall pay the lead agency for all costs of preparing and certifying the record of proceedings.
- (2) No later than the date of the release of the draft environmental impact report, the lead agency shall make available to the public in a readily accessible electronic format the draft environmental impact report and all other documents submitted to or relied on by the lead agency in the preparation of the draft environmental impact report. A document prepared by the lead agency or submitted by the applicant after the date of the release of the draft

environmental impact report that is a part of the record of the proceedings shall be made available to the public in a readily accessible electronic format within five business days after the document is prepared or received by the lead agency.

- (3) The lead agency shall encourage written comments on the project to be submitted in a readily accessible electronic format, and shall make any such comment available to the public in a readily accessible electronic format within five days of its receipt.
  - (4) Within seven business days after the receipt of any comment that is not in an electronic format, the lead agency shall convert that comment into a readily accessible electronic format and make it available to the public in that format.
  - (5) The lead agency shall indicate in the record of the proceedings comments received that were not considered by the lead agency pursuant to paragraph (4) of subdivision (f) and need not include the content of the comments as a part of the record.
  - (6) Within five days after the filing of the notice required by subdivision (a) of Section 21152, the lead agency shall certify the record of the proceedings for the approval or determination and shall provide an electronic copy of the record to a party that has submitted a written request for a copy. The lead agency may charge and collect a reasonable fee for the electronic copy, which shall not exceed the reasonable cost of reproducing that copy.
  - (7) Within 10 days after being served with a petition for a writ of mandate pursuant to paragraph (1) of subdivision (d), the lead agency shall lodge a copy of the certified record of proceedings with the Court of Appeal.
  - (8) Any dispute over the content of the record of the proceedings shall be resolved by the Court of Appeal. Unless the Court of Appeal directs otherwise, a party disputing the content of the record shall file a motion to augment the record at the time it files its initial brief.
  - (9) The contents of the record of proceedings shall be as set forth in subdivision (e) of Section 21167.6.
- (h) It is the intent of the Legislature that the project minimize traffic congestion and air quality impacts that may result from private automobile trips to the stadium through the requirements of this division as supplemented, pursuant to subdivision (i), by the implementation of measures that will do both of the following:
- (1) Achieve and maintain carbon neutrality by reducing to zero the net emissions of greenhouse gases, as defined in subdivision (g) of Section 38505 of the Health and Safety Code, from private automobile trips to the stadium.
  - (2) Achieve and maintain a trip ratio that is no more than 90 percent of the trip ratio at any other stadium serving a team in the National Football League.
- (i) (1) As a condition of approval of the project subject to this section, the lead agency shall require the applicant to implement measures that will meet the requirements of this division and paragraph (1) of subdivision (h) by the end of the first season during which a National Football League team has played at the stadium. To maximize public health, environmental, and employment benefits, the lead agency shall place the highest priority on feasible measures that will reduce greenhouse gas emissions on the stadium site and in the neighboring communities of the stadium. Offset credits shall be employed by the applicant only after feasible local emission reduction measures have been implemented. The applicant shall, to the extent feasible, place the highest priority on the purchase of offset credits that produce emission reductions within the city or the boundaries of the South Coast Air Quality Management District.
- (2) To ensure that the stadium achieves a trip ratio that is no more than 90 percent of the trip ratio at any other stadium serving a team in the National Football League, the applicant shall implement the necessary measures as follows:

- (A) Not later than the date of the certification of the environmental impact report for the project, the lead agency shall develop and adopt a protocol to implement this subdivision pursuant to this division and subdivision (h), including, but not limited to, criteria and guidelines that will be used to determine the trip ratio.
- (B) Following the conclusion of the second, third, fourth, and fifth seasons during which a National Football League team has played at the stadium, the applicant shall prepare a report to the lead agency that describes the measures it has undertaken to reduce trips based on the protocol developed and adopted pursuant to subparagraph (A), the trip ratio at the stadium, and the results of those measures. The report shall also include a summary of publicly available data and other data gathered by the applicant regarding average vehicle ridership, nonpassenger automobile modes of arrival, and trip reduction measures undertaken at other stadiums serving a team in the National Football League.
- (C) Following the lead agency's review of the report submitted allowing the fourth season, the lead agency shall determine whether adequate data is available to determine whether the trip ratio at stadium events is more than 90 percent of the trip ratio at any other stadiums serving a National Football League team. If the lead agency concludes that adequate data does not exist, the lead agency shall take necessary steps to collect, or cause to be collected, the data reasonably necessary to make the determination. The applicant shall pay the reasonable costs of collecting the data pursuant to subdivision (a) of Section 21089.
- (D) Following the lead agency's review of the report submitted following the fifth season, the lead agency shall determine the trip ratio at stadium events and the lowest trip ratio at any other stadium serving a National Football League team. If the trip ratio at the stadium is more than 90 percent of the trip ratio at the other stadium with the lowest trip ratio, the lead agency shall, within six months following the receipt of the report, require the applicant to implement additional feasible measures that the lead agency determines pursuant to subparagraph (E) will be sufficient for the stadium to achieve the target specified in paragraph (2) of subdivision (h).
- (E) Any trip reduction measure used at other stadiums serving a National Football League team shall be presumed to be feasible unless a preponderance of the evidence demonstrates that the measure is infeasible. The lead agency's decision whether to adopt any mitigation measures pursuant to subparagraph (D) other than those used at another stadium serving a National Football League team shall be governed by the substantial evidence test. This subparagraph does not require the applicant to bear the cost of improving the capacity or performance of transit facilities other than the following:
  - (i) Temporarily expanding the capacity of a public transit line, as needed, to serve stadium events.
  - (ii) Providing private charter buses or other similar services, as needed, to serve stadium events.
  - (iii) Paying its fair share of the cost of measures that expand the capacity of a public fixed or light rail station that is used by spectators attending stadium events.
- (F) Any action or proceeding to attack, review, set aside, void, or annul a determination, finding, or decision of the lead agency regarding the additional mitigation measures pursuant to subparagraph (D) shall be commenced within 30 days following the lead agency's filing of the notice required by subdivision (a) of Section 21152 and shall be governed by this division. The procedures set forth in subdivision (d) shall not apply to that action or proceeding. Notwithstanding any other law, compliance or

noncompliance with this paragraph shall not result in the stadium being required to cease or limit operations.

- (G) If the lead agency requires the applicant to implement additional measures pursuant to subparagraph (D), the applicant shall submit the report described in subparagraph (B) to the lead agency following the conclusion of each subsequent season until the lead agency determines that the applicant has achieved a trip ratio at the stadium that is not more than 90 percent of the trip ratio at any other stadium serving a National Football League team for two consecutive seasons or until the applicant submits the required report following the conclusion of the 10th season, whichever occurs earlier. Nothing in this subparagraph affects the ongoing obligations of the applicant pursuant to subdivision (h) and this subdivision.
- (H) All obligations of the applicant set forth in this subdivision or imposed upon the applicant by the lead agency pursuant to this subdivision shall run with the land.
- (3) This subdivision and subdivision (h) shall not serve as a basis for any action or proceeding to attack, set aside, void, or annul a determination, finding, or decision of the lead agency in certifying the environmental impact report for the project or in granting the initial or subsequent project approvals.
- (4) The obligations imposed pursuant to this subdivision and subdivision (h) supplement, and do not replace, mitigation measures otherwise imposed on the project pursuant to this division.
- (j) (1) An action or proceeding to attack, set aside, void, or annul a determination, finding, or decision of the lead agency granting a subsequent project approval shall be subject to the requirements of Chapter 6 (commencing with Section 21165).
- (2) (A) In granting relief in an action or proceeding brought pursuant to this subdivision, the court shall not stay or enjoin the construction or operation of the project unless the court finds either of the following:
  - (i) The continued construction or operation of the project presents an imminent threat to the public health and safety.
  - (ii) The project site contains unforeseen important Native American artifacts or unforeseen important historical, archaeological, or ecological values that would be materially, permanently, and adversely affected by the continued construction or operation of the project.
- (B) If the court finds that clause (i) or (ii) is satisfied, the court shall only enjoin those specific project activities that present an imminent threat to public health and safety or that materially, permanently, and adversely affect unforeseen important Native American artifacts or unforeseen important historical, archaeological, or ecological values.
- (k) The provisions of this section are severable. If any provision of this section or its application is held invalid, that invalidity shall not affect other provisions or applications that can be given effect without the invalid provision or application.

### **§ 21168.6.6**

- (a) For the purposes of this section, the following definitions shall have the following meanings:
  - (1) “Applicant” means a private entity or its affiliates that proposes the project and its successors, heirs, and assignees.
  - (2) “City” means the City of Sacramento.
  - (3) “Downtown arena” means the following components of the entertainment and sports center project from demolition and site preparation through operation:

(A) An arena facility that will become the new home to the City of Sacramento’s National Basketball Association (NBA) team that does both of the following:

(i) Receives Leadership in Energy and Environmental Design (LEED) gold certification for new construction within one year of completion of the first NBA season.

(ii) Minimizes operational traffic congestion and air quality impacts through either or both project design and the implementation of feasible mitigation measures that will do all of the following:

(I) Achieve and maintain carbon neutrality or better by reducing to at least zero the net emissions of greenhouse gases, as defined in subdivision (g) of Section 38505 of the Health and Safety Code, from private automobile trips to the downtown arena as compared to the baseline as verified by the Sacramento Metropolitan Air Quality Management District.

(II) Achieve a per attendee reduction in greenhouse gas emissions from automobiles and light trucks compared to per attendee greenhouse gas emissions associated with the existing arena during the 2012-13 NBA season that will exceed the carbon reduction targets for 2020 and 2035 achieved in the sustainable communities strategy prepared by the Sacramento Area Council of Governments for the Sacramento region pursuant to Chapter 728 of the Statutes of 2008.

(III) Achieve and maintain vehicle-miles-traveled per attendee for NBA events at the downtown arena that is no more than 85 percent of the baseline.

(B) Associated public spaces.

(C) Facilities and infrastructure for ingress, egress, and use of the arena facility.

(4) “Entertainment and sports center project” or “project” means a project that substantially conforms to the project description for the entertainment and sports center project set forth in the notice of preparation released by the City of Sacramento on April 12, 2013.

(b) (1) The city may prosecute an eminent domain action for 545 and 600 K Street, Sacramento, California, and surrounding publicly accessible areas and rights-of-way within 200 feet of 600 K Street, Sacramento, California, through order of possession pursuant to the Eminent Domain Law (Title 7 (commencing with Section 1230.010) of Part 3 of the Code of Civil Procedure) prior to completing the environmental review under this division.

(2) Paragraph (1) shall not apply to any other eminent domain actions prosecuted by the City of Sacramento or to eminent domain actions based on a finding of blight.

(c) Notwithstanding any other law, the procedures established pursuant to subdivision (d) shall apply to an action or proceeding brought to attack, review, set aside, void, or annul the certification of the environmental impact report for the project or the granting of any project approvals.

(d) On or before July 1, 2014, the Judicial Council shall adopt a rule of court to establish procedures applicable to actions or proceedings brought to attack, review, set aside, void, or annul the certification of the environmental impact report for the project or the granting of any project approvals that require the actions or proceedings, including any potential appeals therefrom, be resolved, to the extent feasible, within 270 days of certification of the record of proceedings pursuant to subdivision (f).

(e) (1) The draft and final environmental impact report shall include a notice in not less than 12-point type stating the following:

**THIS EIR IS SUBJECT TO SECTION 21168.6.6 OF THE PUBLIC RESOURCES CODE, WHICH PROVIDES, AMONG OTHER THINGS, THAT THE LEAD AGENCY**

NEED NOT CONSIDER CERTAIN COMMENTS FILED AFTER THE CLOSE OF THE PUBLIC COMMENT PERIOD FOR THE DRAFT EIR. ANY JUDICIAL ACTION CHALLENGING THE CERTIFICATION OF THE EIR OR THE APPROVAL OF THE PROJECT DESCRIBED IN THE EIR IS SUBJECT TO THE PROCEDURES SET FORTH IN SECTION 21168.6.6 OF THE PUBLIC RESOURCES CODE. A COPY OF SECTION 21168.6.6 OF THE PUBLIC RESOURCES CODE IS INCLUDED IN THE APPENDIX TO THIS EIR.

- (2) The draft environmental impact report and final environmental impact report shall contain, as an appendix, the full text of this section.
- (3) Within 10 days after the release of the draft environmental impact report, the lead agency shall conduct an informational workshop to inform the public of the key analyses and conclusions of that report.
- (4) Within 10 days before the close of the public comment period, the lead agency shall hold a public hearing to receive testimony on the draft environmental impact report. A transcript of the hearing shall be included as an appendix to the final environmental impact report.
- (5) (A) Within five days following the close of the public comment period, a commenter on the draft environmental impact report may submit to the lead agency a written request for nonbinding mediation. The lead agency and applicant shall participate in nonbinding mediation with all commenters who submitted timely comments on the draft environmental impact report and who requested the mediation. Mediation conducted pursuant to this paragraph shall end no later than 35 days after the close of the public comment period.
  - (B) A request for mediation shall identify all areas of dispute raised in the comment submitted by the commenter that are to be mediated.
  - (C) The lead agency shall select one or more mediators who shall be retired judges or recognized experts with at least five years experience in land use and environmental law or science, or mediation. The applicant shall bear the costs of mediation.
  - (D) A mediation session shall be conducted on each area of dispute with the parties requesting mediation on that area of dispute.
  - (E) The lead agency shall adopt, as a condition of approval, any measures agreed upon by the lead agency, the applicant, and any commenter who requested mediation. A commenter who agrees to a measure pursuant to this subparagraph shall not raise the issue addressed by that measure as a basis for an action or proceeding challenging the lead agency's decision to certify the environmental impact report or to grant one or more initial project approvals.
- (6) The lead agency need not consider written comments submitted after the close of the public comment period, unless those comments address any of the following:
  - (A) New issues raised in the response to comments by the lead agency.
  - (B) New information released by the public agency subsequent to the release of the draft environmental impact report, such as new information set forth or embodied in a staff report, proposed permit, proposed resolution, ordinance, or similar documents.
  - (C) Changes made to the project after the close of the public comment period.
  - (D) Proposed conditions for approval, mitigation measures, or proposed findings required by Section 21081 or a proposed reporting and monitoring program required by paragraph (1) of subdivision (a) of Section 21081.6, where the lead agency releases those documents subsequent to the release of the draft environmental impact report.
  - (E) New information that was not reasonably known and could not have been reasonably known during the public comment period.

- (7) The lead agency shall file the notice required by subdivision (a) of Section 21152 within five days after the last initial project approval.
- (f) (1) The lead agency shall prepare and certify the record of the proceedings in accordance with this subdivision and in accordance with Rule 3.1365 of the California Rules of Court. The applicant shall pay the lead agency for all costs of preparing and certifying the record of proceedings.
- (2) No later than three business days following the date of the release of the draft environmental impact report, the lead agency shall make available to the public in a readily accessible electronic format the draft environmental impact report and all other documents submitted to or relied on by the lead agency in the preparation of the draft environmental impact report. A document prepared by the lead agency or submitted by the applicant after the date of the release of the draft environmental impact report that is a part of the record of the proceedings shall be made available to the public in a readily accessible electronic format within five business days after the document is prepared or received by the lead agency.
- (3) Notwithstanding paragraph (2), documents submitted to or relied on by the lead agency that were not prepared specifically for the project and are copyright protected are not required to be made readily accessible in an electronic format. For those copyright protected documents, the lead agency shall make an index of these documents available in an electronic format no later than the date of the release of the draft environmental impact report, or within five business days if the document is received or relied on by the lead agency after the release of the draft environmental impact report. The index must specify the libraries or lead agency offices in which hardcopies of the copyrighted materials are available for public review.
- (4) The lead agency shall encourage written comments on the project to be submitted in a readily accessible electronic format, and shall make any such comment available to the public in a readily accessible electronic format within five days of its receipt.
- (5) Within seven business days after the receipt of any comment that is not in an electronic format, the lead agency shall convert that comment into a readily accessible electronic format and make it available to the public in that format.
- (6) The lead agency shall indicate in the record of the proceedings comments received that were not considered by the lead agency pursuant to paragraph (6) of subdivision (e) and need not include the content of the comments as a part of the record.
- (7) Within five days after the filing of the notice required by subdivision (a) of Section 21152, the lead agency shall certify the record of the proceedings for the approval or determination and shall provide an electronic copy of the record to a party that has submitted a written request for a copy. The lead agency may charge and collect a reasonable fee from a party requesting a copy of the record for the electronic copy, which shall not exceed the reasonable cost of reproducing that copy.
- (8) Within 10 days after being served with a complaint or a petition for a writ of mandate, the lead agency shall lodge a copy of the certified record of proceedings with the superior court.
- (9) Any dispute over the content of the record of the proceedings shall be resolved by the superior court. Unless the superior court directs otherwise, a party disputing the content of the record shall file a motion to augment the record at the time it files its initial brief.
- (10) The contents of the record of proceedings shall be as set forth in subdivision (e) of Section 21167.6.
- (g) (1) As a condition of approval of the project subject to this section, the lead agency shall require the applicant, with respect to any measures specific to the operation of the

- downtown arena, to implement those measures that will meet the requirements of this division by the end of the first NBA regular season or June of the first NBA regular season, whichever is later, during which an NBA team has played at the downtown arena.
- (2) To maximize public health, environmental, and employment benefits, the lead agency shall place the highest priority on feasible measures that will reduce greenhouse gas emissions on the downtown arena site and in the neighboring communities of the downtown arena. Mitigation measures that shall be considered and implemented, if feasible and necessary, to achieve the standards set forth in subclauses (i) to (iii), inclusive, of clause (ii) of subparagraph (A) of paragraph (3) of subdivision (a), including, but not limited to:
- (A) Temporarily expanding the capacity of a public transit line, as needed, to serve downtown arena events.
- (B) Providing private charter buses or other similar services, as needed, to serve downtown arena events.
- (C) Paying its fair share of the cost of measures that expand the capacity of a public fixed or light rail station that is used by spectators attending downtown arena events.
- (3) Offset credits shall be employed by the applicant only after feasible local emission reduction measures have been implemented. The applicant shall, to the extent feasible, place the highest priority on the purchase of offset credits that produce emission reductions within the city or the boundaries of the Sacramento Metropolitan Air Quality Management District.
- (h) (1) (A) In granting relief in an action or proceeding brought pursuant to this section, the court shall not stay or enjoin the construction or operation of the downtown arena unless the court finds either of the following:
- (i) The continued construction or operation of the downtown arena presents an imminent threat to the public health and safety.
- (ii) The downtown arena site contains unforeseen important Native American artifacts or unforeseen important historical, archaeological, or ecological values that would be materially, permanently, and adversely affected by the continued construction or operation of the downtown arena unless the court stays or enjoins the construction or operation of the downtown arena.
- (B) If the court finds that clause (i) or (ii) is satisfied, the court shall only enjoin those specific activities associated with the downtown arena that present an imminent threat to public health and safety or that materially, permanently, and adversely affect unforeseen important Native American artifacts or unforeseen important historical, archaeological, or ecological values.
- (2) An action or proceeding to attack, set aside, void, or annul a determination, finding, or decision of the lead agency granting a subsequent project approval shall be subject to the requirements of Chapter 6 (commencing with Section 21165).
- (3) Where an action or proceeding brought pursuant to this section challenges aspects of the project other than the downtown arena and those portions or specific project activities are severable from the downtown arena, the court may enter an order as to aspects of the project other than the downtown arena that includes one or more of the remedies set forth in Section 21168.9.
- (i) The provisions of this section are severable. If any provision of this section or its application is held invalid, that invalidity shall not affect other provisions or applications that can be given effect without the invalid provision or application.
- (i) (1) This section does not apply to the project and shall become inoperative on the date of the release of the draft environmental impact report and is repealed on January 1 of the

following year, if the applicant fails to notify the lead agency prior to the release of the draft environmental impact report for public comment that the applicant is electing to proceed pursuant to this section.

- (2) The lead agency shall notify the Secretary of State if the applicant fails to notify the lead agency of its election to proceed pursuant to this section.

### § 21168.7. DECLARATION OF EXISTING LAW

Sections 21168 and 21168.5 are declaratory of existing law with respect to the judicial review of determinations or decisions of public agencies made pursuant to this division.

### § 21168.9. PUBLIC AGENCY ACTIONS; NONCOMPLIANCE WITH DIVISION; COURT ORDER; CONTENT; RESTRICTIONS

- (a) If a court finds, as a result of a trial, hearing, or remand from an appellate court, that any determination, finding, or decision of a public agency has been made without compliance with this division, the court shall enter an order that includes one or more of the following:
- (1) A mandate that the determination, finding, or decision be voided by the public agency, in whole or in part.
  - (2) If the court finds that a specific project activity or activities will prejudice the consideration or implementation of particular mitigation measures or alternatives to the project, a mandate that the public agency and any real parties in interest suspend any or all specific project activity or activities, pursuant to the determination, finding, or decision, that could result in an adverse change or alteration to the physical environment, until the public agency has taken any actions that may be necessary to bring the determination, finding, or decision into compliance with this division.
  - (3) A mandate that the public agency take specific action as may be necessary to bring the determination, finding, or decision into compliance with this division.
- (b) Any order pursuant to subdivision (a) shall include only those mandates which are necessary to achieve compliance with this division and only those specific project activities in noncompliance with this division. The order shall be made by the issuance of a peremptory writ of mandate specifying what action by the public agency is necessary to comply with this division. However, the order shall be limited to that portion of a determination, finding, or decision or the specific project activity or activities found to be in noncompliance only if a court finds that (1) the portion or specific project activity or activities are severable, (2) severance will not prejudice complete and full compliance with this division, and (3) the court has not found the remainder of the project to be in noncompliance with this division. The trial court shall retain jurisdiction over the public agency's proceedings by way of a return to the peremptory writ until the court has determined that the public agency has complied with this division.
- (c) Nothing in this section authorizes a court to direct any public agency to exercise its discretion in any particular way. Except as expressly provided in this section, nothing in this Section is intended to limit the equitable powers of the court.

### § 21169. VALIDATION OF PROJECTS.

Any project defined in subdivision (c) of Section 21065 undertaken, carried out or approved on or before the effective date of this section and the issuance by any public agency of any lease, permit, license, certificate or other entitlement for use executed or issued on or before the effective date of this section notwithstanding a failure to comply with this division, if otherwise legal and valid, is hereby confirmed, validated and declared legally effective. Any project undertaken by a person which was supported in whole or part through contracts with one or more public agencies on or

before the effective date of this section, notwithstanding a failure to comply with this division, if otherwise legal and valid, is hereby confirmed, validated and declared legally effective.

#### § 21169.11.

- (a) At any time after a petition has been filed pursuant to this division<sup>4</sup>, but at least 30 days before the hearing on the merits, a party may file a motion requesting the court to impose a sanction for a frivolous claim made in the course of an action brought pursuant to this division.
- (b) If the court determines that a claim is frivolous, the court may impose an appropriate sanction, in an amount up to ten thousand dollars (\$10,000), upon the attorneys, law firms, or parties responsible for the violation.
- (c) For purposes of this section, "frivolous" means totally and completely without merit.
- (d) (1) This section shall remain in effect only until January 1, 2016, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2016, deletes or extends that date.
- (2) Notwithstanding paragraph (1), the sanction provided pursuant to this section shall apply to an action filed on or before December 31, 2015.

#### § 21170. JUDICIAL PROCEEDINGS IN PROGRESS; EFFECT

- (a) Section 21169 shall not operate to confirm, validate or give legal effect to any project the legality of which was being contested in a judicial proceeding in which proceeding the pleadings, prior to the effective date of this section, alleged facts constituting a cause of action for, or raised the issue of, a violation of this division and which was pending and undetermined on the effective date of this section; provided, however, that Section 21169 shall operate to confirm, validate or give legal effect to any project to which this subdivision applies if, prior to the commencement of judicial proceedings and in good faith and in reliance upon the issuance by a public agency of any lease, permit, license, certificate or other entitlement for use, substantial construction has been performed and substantial liabilities for construction and necessary materials have been incurred.
- (b) Section 21169 shall not operate to confirm, validate or give legal effect to any project which had been determined in any judicial proceeding, on or before the effective date of this section to be illegal, void or ineffective because of noncompliance with this division.

#### § 21171. MORATORIUM PROVISION FOR CERTAIN PROJECTS

This division, except for Section 21169, shall not apply to the issuance of any lease, permit, license, certificate or other entitlement for use for any project defined in subdivision (c) of Section 21065 or to any project undertaken by a person which is supported in whole or in part through contracts with one or more public agencies until the 121st day after the effective date of this section. This section shall not apply to any project to which Section 21170 is applicable or to any successor project which is the same as, or substantially identical to, such a project.

This section shall not prohibit or prevent a public agency, prior to the 121st day after the effective date of this section, from considering environmental factors in connection with the approval or disapproval of a project and from imposing reasonable fees in connection therewith.

#### § 21172. [DELETED]

#### § 21172.5. [DELETED]

#### § 21173. SEVERABILITY

If any provision of this division or the application thereof to any person or circumstances is held invalid, such invalidity shall not affect other provisions or applications of this division which can

be given effect without the invalid provision or application thereof, and to this end the provisions of this division are severable.

#### **§ 21174. CONSTRUCTION OF DIVISION; ENFORCEMENT OF OTHER PROVISIONS; COASTAL ACT**

No provision of this division is a limitation or restriction on the power or authority of any public agency in the enforcement or administration of any provision of law which it is specifically permitted or required to enforce or administer, including, but not limited to, the powers and authority granted to the California Coastal Commission pursuant to Division 20 (commencing with Section 30000). To the extent of any inconsistency or conflict between the provisions of the California Coastal Act of 1976 (Division 20 (commencing with Section 30000)) and the provisions of this division, the provisions of Division 20 (commencing with Section 30000) shall control.

#### **§ 21175. [DELETED]**

#### **§ 21176. [DELETED]**

#### **§ 21177. PRESENTATION OF GROUNDS FOR NONCOMPLIANCE; OBJECTIONS TO APPROVAL OF PROJECT**

- (a) An action or proceeding shall not be brought pursuant to Section 21167 unless the alleged grounds for noncompliance with this division were presented to the public agency orally or in writing by any person during the public comment period provided by this division or prior to the close of the public hearing on the project before the issuance of the notice of determination.
- (b) A person shall not maintain an action or proceeding unless that person objected to the approval of the project orally or in writing during the public comment period provided by this division or prior to the close of the public hearing on the project before the issuance of the notice of determination pursuant to Sections 21108 and 21152.
- (c) This section does not preclude any organization formed after the approval of a project from maintaining an action pursuant to Section 21167 if a member of that organization has complied with subdivision (a) and (b). The grounds for noncompliance may have been presented directly by a member or by a member agreeing with or supporting the comments of another person.
- (d) This section does not apply to the Attorney General.
- (e) This section does not apply to any alleged grounds for noncompliance with this division for which there was no public hearing or other opportunity for members of the public to raise those objections orally or in writing prior to the approval of the project, or if the public agency failed to give the notice required by law.
- (f) This section shall remain in effect only until January 1, 2016, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2016, deletes or extends that date.

## **Chapter 6.5: Jobs and Economic Improvement through Environmental Leadership Act of 2011**

### **§21178.**

The Legislature finds and declares all of the following:

- (a) The overall unemployment rate in California is 12 percent, and in certain regions of the state that rate exceeds 13 percent.

- (b) The California Environmental Quality Act (Division 13 (commencing with Section 21000) of the Public Resources Code) requires that the environmental impacts of development projects be identified and mitigated.
- (c) The act also guarantees the public an opportunity to review and comment on the environmental impacts of a project and to participate meaningfully in the development of mitigation measures for potentially significant environmental impacts.
- (d) There are large projects under consideration in various regions of the state that would replace old and outmoded facilities with new job-creating facilities to meet those regions' needs while also establishing new, cutting-edge environmental benefits to those regions.
- (e) These projects are privately financed or financed from revenues generated from the projects themselves and do not require taxpayer financing.
- (f) These projects further will generate thousands of full-time jobs during construction and thousands of additional permanent jobs once they are constructed and operating.
- (g) These projects also present an unprecedented opportunity to implement nation-leading innovative measures that will significantly reduce traffic, air quality, and other significant environmental impacts, and fully mitigate the greenhouse gas emissions resulting from passenger vehicle trips attributed to the project.
- (h) These pollution reductions will be the best in the nation compared to other comparable projects in the United States.
- (i) The purpose of this act is to provide unique and unprecedented streamlining benefits under the California Environmental Quality Act for projects that provide the benefits described above for a limited period of time to put people to work as soon as possible.

## §21180.

For the purposes of this chapter, the following terms shall have the following meanings:

- (a) "Applicant" means a public or private entity or its affiliates, or a person or entity that undertakes a public works project, that proposes a project and its successors, heirs, and assignees.
- (b) "Environmental leadership development project," "leadership project," or "project" means a project as described in Section 21065 that is one the following:
  - (1) A residential, retail, commercial, sports, cultural, entertainment, or recreational use project that is certified as LEED silver or better by the United States Green Building Council and, where applicable, that achieves a 10-percent greater standard for transportation efficiency than for comparable projects. These projects must be located on an infill site. For a project that is within a metropolitan planning organization for which a sustainable communities strategy or alternative planning strategy is in effect, the infill project shall be consistent with the general use designation, density, building intensity, and applicable policies specified for the project area in either a sustainable communities strategy or an alternative planning strategy, for which the State Air Resources Board, pursuant to subparagraph (H) of paragraph (2) of subdivision (b) of Section 65080 of the Government Code, has accepted a metropolitan planning organization's determination that the sustainable communities strategy or the alternative planning strategy would, if implemented, achieve the greenhouse gas emission reduction targets.
  - (2) A clean renewable energy project that generates electricity exclusively through wind or solar, but not including waste incineration or conversion.
  - (3) A clean energy manufacturing project that manufactures products, equipment, or components used for renewable energy generation, energy efficiency, or for the production of clean alternative fuel vehicles.

- (c) "Transportation efficiency" means the number of vehicle trips by employees, visitors, or customers of the residential, retail, commercial, sports, cultural, entertainment, or recreational use project divided by the total number of employees, visitors, and customers.

### §21181.

This chapter does not apply to a project if the Governor does not certify a project as an environmental leadership development project eligible for streamlining provided applicant fails to notify a lead agency prior to the release of the draft environmental impact report for public comment that the applicant is electing to proceed pursuant to this chapter prior to January 1, 2016. The lead agency shall notify the Secretary of the Natural Resources Agency if the applicant fails to provide notification pursuant to this section.

### §21182.

A person proposing to construct a leadership project may apply to the Governor for certification that the leadership project is eligible for streamlining provided by this chapter. The person shall supply evidence and materials that the Governor deems necessary to make a decision on the application. Any evidence or materials shall be made available to the public at least 15 days before the Governor certifies a project pursuant to this chapter.

### §21183.

The Governor may certify a leadership project for streamlining pursuant to this chapter if all the following conditions are met:

- (a) The project will result in a minimum investment of one hundred million dollars (\$100,000,000) in California upon completion of construction.
- (b) The project creates high-wage, highly skilled jobs that pay prevailing wages and living wages and provide construction jobs and permanent jobs for Californians, and helps reduce unemployment. For purposes of this subdivision, "jobs that pay prevailing wages" means that all construction workers employed in the execution of the project will receive at least the general prevailing rate of per diem wages for the type of work and geographic area, as determined by the Director of Industrial Relations pursuant to Sections 1773 and 1773.9 of the Labor Code. If the project is certified for streamlining, the project applicant shall include this requirement in all contracts for the performance of the work.
- (c) The project does not result in any net additional emission of greenhouse gases, including greenhouse gas emissions from employee transportation, as determined by the State Air Resources Board pursuant to Division 25.5 (commencing with Section 38500) of the Health and Safety Code.
- (d) The project applicant has entered into a binding and enforceable agreement that all mitigation measures required pursuant to this division to certify the project under this chapter shall be conditions of approval of the project, and those conditions will be fully enforceable by the lead agency or another agency designated by the lead agency. In the case of environmental mitigation measures, the applicant agrees, as an ongoing obligation, that those measures will be monitored and enforced by the lead agency for the life of the obligation.
- (e) The project applicant agrees to pay the costs of the Court of Appeal in hearing and deciding any case, including payment of the costs for the appointment of a special master if deemed appropriate by the court, in a form and manner specified by the Judicial Council, as provided in the Rules of Court adopted by the Judicial Council pursuant to subdivision (f) of Section 21185.
- (f) The project applicant agrees to pay the costs of preparing the administrative record for the project concurrent with review and consideration of the project pursuant to this division, in a form and manner specified by the lead agency for the project.

**§21184.**

- (a) The Governor may certify a project for streamlining pursuant to this chapter if it complies with the conditions specified in Section 21183.
- (b) (1) Prior to certifying a project, the Governor shall make a determination that each of the conditions specified in Section 21183 has been met. These findings are not subject to judicial review.
- (2) (A) If the Governor determines that a leadership project is eligible for streamlining pursuant to this chapter, he or she shall submit that determination, and any supporting information, to the Joint Legislative Budget Committee for review and concurrence or nonconcurrence.
- (B) Within 30 days of receiving the determination, the Joint Legislative Budget Committee shall concur or nonconcur in writing on the determination.
- (C) If the Joint Legislative Budget Committee fails to concur or nonconcur on a determination by the Governor within 30 days of the submittal, the leadership project is deemed to be certified.
- (c) The Governor may issue guidelines regarding application and certification of projects pursuant to this chapter. Any guidelines issued pursuant to this subdivision are not subject to the rulemaking provisions of the Administrative Procedure Act (Chapter 3.5 (commencing with Section 11340) of Part 1 of Division 3 of Title 2 of the Government Code).

**§21185.**

- ~~(a) Notwithstanding any other law, any action or proceeding alleging that a public agency or has approved or is undertaking a leadership project certified by the Governor in violation of this division shall be conducted in accordance with the following streamlining benefits:~~
- ~~(1) The action or proceeding shall be filed in the Court of Appeal with geographic jurisdiction over the project.~~
- ~~(2) Any party bringing such a claim shall also file concurrently any other claims alleging that a public agency has granted land use approvals for the leadership project in violation of the law. The Court of Appeal shall have original jurisdiction over all those claims.~~
- ~~(3) The Court of Appeal shall issue its decision in the case within 175 days of the filing of the petition.~~
- ~~(4) The court may appoint a master to assist the court in managing and processing the case.~~
- ~~(5) The court may grant extensions of time only for good cause shown and in order to promote the interests of justice.~~
- ~~(b) On or before July 1, 2012, the Judicial Council shall adopt Rules of Court to implement this chapter.~~

On or before July 1, 2014, the Judicial Council shall adopt a rule of court to establish procedures applicable to actions or proceedings brought to attack, review, set aside, void, or annul the certification of the environmental impact report for an environmental leadership development project certified by the Governor pursuant to this chapter or the granting of any project approvals that require the actions or proceedings, including any potential appeals therefrom, be resolved, within 270 days of certification of the record of proceedings pursuant to Section 21186.

**§21186.**

Notwithstanding any other law, the preparation and certification of the administrative record for a leadership project certified by the Governor shall be performed in the following manner:

- (a) The lead agency for the project shall prepare the administrative record pursuant to this division concurrently with the administrative process.
- (b) All documents and other materials placed in the administrative record shall be posted on, and be downloadable from, an Internet Web site maintained by the lead agency commencing with the date of the release of the draft environmental impact report.
- (c) The lead agency shall make available to the public in a readily accessible electronic format the draft environmental impact report and all other documents submitted to, or relied on by, the lead agency in the preparation of the draft environmental impact report.
- (d) A document prepared by the lead agency or submitted by the applicant after the date of the release of the draft environmental impact report that is a part of the record of the proceedings shall be made available to the public in a readily accessible electronic format within five business days after the document is released or received by the lead agency.
- (e) The lead agency shall encourage written comments on the project to be submitted in a readily accessible electronic format, and shall make any comment available to the public in a readily accessible electronic format within five days of its receipt.
- (f) Within seven business days after the receipt of any comment that is not in an electronic format, the lead agency shall convert that comment into a readily accessible electronic format and make it available to the public in that format.
- (g) Notwithstanding paragraphs (b) to (f), inclusive, documents submitted to or relied on by the lead agency that were not prepared specifically for the project and are copyright protected are not required to be made readily accessible in an electronic format. For those copyright-protected documents, the lead agency shall make an index of these documents available in an electronic format no later than the date of the release of the draft environmental impact report, or within five business days if the document is received or relied on by the lead agency after the release of the draft environmental impact report. The index must specify the libraries or lead agency offices in which hardcopies of the copyrighted materials are available for public review.
- ~~(h)~~ (h) The lead agency shall certify the final administrative record within five days of its approval of the project.
- ~~(i)~~ (i) Any dispute arising from the administrative record shall be resolved by the Court of Appeal pursuant to Section 21185, superior court. Unless the superior court directs otherwise, a party disputing the content of the record shall file a motion to augment the record at the time it files its initial brief.
- (j) The contents of the record of proceedings shall be as set forth in subdivision (e) of Section 21167.6.

**§21187.**

~~The draft and final environmental impact report shall include a~~ Within 10 days of the Governor certifying an environmental leadership development project pursuant to this section, the lead agency shall, at the applicant's expense, issue a public notice in no less than 12-point type stating the following:

"THIS EIR IS SUBJECT TO THE APPLICANT HAS ELECTED TO PROCEED UNDER CHAPTER 6.5 (COMMENCING WITH SECTION 21178) OF THE PUBLIC RESOURCES CODE, WHICH PROVIDES, AMONG OTHER THINGS, THAT ANY JUDICIAL ACTION CHALLENGING THE CERTIFICATION OF THE EIR OR THE APPROVAL OF THE PROJECT DESCRIBED IN THE EIR IS SUBJECT TO THE PROCEDURES SET FORTH IN SECTIONS 21185 TO 21186, INCLUSIVE, 21178.2 OF THE PUBLIC RESOURCES CODE, AND MUST BE FILED WITH THE COURT OF APPEAL. A COPY OF CHAPTER 6.5 (COMMENCING WITH SECTION 21178) OF THE PUBLIC RESOURCES CODE IS INCLUDED IN THE APPENDIX TO THIS EIR BELOW."

The public notice shall be distributed by the lead agency as required for public notices issued pursuant to paragraph (3) of subdivision (b) of Section 21092.

**§21188.**

The provisions of this chapter are severable. If any provision of this chapter or its application is held to be invalid, that invalidity shall not affect any other provision or application that can be given effect without the invalid provision or application.

**§21189.**

Except as otherwise provided expressly in this chapter, nothing in this chapter affects the duty of any party to comply with this division.

**§21189.1.**

~~(a) If a lead agency fails to certify an environmental impact report for a leadership project subject to this chapter on or before June 1, 2014, this chapter shall not apply to that project. The lead agency shall notify the Secretary of the Natural Resources Agency by July 1, 2014, if an environmental impact report subject to this chapter has not been certified by that date.~~

~~(b) If, prior to June 1, 2014, a certification issued pursuant to this chapter has not been used or the time period during which an action or proceeding, for purposes of Section 21185, may be filed under this chapter has not elapsed, the certification expires and is no longer valid.~~

If, prior to January 1, 2016, a lead agency fails to approve a project certified by the Governor pursuant to this chapter, then the certification expires and is no longer valid.

**§21189.2.**

The Judicial Council shall report to the Legislature on or before January 1, 2015, on the effects of this chapter, which shall include, but not be limited to, a description of the benefits, costs, and detriments of the certification of leadership projects pursuant to this chapter.

**§21189.3**

This chapter shall remain in effect until January 1, ~~2015~~ 2017, and as of that date is repealed unless a later enacted statute extends or repeals that date.

## Title 14. California Code of Regulations

### Chapter 3: Guidelines for Implementation of the California Environmental Quality Act

#### As amended January 4, 2013

In 2012, changes to the CEQA Guidelines were proposed to implement Public Resources Code section 21094.5, setting out a streamlined environmental review process for qualifying infill projects. These changes included adding Section 15183.3, Appendix M and Appendix N. On January 4, 2013, these changes were adopted by the Secretary for the California Natural Resources Agency. The revisions are marked as follows: new additions are underlined and deletions are indicated by ~~strikeout~~.

*Note: The numbered sections have been adopted by the Secretary of Resources as part of the California Code of Regulations.*

Reproduced with the permission of the California Resources Agency; all rights reserved.

## Article 1. General

### SECTIONS 15000 TO 15007

#### 15000. AUTHORITY

The regulations contained in this chapter are prescribed by the Secretary for Resources to be followed by all state and local agencies in California in the implementation of the California Environmental Quality Act. These Guidelines have been developed by the Office of Planning and Research for adoption by the Secretary for Resources in accordance with Section 21083. Additional information may be obtained by writing:

Secretary for Resources  
1416 Ninth Street, Room 1311  
Sacramento, CA 95814

These Guidelines are binding on all public agencies in California.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21082 and 21083, Public Resources Code; *City of Santa Ana v. City of Garden Grove*, (1979) 100 Cal. App. 3d 521.

#### 15001. SHORT TITLE

These Guidelines may be cited as the "State CEQA Guidelines." Existing references to the "State EIR Guidelines" shall be construed to be references to the State CEQA Guidelines.

**Note:** Authority cited: Sections 21083, Public Resources Code; Reference: Section 21083, Public Resources Code.

## 15002. GENERAL CONCEPTS

- (a) **Basic Purposes of CEQA.** The basic purposes of CEQA are to:
- (1) Inform governmental decision makers and the public about the potential, significant environmental effects of proposed activities.
  - (2) Identify the ways that environmental damage can be avoided or significantly reduced.
  - (3) Prevent significant, avoidable damage to the environment by requiring changes in projects through the use of alternatives or mitigation measures when the governmental agency finds the changes to be feasible.
  - (4) Disclose to the public the reasons why a governmental agency approved the project in the manner the agency chose if significant environmental effects are involved.
- (b) **Governmental Action.** CEQA applies to governmental action. This action may involve:
- (1) Activities directly undertaken by a governmental agency,
  - (2) Activities financed in whole or in part by a governmental agency, or
  - (3) Private activities which require approval from a governmental agency.
- (c) **Private Action.** Private action is not subject to CEQA unless the action involves governmental participation, financing, or approval.
- (d) **Project.** A “project” is an activity subject to CEQA. The term “project” has been interpreted to mean far more than the ordinary dictionary definition of the term. (See: Section 15378.)
- (e) **Time for Compliance.** A governmental agency is required to comply with CEQA procedures when the agency proposes to carry out or approve the activity. (See: Section 15004.)
- (f) **Environmental Impact Reports and Negative Declarations.** An Environmental Impact Report (EIR) is the public document used by the governmental agency to analyze the significant environmental effects of a proposed project, to identify alternatives, and to disclose possible ways to reduce or avoid the possible environmental damage.
- (1) An EIR is prepared when the public agency finds substantial evidence that the project may have a significant effect on the environment. (See: Section 15064(a)(1).)
  - (2) When the agency finds that there is no substantial evidence that a project may have a significant environmental effect, the agency will prepare a “Negative Declaration” instead of an EIR. (See: Section 15070.)
- (g) **Significant Effect on the Environment.** A significant effect on the environment is defined as a substantial adverse change in the physical conditions which exist in the area affected by the proposed project. (See: Section 15382.) Further, when an EIR identifies a significant effect, the government agency approving the project must make findings on whether the adverse environmental effects have been substantially reduced or if not, why not. (See: Section 15091.)
- (h) **Methods for Protecting the Environment.** CEQA requires more than merely preparing environmental documents. The EIR by itself does not control the way in which a project can be built or carried out. Rather, when an EIR shows that a project would cause substantial adverse changes in the environment, the governmental agency must respond to the information by one or more of the following methods:
- (1) Changing a proposed project
  - (2) Imposing conditions on the approval of the project;
  - (3) Adopting plans or ordinances to control a broader class of projects to avoid the adverse changes;

- (4) Choosing an alternative way of meeting the same need;
  - (5) Disapproving the project;
  - (6) Finding that changing or altering the project is not feasible;
  - (7) Finding that the unavoidable significant environmental damage is acceptable as provided in Section 15093.
- (l) Discretionary Action. CEQA applies in situations where a governmental agency can use its judgment in deciding whether and how to carry out or approve a project. A project subject to such judgmental controls is called a “discretionary project.” (See: Section 15357.)
- (1) Where the law requires a governmental agency to act on a project in a set way without allowing the agency to use its own judgment, the project is called “ministerial,” and CEQA does not apply. (See: Section 15369.)
  - (2) Whether an agency has discretionary or ministerial controls over a project depends on the authority granted by the law providing the controls over the activity. Similar projects may be subject to discretionary controls in one city or county and only ministerial controls in another. (See: Section 15268.)
- (m) Public Involvement. Under CEQA, an agency must solicit and respond to comments from the public and other agencies concerned with the project. (See: Sections 15073, 15086, 15087, and 15088.)
- (n) Three Step Process. An agency will normally take up to three separate steps in deciding which document to prepare for a project subject to CEQA.
- (1) In the first step the Lead Agency examines the project to determine whether the project is subject to CEQA at all. If the project is exempt, the process does not need to proceed any farther. The agency may prepare a Notice of Exemption. (See: Sections 15061 and 15062.)
  - (2) If the project is not exempt, the Lead Agency takes the second step and conducts an Initial Study (Section 15063) to determine whether the project may have a significant effect on the environment. If the Initial Study shows that there is no substantial evidence that the project may have a significant effect, the Lead Agency prepares a Negative Declaration. (See: Sections 15070 et seq.)
  - (3) If the Initial Study shows that the project may have a significant effect, the Lead Agency takes the third step and prepares an EIR. (See: Sections 15080 et seq.)
- (o) Certified Equivalent Programs. A number of environmental regulatory programs have been certified by the Secretary of the Resources Agency as involving essentially the same consideration of environmental issues as is provided by use of EIRs and Negative Declarations. Certified programs are exempt from preparing EIRs and Negative Declarations but use other documents instead. Certified programs are discussed in Article 17 and are listed in Section 15251.
- (p) This section is intended to present the general concepts of CEQA in a simplified and introductory manner. If there are any conflicts between the short statement of a concept in this section and the provisions of other sections of these Guidelines, the other sections shall prevail.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21000–21177, Public Resources Code; *No Oil, Inc. v. City of Los Angeles*, (1974) 13 Cal. 3d 68; *Running Fence Corp. v. Superior Court*, (1975) 51 Cal. App. 3d 400.

### 15003. POLICIES

In addition to the policies declared by the Legislature concerning environmental protection and administration of CEQA in Sections 21000, 21001, 21002, and 21002.1 of the Public Resources Code, the courts of this state have declared the following policies to be implicit in CEQA:

- (a) The EIR requirement is the heart of CEQA. (*County of Inyo v. Yorty*, 32 Cal. App. 3d 795.)
- (b) The EIR serves not only to protect the environment but also to demonstrate to the public that it is being protected. (*County of Inyo v. Yorty*, 32 Cal. App. 3d 795.)
- (c) The EIR is to inform other governmental agencies and the public generally of the environmental impact of a proposed project. (*No Oil, Inc. v. City of Los Angeles*, 13 Cal. 3d 68.)
- (d) The EIR is to demonstrate to an apprehensive citizenry that the agency has, in fact, analyzed and considered the ecological implications of its action. (*People ex rel. Department of Public Works v. Bosio*, 47 Cal. App. 3d 495.)
- (e) The EIR process will enable the public to determine the environmental and economic values of their elected and appointed officials thus allowing for appropriate action come election day should a majority of the voters disagree. (*People v. County of Kern*, 39 Cal. App. 3d 830.)
- (f) CEQA was intended to be interpreted in such manner as to afford the fullest possible protection to the environment within the reasonable scope of the statutory language. (*Friends of Mammoth v. Board of Supervisors*, 8 Cal. 3d 247.)
- (g) The purpose of CEQA is not to generate paper, but to compel government at all levels to make decisions with environmental consequences in mind. (*Bozung v. LAFCO* (1975) 13 Cal.3d 263)
- (h) The lead agency must consider the whole of an action, not simply its constituent parts, when determining whether it will have a significant environmental effect. (*Citizens Assoc. For Sensible Development of Bishop Area v. County of Inyo* (1985) 172 Cal.App.3d 151)
- (i) CEQA does not require technical perfection in an EIR, but rather adequacy, completeness, and a good-faith effort at full disclosure. A court does not pass upon the correctness of an EIR's environmental conclusions, but only determines if the EIR is sufficient as an informational document. (*Kings County Farm Bureau v. City of Hanford* (1990) 221 Cal.App.3d 692)
- (j) CEQA requires that decisions be informed and balanced. It must not be subverted into an instrument for the oppression and delay of social, economic, or recreational development or advancement. (*Laurel Heights Improvement Assoc. v. Regents of U.C.* (1993) 6 Cal.4th 1112 and *Citizens of Goleta Valley v. Board of Supervisors* (1990) 52 Cal.3d 553)

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21000–21177, Public Resources Code.

#### 15004. TIME OF PREPARATION

- (a) Before granting any approval of a project subject to CEQA, every Lead Agency or Responsible Agency shall consider a final EIR or Negative Declaration or another document authorized by these Guidelines to be used in the place of an EIR or Negative Declaration. (See: The definition of “approval” in Section 15352.)
- (b) Choosing the precise time for CEQA compliance involves a balancing of competing factors. EIRs and negative declarations should be prepared as early as feasible in the planning process to enable environmental considerations to influence project program and design and yet late enough to provide meaningful information for environmental assessment.
  - (1) With public projects, at the earliest feasible time, project sponsors shall incorporate environmental considerations into project conceptualization, design, and planning. CEQA compliance should be completed prior to acquisition of a site for a public project.
  - (2) To implement the above principles, public agencies shall not undertake actions concerning the proposed public project that would have a significant adverse effect or limit the choice of alternatives or mitigation measures, before completion of CEQA compliance. For example, agencies shall not:

- (A) Formally make a decision to proceed with the use of a site for facilities which would require CEQA review, regardless of whether the agency has made any final purchase of the site for these facilities, except that agencies may designate a preferred site for CEQA review and may enter into land acquisition agreements when the agency has conditioned the agency's future use of the site on CEQA compliance.
- (B) Otherwise take any action which gives impetus to a planned or foreseeable project in a manner that forecloses alternatives or mitigation measures that would ordinarily be part of CEQA review of that public project.
- (3) With private projects, the lead agency shall encourage the project proponent to incorporate environmental considerations into project conceptualization, design, and planning at the earliest feasible time.
- (c) The environmental document preparation and review should be coordinated in a timely fashion with the existing planning, review, and project approval processes being used by each public agency. These procedures, to the maximum extent feasible, are to run concurrently, not consecutively. When the lead agency is a state agency, the environmental document shall be included as part of the regular project report if such a report is used in its existing review and budgetary process.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061 and 21105, Public Resources Code; *Friends of Mammoth v. Board of Supervisors*, (1972) 8 Cal.3d 247; *Mount Sutro Defense Committee v. Regents of the University of California*, (1978) 77 Cal.App.3d 20.

#### 15005. TERMINOLOGY

The following words are used to indicate whether a particular subject in the Guidelines is mandatory, advisory, or permissive:

- (a) "Must" or "shall" identifies a mandatory element which all public agencies are required to follow.
- (b) "Should" identifies guidance provided by the Secretary for Resources based on policy considerations contained in CEQA, in the legislative history of the statute, or in federal court decisions which California courts can be expected to follow. Public agencies are advised to follow this guidance in the absence of compelling, countervailing considerations.
- (c) "May" identifies a permissive element which is left fully to the discretion of the public agencies involved.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21082 and 21083, Public Resources Code.

#### 15006. REDUCING DELAY AND PAPERWORK

Public agencies should reduce delay and paperwork by:

- (a) Integrating the CEQA process into early planning. (15004(c))
- (b) Ensuring the swift and fair resolution of Lead Agency disputes. (15053)
- (c) Identifying projects which fit within categorical exemptions and are therefore exempt from CEQA processing. (15300.4)
- (d) Using Initial Studies to identify significant environmental issues and to narrow the scope of EIRs. (15063)
- (e) Using a Negative Declaration when a project not otherwise exempt will not have a significant effect on the environment. (15070)
- (f) Using a previously prepared EIR when it adequately addresses the proposed project. (15153)

- (g) Consulting with state and local Responsible Agencies before and during preparation of an Environmental Impact Report so that the document will meet the needs of all the agencies which will use it. (15083)
- (h) Urging applicants, either before or after the filing of an application, to revise projects to eliminate possible significant effects on the environment, thereby enabling the project to qualify for a Negative Declaration rather than an Environmental Impact Report. (15063(c)(2))
- (i) Integrating CEQA requirements with other environmental review and consulting requirements. (Public Resources Code Section 21080.5)
- (j) Eliminating duplication with federal procedures by providing for joint preparation of environmental documents with federal agencies and by adopting completed federal NEPA documents. (15227)
- (k) Emphasizing consultation before an Environmental Impact Report is prepared, rather than submitting adversary comments on a completed document. (15082(b))
- (l) Combining environmental documents with other documents such as general plans. (15166)
- (m) Eliminating repetitive discussions of the same issues by using Environmental Impact Reports on programs, policies, or plans and tiering from reports of broad scope to those of narrower scope. (15152)
- (n) Reducing the length of Environmental Impact Reports by means such as setting appropriate page limits. (15141)
- (o) Preparing analytic rather than encyclopedic Environmental Impact Reports. (15142)
- (p) Mentioning only briefly issues other than significant ones in EIRs. (15143)
- (q) Writing Environmental Impact Reports in plain language. (15140)
- (r) Following a clear format for Environmental Impact Reports. (15120)
- (s) Emphasizing the portions of the Environmental Impact Report that are useful to decision makers and the public and reducing emphasis on background material. (15143)
- (t) Using incorporation by reference. (15150)
- (u) Making comments on Environmental Impact Reports as specific as possible. (15204)

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003 and 21083, Public Resources Code.

### 15007. AMENDMENTS

- (a) These Guidelines will be amended from time to time to match new developments relating to CEQA.
- (b) Amendments to the Guidelines apply prospectively only. New requirements in amendments will apply to steps in the CEQA process not yet undertaken by the date when agencies must comply with the amendments.
- (c) If a document meets the content requirements in effect when the document is sent out for public review, the document shall not need to be revised to conform to any new content requirements in Guideline amendments taking effect before the document is finally approved.
- (d) Public agencies shall comply with new requirements in amendments to the Guidelines beginning with the earlier of the following two dates:
  - (1) The effective date of the agency's procedures amended to conform to the new Guideline amendments; or
  - (2) The 120th day after the effective date of the Guideline amendments.
- (e) Public agencies may implement any permissive or advisory elements of the Guidelines beginning with the effective date of the Guideline amendments.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21082–21086, Public Resources Code; *Stevens v. City of Glendale*, 125 Cal. App. 3d 986.

## Article 2. General Responsibilities

### SECTIONS 15020 TO 15025

#### 15020. GENERAL

Each public agency is responsible for complying with CEQA and these Guidelines. A public agency must meet its own responsibilities under CEQA and shall not rely on comments from other public agencies or private citizens as a substitute for work CEQA requires the Lead Agency to accomplish. For example, a Lead Agency is responsible for the adequacy of its environmental documents. The Lead Agency shall not knowingly release a deficient document hoping that public comments will correct defects in the document.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21082 and 21082.1, Public Resources Code; *Russian Hill Improvement Association v. Board of Permit Appeals*, (1975) 44 Cal. App. 3d 158.

#### 15021. DUTY TO MINIMIZE ENVIRONMENTAL DAMAGE AND BALANCE COMPETING PUBLIC OBJECTIVES

- (a) CEQA establishes a duty for public agencies to avoid or minimize environmental damage where feasible.
  - (1) In regulating public or private activities, agencies are required to give major consideration to preventing environmental damage.
  - (2) A public agency should not approve a project as proposed if there are feasible alternatives or mitigation measures available that would substantially lessen any significant effects that the project would have on the environment.
- (b) In deciding whether changes in a project are feasible, an agency may consider specific economic, environmental, legal, social, and technological factors.
- (c) The duty to prevent or minimize environmental damage is implemented through the findings required by Section 15091.
- (d) CEQA recognizes that in determining whether and how a project should be approved, a public agency has an obligation to balance a variety of public objectives, including economic, environmental, and social factors and in particular the goal of providing a decent home and satisfying living environment for every Californian. An agency shall prepare a statement of overriding considerations as described in Section 15093 to reflect the ultimate balancing of competing public objectives when the agency decides to approve a project that will cause one or more significant effects on the environment.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Public Resources Code Sections 21000, 21001, 21002, 21002.1, and 21081; *San Francisco Ecology Center v. City and County of San Francisco*, (1975) 48 Cal. App. 3d 584; *Laurel Hills Homeowners Association v. City Council*, (1978) 83 Cal. App. 3d 515.

#### 15022. PUBLIC AGENCY IMPLEMENTING PROCEDURES

- (a) Each public agency shall adopt objectives, criteria, and specific procedures consistent with CEQA and these Guidelines for administering its responsibilities under CEQA, including the orderly evaluation of projects and preparation of environmental documents. The implementing procedures should contain at least provisions for:

- (1) Identifying the activities that are exempt from CEQA. These procedures should contain:
  - (A) Provisions for evaluating a proposed activity to determine if there is no possibility that the activity may have a significant effect on the environment.
  - (B) A list of projects or permits over which the public agency has only ministerial authority.
  - (C) A list of specific activities which the public agency has found to be within the categorical exemptions established by these Guidelines.
- (2) Conducting Initial Studies.
- (3) Preparing Negative Declarations.
- (4) Preparing draft and final EIRs.
- (5) Consulting with and obtaining comments from other public agencies and members of the public with regard to the environmental effects of projects.
- (6) Assuring adequate opportunity and time for public review and comment on the Draft EIR or Negative Declaration.
- (7) Evaluating and responding to comments received on environmental documents.
- (8) Assigning responsibility for determining the adequacy of an EIR or Negative Declaration.
- (9) Reviewing and considering environmental documents by the person or decision-making body who will approve or disapprove a project.
- (10) Filing documents required or authorized by CEQA and these Guidelines.
- (11) Providing adequate comments on environmental documents which are submitted to the public agency for review.
- (12) Assigning responsibility for specific functions to particular units of the public agency.
- (13) Providing time periods for performing functions under CEQA.
- (b) Any district, including a school district, need not adopt objectives, criteria, and procedures of its own if it uses the objectives, criteria, and procedures of another public agency whose boundaries are coterminous with or entirely encompass the district.
- (c) Public agencies should revise their implementing procedures to conform to amendments to these Guidelines within 120 days after the effective date of the amendments. During the period while the public agency is revising its procedures, the agency must conform to any statutory changes in the California Environmental Quality Act that have become effective regardless of whether the public agency has revised its formally adopted procedures to conform to the statutory changes.
- (d) In adopting procedures to implement CEQA, a public agency may adopt the State CEQA Guidelines through incorporation by reference. The agency may then adopt only those specific procedures or provisions described in subsection (a) which are necessary to tailor the general provisions of the Guidelines to the specific operations of the agency. A public agency may also choose to adopt a complete set of procedures identifying in one document all the necessary requirements.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21091, 21092, 21092.2, 21092.3, 21092.6, 21104, 21152, 21153 and 21161, Public Resources Code.

### 15023. OFFICE OF PLANNING AND RESEARCH (OPR)

- (a) From time to time OPR shall review the State CEQA Guidelines and shall make recommendations for amendments to the Secretary for Resources.
- (b) OPR shall receive and evaluate proposals for adoption, amendment, or repeal of categorical exemptions and shall make recommendations on the proposals to the Secretary for Resources.

People making suggestions concerning categorical exemptions shall submit their recommendations to OPR with supporting information to show that the class of projects in the proposal either will or will not have a significant effect on the environment.

- (c) The State Clearinghouse in the Office of Planning and Research shall be responsible for distributing environmental documents to state agencies, departments, boards, and commissions for review and comment.
- (d) Upon request of a Lead Agency or a project applicant, OPR shall provide assistance in identifying the various responsible agencies and any federal agencies which have responsibility for carrying out or approving a proposed project.
- (e) OPR shall ensure that state Responsible Agencies provide the necessary information to Lead Agencies in response to Notices of Preparation within, at most, 30 days after receiving a Notice of Preparation.
- (f) OPR shall resolve disputes as to which agency is the Lead Agency for a project.
- (g) OPR shall receive and file all notices of completion, determination, and exemption.
- (h) OPR shall establish and maintain a database for the collection, storage, retrieval, and dissemination of notices of exemption, notices of preparation, notices of determination, and notices of completion provided to the office. This database of notice information shall be available through the Internet.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21080.4, 21083, 21086, 21087, 21108, 21159.9 and 21161, Public Resources Code.

#### 15024. SECRETARY FOR RESOURCES

- (a) The Guidelines shall be adopted by the Secretary for Resources. The Secretary shall make a finding that each class of projects given a categorical exemption will not have a significant effect on the environment.
- (b) The Secretary may issue amendments to these Guidelines.
- (c) The Secretary shall certify state environmental regulatory programs which meet the standards for certification in Section 21080.5, Public Resources Code.
- (d) The Secretary shall receive and file notices required by certified state environmental regulatory programs.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.5, 21083, 21084, 21086, 21088, and 21152, Public Resources Code.

#### 15025. DELEGATION OF RESPONSIBILITIES

- (a) A public agency may assign specific functions to its staff to assist in administering CEQA. Functions which may be delegated include but are not limited to:
  - (1) Determining whether a project is exempt.
  - (2) Conducting an Initial Study and deciding whether to prepare a draft EIR or Negative Declaration.
  - (3) Preparing a Negative Declaration or EIR.
  - (4) Determining that a Negative Declaration has been completed within a period of 180 days.
  - (5) Preparing responses to comments on environmental documents.
  - (6) Filing of notices.
- (b) The decision-making body of a public agency shall not delegate the following functions:
  - (1) Reviewing and considering a final EIR or approving a Negative Declaration prior to approving a project.

- (2) The making of findings as required by Sections 15091 and 15093.
- (c) Where an advisory body such as a planning commission is required to make a recommendation on a project to the decision-making body, the advisory body shall also review and consider the EIR or Negative Declaration in draft or final form.

**Note:** Authority cited: Sections 21083 and 21087, Public Resources Code; Reference: Section 21082, 21100.2 and 21151.5, Public Resources Code; *Kleist v. City of Glendale*, (1976) 56 Cal. App. 3d 770.

## Article 3. Authorities Granted to Public Agencies by CEQA

### SECTIONS 15040 TO 15045

#### 15040. AUTHORITY PROVIDED BY CEQA

- (a) CEQA is intended to be used in conjunction with discretionary powers granted to public agencies by other laws.
- (b) CEQA does not grant an agency new powers independent of the powers granted to the agency by other laws.
- (c) Where another law grants an agency discretionary powers, CEQA supplements those discretionary powers by authorizing the agency to use the discretionary powers to mitigate or avoid significant effects on the environment when it is feasible to do so with respect to projects subject to the powers of the agency. Prior to January 1, 1983, CEQA provided implied authority for an agency to use its discretionary powers to mitigate or avoid significant effects on the environment. Effective January 1, 1983, CEQA provides express authority to do so.
- (d) The exercise of the discretionary powers may take forms that had not been expected before the enactment of CEQA, but the exercise must be within the scope of the power.
- (e) The exercise of discretionary powers for environmental protection shall be consistent with express or implied limitations provided by other laws.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21000, 21001, 21002, 21002.1, and 21004, Public Resources Code; Section 4, Chapter 1438, Statutes of 1982; *Golden Gate Bridge, etc., District v. Muzzi*, (1978) 83 Cal. App. 3d 707; *E.D.F. v. Mathews*, 410 F. Supp. 366, 339 (D.D.C., 1976); *Friends of Mammoth v. Board of Supervisors*, (1972) 8 Cal. 3d 247; *Pinewood Investors v. City of Oxnard*, (1982) 133 Cal. App. 3d 1030.

#### 15041. AUTHORITY TO MITIGATE

Within the limitations described in Section 15040:

- (a) A lead agency for a project has authority to require feasible changes in any or all activities involved in the project in order to substantially lessen or avoid significant effects on the environment, consistent with applicable constitutional requirements such as the “nexus” and “rough proportionality” standards established by case law (*Nollan v. California Coastal Commission* (1987) 483 U.S. 825, *Dolan v. City of Tigard*, (1994) 512 U.S. 374, *Ehrlich v. City of Culver City*, (1996) 12 Cal. 4th 854.).
- (b) When a public agency acts as a Responsible Agency for a project, the agency shall have more limited authority than a Lead Agency. The Responsible Agency may require changes in a project to lessen or avoid only the effects, either direct or indirect, of that part of the project which the agency will be called on to carry out or approve.
- (c) With respect to a project which includes housing development, a Lead or Responsible Agency shall not reduce the proposed number of housing units as a mitigation measure or alternative to lessen a particular significant effect on the environment if that agency determines that there is

another feasible, specific mitigation measure or alternative that would provide a comparable lessening of the significant effect.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002, 21002.1, and 21159.26, Public Resources Code; *Golden Gate Bridge, etc., District v. Muzzi*, 83 Cal. App. 3d 707.

#### 15042. AUTHORITY TO DISAPPROVE PROJECTS

A public agency may disapprove a project if necessary in order to avoid one or more significant effects on the environment that would occur if the project were approved as proposed. A Lead Agency has broader authority to disapprove a project than does a Responsible Agency. A Responsible Agency may refuse to approve a project in order to avoid direct or indirect environmental effects of that part of the project which the Responsible Agency would be called on to carry out or approve. For example, an air quality management district acting as a Responsible Agency would not have authority to disapprove a project for water pollution effects that were unrelated to the air quality aspects of the project regulated by the district.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002 and 21002.1, Public Resources Code; *Friends of Mammoth v. Mono County*, 8 Cal. App. 3d 247; *San Diego Trust and Savings Bank v. Friends of Gill*, 121 Cal. App. 3d 203.

#### 15043. AUTHORITY TO APPROVE PROJECTS DESPITE SIGNIFICANT EFFECTS

A public agency may approve a project even though the project would cause a significant effect on the environment if the agency makes a fully informed and publicly disclosed decision that:

- (a) There is no feasible way to lessen or avoid the significant effect (see Section 15091); and
- (b) Specifically identified expected benefits from the project outweigh the policy of reducing or avoiding significant environmental impacts of the project. (See: Section 15093.)

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002 and 21002.1, Public Resources Code; *San Francisco Ecology Center v. City and County of San Francisco*, (1975) 48 Cal. App. 3d 584; *San Diego Trust & Savings Bank v. Friends of Gill*, (1981) 121 Cal. App. 3d 203.

#### 15044. AUTHORITY TO COMMENT

Any person or entity other than a Responsible Agency may submit comments to a Lead Agency concerning any environmental effects of a project being considered by the Lead Agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21000, 21001, 21002.1, 21104, and 21153, Public Resources Code.

#### 15045. FEES

- (a) For a project to be carried out by any person or entity other than the lead agency, the lead agency may charge and collect a reasonable fee from the person or entity proposing the project in order to recover the estimated costs incurred in preparing environmental documents and for procedures necessary to comply with CEQA on the project. Litigation expenses, costs and fees incurred in actions alleging noncompliance with CEQA are not recoverable under this section.
- (b) Public agencies may charge and collect a reasonable fee from members of the public for a copy of an environmental document not to exceed the actual cost of reproducing a copy.

**Note:** Authority: Section 21083, Public Resources Code. Reference: Section 21089 and 21105, Public Resources Code.

## Article 4. Lead Agency

### SECTIONS 15050 TO 15053

#### 15050. LEAD AGENCY CONCEPT

- (a) Where a project is to be carried out or approved by more than one public agency, one public agency shall be responsible for preparing an EIR or Negative Declaration for the project. This agency shall be called the Lead Agency.
- (b) Except as provided in subdivision (c), the decision-making body of each Responsible Agency shall consider the Lead Agency's EIR or Negative Declaration prior to acting upon or approving the project. Each Responsible Agency shall certify that its decision-making body reviewed and considered the information contained in the EIR or Negative Declaration on the project.
- (c) The determination of the Lead Agency of whether to prepare an EIR or a Negative Declaration shall be final and conclusive for all persons, including Responsible Agencies, unless:
  - (1) The decision is successfully challenged as provided in Section 21167 of the Public Resources Code,
  - (2) Circumstances or conditions changed as provided in Section 15162, or
  - (3) A Responsible Agency becomes a Lead Agency under Section 15052.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.1, 21165, and 21167.2, Public Resources Code.

#### 15051. CRITERIA FOR IDENTIFYING THE LEAD AGENCY

Where two or more public agencies will be involved with a project, the determination of which agency will be the Lead Agency shall be governed by the following criteria:

- (a) If the project will be carried out by a public agency, that agency shall be the Lead Agency even if the project would be located within the jurisdiction of another public agency.
- (b) If the project is to be carried out by a nongovernmental person or entity, the Lead Agency shall be the public agency with the greatest responsibility for supervising or approving the project as a whole.
  - (1) The Lead Agency will normally be the agency with general governmental powers, such as a city or county, rather than an agency with a single or limited purpose such as an air pollution control district or a district which will provide a public service or public utility to the project.
  - (2) Where a city prezones an area, the city will be the appropriate Lead Agency for any subsequent annexation of the area and should prepare the appropriate environmental document at the time of the rezoning. The Local Agency Formation Commission shall act as a Responsible Agency.
- (c) Where more than one public agency equally meet the criteria in subdivision (b), the agency which will act first on the project in question shall be the Lead Agency.
- (d) Where the provisions of subdivision (a), (b), and (c) leave two or more public agencies with a substantial claim to be the Lead Agency, the public agencies may by agreement designate an agency as the Lead Agency. An agreement may also provide for cooperative efforts by two or more agencies by contract, joint exercise of powers, or similar devices.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21165, Public Resources Code.

### 15052. SHIFT IN LEAD AGENCY DESIGNATION

- (a) Where a Responsible Agency is called on to grant an approval for a project subject to CEQA for which another public agency was the appropriate Lead Agency, the Responsible Agency shall assume the role of the Lead Agency when any of the following conditions occur:
- (1) The Lead Agency did not prepare any environmental documents for the project, and the statute of limitations has expired for a challenge to the action of the appropriate Lead Agency.
  - (2) The Lead Agency prepared environmental documents for the project, but the following conditions occur:
    - (A) A subsequent EIR is required pursuant to Section 15162,
    - (B) The Lead Agency has granted a final approval for the project, and
    - (C) The statute of limitations for challenging the Lead Agency's action under CEQA has expired.
  - (3) The Lead Agency prepared inadequate environmental documents without consulting with the Responsible Agency as required by Sections 15072 or 15082, and the statute of limitations has expired for a challenge to the action of the appropriate Lead Agency.
- (b) When a Responsible Agency assumes the duties of a Lead Agency under this section, the time limits applicable to a Lead Agency shall apply to the actions of the agency assuming the Lead Agency duties.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21165, Public Resources Code.

### 15053. DESIGNATION OF LEAD AGENCY BY THE OFFICE OF PLANNING AND RESEARCH

- (a) If there is a dispute over which of several agencies should be the Lead Agency for a project, the disputing agencies should consult with each other in an effort to resolve the dispute prior to submitting it to the Office of Planning and Research. If an agreement cannot be reached, any of the disputing public agencies, or the applicant if a private project is involved, may submit the dispute to the Office of Planning and Research for resolution.
- (b) For purposes of this section, a "dispute" means a contested, active difference of opinion between two or more public agencies as to which of those agencies shall prepare any necessary environmental document. A dispute exists where each of those agencies claims that it either has or does not have the obligation to prepare that environmental document.
- (c) The Office of Planning and Research shall designate a Lead Agency within 21 days after receiving a completed request to resolve a dispute. The Office of Planning and Research shall not designate a lead agency in the absence of a dispute.
- (d) Regulations adopted by the Office of Planning and Research for resolving Lead Agency disputes may be found in Title 14, California Code of Regulations, Sections 16000 et seq.
- (e) Designation of a Lead Agency by the Office of Planning and Research shall be based on consideration of the criteria in Section 15051 as well as the capacity of the agency to adequately fulfill the requirements of CEQA.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21165, Public Resources Code; California Code of Regulations, Title 14, Sections 16000–16041.

## Article 5. Preliminary Review of Projects and Conduct of Initial Study

### SECTIONS 15060 TO 15065

#### 15060. PRELIMINARY REVIEW

- (a) A lead agency is allowed 30 days to review for completeness applications for permits or other entitlements for use. While conducting this review for completeness, the agency should be alert for environmental issues that might require preparation of an EIR or that may require additional explanation by the applicant. Accepting an application as complete does not limit the authority of the lead agency to require the applicant to submit additional information needed for environmental evaluation of the project. Requiring such additional information after the application is complete does not change the status of the application.
- (b) Except as provided in Section 15111, the lead agency shall begin the formal environmental evaluation of the project after accepting an application as complete and determining that the project is subject to CEQA.
- (c) Once an application is deemed complete, a lead agency must first determine whether an activity is subject to CEQA before conducting an initial study. An activity is not subject to CEQA if:
  - (1) The activity does not involve the exercise of discretionary powers by a public agency;
  - (2) The activity will not result in a direct or reasonably foreseeable indirect physical change in the environment; or
  - (3) The activity is not a project as defined in Section 15378.
- (d) If the lead agency can determine that an EIR will be clearly required for a project, the agency may skip further initial review of the project and begin work directly on the EIR process described in Article 9, commencing with Section 15080. In the absence of an initial study, the lead agency shall still focus the EIR on the significant effects of the project and indicate briefly its reasons for determining that other effects would not be significant or potentially significant.

**Authority:** Sections 21083, Public Resources Code; Reference: Sections 21080(b), 21080.2 and 21160, Public Resources Code.

**Note:** Authority cited: Sections 21083 and 21087, Public Resources Code; Reference: Section 65944, Government Code; Section 21080.2, Public Resources Code.

#### 15060.5. PREAPPLICATION CONSULTATION

- (a) For a potential project involving the issuance of a lease, permit, license, certificate, or other entitlement for use by one or more public agencies, the lead agency shall, upon the request of a potential applicant and prior to the filing of a formal application, provide for consultation with the potential applicant to consider the range of actions, potential alternatives, mitigation measures, and any potential significant effects on the environment of the potential project.
- (b) The lead agency may include in the consultation one or more responsible agencies, trustee agencies, and other public agencies who in the opinion of the lead agency may have an interest in the proposed project. The lead agency may consult the Office of Permit Assistance in the Trade and Commerce Agency for help in identifying interested agencies.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.1, Public Resources Code.

#### 15061. REVIEW FOR EXEMPTION

- (a) Once a lead agency has determined that an activity is a project subject to CEQA, a lead agency shall determine whether the project is exempt from CEQA.

- (b) A project is exempt from CEQA if:
- (1) The project is exempt by statute (see, e.g. Article 18, commencing with Section 15260).
  - (2) The project is exempt pursuant to a categorical exemption (see Article 19, commencing with Section 15300) and the application of that categorical exemption is not barred by one of the exceptions set forth in Section 15300.2.
  - (3) The activity is covered by the general rule that CEQA applies only to projects which have the potential for causing a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA.
  - (4) The project will be rejected or disapproved by a public agency. (See Section 15270(b)).
  - (5) The project is exempt pursuant to the provisions of Article 12.5 of this Chapter.
- (c) Each public agency should include in its implementing procedures a listing of the projects often handled by the agency that the agency has determined to be exempt. This listing should be used in preliminary review.
- (d) After determining that a project is exempt, the agency may prepare a Notice of Exemption as provided in Section 15062. Although the notice may be kept with the project application at this time, the notice shall not be filed with the Office of Planning and Research or the county clerk until the project has been approved.
- (e) When a non-elected official or decisionmaking body of a local lead agency decides that a project is exempt from CEQA, and the public agency approves or determines to carry out the project, the decision that the project is exempt may be appealed to the local lead agency's elected decisionmaking body, if one exists. A local lead agency may establish procedures governing such appeals.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080(b), 21080.9, 21080.10, 21084, 21108(b), 21151, 21152(b), and 21159.21, Public Resources Code; *No Oil, Inc. v. City of Los Angeles* (1974) 13 Cal. 3d 68.

## 15062. NOTICE OF EXEMPTION

- (a) When a public agency decides that a project is exempt from CEQA pursuant to Section 15061, and the public agency approves or determines to carry out the project, the agency may file a Notice of Exemption. The notice shall be filed, if at all, after approval of the project. Such a notice shall include:
- (1) A brief description of the project,
  - (2) The location of the project (either by street address and cross street for a project in an urbanized area or by attaching a specific map, preferably a copy of a U.S.G.S. 15' or 7-1/2' topographical map identified by quadrangle name).
  - (3) A finding that the project is exempt from CEQA, including a citation to the State Guidelines section or statute under which it is found to be exempt, and
  - (4) A brief statement of reasons to support the finding.
- (b) A Notice of Exemption may be filled out and may accompany the project application through the approval process. The notice shall not be filed with the county clerk or the OPR until the project has been approved.
- (c) When a public agency approves an applicant's project, either the agency or the applicant may file a Notice of Exemption.
- (1) When a state agency files this notice, the notice of exemption shall be filed with the Office of Planning and Research. A form for this notice is provided in Appendix E. A list of all such notices shall be posted on a weekly basis at the Office of Planning and Research, 1400

Tenth Street, Sacramento, California. The list shall remain posted for at least 30 days. The Office of Planning and Research shall retain each notice for not less than 12 months.

- (2) When a local agency files this notice, the notice of exemption shall be filed with the county clerk of each county in which the project will be located. Copies of all such notices shall be available for public inspection and such notices shall be posted within 24 hours of receipt in the office of the county clerk. Each notice shall remain posted for a period of 30 days. Thereafter, the clerk shall return the notice to the local agency with a notation of the period it was posted. The local agency shall retain the notice for not less than 12 months
- (3) All public agencies are encouraged to make postings pursuant to this section available in electronic format on the Internet. Such electronic postings are in addition to the procedures required by these guidelines and the Public Resources Code.
- (4) When an applicant files this notice, special rules apply.
  - (A) The notice filed by an applicant is filed in the same place as if it were filed by the agency granting the permit. If the permit was granted by a state agency, the notice is filed with the Office of Planning and Research. If the permit was granted by a local agency, the notice is filed with the county clerk of the county or counties in which the project will be located.
  - (B) The Notice of Exemption filed by an applicant shall contain the information required in subdivision (a) together with a certified document issued by the public agency stating that the agency has found the project to be exempt. The certified document may be a certified copy of an existing document or record of the public agency.
  - (C) A notice filed by an applicant is subject to the same posting and time requirements as a notice filed by a public agency.
- (d) The filing of a Notice of Exemption and the posting on the list of notices start a 35 day statute of limitations period on legal challenges to the agency's decision that the project is exempt from CEQA. If a Notice of Exemption is not filed, a 180 day statute of limitations will apply.
- (e) When a local agency determines that a project is not subject to CEQA under sections 15193, 15194, or 15195, and it approves or determines to carry out that project, the local agency or person seeking project approval shall file a notice with OPR identifying the section under which the exemption is claimed.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21108, 21152, and 21152.1, Public Resources Code.

### 15063. INITIAL STUDY

- (a) Following preliminary review, the Lead Agency shall conduct an Initial Study to determine if the project may have a significant effect on the environment. If the Lead Agency can determine that an EIR will clearly be required for the project, an Initial Study is not required but may still be desirable.
  - (1) All phases of project planning, implementation, and operation must be considered in the Initial Study of the project.
  - (2) To meet the requirements of this section, the lead agency may use an environmental assessment or a similar analysis prepared pursuant to the National Environmental Policy Act.
  - (3) An initial study may rely upon expert opinion supported by facts, technical studies or other substantial evidence to document its findings. However, an initial study is neither intended nor required to include the level of detail included in an EIR.
- (b) Results.

- (1) If the agency determines that there is substantial evidence that any aspect of the project, either individually or cumulatively, may cause a significant effect on the environment, regardless of whether the overall effect of the project is adverse or beneficial, the Lead Agency shall do one of the following:
    - (A) Prepare an EIR, or
    - (B) Use a previously prepared EIR which the Lead Agency determines would adequately analyze the project at hand, or
    - (C) Determine, pursuant to a program EIR, tiering, or another appropriate process, which of a project's effects were adequately examined by an earlier EIR or negative declaration. Another appropriate process may include, for example, a master EIR, a master environmental assessment, approval of housing and neighborhood commercial facilities in urban areas, approval of residential projects pursuant to a specific plans described in section 15182, approval of residential projects consistent with a community plan, general plan or zoning as described in section 15183, or an environmental document prepared under a State certified regulatory program. The lead agency shall then ascertain which effects, if any, should be analyzed in a later EIR or negative declaration.
  - (2) The Lead Agency shall prepare a Negative Declaration if there is no substantial evidence that the project or any of its aspects may cause a significant effect on the environment.
- (c) Purposes. The purposes of an Initial Study are to:
- (1) Provide the Lead Agency with information to use as the basis for deciding whether to prepare an EIR or a Negative Declaration.
  - (2) Enable an applicant or Lead Agency to modify a project, mitigating adverse impacts before an EIR is prepared, thereby enabling the project to qualify for a Negative Declaration.
  - (3) Assist in the preparation of an EIR, if one is required, by:
    - (A) Focusing the EIR on the effects determined to be significant,
    - (B) Identifying the effects determined not to be significant,
    - (C) Explaining the reasons for determining that potentially significant effects would not be significant, and
    - (D) Identifying whether a program EIR, tiering, or another appropriate process can be used for analysis of the project's environmental effects.
  - (4) Facilitate environmental assessment early in the design of a project;
  - (5) Provide documentation of the factual basis for the finding in a Negative Declaration that a project will not have a significant effect on the environment;
  - (6) Eliminate unnecessary EIRs;
  - (7) Determine whether a previously prepared EIR could be used with the project.
- (d) Contents. An Initial Study shall contain in brief form:
- (1) A description of the project including the location of the project;
  - (2) An identification of the environmental setting;
  - (3) An identification of environmental effects by use of a checklist, matrix, or other method, provided that entries on a checklist or other form are briefly explained to indicate that there is some evidence to support the entries. The brief explanation may be either through a narrative or a reference to another information source such as an attached map, photographs, or an earlier EIR or negative declaration. A reference to another document should include, where appropriate, a citation to the page or pages where the information is found.

- (4) A discussion of the ways to mitigate the significant effects identified, if any;
  - (5) An examination of whether the project would be consistent with existing zoning, plans, and other applicable land use controls;
  - (6) The name of the person or persons who prepared or participated in the Initial Study.
- (e) **Submission of Data.** If the project is to be carried out by a private person or private organization, the Lead Agency may require such person or organization to submit data and information which will enable the Lead Agency to prepare the Initial Study. Any person may submit any information in any form to assist a Lead Agency in preparing an Initial Study.
- (f) **Format.** Sample forms for an applicant's project description and a review form for use by the lead agency are contained in Appendices G and H. When used together, these forms would meet the requirements for an initial study, provided that the entries on the checklist are briefly explained pursuant to subdivision (d)(3). These forms are only suggested, and public agencies are free to devise their own format for an initial study. A previously prepared EIR may also be used as the initial study for a later project.
- (g) **Consultation.** As soon as a Lead Agency has determined that an Initial Study will be required for the project, the Lead Agency shall consult informally with all Responsible Agencies and all Trustee Agencies responsible for resources affected by the project to obtain the recommendations of those agencies as to whether an EIR or a Negative Declaration should be prepared. During or immediately after preparation of an Initial Study for a private project, the Lead Agency may consult with the applicant to determine if the applicant is willing to modify the project to reduce or avoid the significant effects identified in the Initial Study.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080(c), 21080.1, 21080.3, 21082.1, 21100 and 21151, Public Resources Code; *Gentry v. City of Murrieta* (1995) 36 Cal.App.4th 1359, *San Joaquin Raptor/Wildlife Rescue Center v. County of Stanislaus* (1994) 27 Cal.App.4th 713, *Leonoff v. Monterey County Board of Supervisors* (1990) 222 Cal.App.3d 1337.

#### 15064. DETERMINING THE SIGNIFICANCE OF THE ENVIRONMENTAL EFFECTS CAUSED BY A PROJECT

- (a) Determining whether a project may have a significant effect plays a critical role in the CEQA process.
- (1) If there is substantial evidence, in light of the whole record before a lead agency, that a project may have a significant effect on the environment, the agency shall prepare a draft EIR.
  - (2) When a final EIR identifies one or more significant effects, the Lead Agency and each Responsible Agency shall make a finding under Section 15091 for each significant effect and may need to make a statement of overriding considerations under Section 15093 for the project.
- (b) The determination of whether a project may have a significant effect on the environment calls for careful judgment on the part of the public agency involved, based to the extent possible on scientific and factual data. An ironclad definition of significant effect is not always possible because the significance of an activity may vary with the setting. For example, an activity which may not be significant in an urban area may be significant in a rural area.
- (c) In determining whether an effect will be adverse or beneficial, the Lead Agency shall consider the views held by members of the public in all areas affected as expressed in the whole record before the lead agency. Before requiring the preparation of an EIR, the Lead Agency must still determine whether environmental change itself might be substantial.

- (d) In evaluating the significance of the environmental effect of a project, the Lead Agency shall consider direct physical changes in the environment which may be caused by the project and reasonably foreseeable indirect physical changes in the environment which may be caused by the project.
- (1) A direct physical change in the environment is a physical change in the environment which is caused by and immediately related to the project. Examples of direct physical changes in the environment are the dust, noise, and traffic of heavy equipment that would result from construction of a sewage treatment plant and possible odors from operation of the plant.
  - (2) An indirect physical change in the environment is a physical change in the environment which is not immediately related to the project, but which is caused indirectly by the project. If a direct physical change in the environment in turn causes another change in the environment, then the other change is an indirect physical change in the environment. For example, the construction of a new sewage treatment plant may facilitate population growth in the service area due to the increase in sewage treatment capacity and may lead to an increase in air pollution.
  - (3) An indirect physical change is to be considered only if that change is a reasonably foreseeable impact which may be caused by the project. A change which is speculative or unlikely to occur is not reasonably foreseeable.
- (e) Economic and social changes resulting from a project shall not be treated as significant effects on the environment. Economic or social changes may be used, however, to determine that a physical change shall be regarded as a significant effect on the environment. Where a physical change is caused by economic or social effects of a project, the physical change may be regarded as a significant effect in the same manner as any other physical change resulting from the project. Alternatively, economic and social effects of a physical change may be used to determine that the physical change is a significant effect on the environment. If the physical change causes adverse economic or social effects on people, those adverse effects may be used as a factor in determining whether the physical change is significant. For example, if a project would cause overcrowding of a public facility and the overcrowding causes an adverse effect on people, the overcrowding would be regarded as a significant effect.
- (f) The decision as to whether a project may have one or more significant effects shall be based on substantial evidence in the record of the lead agency.
- (1) If the lead agency determines there is substantial evidence in the record that the project may have a significant effect on the environment, the lead agency shall prepare an EIR (*Friends of B Street v. City of Hayward* (1980) 106 Cal.App.3d 988). Said another way, if a lead agency is presented with a fair argument that a project may have a significant effect on the environment, the lead agency shall prepare an EIR even though it may also be presented with other substantial evidence that the project will not have a significant effect (*No Oil, Inc. v. City of Los Angeles* (1974) 13 Cal.3d 68).
  - (2) If the lead agency determines there is substantial evidence in the record that the project may have a significant effect on the environment but the lead agency determines that revisions in the project plans or proposals made by, or agreed to by, the applicant would avoid the effects or mitigate the effects to a point where clearly no significant effect on the environment would occur and there is no substantial evidence in light of the whole record before the public agency that the project, as revised, may have a significant effect on the environment then a mitigated negative declaration shall be prepared.
  - (3) If the lead agency determines there is no substantial evidence that the project may have a significant effect on the environment, the lead agency shall prepare a negative declaration (*Friends of B Street v. City of Hayward* (1980) 106 Cal.App. 3d 988).

- (4) The existence of public controversy over the environmental effects of a project will not require preparation of an EIR if there is no substantial evidence before the agency that the project may have a significant effect on the environment.
  - (5) Argument, speculation, unsubstantiated opinion or narrative, or evidence that is clearly inaccurate or erroneous, or evidence that is not credible, shall not constitute substantial evidence. Substantial evidence shall include facts, reasonable assumptions predicated upon facts, and expert opinion support by facts.
  - (6) Evidence of economic and social impacts that do not contribute to or are not caused by physical changes in the environment is not substantial evidence that the project may have a significant effect on the environment.
  - (7) The provisions of sections 15162, 15163, and 15164 apply when the project being analyzed is a change to, or further approval for, a project for which an EIR or negative declaration was previously certified or adopted (e.g. a tentative subdivision, conditional use permit). Under case law, the fair argument standard does not apply to determinations of significance pursuant to sections 15162, 15163, and 15164.
- (g) After application of the principles set forth above in Section 15064(f)(g), and in marginal cases where it is not clear whether there is substantial evidence that a project may have a significant effect on the environment, the lead agency shall be guided by the following principle: If there is disagreement among expert opinion supported by facts over the significance of an effect on the environment, the Lead Agency shall treat the effect as significant and shall prepare an EIR.
- (h) (1) When assessing whether a cumulative effect requires an EIR, the lead agency shall consider whether the cumulative impact is significant and whether the effects of the project are cumulatively considerable. An EIR must be prepared if the cumulative impact may be significant and the project's incremental effect, though individually limited, is cumulatively considerable. "Cumulatively considerable" means that the incremental effects of an individual project are significant when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects.
- (2) A lead agency may determine in an initial study that a project's contribution to a significant cumulative impact will be rendered less than cumulatively considerable and thus is not significant. When a project might contribute to a significant cumulative impact, but the contribution will be rendered less than cumulatively considerable through mitigation measures set forth in a mitigated negative declaration, the initial study shall briefly indicate and explain how the contribution has been rendered less than cumulatively considerable.
  - (3) A lead agency may determine that a project's incremental contribution to a cumulative effect is not cumulatively considerable if the project will comply with the requirements in a previously approved plan or mitigation program (including, but not limited to, water quality control plan, air quality attainment or maintenance plan, integrated waste management plan, habitat conservation plan, natural community conservation plan, plans or regulations for the reduction of greenhouse gas emissions) that provides specific requirements that will avoid or substantially lessen the cumulative problem within the geographic area in which the project is located. Such plans or programs must be specified in law or adopted by the public agency with jurisdiction over the affected resources through a public review process to implement, interpret, or make specific the law enforced or administered by the public agency. When relying on a plan, regulation or program, the lead agency should explain how implementing the particular requirements in the plan, regulation or program ensure that the project's incremental contribution to the cumulative effect is not cumulatively considerable. If there is substantial evidence that the possible effects of a particular project are still cumulatively considerable notwithstanding that the project complies with the specified plan or mitigation program addressing the cumulative problem, an EIR must be prepared for the project.

- (4) The mere existence of significant cumulative impacts caused by other projects alone shall not constitute substantial evidence that the proposed project's incremental effects are cumulatively considerable.

**Note:** Authority cited: Sections 21083, 21083.05, Public Resources Code. Reference: Sections 21003, 21065, 21068, 21080, 21082, 21082.1, 21082.2, 21083, 21083.05, and 21100, Public Resources Code; *No Oil, Inc. v. City of Los Angeles* (1974) 13 Cal.3d 68; *San Joaquin Raptor/Wildlife Center v. County of Stanislaus* (1996) 42 Cal.App.4th 608; *Gentry v. City of Murrieta* (1995) 36 Cal.App.4th 1359; *Laurel Heights Improvement Assn. v. Regents of the University of California* (1993) 6 Cal.4th 1112; and *Communities for a Better Environment v. California Resources Agency* (2002) 103 Cal.App.4th 98.

#### 15064.4. DETERMINING THE SIGNIFICANCE OF IMPACTS FROM GREENHOUSE GAS EMISSIONS

- (a) The determination of the significance of greenhouse gas emissions calls for a careful judgment by the lead agency consistent with the provisions in section 15064. A lead agency should make a good-faith effort, based to the extent possible on scientific and factual data, to describe, calculate or estimate the amount of greenhouse gas emissions resulting from a project. A lead agency shall have discretion to determine, in the context of a particular project, whether to:
- (1) Use a model or methodology to quantify greenhouse gas emissions resulting from a project, and which model or methodology to use. The lead agency has discretion to select the model or methodology it considers most appropriate provided it supports its decision with substantial evidence. The lead agency should explain the limitations of the particular model or methodology selected for use; and/or
  - (2) Rely on a qualitative analysis or performance based standards.
- (b) A lead agency should consider the following factors, among others, when assessing the significance of impacts from greenhouse gas emissions on the environment:
- (1) The extent to which the project may increase or reduce greenhouse gas emissions as compared to the existing environmental setting;
  - (2) Whether the project emissions exceed a threshold of significance that the lead agency determines applies to the project.
  - (3) The extent to which the project complies with regulations or requirements adopted to implement a statewide, regional, or local plan for the reduction or mitigation of greenhouse gas emissions. Such requirements must be adopted by the relevant public agency through a public review process and must reduce or mitigate the project's incremental contribution of greenhouse gas emissions. If there is substantial evidence that the possible effects of a particular project are still cumulatively considerable notwithstanding compliance with the adopted regulations or requirements, an EIR must be prepared for the project.

**Note:** Authority cited: Sections 21083, 21083.05, Public Resources Code. Reference: Sections 21001, 21002, 21003, 21065, 21068, 21080, 21082, 21082.1, 21082.2, 21083.05, 21100, Pub. Resources Code; *Eureka Citizens for Responsible Govt. v. City of Eureka* (2007) 147 Cal.App.4th 357; *Mejia v. City of Los Angeles* (2005) 130 Cal.App.4th 322; *Protect the Historic Amador Waterways v. Amador Water Agency* (2004) 116 Cal.App.4th 1099; *Communities for a Better Environment v. California Resources Agency* (2002) 103 Cal.App.4th 98; *Berkeley Keep Jets Over the Bay Com. v. Board of Port Comm.* (2001) 91 Cal.App.4th 1344; and *City of Irvine v. Irvine Citizens Against Overdevelopment* (1994) 25 Cal.App.4th 868.

## 15064.5. DETERMINING THE SIGNIFICANCE OF IMPACTS TO ARCHEOLOGICAL AND HISTORICAL RESOURCES

- (a) For purposes of this section, the term “historical resources” shall include the following:
- (1) A resource listed in, or determined to be eligible by the State Historical Resources Commission, for listing in the California Register of Historical Resources (Pub. Res. Code §5024.1, Title 14 CCR, Section 4850 et seq.).
  - (2) A resource included in a local register of historical resources, as defined in section 5020.1(k) of the Public Resources Code or identified as significant in an historical resource survey meeting the requirements section 5024.1(g) of the Public Resources Code, shall be presumed to be historically or culturally significant. Public agencies must treat any such resource as significant unless the preponderance of evidence demonstrates that it is not historically or culturally significant.
  - (3) Any object, building, structure, site, area, place, record, or manuscript which a lead agency determines to be historically significant or significant in the architectural, engineering, scientific, economic, agricultural, educational, social, political, military, or cultural annals of California may be considered to be an historical resource, provided the lead agency’s determination is supported by substantial evidence in light of the whole record. Generally, a resource shall be considered by the lead agency to be “historically significant” if the resource meets the criteria for listing on the California Register of Historical Resources (Pub. Res. Code §5024.1, Title 14 CCR, Section 4852) including the following:
    - (A) Is associated with events that have made a significant contribution to the broad patterns of California’s history and cultural heritage;
    - (B) Is associated with the lives of persons important in our past;
    - (C) Embodies the distinctive characteristics of a type, period, region, or method of construction, or represents the work of an important creative individual, or possesses high artistic values; or
    - (D) Has yielded, or may be likely to yield, information important in prehistory or history.
  - (4) The fact that a resource is not listed in, or determined to be eligible for listing in the California Register of Historical Resources, not included in a local register of historical resources (pursuant to section 5020.1(k) of the Public Resources Code), or identified in an historical resources survey (meeting the criteria in section 5024.1(g) of the Public Resources Code) does not preclude a lead agency from determining that the resource may be an historical resource as defined in Public Resources Code sections 5020.1(j) or 5024.1.
- (b) A project with an effect that may cause a substantial adverse change in the significance of an historical resource is a project that may have a significant effect on the environment.
- (1) Substantial adverse change in the significance of an historical resource means physical demolition, destruction, relocation, or alteration of the resource or its immediate surroundings such that the significance of an historical resource would be materially impaired.
  - (2) The significance of an historical resource is materially impaired when a project:
    - (A) Demolishes or materially alters in an adverse manner those physical characteristics of an historical resource that convey its historical significance and that justify its inclusion in, or eligibility for, inclusion in the California Register of Historical Resources; or
    - (B) Demolishes or materially alters in an adverse manner those physical characteristics that account for its inclusion in a local register of historical resources pursuant to section 5020.1(k) of the Public Resources Code or its identification in an historical resources survey meeting the requirements of section 5024.1(g) of the Public Resources Code,

unless the public agency reviewing the effects of the project establishes by a preponderance of evidence that the resource is not historically or culturally significant;  
or

- (c) Demolishes or materially alters in an adverse manner those physical characteristics of a historical resource that convey its historical significance and that justify its eligibility for inclusion in the California Register of Historical Resources as determined by a lead agency for purposes of CEQA.
- (3) Generally, a project that follows the Secretary of the Interior's Standards for the Treatment of Historic Properties with Guidelines for Preserving, Rehabilitating, Restoring, and Reconstructing Historic Buildings or the Secretary of the Interior's Standards for Rehabilitation and Guidelines for Rehabilitating Historic Buildings (1995), Weeks and Grimmer, shall be considered as mitigated to a level of less than a significant impact on the historical resource.
- (4) A lead agency shall identify potentially feasible measures to mitigate significant adverse changes in the significance of an historical resource. The lead agency shall ensure that any adopted measures to mitigate or avoid significant adverse changes are fully enforceable through permit conditions, agreements, or other measures.
- (5) When a project will affect state-owned historical resources, as described in Public Resources Code Section 5024, and the lead agency is a state agency, the lead agency shall consult with the State Historic Preservation Officer as provided in Public Resources Code Section 5024.5. Consultation should be coordinated in a timely fashion with the preparation of environmental documents.
- (c) CEQA applies to effects on archaeological sites.
  - (1) When a project will impact an archaeological site, a lead agency shall first determine whether the site is an historical resource, as defined in subdivision (a).
  - (2) If a lead agency determines that the archaeological site is an historical resource, it shall refer to the provisions of Section 21084.1 of the Public Resources Code, and this section, Section 15126.4 of the Guidelines, and the limits contained in Section 21083.2 of the Public Resources Code do not apply.
  - (3) If an archaeological site does not meet the criteria defined in subdivision (a), but does meet the definition of a unique archeological resource in Section 21083.2 of the Public Resources Code, the site shall be treated in accordance with the provisions of section 21083.2. The time and cost limitations described in Public Resources Code Section 21083.2 (c-f) do not apply to surveys and site evaluation activities intended to determine whether the project location contains unique archaeological resources.
  - (4) If an archaeological resource is neither a unique archaeological nor an historical resource, the effects of the project on those resources shall not be considered a significant effect on the environment. It shall be sufficient that both the resource and the effect on it are noted in the Initial Study or EIR, if one is prepared to address impacts on other resources, but they need not be considered further in the CEQA process.
- (d) When an initial study identifies the existence of, or the probable likelihood, of Native American human remains within the project, a lead agency shall work with the appropriate Native Americans as identified by the Native American Heritage Commission as provided in Public Resources Code Section 5097.98. The applicant may develop an agreement for treating or disposing of, with appropriate dignity, the human remains and any items associated with Native American burials with the appropriate Native Americans as identified by the Native American Heritage Commission. Action implementing such an agreement is exempt from:
  - (1) The general prohibition on disinterring, disturbing, or removing human remains from any location other than a dedicated cemetery (Health and Safety Code Section 7050.5).

- (2) The requirements of CEQA and the Coastal Act.
- (e) In the event of the accidental discovery or recognition of any human remains in any location other than a dedicated cemetery, the following steps should be taken:
- (1) There shall be no further excavation or disturbance of the site or any nearby area reasonably suspected to overlie adjacent human remains until:
    - (A) The coroner of the county in which the remains are discovered must be contacted to determine that no investigation of the cause of death is required, and
    - (B) If the coroner determines the remains to be Native American:
      1. The coroner shall contact the Native American Heritage Commission within 24 hours.
      2. The Native American Heritage Commission shall identify the person or persons it believes to be the most likely descended from the deceased Native American.
      3. The most likely descendent may make recommendations to the landowner or the person responsible for the excavation work, for means of treating or disposing of, with appropriate dignity, the human remains and any associated grave goods as provided in Public Resources Code Section 5097.98, or
  - (2) Where the following conditions occur, the landowner or his authorized representative shall rebury the Native American human remains and associated grave goods with appropriate dignity on the property in a location not subject to further subsurface disturbance.
    - (A) The Native American Heritage Commission is unable to identify a most likely descendent or the most likely descendent failed to make a recommendation within 24 hours after being notified by the commission.
    - (B) The descendant identified fails to make a recommendation; or
    - (C) The landowner or his authorized representative rejects the recommendation of the descendant, and the mediation by the Native American Heritage Commission fails to provide measures acceptable to the landowner.
  - (f) As part of the objectives, criteria, and procedures required by Section 21082 of the Public Resources Code, a lead agency should make provisions for historical or unique archaeological resources accidentally discovered during construction. These provisions should include an immediate evaluation of the find by a qualified archaeologist. If the find is determined to be an historical or unique archaeological resource, contingency funding and a time allotment sufficient to allow for implementation of avoidance measures or appropriate mitigation should be available. Work could continue on other parts of the building site while historical or unique archaeological resource mitigation takes place.

**Note:** Authority: Section 21083, Public Resources Code. Reference: Sections 21083.2, 21084, and 21084.1, Public Resources Code; *Citizens for Responsible Development in West Hollywood v. City of West Hollywood* (1995) 39 Cal.App.4th 490.

#### 15064.7. THRESHOLDS OF SIGNIFICANCE.

- (a) Each public agency is encouraged to develop and publish thresholds of significance that the agency uses in the determination of the significance of environmental effects. A threshold of significance is an identifiable quantitative, qualitative or performance level of a particular environmental effect, non-compliance with which means the effect will normally be determined to be significant by the agency and compliance with which means the effect normally will be determined to be less than significant.
- (b) Thresholds of significance to be adopted for general use as part of the lead agency's environmental review process must be adopted by ordinance, resolution, rule, or regulation, and developed through a public review process and be supported by substantial evidence.

- (c) When adopting thresholds of significance, a lead agency may consider thresholds of significance previously adopted or recommended by other public agencies or recommended by experts, provided the decision of the lead agency to adopt such thresholds is supported by substantial evidence.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21000, 21082 and 21083, Public Resources Code.

### 15065. MANDATORY FINDINGS OF SIGNIFICANCE

- (a) A lead agency shall find that a project may have a significant effect on the environment and thereby require an EIR to be prepared for the project where there is substantial evidence, in light of the whole record, that any of the following conditions may occur:
- (1) The project has the potential to: substantially degrade the quality of the environment; substantially reduce the habitat of a fish or wildlife species; cause a fish or wildlife population to drop below self-sustaining levels; threaten to eliminate a plant or animal community; substantially reduce the number or restrict the range of an endangered, rare or threatened species; or eliminate important examples of the major periods of California history or prehistory.
  - (2) The project has the potential to achieve short-term environmental goals to the disadvantage of long-term environmental goals.
  - (3) The project has possible environmental effects that are individually limited but cumulatively considerable. "Cumulatively considerable" means that the incremental effects of an individual project are significant when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects.
  - (4) The environmental effects of a project will cause substantial adverse effects on human beings, either directly or indirectly.
- (b) (1) Where, prior to the commencement of public review of an environmental document, a project proponent agrees to mitigation measures or project modifications that would avoid any significant effect on the environment specified by subdivision (a) or would mitigate the significant effect to a point where clearly no significant effect on the environment would occur, a lead agency need not prepare an environmental impact report solely because, without mitigation, the environmental effects at issue would have been significant.
- (2) Furthermore, where a proposed project has the potential to substantially reduce the number or restrict the range of an endangered, rare or threatened species, the lead agency need not prepare an EIR solely because of such an effect, if:
- (A) the project proponent is bound to implement mitigation requirements relating to such species and habitat pursuant to an approved habitat conservation plan or natural community conservation plan;
  - (B) the state or federal agency approved the habitat conservation plan or natural community conservation plan in reliance on an environmental impact report or environmental impact statement; and
  - (C)
    1. such requirements avoid any net loss of habitat and net reduction in number of the affected species, or
    2. such requirements preserve, restore, or enhance sufficient habitat to mitigate the reduction in habitat and number of the affected species to below a level of significance.
- (c) Following the decision to prepare an EIR, if a lead agency determines that any of the conditions specified by subdivision (a) will occur, such a determination shall apply to:

- (1) the identification of effects to be analyzed in depth in the environmental impact report or the functional equivalent thereof,
- (2) the requirement to make detailed findings on the feasibility of alternatives or mitigation measures to substantially lessen or avoid the significant effects on the environment,
- (3) when found to be feasible, the making of changes in the project to substantially lessen or avoid the significant effects on the environment, and
- (4) where necessary, the requirement to adopt a statement of overriding considerations.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21001(c), 21082.2, and 21083, Public Resources Code; *San Joaquin Raptor/Wildlife Center v. County of Stanislaus* (1996) 42 Cal.App.4th 608; *Los Angeles Unified School District v. City of Los Angeles* (1997) 58 Cal.App.4th 1019, 1024; and *Communities for a Better Environment v. California Resources Agency* (2002) 103 Cal.App.4th 98.

## Article 6. Negative Declaration Process

### SECTIONS 15070 TO 15075

#### 15070. DECISION TO PREPARE A NEGATIVE OR MITIGATED NEGATIVE DECLARATION

A public agency shall prepare or have prepared a proposed negative declaration or mitigated negative declaration for a project subject to CEQA when:

- (a) The initial study shows that there is no substantial evidence, in light of the whole record before the agency, that the project may have a significant effect on the environment, or
- (b) The initial study identifies potentially significant effects, but:
  - (1) Revisions in the project plans or proposals made by, or agreed to by the applicant before a proposed mitigated negative declaration and initial study are released for public review would avoid the effects or mitigate the effects to a point where clearly no significant effects would occur, and
  - (2) There is no substantial evidence, in light of the whole record before the agency, that the project as revised may have a significant effect on the environment.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21064, 21064.5, 21080(c), and 21082.1, Public Resources Code; *Friends of B Street v. City of Hayward* (1980) 106 Cal.App.3d 988; *Running Fence Corp. v. Superior Court* (1975) 51 Cal.App.3d 400.

#### 15071. CONTENTS

A Negative Declaration circulated for public review shall include:

- (a) A brief description of the project, including a commonly used name for the project, if any;
- (b) The location of the project, preferably shown on a map, and the name of the project proponent;
- (c) A proposed finding that the project will not have a significant effect on the environment;
- (d) An attached copy of the Initial Study documenting reasons to support the finding; and
- (e) Mitigation measures, if any, included in the project to avoid potentially significant effects.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21080(c), Public Resources Code.

## 15072. NOTICE OF INTENT TO ADOPT A NEGATIVE DECLARATION OR MITIGATED NEGATIVE DECLARATION

- (a) A lead agency shall provide a notice of intent to adopt a negative declaration or mitigated negative declaration to the public, responsible agencies, trustee agencies, and the county clerk of each county within which the proposed project is located, sufficiently prior to adoption by the lead agency of the negative declaration or mitigated negative declaration to allow the public and agencies the review period provided under Section 15105.
- (b) The lead agency shall mail a notice of intent to adopt a negative declaration or mitigated negative declaration to the last known name and address of all organizations and individuals who have previously requested such notice in writing and shall also give notice of intent to adopt a negative declaration or mitigated negative declaration by at least one of the following procedures to allow the public the review period provided under Section 15105:
  - (1) Publication at least one time by the lead agency in a newspaper of general circulation in the area affected by the proposed project. If more than one area is affected, the notice shall be published in the newspaper of largest circulation from among the newspapers of general circulation in those areas.
  - (2) Posting of notice by the lead agency on and off site in the area where the project is to be located.
  - (3) Direct mailing to the owners and occupants of contiguous property shown on the latest equalized assessment roll.
- (c) The alternatives for providing notice specified in subdivision (b) shall not preclude a lead agency from providing additional notice by other means if the agency so desires, nor shall the requirements of this section preclude a lead agency from providing the public notice at the same time and in the same manner as public notice required by any other laws for the project.
- (d) The county clerk of each county within which the proposed project is located shall post such notices in the office of the county clerk within 24 hours of receipt for a period of at least 20 days.
- (e) For a project of statewide, regional, or areawide significance, the lead agency shall also provide notice to transportation planning agencies and public agencies which have transportation facilities within their jurisdictions which could be affected by the project as specified in Section 21092.4(a) of the Public Resources Code. "Transportation facilities" includes: major local arterials and public transit within five miles of the project site and freeways, highways and rail transit service within 10 miles of the project site.
- (f) If the United States Department of Defense or any branch of the United States Armed Forces has given a lead agency written notification of the specific boundaries of a low-level flight path, military impact zone, or special use airspace and provided the lead agency with written notification of the military contact office and address for the military service pursuant to subdivision (b) of Section 15190.5, then the lead agency shall include the specified military contact office in the list of organizations and individuals receiving a notice of intent to adopt a negative declaration or a mitigated negative declaration pursuant to this section for projects that meet the criteria set forth in subdivision (c) of Section 15190.5. The lead agency shall send the specified military contact office such notice of intent sufficiently prior to adoption by the lead agency of the negative declaration or mitigated negative declaration to allow the military service the review period provided under Section 15105.
- (g) A notice of intent to adopt a negative declaration or mitigated negative declaration shall specify the following:
  - (1) A brief description of the proposed project and its location.

- (2) The starting and ending dates for the review period during which the lead agency will receive comments on the proposed negative declaration or mitigated negative declaration. This shall include starting and ending dates for the review period. If the review period has been shortened pursuant to Section 15105, the notice shall include a statement to that effect.
- (3) The date, time, and place of any scheduled public meetings or hearings to be held by the lead agency on the proposed project, when known to the lead agency at the time of notice.
- (4) The address or addresses where copies of the proposed negative declaration or mitigated negative declaration including the revisions developed under Section 15070(b) and all documents referenced in the proposed negative declaration or mitigated negative declaration are available for review. This location or locations shall be readily accessible to the public during the lead agency's normal working hours.
- (5) The presence of the site on any of the lists enumerated under Section 65962.5 of the Government Code including, but not limited to lists of hazardous waste facilities, land designated as hazardous waste property, and hazardous waste disposal sites, and the information in the Hazardous Waste and Substances Statement required under subdivision (f) of that section.
- (6) Other information specifically required by statute or regulation for a particular project or type of project.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21091, 21092, 21092.2, 21092.4, 21092.3, 21092.6, 21098 and 21151.8, Public Resources Code.

### 15073. PUBLIC REVIEW OF A PROPOSED NEGATIVE DECLARATION OR MITIGATED NEGATIVE DECLARATION

- (a) The lead agency shall provide a public review period pursuant to Section 15105 of not less than 20 days. When a proposed negative declaration or mitigated negative declaration and initial study are submitted to the State Clearinghouse for review by state agencies, the public review period shall not be less than 30 days, unless a shorter period is approved by the State Clearinghouse under Section 15105(d).
- (b) When a proposed negative declaration or mitigated negative declaration and initial study have been submitted to the State Clearinghouse for review by state agencies, the public review period shall be at least as long as the review period established by the State Clearinghouse. The public review period and the state agency review period may, but are not required to, begin and end at the same time. Day one of the state review period shall be the date that the State Clearinghouse distributes the document to state agencies.
- (c) A copy of the proposed negative declaration or mitigated negative declaration and the initial study shall be attached to the notice of intent to adopt the proposed declaration that is sent to every responsible agency and trustee agency concerned with the project and every other public agency with jurisdiction by law over resources affected by the project.
- (d) Where one or more state agencies will be a responsible agency or a trustee agency or will exercise jurisdiction by law over natural resources affected by the project, or where the project is of statewide, regional, or areawide environmental significance, the lead agency shall send copies of the proposed negative declaration or mitigated negative declaration to the State Clearinghouse for distribution to state agencies.
- (e) The lead agency shall notify in writing any public agency which comments on a proposed negative declaration or mitigated negative declaration of any public hearing to be held for the project for which the document was prepared. A notice provided to a public agency pursuant to Section 15072 satisfies this requirement.

**Note:** Authority cited: Section 21083, Public Resources Code; References: Sections 21000(e), 21003(b), 21080(c), 21081.6, 21091, and 21092.5, Public Resources Code; *Plaggmier v. City of San Jose* (1980) 101 Cal.App.3d 842.

### 15073.5. RECIRCULATION OF A NEGATIVE DECLARATION PRIOR TO ADOPTION.

- (a) A lead agency is required to recirculate a negative declaration when the document must be substantially revised after public notice of its availability has previously been given pursuant to Section 15072, but prior to its adoption. Notice of recirculation shall comply with Sections 15072 and 15073.
- (b) A “substantial revision” of the negative declaration shall mean:
  - (1) A new, avoidable significant effect is identified and mitigation measures or project revisions must be added in order to reduce the effect to insignificance, or
  - (2) The lead agency determines that the proposed mitigation measures or project revisions will not reduce potential effects to less than significance and new measures or revisions must be required.
- (c) Recirculation is not required under the following circumstances:
  - (1) Mitigation measures are replaced with equal or more effective measures pursuant to Section 15074.1.
  - (2) New project revisions are added in response to written or verbal comments on the project’s effects identified in the proposed negative declaration which are not new avoidable significant effects.
  - (3) Measures or conditions of project approval are added after circulation of the negative declaration which are not required by CEQA, which do not create new significant environmental effects and are not necessary to mitigate an avoidable significant effect.
  - (4) New information is added to the negative declaration which merely clarifies, amplifies, or makes insignificant modifications to the negative declaration.
- (d) If during the negative declaration process there is substantial evidence in light of the whole record, before the lead agency that the project, as revised, may have a significant effect on the environment which cannot be mitigated or avoided, the lead agency shall prepare a draft EIR and certify a final EIR prior to approving the project. It shall circulate the draft EIR for consultation and review pursuant to Sections 15086 and 15087, and advise reviewers in writing that a proposed negative declaration had previously been circulated for the project.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21080, Public Resources Code; *Gentry v. City of Murrieta* (1995) 36 Cal.App.4th 1359; *Leonoff v. Monterey County Board of Supervisors* (1990) 222 Cal.App.3d 1337; *Long Beach Savings and Loan Assn. v. Long Beach Redevelopment Agency* (1986) 188 Cal.App.3d 249.

### 15074. CONSIDERATION AND ADOPTION OF A NEGATIVE DECLARATION OR MITIGATED NEGATIVE DECLARATION.

- (a) Any advisory body of a public agency making a recommendation to the decision-making body shall consider the proposed negative declaration or mitigated negative declaration before making its recommendation.
- (b) Prior to approving a project, the decision-making body of the lead agency shall consider the proposed negative declaration or mitigated negative declaration together with any comments received during the public review process. The decision-making body shall adopt the proposed negative declaration or mitigated negative declaration only if it finds on the basis of the whole record before it (including the initial study and any comments received), that there is no substantial evidence that the project will have a significant effect on the environment and that

the negative declaration or mitigated negative declaration reflects the lead agency's independent judgment and analysis.

- (c) When adopting a negative declaration or mitigated negative declaration, the lead agency shall specify the location and custodian of the documents or other material which constitute the record of proceedings upon which its decision is based.
- (d) When adopting a mitigated negative declaration, the lead agency shall also adopt a program for reporting on or monitoring the changes which it has either required in the project or made a condition of approval to mitigate or avoid significant environmental effects.
- (e) A lead agency shall not adopt a negative declaration or mitigated negative declaration for a project within the boundaries of a comprehensive airport land use plan or, if a comprehensive airport land use plan has not been adopted, for a project within two nautical miles of a public airport or public use airport, without first considering whether the project will result in a safety hazard or noise problem for persons using the airport or for persons residing or working in the project area.
- (f) When a non-elected official or decisionmaking body of a local lead agency adopts a negative declaration or mitigated negative declaration, that adoption may be appealed to the agency's elected decisionmaking body, if one exists. For example, adoption of a negative declaration for a project by a city's planning commission may be appealed to the city council. A local lead agency may establish procedures governing such appeals.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080(c), 21081.6, 21082.1, 21096, and 21151, Public Resources Code; *Friends of B Street v. City of Hayward* (1980) 106 Cal.App.3d 988.

#### 15074.1. SUBSTITUTION OF MITIGATION MEASURES IN A PROPOSED MITIGATED NEGATIVE DECLARATION.

- (a) As a result of the public review process for a proposed mitigated negative declaration, including any administrative decisions or public hearings conducted on the project prior to its approval, the lead agency may conclude that certain mitigation measures identified in the mitigated negative declaration are infeasible or otherwise undesirable. Prior to approving the project, the lead agency may, in accordance with this section, delete those mitigation measures and substitute for them other measures which the lead agency determines are equivalent or more effective.
- (b) Prior to deleting and substituting for a mitigation measure, the lead agency shall do both of the following:
  - (1) Hold a public hearing on the matter. Where a public hearing is to be held in order to consider the project, the public hearing required by this section may be combined with that hearing. Where no public hearing would otherwise be held to consider the project, then a public hearing shall be required before a mitigation measure may be deleted and a new measure adopted in its place.
  - (2) Adopt a written finding that the new measure is equivalent or more effective in mitigating or avoiding potential significant effects and that it in itself will not cause any potentially significant effect on the environment.
- (c) No recirculation of the proposed mitigated negative declaration pursuant to Section 15072 is required where the new mitigation measures are made conditions of, or are otherwise incorporated into, project approval in accordance with this section.
- (d) "Equivalent or more effective" means that the new measure will avoid or reduce the significant effect to at least the same degree as, or to a greater degree than, the original measure and will create no more adverse effect of its own than would have the original measure.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(f), Public Resources Code.

**15075. NOTICE OF DETERMINATION ON A PROJECT FOR WHICH A PROPOSED NEGATIVE OR MITIGATED NEGATIVE DECLARATION HAS BEEN APPROVED.**

- (a) The lead agency shall file a notice of determination within five working days after deciding to carry out or approve the project. For projects with more than one phase, the lead agency shall file a notice of determination for each phase requiring a discretionary approval.
- (b) The notice of determination shall include:
  - (1) An identification of the project including the project title as identified on the proposed negative declaration, its location, and the State Clearinghouse identification number for the proposed negative declaration if the notice of determination is filed with the State Clearinghouse.
  - (2) A brief description of the project.
  - (3) The agency's name and the date on which the agency approved the project.
  - (4) The determination of the agency that the project will not have a significant effect on the environment.
  - (5) A statement that a negative declaration or a mitigated negative declaration was adopted pursuant to the provisions of CEQA.
  - (6) The statement indicating whether mitigation measures were made a condition of the approval of the project, and whether a mitigation monitoring plan/program was adopted.
  - (7) The address where a copy of the negative declaration or mitigated negative declaration may be examined.
- (c) If the lead agency is a state agency, the lead agency shall file the notice of determination with the Office of Planning and Research within five working days after approval of the project by the lead agency.
- (d) If the lead agency is a local agency, the local lead agency shall file the notice of determination with the county clerk of the county or counties in which the project will be located, within five working days after approval of the project by the lead agency. If the project requires discretionary approval from any state agency, the local lead agency shall also, within five working days of this approval, file a copy of the notice of determination with the Office of Planning and Research.
- (e) A notice of determination filed with the county clerk shall be available for public inspection and shall be posted by the county clerk within 24 hours of receipt for a period of at least 30 days. Thereafter, the clerk shall return the notice to the local lead agency with a notation of the period during which it was posted. The local lead agency shall retain the notice for not less than 12 months.
- (f) A notice of determination filed with the Office of Planning and Research shall be available for public inspection and shall be posted for a period of at least 30 days. The Office of Planning and Research shall retain each notice for not less than 12 months.
- (g) The filing of the notice of determination pursuant to subdivision (c) above for state agencies and the filing and posting of the notice of determination pursuant to subdivisions (d) and (e) above for local agencies, start a 30-day statute of limitations on court challenges to the approval under CEQA.
- (h) A sample notice of determination is provided in Appendix D. Each public agency may devise its own form, but the minimum content requirements of subdivision (b) above shall be met. Public agencies are encouraged to make copies of all notices filed pursuant to this section

available in electronic format on the Internet. Such electronic notices are in addition to the posting requirements of these guidelines and the Public Resources Code.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21080(c), 21108(a) and (c), 21152(a) and (c) and 21167(b), Public Resources Code; *Citizens of Lake Murray Area Association v. City Council* (1982) 129 Cal. App. 3d 436.

## Article 7. EIR Process

### SECTIONS 15080 TO 15097

#### 15080. GENERAL

To the extent possible, the EIR process should be combined with the existing planning, review, and project approval process used by each public agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061, 21100, and 21151, Public Resources Code.

#### 15081. DECISION TO PREPARE AN EIR

The EIR process starts with the decision to prepare an EIR. This decision will be made either during preliminary review under Section 15060 or at the conclusion of an Initial Study after applying the standards described in Section 15064.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21100, Public Resources Code; *No Oil, Inc. v. City of Los Angeles*, (1974) 13 Cal. 3d 68; *Friends of B Street v. City of Hayward*, (1980) 106 Cal. App. 3d 988.

#### 15081.5. EIRS REQUIRED BY STATUTE

- (a) A lead agency shall prepare or have prepared an EIR for the following types of projects. An initial study may be prepared to help identify the significant effects of the project.
- (1) The burning of municipal wastes, hazardous wastes, or refuse-derived fuel, including but not limited to tires, if the project is either:
    - (A) The construction of a new facility; or
    - (B) The expansion of an existing facility that burns hazardous waste that would increase its permitted capacity by more than 10 percent. This does not apply to any project exclusively burning hazardous waste for which a determination to prepare a negative declaration, or mitigated negative declaration or environmental impact report was made prior to July 14, 1989. The amount of expansion of an existing facility is calculated pursuant to subdivision (b) of Section 21151.1 of the Public Resources Code.
  - (C) Subdivision (1) of this subdivision does not apply to:
    1. Projects for which the State Energy Resources Conservation and Development Commission has assumed jurisdiction pursuant to Chapter 6 (commencing with Section 25500) of Division 15 of the Public Resources Code.
    2. Any of the types of burn or thermal processing projects listed in subdivision (d) of Section 21151.1 of the Public Resources Code.
  - (2) The initial issuance of a hazardous waste facilities permit to a land disposal facility, as defined in subdivision (d) of Section 25199.1 of the Health and Safety Code. Preparation of an EIR is not mandatory if the facility only manages hazardous waste which is identified or listed pursuant to Section 25140 or Section 25141 of the Health and Safety Code on or after January 1, 1992; or only conducts activities which are regulated pursuant to Chapter 6.5 (commencing with Section 25100) of Division 20 of the Health and Safety Code on or after

January 1, 1992. "Initial issuance" does not include the issuance of a closure or postclosure permit pursuant to Chapter 6.5 (commencing with Section 25100) of Division 20 of the Health and Safety Code.

- (3) The initial issuance of a hazardous waste facility permit pursuant to Section 25200 of the Health and Safety Code to an off-site large treatment facility, as defined pursuant to subdivision (d) of Section 25205.1 of that code. Preparation of an EIR is not mandatory if the facility only manages hazardous waste which is identified or listed pursuant to Section 25140 or Section 25141 of the Health and Safety Code on or after January 1, 1992; or only conducts activities which are regulated pursuant to Chapter 6.5 (commencing with Section 25100) of Division 20 of the Health and Safety Code on or after January 1, 1992. "Initial issuance" does not include the issuance of a closure or postclosure permit pursuant to Chapter 6.5 (commencing with Section 25100) of Division 20 of the Health and Safety Code.
  - (4) Any open pit mining operation which is subject to the permit requirements of the Surface Mining and Reclamation Act (beginning at Section 2710 of the Public Resources Code) and which utilizes a cyanide heap-leaching process for the purpose of extracting gold or other precious metals.
  - (5) An initial base reuse plan as defined in Section 15229.
- (b) A lead agency shall prepare or have prepared an EIR for the selection of a California Community College, California State University, University of California, or California Maritime Academy campus location and approval of a long range development plan for that campus.
- (1) The EIR for a long range development plan for a campus shall include an analysis of, among other significant impacts, those environmental effects relating to changes in enrollment levels.
  - (2) Subsequent projects within the campus may be addressed in environmental analyses tiered on the EIR prepared for the long range development plan.

**Note:** Authority cited: Section 21083, Public Resources Code; References: Sections 21080.09, 21083.8.1, 21151.1, and 21151.7, Public Resources Code.

## 15082. NOTICE OF PREPARATION AND DETERMINATION OF SCOPE OF EIR

- (a) Notice of Preparation. Immediately after deciding that an environmental impact report is required for a project, the lead agency shall send to the Office of Planning and Research and each responsible and trustee agency a notice of preparation stating that an environmental impact report will be prepared. This notice shall also be sent to every federal agency involved in approving or funding the project. If the United States Department of Defense or any branch of the United States Armed Forces has given the lead agency written notification of the specific boundaries of a low-level flight path, military impact zone, or special use airspace and provided the lead agency with written notification of the military contact office and address for the military service pursuant to subdivision (b) of Section 15190.5, then the lead agency shall include the specified military contact office in the list of organizations and individuals receiving a notice of preparation of an EIR pursuant to this section for projects that meet the criteria set forth in subdivision (c) of Section 15190.5.
- (1) The notice of preparation shall provide the responsible and trustee agencies and the Office of Planning and Research with sufficient information describing the project and the potential environmental effects to enable the responsible agencies to make a meaningful response. At a minimum, the information shall include:
    - (A) Description of the project,

- (B) Location of the project (either by street address and cross street, for a project in an urbanized area, or by attaching a specific map, preferably a copy of a U.S.G.S. 15' or 7-1/2' topographical map identified by quadrangle name), and
  - (C) Probable environmental effects of the project.
- (2) A sample notice of preparation is shown in Appendix I. Public agencies are free to devise their own formats for this notice. A copy of the initial study may be sent with the notice to supply the necessary information.
  - (3) To send copies of the notice of preparation, the lead agency shall use either certified mail or any other method of transmittal that provides it with a record that the notice was received.
  - (4) The lead agency may begin work on the draft EIR immediately without awaiting responses to the notice of preparation. The draft EIR in preparation may need to be revised or expanded to conform to responses to the notice of preparation. A lead agency shall not circulate a draft EIR for public review before the time period for responses to the notice of preparation has expired.
- (b) Response to Notice of Preparation. Within 30 days after receiving the notice of preparation under subdivision (a), each responsible and trustee agency and the Office of Planning and Research shall provide the lead agency with specific detail about the scope and content of the environmental information related to the responsible or trustee agency's area of statutory responsibility that must be included in the draft EIR.
- (1) The response at a minimum shall identify:
    - (A) The significant environmental issues and reasonable alternatives and mitigation measures that the responsible or trustee agency, or the Office of Planning and Research, will need to have explored in the draft EIR; and
    - (B) Whether the agency will be a responsible agency or trustee agency for the project.
  - (2) If a responsible or trustee agency or the Office of Planning and Research fails by the end of the 30-day period to provide the lead agency with either a response to the notice or a well-justified request for additional time, the lead agency may presume that none of those entities have a response to make.
  - (3) A generalized list of concerns not related to the specific project shall not meet the requirements of this section for a response.
- (c) Meetings. In order to expedite the consultation, the lead agency, a responsible agency, a trustee agency, the Office of Planning and Research or a project applicant may request one or more meetings between representatives of the agencies involved to assist the lead agency in determining the scope and content of the environmental information that the responsible or trustee agency may require. Such meetings shall be convened by the lead agency as soon as possible, but no later than 30 days after the meetings were requested. On request, the Office of Planning and Research will assist in convening meetings that involve state agencies.
- (1) For projects of statewide, regional or areawide significance pursuant to Section 15206, the lead agency shall conduct at least one scoping meeting. A scoping meeting held pursuant to the National Environmental Policy Act, 42 USC 4321 et seq. (NEPA) in the city or county within which the project is located satisfies this requirement if the lead agency meets the notice requirements of subsection (c)(2) below.
  - (2) The lead agency shall provide notice of the scoping meeting to all of the following:
    - (A) any county or city that borders on a county or city within which the project is located, unless otherwise designated annually by agreement between the lead agency and the county or city;
    - (B) any responsible agency

- (C) any public agency that has jurisdiction by law with respect to the project;
  - (D) any organization or individual who has filed a written request for the notice.
- (3) A lead agency shall call at least one scoping meeting for a proposed project that may affect highways or other facilities under the jurisdiction of the Department of Transportation if the meeting is requested by the Department. The lead agency shall call the scoping meeting as soon as possible but not later than 30 days after receiving the request from the Department of Transportation.
- (d) Office of Planning and Research. The Office of Planning and Research will ensure that the state responsible and trustee agencies reply to the lead agency within 30 days of receipt of the notice of preparation by the state responsible and trustee agencies.
  - (e) Identification Number. When the notice of preparation is submitted to the State Clearinghouse, the state identification number issued by the Clearinghouse shall be the identification number for all subsequent environmental documents on the project. The identification number should be referenced on all subsequent correspondence regarding the project, specifically on the title page of the draft and final EIR and on the notice of determination.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21083.9, 21080.4, and 21098, Public Resources Code.

### 15083. EARLY PUBLIC CONSULTATION

Prior to completing the draft EIR, the Lead Agency may also consult directly with any person or organization it believes will be concerned with the environmental effects of the project. Many public agencies have found that early consultation solves many potential problems that would arise in more serious forms later in the review process. This early consultation may be called scoping. Scoping will be necessary when preparing an EIR/EIS jointly with a federal agency.

- (a) Scoping has been helpful to agencies in identifying the range of actions, alternatives, mitigation measures, and significant effects to be analyzed in depth in an EIR and in eliminating from detailed study issues found not to be important.
- (b) Scoping has been found to be an effective way to bring together and resolve the concerns of affected federal, state, and local agencies, the proponent of the action, and other interested persons including those who might not be in accord with the action on environmental grounds.
- (c) Where scoping is used, it should be combined to the extent possible with consultation under Section 15082.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21082.1, Public Resources Code; Section 4, Chapter 480 of the Statutes of 1981; 40 Code of Federal Regulations, Part 1501.7.

### 15083.5. [DELETED]

### 15084. PREPARING THE DRAFT EIR

- (a) The draft EIR shall be prepared directly by or under contract to the Lead Agency. The required contents of a draft EIR are discussed in Article 9 beginning with Section 15120.
- (b) The Lead Agency may require the project applicant to supply data and information both to determine whether the project may have a significant effect on the environment and to assist the Lead Agency in preparing the draft EIR. The requested information should include an identification of other public agencies which will have jurisdiction by law over the project.
- (c) Any person, including the applicant, may submit information or comments to the Lead Agency to assist in the preparation of the draft EIR. The submittal may be presented in any format, including the form of a draft EIR. The Lead Agency must consider all information and

comments received. The information or comments may be included in the draft EIR in whole or in part.

- (d) The Lead Agency may choose one of the following arrangements or a combination of them for preparing a draft EIR.
- (1) Preparing the draft EIR directly with its own staff.
  - (2) Contracting with another entity, public or private, to prepare the draft EIR.
  - (3) Accepting a draft prepared by the applicant, a consultant retained by the applicant, or any other person.
  - (4) Executing a third party contract or Memorandum of Understanding with the applicant to govern the preparation of a draft EIR by an independent contractor.
  - (5) Using a previously prepared EIR.
- (e) Before using a draft prepared by another person, the Lead Agency shall subject the draft to the agency's own review and analysis. The draft EIR which is sent out for public review must reflect the independent judgment of the Lead Agency. The Lead Agency is responsible for the adequacy and objectivity of the draft EIR.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21082.1, Public Resources Code.

#### 15085. NOTICE OF COMPLETION

- (a) As soon as the draft EIR is completed, a notice of completion must be filed with the Office of Planning and Research in a printed hard copy or in electronic form on a diskette or by electronic mail transmission.
- (b) The notice of completion shall include:
- (1) A brief description of the project,
  - (2) The proposed location of the project (either by street address and cross street, for a project in an urbanized area, or by attaching a specific map, preferably a copy of a U.S.G.S. 15' or 7-1/2' topographical map identified by quadrangle name).
  - (3) An address where copies of the draft EIR are available, and
  - (4) The review period during which comments will be received on the draft EIR.
- (c) A sample form for the notice of completion is included in Appendix L
- (d) Where the EIR will be reviewed through the state review process handled by the State Clearinghouse, the notice of completion cover form required by the State Clearinghouse will serve as the notice of completion (see Appendix C).
- (e) Public agencies are encouraged to make copies of notices of completion filed pursuant to this section available in electronic format on the Internet.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21161, Public Resources Code.

#### 15086. CONSULTATION CONCERNING DRAFT EIR

- (a) The Lead Agency shall consult with and request comments on the draft EIR from:
- (1) Responsible Agencies,
  - (2) Trustee agencies with resources affected by the project, and
  - (3) Any other state, federal, and local agencies which have jurisdiction by law with respect to the project or which exercise authority over resources which may be affected by the project, including water agencies consulted pursuant to section 15083.5.

- (4) Any city or county which borders on a city or county within which the project is located.
  - (5) For a project of statewide, regional, or areawide significance, the transportation planning agencies and public agencies which have transportation facilities within their jurisdictions which could be affected by the project. "Transportation facilities" includes: major local arterials and public transit within five miles of the project site, and freeways, highways and rail transit service within 10 miles of the project site.
  - (6) For a state lead agency when the EIR is being prepared for a highway or freeway project, the California Air Resources Board as to the air pollution impact of the potential vehicular use of the highway or freeway and if a non-attainment area, the local air quality management district for a determination of conformity with the air quality management plan.
  - (7) For a subdivision project located within one mile of a facility of the State Water Resources Development System, the California Department of Water Resources.
- (b) The lead agency may consult directly with:
- (1) Any person who has special expertise with respect to any environmental impact involved,
  - (2) Any member of the public who has filed a written request for notice with the lead agency or the clerk of the governing body.
  - (3) Any person identified by the applicant whom the applicant believes will be concerned with the environmental effects of the project.
- (c) A responsible agency or other public agency shall only make substantive comments regarding those activities involved in the project that are within an area of expertise of the agency or which are required to be carried out or approved by the responsible agency. Those comments shall be supported by specific documentation.
- (d) Prior to the close of the public review period, a responsible agency or trustee agency which has identified what that agency considers to be significant environmental effects shall advise the lead agency of those effects. As to those effects relevant to its decision, if any, on the project, the responsible or trustee agency shall either submit to the lead agency complete and detailed performance objectives for mitigation measures addressing those effects or refer the lead agency to appropriate, readily available guidelines or reference documents concerning mitigation measures. If the responsible or trustee agency is not aware of mitigation measures that address identified effects, the responsible or trustee agency shall so state.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21081.6, 21092.4, 21092.5, 21104 and 21153, Public Resources Code.

### 15087. PUBLIC REVIEW OF DRAFT EIR

- (a) The lead agency shall provide public notice of the availability of a draft EIR at the same time it sends a notice of completion to the Office of Planning and Research. If the United States Department of Defense or any branch of the United States Armed Forces has given the lead agency written notification of the specific boundaries of a low-level flight path, military impact zone, or special use airspace and provided the lead agency with written notification of the contact office and address for the military service pursuant to subdivision (b) of Section 15190.5, then the lead agency shall include the specified military contact office in the list of organizations and individuals receiving a notice of availability of a draft EIR pursuant to this section for projects that meet the criteria set forth in subdivision (c) of Section 15190.5. This public notice shall be given as provided under Section 15105 (a sample form is provided in Appendix L). Notice shall be mailed to the last known name and address of all organizations and individuals who have previously requested such notice in writing, and shall also be given by at least one of the following procedures:

- (1) Publication at least one time by the public agency in a newspaper of general circulation in the area affected by the proposed project. If more than one area is affected, the notice shall be published in the newspaper of largest circulation from among the newspapers of general circulation in those areas.
  - (2) Posting of notice by the public agency on and off the site in the area where the project is to be located.
  - (3) Direct mailing to the owners and occupants of property contiguous to the parcel or parcels on which the project is located. Owners of such property shall be identified as shown on the latest equalized assessment roll.
- (b) The alternatives for providing notice specified in subdivision (a) shall not preclude a public agency from providing additional notice by other means if such agency so desires, nor shall the requirements of this section preclude a public agency from providing the public notice required by this section at the same time and in the same manner as public notice otherwise required by law for the project.
- (c) The notice shall disclose the following:
- (1) A brief description of the proposed project and its location.
  - (2) The starting and ending dates for the review period during which the lead agency will receive comments. If the review period is shortened, the notice shall disclose that fact.
  - (3) The date, time, and place of any scheduled public meetings or hearings to be held by the lead agency on the proposed project when known to the lead agency at the time of notice.
  - (4) A list of the significant environmental effects anticipated as a result of the project, to the extent which such effects are known to the lead agency at the time of the notice.
  - (5) The address where copies of the EIR and all documents referenced in the EIR will be available for public review. This location shall be readily accessible to the public during the lead agency's normal working hours.
  - (6) The presence of the site on any of the lists of sites enumerated under Section 65962.5 of the Government Code including, but not limited to, lists of hazardous waste facilities, land designated as hazardous waste property, hazardous waste disposal sites and others, and the information in the Hazardous Waste and Substances Statement required under subdivision (f) of that Section.
- (d) The notice required under this section shall be posted in the office of the county clerk of each county in which the project will be located for a period of at least 30 days. The county clerk shall post such notices within 24 hours of receipt.
- (e) In order to provide sufficient time for public review, the review period for a draft EIR shall be as provided in Section 15105. The review period shall be combined with the consultation required under Section 15086. When a draft EIR has been submitted to the State Clearinghouse, the public review period shall be at least as long as the review period established by the State Clearinghouse. The public review period and the state agency review period may, but are not required to, begin and end at the same time. Day one of the state review period shall be the date that the State Clearinghouse distributes the document to state agencies.
- (f) Public agencies shall use the State Clearinghouse to distribute draft EIRs to state agencies for review and should use areawide clearinghouses to distribute the documents to regional and local agencies.
- (g) To make copies of EIRs available to the public, Lead Agencies should furnish copies of draft EIRs to public library systems serving the area involved. Copies should also be available in offices of the Lead Agency.

- (h) Public agencies should compile listings of other agencies, particularly local agencies, which have jurisdiction by law and/or special expertise with respect to various projects and project locations. Such listings should be a guide in determining which agencies should be consulted with regard to a particular project.
- (i) Public hearings may be conducted on the environmental documents, either in separate proceedings or in conjunction with other proceedings of the public agency. Public hearings are encouraged, but not required as an element of the CEQA process.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21091, 21092, 21092.2, 21092.3, 21092.6, 21098, 21104, 21152, 21153 and 21161, Public Resources Code.

## 15088. EVALUATION OF AND RESPONSE TO COMMENTS

- (a) The lead agency shall evaluate comments on environmental issues received from persons who reviewed the draft EIR and shall prepare a written response. The Lead Agency shall respond to comments received during the noticed comment period and any extensions and may respond to late comments.
- (b) The lead agency shall provide a written proposed response to a public agency on comments made by that public agency at least 10 days prior to certifying an environmental impact report.
- (c) The written response shall describe the disposition of significant environmental issues raised (e.g., revisions to the proposed project to mitigate anticipated impacts or objections). In particular, the major environmental issues raised when the Lead Agency's position is at variance with recommendations and objections raised in the comments must be addressed in detail giving reasons why specific comments and suggestions were not accepted. There must be good faith, reasoned analysis in response. Conclusory statements unsupported by factual information will not suffice.
- (d) The response to comments may take the form of a revision to the draft EIR or may be a separate section in the final EIR. Where the response to comments makes important changes in the information contained in the text of the draft EIR, the Lead Agency should either:
  - (1) Revise the text in the body of the EIR, or
  - (2) Include marginal notes showing that the information is revised in the response to comments.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21092.5, 21104, and 21153, Public Resources Code; *People v. County of Kern* (1974) 39 Cal. App. 3d 830; *Cleary v. County of Stanislaus* (1981) 118 Cal. App. 3d 348.

### 15088.5. RECIRCULATION OF AN EIR PRIOR TO CERTIFICATION

- (a) A lead agency is required to recirculate an EIR when significant new information is added to the EIR after public notice is given of the availability of the draft EIR for public review under Section 15087 but before certification. As used in this section, the term "information" can include changes in the project or environmental setting as well as additional data or other information. New information added to an EIR is not "significant" unless the EIR is changed in a way that deprives the public of a meaningful opportunity to comment upon a substantial adverse environmental effect of the project or a feasible way to mitigate or avoid such an effect (including a feasible project alternative) that the project's proponents have declined to implement. "Significant new information" requiring recirculation include, for example, a disclosure showing that:
  - (1) A new significant environmental impact would result from the project or from a new mitigation measure proposed to be implemented.
  - (2) A substantial increase in the severity of an environmental impact would result unless mitigation measures are adopted that reduce the impact to a level of insignificance.

- (3) A feasible project alternative or mitigation measure considerably different from others previously analyzed would clearly lessen the environmental impacts of the project, but the project's proponents decline to adopt it.
- (4) The draft EIR was so fundamentally and basically inadequate and conclusory in nature that meaningful public review and comment were precluded. (*Mountain Lion Coalition v. Fish and Game Com.* (1989) 214 Cal.App.3d 1043)
- (b) Recirculation is not required where the new information added to the EIR merely clarifies or amplifies or makes insignificant modifications in an adequate EIR.
- (c) If the revision is limited to a few chapters or portions of the EIR, the lead agency need only recirculate the chapters or portions that have been modified.
- (d) Recirculation of an EIR requires notice pursuant to Section 15087, and consultation pursuant to Section 15086.
- (e) A decision not to recirculate an EIR must be supported by substantial evidence in the administrative record.
- (f) The lead agency shall evaluate and respond to comments as provided in Section 15088. Recirculating an EIR can result in the lead agency receiving more than one set of comments from reviewers. The following are two ways in which the lead agency may identify the set of comments to which it will respond. This dual approach avoids confusion over whether the lead agency must respond to comments which are duplicates or which are no longer pertinent due to revisions to the EIR. In no case shall the lead agency fail to respond to pertinent comments on significant environmental issues.
  - (1) When an EIR is substantially revised and the entire document is recirculated, the lead agency may require reviewers to submit new comments and, in such cases, need not respond to those comments received during the earlier circulation period. The lead agency shall advise reviewers, either in the text of the revised EIR or by an attachment to the revised EIR, that although part of the administrative record, the previous comments do not require a written response in the final EIR, and that new comments must be submitted for the revised EIR. The lead agency need only respond to those comments submitted in response to the recirculated revised EIR.
  - (2) When the EIR is revised only in part and the lead agency is recirculating only the revised chapters or portions of the EIR, the lead agency may request that reviewers limit their comments to the revised chapters or portions of the recirculated EIR. The lead agency need only respond to (i) comments received during the initial circulation period that relate to chapters or portions of the document that were not revised and recirculated, and (ii) comments received during the recirculation period that relate to the chapters or portions of the earlier EIR that were revised and recirculated. The lead agency's request that reviewers limit the scope of their comments shall be included either within the text of the revised EIR or by an attachment to the revised EIR.
  - (3) As part of providing notice of recirculation as required by Public Resources Code Section 21092.1, the lead agency shall send a notice of recirculation to every agency, person, or organization that commented on the prior EIR. The notice shall indicate, at a minimum, whether new comments may be submitted only on the recirculated portions of the EIR or on the entire EIR in order to be considered by the agency.
- (g) When recirculating a revised EIR, either in whole or in part, the lead agency shall, in the revised EIR or by an attachment to the revised EIR, summarize the revisions made to the previously circulated draft EIR.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21092.1, Public Resources Code; *Laurel Heights Improvement Association v. Regents of the University of California* (1993) 6 Cal. 4th 1112.

### 15089. PREPARATION OF FINAL EIR

- (a) The Lead Agency shall prepare a final EIR before approving the project. The contents of a final EIR are specified in Section 15132 of these Guidelines.
- (b) Lead Agencies may provide an opportunity for review of the final EIR by the public or by commenting agencies before approving the project. The review of a final EIR should focus on the responses to comments on the draft EIR.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21100, 21105, and 21151, Public Resources Code; *City of Carmel-by-the-Sea v. Board of Supervisors*, (1977) 71 Cal. App. 3d 84.

### 15090. CERTIFICATION OF THE FINAL EIR

- (a) Prior to approving a project the lead agency shall certify that:
  - (1) The final EIR has been completed in compliance with CEQA;
  - (2) The final EIR was presented to the decision-making body of the lead agency, and that the decision-making body reviewed and considered the information contained in the final EIR prior to approving the project; and
  - (3) The final EIR reflects the lead agency's independent judgment and analysis.
- (b) When an EIR is certified by a non-elected decision-making body within a local lead agency, that certification may be appealed to the local lead agency's elected decision-making body, if one exists. For example, certification of an EIR for a tentative subdivision map by a city's planning commission may be appealed to the city council. Each local lead agency shall provide for such appeals.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21082.1, 21100, and 21151, Public Resources Code; *City of Carmel-by-the-Sea v. Board of Supervisors* (1977) 71 Cal.App.3d 84; *Kleist v. City of Glendale* (1976) 56 Cal.App.3d 770.

### 15091. FINDINGS

- (a) No public agency shall approve or carry out a project for which an EIR has been certified which identifies one or more significant environmental effects of the project unless the public agency makes one or more written findings for each of those significant effects, accompanied by a brief explanation of the rationale for each finding. The possible findings are:
  - (1) Changes or alterations have been required in, or incorporated into, the project which avoid or substantially lessen the significant environmental effect as identified in the final EIR.
  - (2) Such changes or alterations are within the responsibility and jurisdiction of another public agency and not the agency making the finding. Such changes have been adopted by such other agency or can and should be adopted by such other agency.
  - (3) Specific economic, legal, social, technological, or other considerations, including provision of employment opportunities for highly trained workers, make infeasible the mitigation measures or project alternatives identified in the final EIR.
- (b) The findings required by subdivision (a) shall be supported by substantial evidence in the record.
- (c) The finding in subdivision (a)(2) shall not be made if the agency making the finding has concurrent jurisdiction with another agency to deal with identified feasible mitigation measures or alternatives. The finding in subdivision (a)(3) shall describe the specific reasons for rejecting identified mitigation measures and project alternatives.
- (d) When making the findings required in subdivision (a)(1), the agency shall also adopt a program for reporting on or monitoring the changes which it has either required in the project or made a

condition of approval to avoid or substantially lessen significant environmental effects. These measures must be fully enforceable through permit conditions, agreements, or other measures.

- (e) The public agency shall specify the location and custodian of the documents or other material which constitute the record of the proceedings upon which its decision is based.
- (f) A statement made pursuant to Section 15093 does not substitute for the findings required by this section.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002, 21002.1, 21081, and 21081.6, Public Resources Code; *Laurel Hills Homeowners Association v. City Council* (1978) 83 Cal.App.3d 515; *Cleary v. County of Stanislaus* (1981) 118 Cal.App.3d 348; *Sierra Club v. Contra Costa County* (1992) 10 Cal.App.4th 1212; *Citizens for Quality Growth v. City of Mount Shasta* (1988) 198 Cal.App.3d 433.

### 15092. APPROVAL

- (a) After considering the final EIR and in conjunction with making findings under Section 15091, the Lead Agency may decide whether or how to approve or carry out the project.
- (b) A public agency shall not decide to approve or carry out a project for which an EIR was prepared unless either:
  - (1) The project as approved will not have a significant effect on the environment, or
  - (2) The agency has:
    - (A) Eliminated or substantially lessened all significant effects on the environment where feasible as shown in findings under Section 15091, and
    - (B) Determined that any remaining significant effects on the environment found to be unavoidable under Section 15091 are acceptable due to overriding concerns as described in Section 15093.
- (c) With respect to a project which includes housing development, the public agency shall not reduce the proposed number of housing units as a mitigation measure if it determines that there is another feasible specific mitigation measure available that will provide a comparable level of mitigation.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21002, 21002.1, 21081 and 21159.26, Public Resources Code; *Friends of Mammoth v. Board of Supervisors*, (1972) 8 Cal. App. 3d 247; *San Francisco Ecology Center v. City and County of San Francisco*, (1975) 48 Cal. App. 3d 584; *City of Carmel-by-the-Sea v. Board of Supervisors*, (1977) 71 Cal. App. 3d 84; *Laurel Hills Homeowners Association v. City Council*, (1978) 83 Cal. App. 3d 515.

### 15093. STATEMENT OF OVERRIDING CONSIDERATIONS

- (a) CEQA requires the decision-making agency to balance, as applicable, the economic, legal, social, technological, or other benefits, including region-wide or statewide environmental benefits, of a proposed project against its unavoidable environmental risks when determining whether to approve the project. If the specific economic, legal, social, technological, or other benefits, including region-wide or statewide environmental benefits, of a proposed project outweigh the unavoidable adverse environmental effects, the adverse environmental effects may be considered "acceptable."
- (b) When the lead agency approves a project which will result in the occurrence of significant effects which are identified in the final EIR but are not avoided or substantially lessened, the agency shall state in writing the specific reasons to support its action based on the final EIR and/or other information in the record. The statement of overriding considerations shall be supported by substantial evidence in the record.

- (c) If an agency makes a statement of overriding considerations, the statement should be included in the record of the project approval and should be mentioned in the notice of determination. This statement does not substitute for, and shall be in addition to, findings required pursuant to Section 15091.

**Note:** Authority cited: Sections 21083 and 21083.05, Public Resources Code; Reference: Sections 21002 and 21081, Public Resources Code; *San Francisco Ecology Center v. City and County of San Francisco* (1975) 48 Cal.App.3d 584; *City of Carmel-by-the-Sea v. Board of Supervisors* (1977) 71 Cal.App.3d 84; *Sierra Club v. Contra Costa County* (1992) 10 Cal.App.4th 1212; *Citizens for Quality Growth v. City of Mount Shasta* (1988) 198 Cal.App.3d 433; *City of Marina v. Board of Trustees of Cal. State Univ.* (2006) 39 Cal.4th 341.

## 15094. NOTICE OF DETERMINATION

- (a) The lead agency shall file a notice of determination within five working days after deciding to carry out or approve the project.
- (b) The notice of determination shall include:
- (1) An identification of the project including the project title as identified on the draft EIR, and the location of the project (either by street address and cross street for a project in an urbanized area or by attaching a specific map, preferably a copy of a U.S.G.S. 15' or 7-1/2' topographical map identified by quadrangle name). If the notice of determination is filed with the State Clearinghouse, the State Clearinghouse identification number for the draft EIR shall be provided.
  - (2) A brief description of the project.
  - (3) The lead agency's name and the date on which the agency approved the project. If a responsible agency files the notice of determination pursuant to Section 15096(i), the responsible agency's name and date of approval shall also be identified.
  - (4) The determination of the agency whether the project in its approved form will have a significant effect on the environment.
  - (5) A statement that an EIR was prepared and certified pursuant to the provisions of CEQA.
  - (6) Whether mitigation measures were made a condition of the approval of the project, and whether a mitigation monitoring plan/program was adopted.
  - (7) Whether findings were made pursuant to Section 15091.
  - (8) Whether a statement of overriding considerations was adopted for the project.
  - (9) The address where a copy of the final EIR and the record of project approval may be examined.
- (c) If the lead agency is a state agency, the lead agency shall file the notice of determination with the Office of Planning and Research within five working days after approval of the project by the lead agency.
- (d) If the lead agency is a local agency, the local lead agency shall file the notice of determination with the county clerk of the county or counties in which the project will be located, within five working days after approval of the project by the lead agency. If the project requires discretionary approval from any state agency, the local lead agency shall also, within five working days of this approval, file a copy of the notice of determination with the Office of Planning and Research.
- (e) A notice of determination filed with the county clerk shall be available for public inspection and shall be posted within 24 hours of receipt for a period of at least 30 days. Thereafter, the clerk shall return the notice to the local lead agency with a notation of the period during which it was posted. The local lead agency shall retain the notice for not less than 12 months.

- (f) A notice of determination filed with the Office of Planning and Research shall be available for public inspection and shall be posted for a period of at least 30 days. The Office of Planning and Research shall retain each notice for not less than 12 months.
- (g) The filing of the notice of determination pursuant to subdivision (c) above for state agencies and the filing and posting of the notice of determination pursuant to subdivisions (d) and (e) above for local agencies, start a 30-day statute of limitations on court challenges to the approval under CEQA.
- (h) A sample notice of determination is provided in Appendix D. Each public agency may devise its own form, but any such form shall include, at a minimum, the information required by subdivision (b). Public agencies are encouraged to make copies of all notices filed pursuant to this section available in electronic format on the Internet. Such electronic notices are in addition to the posting requirements of the Guidelines and the Public Resources Code.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21108, 21152 and 21167, Public Resources Code; *Citizens of Lake Murray Area Association v. City Council* (1982) 129 Cal. App. 3d 436.

### 15095. DISPOSITION OF A FINAL EIR

The lead agency shall:

- (a) File a copy of the final EIR with the appropriate planning agency of any city, county, or city and county where significant effects on the environment may occur.
- (b) Include the final EIR as part of the regular project report which is used in the existing project review and budgetary process if such a report is used.
- (c) Retain one or more copies of the final EIR as public records for a reasonable period of time.
- (d) Require the applicant to provide a copy of the certified, final EIR to each responsible agency.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21105, 21151 and 21165, Public Resources Code; *County of Inyo v. Yorty*, (1973) 32 Cal. App. 3d 795.

### 15096. PROCESS FOR A RESPONSIBLE AGENCY

- (a) General. A Responsible Agency complies with CEQA by considering the EIR or Negative Declaration prepared by the Lead Agency and by reaching its own conclusions on whether and how to approve the project involved. This section identifies the special duties a public agency will have when acting as a Responsible Agency.
- (b) Response to Consultation. A Responsible Agency shall respond to consultation by the Lead Agency in order to assist the Lead Agency in preparing adequate environmental documents for the project. By this means, the Responsible Agency will ensure that the documents it will use will comply with CEQA.
  - (1) In response to consultation, a Responsible Agency shall explain its reasons for recommending whether the Lead Agency should prepare an EIR or Negative Declaration for a project. Where the Responsible Agency disagrees with the Lead Agency's proposal to prepare a Negative Declaration for a project, the Responsible Agency should identify the significant environmental effects which it believes could result from the project and recommend either that an EIR be prepared or that the project be modified to eliminate the significant effects.
  - (2) As soon as possible, but not longer than 30 days after receiving a Notice of Preparation from the Lead Agency, the Responsible Agency shall send a written reply by certified mail or any other method which provides the agency with a record showing that the notice was received. The reply shall specify the scope and content of the environmental information which would be germane to the Responsible Agency's statutory responsibilities in

connection with the proposed project. The Lead Agency shall include this information in the EIR.

- (c) Meetings. The Responsible Agency shall designate employees or representatives to attend meetings requested by the Lead Agency to discuss the scope and content of the EIR.
- (d) Comments on Draft EIRs and Negative Declarations. A Responsible Agency should review and comment on draft EIRs and Negative Declarations for projects which the Responsible Agency would later be asked to approve. Comments should focus on any shortcomings in the EIR, the appropriateness of using a Negative Declaration, or on additional alternatives or mitigation measures which the EIR should include. The comments shall be limited to those project activities which are within the agency's area of expertise or which are required to be carried out or approved by the agency or which will be subject to the exercise of powers by the agency. Comments shall be as specific as possible and supported by either oral or written documentation.
- (e) Decision on Adequacy of EIR or Negative Declaration. If a Responsible Agency believes that the final EIR or Negative Declaration prepared by the Lead Agency is not adequate for use by the Responsible Agency, the Responsible Agency must either:
  - (1) Take the issue to court within 30 days after the Lead Agency files a Notice of Determination;
  - (2) Be deemed to have waived any objection to the adequacy of the EIR or Negative Declaration;
  - (3) Prepare a subsequent EIR if permissible under Section 15162; or
  - (4) Assume the Lead Agency role as provided in Section 15052(a)(3).
- (f) Consider the EIR or Negative Declaration. Prior to reaching a decision on the project, the Responsible Agency must consider the environmental effects of the project as shown in the EIR or Negative Declaration. A subsequent or supplemental EIR can be prepared only as provided in Sections 15162 or 15163.
- (g) Adoption of Alternatives or Mitigation Measures.
  - (1) When considering alternatives and mitigation measures, a Responsible Agency is more limited than a Lead Agency. A Responsible Agency has responsibility for mitigating or avoiding only the direct or indirect environmental effects of those parts of the project which it decides to carry out, finance, or approve.
  - (2) When an EIR has been prepared for a project, the Responsible Agency shall not approve the project as proposed if the agency finds any feasible alternative or feasible mitigation measures within its powers that would substantially lessen or avoid any significant effect the project would have on the environment. With respect to a project which includes housing development, the Responsible Agency shall not reduce the proposed number of housing units as a mitigation measure if it determines that there is another feasible specific mitigation measure available that will provide a comparable level of mitigation.
- (h) Findings. The Responsible Agency shall make the findings required by Section 15091 for each significant effect of the project and shall make the findings in Section 15093 if necessary.
- (i) Notice of Determination. The Responsible Agency should file a Notice of Determination in the same manner as a Lead Agency under Section 15075 or 15094 except that the Responsible Agency does not need to state that the EIR or Negative Declaration complies with CEQA. The Responsible Agency should state that it considered the EIR or Negative Declaration as prepared by a Lead Agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21165, 21080.1, 21080.3, 21080.4, 21082.1, and 21002.1(b) and (d), Public Resources Code.

**15097. MITIGATION MONITORING OR REPORTING.**

- (a) This section applies when a public agency has made the findings required under paragraph (1) of subdivision (a) of Section 15091 relative to an EIR or adopted a mitigated negative declaration in conjunction with approving a project. In order to ensure that the mitigation measures and project revisions identified in the EIR or negative declaration are implemented, the public agency shall adopt a program for monitoring or reporting on the revisions which it has required in the project and the measures it has imposed to mitigate or avoid significant environmental effects. A public agency may delegate reporting or monitoring responsibilities to another public agency or to a private entity which accepts the delegation; however, until mitigation measures have been completed the lead agency remains responsible for ensuring that implementation of the mitigation measures occurs in accordance with the program.
- (b) Where the project at issue is the adoption of a general plan, specific plan, community plan or other plan-level document (zoning, ordinance, regulation, policy), the monitoring plan shall apply to policies and any other portion of the plan that is a mitigation measure or adopted alternative. The monitoring plan may consist of policies included in plan-level documents. The annual report on general plan status required pursuant to the Government Code is one example of a reporting program for adoption of a city or county general plan.
- (c) The public agency may choose whether its program will monitor mitigation, report on mitigation, or both. "Reporting" generally consists of a written compliance review that is presented to the decision making body or authorized staff person. A report may be required at various stages during project implementation or upon completion of the mitigation measure. "Monitoring" is generally an ongoing or periodic process of project oversight. There is often no clear distinction between monitoring and reporting and the program best suited to ensuring compliance in any given instance will usually involve elements of both. The choice of program may be guided by the following:
- (1) Reporting is suited to projects which have readily measurable or quantitative mitigation measures or which already involve regular review. For example, a report may be required upon issuance of final occupancy to a project whose mitigation measures were confirmed by building inspection.
  - (2) Monitoring is suited to projects with complex mitigation measures, such as wetlands restoration or archeological protection, which may exceed the expertise of the local agency to oversee, are expected to be implemented over a period of time, or require careful implementation to assure compliance.
  - (3) Reporting and monitoring are suited to all but the most simple projects. Monitoring ensures that project compliance is checked on a regular basis during and, if necessary after, implementation. Reporting ensures that the approving agency is informed of compliance with mitigation requirements.
- (d) Lead and responsible agencies should coordinate their mitigation monitoring or reporting programs where possible. Generally, lead and responsible agencies for a given project will adopt separate and different monitoring or reporting programs. This occurs because of any of the following reasons: the agencies have adopted and are responsible for reporting on or monitoring different mitigation measures; the agencies are deciding on the project at different times; each agency has the discretion to choose its own approach to monitoring or reporting; and each agency has its own special expertise.
- (e) At its discretion, an agency may adopt standardized policies and requirements to guide individually adopted monitoring or reporting programs. Standardized policies and requirements may describe, but are not limited to:

- (1) The relative responsibilities of various departments within the agency for various aspects of monitoring or reporting, including lead responsibility for administering typical programs and support responsibilities.
  - (2) The responsibilities of the project proponent.
  - (3) Agency guidelines for preparing monitoring or reporting programs.
  - (4) General standards for determining project compliance with the mitigation measures or revisions and related conditions of approval.
  - (5) Enforcement procedures for noncompliance, including provisions for administrative appeal.
  - (6) Process for informing staff and decision makers of the relative success of mitigation measures and using those results to improve future mitigation measures.
- (f) Where a trustee agency, in timely commenting upon a draft EIR or a proposed mitigated negative declaration, proposes mitigation measures or project revisions for incorporation into a project, that agency, at the same time, shall prepare and submit to the lead or responsible agency a draft monitoring or reporting program for those measures or revisions. The lead or responsible agency may use this information in preparing its monitoring or reporting program.
  - (g) When a project is of statewide, regional, or areawide importance, any transportation information generated by a required monitoring or reporting program shall be submitted to the transportation planning agency in the region where the project is located and to the California Department of Transportation. Each transportation planning agency and the California Department of Transportation shall adopt guidelines for the submittal of such information.

**Note:** Authority cited: Section 21083, Public Resources Code. References: Sections 21081.6 and 21081.7, Public Resources Code.

## Article 8. Time Limits

### SECTIONS 15100 TO 15112

#### 15100. GENERAL

- (a) Public agencies shall adopt time limits to govern their implementation of CEQA consistent with this article.
- (b) Public agencies should carry out their responsibilities for preparing and reviewing EIRs within a reasonable period of time. The requirement for the preparation of an EIR should not cause undue delays in the processing of applications for permits or other entitlements to use.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21000–21176, Public Resources Code.

#### 15101. REVIEW OF APPLICATION FOR COMPLETENESS

A Lead Agency or Responsible Agency shall determine whether an application for a permit or other entitlement for use is complete within 30 days from the receipt of the application except as provided in Section 15111. If no written determination of the completeness of the application is made within that period, the application will be deemed complete on the 30th day.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21083, Public Resources Code; Section 65943, Government Code.

#### 15102. INITIAL STUDY

The Lead Agency shall determine within 30 days after accepting an application as complete whether it intends to prepare an EIR or a Negative Declaration or use a previously prepared EIR or

Negative Declaration except as provided in Section 15111. The 30 day period may be extended 15 days upon the consent of the lead agency and the project applicant.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.2, Public Resources Code.

### 15103. RESPONSE TO NOTICE OF PREPARATION

Responsible and Trustee Agencies, and the Office of Planning and Research shall provide a response to a Notice of Preparation to the Lead Agency within 30 days after receipt of the notice. If they fail to reply within the 30 days with either a response or a well justified request for additional time, the Lead Agency may assume that none of those entities have a response to make and may ignore a late response.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.4, Public Resources Code.

### 15104. CONVENING OF MEETINGS

The Lead Agency shall convene a meeting with agency representatives to discuss the scope and content of the environmental information a Responsible Agency will need in the EIR as soon as possible but no later than 30 days after receiving a request for the meeting. The meeting may be requested by the Lead Agency, a Responsible Agency, a Trustee Agency, the Office of Planning and Research, or by the project applicant.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.4, Public Resources Code.

### 15105. PUBLIC REVIEW PERIOD FOR A DRAFT EIR OR A PROPOSED NEGATIVE DECLARATION OR MITIGATED NEGATIVE DECLARATION

- (a) The public review period for a draft EIR shall not be less than 30 days nor should it be longer than 60 days except under unusual circumstances. When a draft EIR is submitted to the State Clearinghouse for review by state agencies, the public review period shall not be less than 45 days, unless a shorter period, not less than 30 days, is approved by the State Clearinghouse.
- (b) The public review period for a proposed negative declaration or mitigated negative declaration shall be not less than 20 days. When a proposed negative declaration or mitigated negative declaration is submitted to the State Clearinghouse for review by state agencies, the public review period shall not be less than 30 days, unless a shorter period, not less than 20 days, is approved by the State Clearinghouse.
- (c) If a draft EIR or proposed negative declaration or mitigated negative declaration has been submitted to the State Clearinghouse for review by state agencies, the public review period shall be at least as long as the review period established by the State Clearinghouse. The public review period and the state agency review period may, but are not required to, begin and end at the same time. Day one of the state review period shall be the date that the State Clearinghouse distributes the document to state agencies.
- (d) A shortened Clearinghouse review period may be granted in accordance with the provisions of Appendix K and the following principles:
  - (1) A shortened review shall not be granted for any proposed project of statewide, areawide, or regional environmental significance.
  - (2) Requests for shortened review periods shall be submitted to the Clearinghouse in writing by the decision-making body of the lead agency, or a representative authorized by ordinance, resolution, or delegation of the decision-making body.
  - (3) The lead agency has contacted responsible and trustee agencies and they have agreed to the shortened review period.

- (e) The State Clearinghouse shall distribute a draft EIR or proposed negative declaration or mitigated negative declaration within three working days after the date of receipt if the submittal is determined by the State Clearinghouse to be complete.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21091 and 21092, Public Resources Code; *People v. County of Kern* (1974) 39 Cal.App.3d 830.

#### 15106. [DELETED]

#### 15107. COMPLETION OF NEGATIVE DECLARATION

With private projects involving the issuance of a lease, permit, license, certificate, or other entitlement for use by one or more public agencies, the negative declaration must be completed and approved within 180 days from the date when the lead agency accepted the application as complete.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21100.2 and 21151.5, Public Resources Code.

#### 15108. COMPLETION AND CERTIFICATION OF EIR

With a private project, the Lead Agency shall complete and certify the final EIR as provided in Section 15090 within one year after the date when the Lead Agency accepted the application as complete. Lead Agency procedures may provide that the one-year time limit may be extended once for a period of not more than 90 days upon consent of the Lead Agency and the applicant.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21100.2 and 21151.5, Public Resources Code; Government Code Section 65950.

#### 15109. SUSPENSION OF TIME PERIODS

An unreasonable delay by an applicant in meeting requests by the Lead Agency necessary for the preparation of a Negative Declaration or an EIR shall suspend the running of the time periods described in Sections 15107 and 15108 for the period of the unreasonable delay. Alternatively, an agency may disapprove a project application where there is unreasonable delay in meeting requests. The agency may allow a renewed application to start at the same point in the process where the application was when it was disapproved.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21100.2 and 21151.5, Public Resources Code; *Carmel Valley View, Ltd. v. Maggini*, 91 Cal. App. 3d 318.

#### 15110. PROJECTS WITH FEDERAL INVOLVEMENT

- (a) At the request of an applicant, the Lead Agency may waive the one-year time limit for completing and certifying a final EIR or the 105-day period for completing a Negative Declaration if:
- (1) The project will be subject to CEQA and to the National Environmental Policy Act,
  - (2) Additional time will be required to prepare a combined EIR-EIS or combined Negative Declaration-Finding of No Significant Impact as provided in Section 15221, and
  - (3) The time required to prepare the combined document will be shorter than the time required to prepare the documents separately.
- (b) The time limits for taking final action on a permit for a development project may also be waived where a combined EIR-EIS will be prepared.
- (c) The time limits for processing permits for development projects under Government Code Sections 65950–65960 shall not apply if federal statutes or regulations require time schedules which exceed the state time limits. In this event, any state agencies involved shall make a final decision on the project within the federal time limits.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21083.6 and 21083.7, Public Resources Code; Sections 65951 and 65954, Government Code; Public Law 91–190 as amended, 42 U.S.C.A. 4321–4347.

### 15111. PROJECTS WITH SHORT TIME PERIODS FOR APPROVAL

- (a) A few statutes or ordinances require agencies to make decisions on permits within time limits that are so short that review of the project under CEQA would be difficult. To enable the Lead Agency to comply with both the permit statute and CEQA, the Lead Agency shall deem an application for a project not received for filing under the permit statute or ordinance until such time as progress toward completing the environmental documentation required by CEQA is sufficient to enable the Lead Agency to finish the CEQA process within the short permit time limit. This section will apply where all of the following conditions are met:
- (1) The enabling legislation for a program, other than Chapter 4.5 (commencing with Section 65920) of Division 1 of Title 7 of the Government Code, requires the Lead Agency to take action on an application within a specified period of time that is six months or less, and
  - (2) The enabling legislation provides that the project will become approved by operation of law if the Lead Agency fails to take any action within such specified time period, and
  - (3) The project involves the issuance of a lease, permit, license, certificate, or other entitlement for use.
- (b) Examples of time periods subject to this section include, but are not limited to:
- (1) Action on a timber harvesting plan by the Director of Forestry within 15 days pursuant to Section 4582.7 of the Public Resources Code,
  - (2) Action on a permit by the San Francisco Bay Conservation and Development Commission within 90 days pursuant to Section 66632(f) of the Government Code, and
  - (3) Action on an oil and gas permit by the Division of Oil and Gas within 10 days pursuant to Sections 3203 and 3724 of the Public Resources Code.
- (c) In any case described in this section, the environmental document shall be completed or certified and the decision on the project shall be made within the period established under the Permit Streamlining Act (Government Code Sections 65920, et seq.).

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21100.2 and 21151.5, Public Resources Code; *N.R.D.C. v. Arcata National Corp.* (1976) 59 Cal.App.3d 959.

### 15112. STATUTES OF LIMITATIONS

- (a) CEQA provides unusually short statutes of limitations on filing court challenges to the approval of projects under the Act.
- (b) The statute of limitations periods are not public review periods or waiting periods for the person whose project has been approved. The project sponsor may proceed to carry out the project as soon as the necessary permits have been granted. The statute of limitations cuts off the right of another person to file a court action challenging approval of the project after the specified time period has expired.
- (c) The statute of limitations periods under CEQA are as follows:
- (1) Where the public agency filed a Notice of Determination in compliance with Sections 15075 or 15094, 30 days after the filing of the notice and the posting on a list of such notices.
  - (2) Where the public agency filed a Notice of Exemption in compliance with Section 15062, 35 days after the filing of the notice and the posting on a list of such notices.
  - (3) Where a certified state regulatory agency files a Notice of Decision in compliance with Public Resources Code Section 21080.5(d)(2)(E), 30 days after the filing of the notice.

- (4) Where the Secretary for Resources certifies a state environmental regulatory agency under Public Resources Code Section 21080.5, the certification may be challenged only during the 30 days following the certification decision.
- (5) Where none of the other statute of limitations periods in this section apply, 180 days after either:
  - (A) The public agency's decision to carry out or approve the project, or
  - (B) Commencement of the project if the project is undertaken without a formal decision by the public agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21167, 21167.3, and 21080.5, Public Resources Code; *Kriebel v. City Council*, 112 Cal. App. 3d 693; *Citizens of Lake Murray Area Association v. City Council*, (1982) 129 Cal. App. 3d 436.

## Article 9. Contents of Environmental Impact Reports

### SECTIONS 15120 TO 15132

#### 15120. GENERAL

- (a) Environmental Impact Reports shall contain the information outlined in this article, but the format of the document may be varied. Each element must be covered, and when these elements are not separated into distinct sections, the document shall state where in the document each element is discussed.
- (b) The EIR may be prepared as a separate document, as part of a general plan, or as part of a project report. If prepared as a part of the project report, it must still contain one separate and distinguishable section providing either analysis of all the subjects required in an EIR or, as a minimum, a table showing where each of the subjects is discussed. When the Lead Agency is a state agency, the EIR shall be included as part of the regular project report if such a report is used in the agency's existing review and budgetary process.
- (c) Draft EIRs shall contain the information required by Sections 15122 through 15131. Final EIRs shall contain the same information and the subjects described in Section 15132.
- (d) No document prepared pursuant to this article that is available for public examination shall include a "trade secret" as defined in Section 6254.7 of the Government Code, information about the location of archaeological sites and sacred lands, or any other information that is subject to the disclosure restrictions of Section 6254 of the Government Code.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21100, 21105 and 21160, Public Resources Code.

#### 15121. INFORMATIONAL DOCUMENT

- (a) An EIR is an informational document which will inform public agency decision makers and the public generally of the significant environmental effect of a project, identify possible ways to minimize the significant effects, and describe reasonable alternatives to the project. The public agency shall consider the information in the EIR along with other information which may be presented to the agency.
- (b) While the information in the EIR does not control the agency's ultimate discretion on the project, the agency must respond to each significant effect identified in the EIR by making findings under Section 15091 and if necessary by making a statement of overriding consideration under Section 15093.
- (c) The information in an EIR may constitute substantial evidence in the record to support the agency's action on the project if its decision is later challenged in court.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21061, Public Resources Code; *Carmel Valley View, Ltd. v. Board of Supervisors*, (1976) 58 Cal. App. 3d 817.

## 15122. TABLE OF CONTENTS OR INDEX

An EIR shall contain at least a table of contents or an index to assist readers in finding the analysis of different subjects and issues.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21061, Public Resources Code.

## 15123. SUMMARY

- (a) An EIR shall contain a brief summary of the proposed actions and its consequences. The language of the summary should be as clear and simple as reasonably practical.
- (b) The summary shall identify:
  - (1) Each significant effect with proposed mitigation measures and alternatives that would reduce or avoid that effect;
  - (2) Areas of controversy known to the Lead Agency including issues raised by agencies and the public; and
  - (3) Issues to be resolved including the choice among alternatives and whether or how to mitigate the significant effects.
- (c) The summary should normally not exceed 15 pages.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21061, Public Resources Code.

## 15124. PROJECT DESCRIPTION

The description of the project shall contain the following information but should not supply extensive detail beyond that needed for evaluation and review of the environmental impact.

- (a) The precise location and boundaries of the proposed project shall be shown on a detailed map, preferably topographic. The location of the project shall also appear on a regional map.
- (b) A statement of objectives sought by the proposed project. A clearly written statement of objectives will help the lead agency develop a reasonable range of alternatives to evaluate in the EIR and will aid the decision makers in preparing findings or a statement of overriding considerations, if necessary. The statement of objectives should include the underlying purpose of the project.
- (c) A general description of the project's technical, economic, and environmental characteristics, considering the principal engineering proposals if any and supporting public service facilities.
- (d) A statement briefly describing the intended uses of the EIR.
  - (1) This statement shall include, to the extent that the information is known to the Lead Agency,
    - (A) A list of the agencies that are expected to use the EIR in their decision making, and
    - (B) A list of permits and other approvals required to implement the project.
    - (C) A list of related environmental review and consultation requirements required by federal, state, or local laws, regulations, or policies. To the fullest extent possible, the lead agency should integrate CEQA review with these related environmental review and consultation requirements.
  - (2) If a public agency must make more than one decision on a project, all its decisions subject to CEQA should be listed, preferably in the order in which they will occur. On request, the

Office of Planning and Research will provide assistance in identifying state permits for a project.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.3, 21080.4, 21165, 21166, and 21167.2, Public Resources Code; *County of Inyo v. City of Los Angeles* (1977) 71 Cal.App.3d 185.

### 15125. ENVIRONMENTAL SETTING

- (a) An EIR must include a description of the physical environmental conditions in the vicinity of the project, as they exist at the time the notice of preparation is published, or if no notice of preparation is published, at the time environmental analysis is commenced, from both a local and regional perspective. This environmental setting will normally constitute the baseline physical conditions by which a lead agency determines whether an impact is significant. The description of the environmental setting shall be no longer than is necessary to an understanding of the significant effects of the proposed project and its alternatives.
- (b) When preparing an EIR for a plan for the reuse of a military base, lead agencies should refer to the special application of the principle of baseline conditions for determining significant impacts contained in Section 15229.
- (c) Knowledge of the regional setting is critical to the assessment of environmental impacts. Special emphasis should be placed on environmental resources that are rare or unique to that region and would be affected by the project. The EIR must demonstrate that the significant environmental impacts of the proposed project were adequately investigated and discussed and it must permit the significant effects of the project to be considered in the full environmental context.
- (d) The EIR shall discuss any inconsistencies between the proposed project and applicable general plans, specific plans, and regional plans. Such regional plans include, but are not limited to, the applicable air quality attainment or maintenance plan or State Implementation Plan, area-wide waste treatment and water quality control plans, regional transportation plans, regional housing allocation plans, regional blueprint plans, plans for the reduction of greenhouse gas emissions, habitat conservation plans, natural community conservation plans and regional land use plans for the protection of the Coastal Zone, Lake Tahoe Basin, San Francisco Bay, and Santa Monica Mountains.
- (e) Where a proposed project is compared with an adopted plan, the analysis shall examine the existing physical conditions at the time the notice of preparation is published, or if no notice of preparation is published, at the time environmental analysis is commenced as well as the potential future conditions discussed in the plan.

**Note:** Authority cited: Sections 21083, 21083.05, Public Resources Code; Reference: Sections 21060.5, 21061, and 21100, Public Resources Code; *E.P.I.C. v. County of El Dorado* (1982) 131 Cal.App.3d 350; *San Joaquin Raptor/Wildlife Rescue Center v. County of Stanislaus* (1994) 27 Cal.App.4th 713; *Bloom v. McGurk* (1994) 26 Cal.App.4th 1307.

### 15126. CONSIDERATION AND DISCUSSION OF ENVIRONMENTAL IMPACTS

All phases of a project must be considered when evaluating its impact on the environment: planning, acquisition, development, and operation. The subjects listed below shall be discussed as directed in Sections 15126.2, 15126.4 and 15126.6, preferably in separate sections or paragraphs of the EIR. If they are not discussed separately, the EIR shall include a table showing where each of the subjects is discussed.

- (a) Significant Environmental Effects of the Proposed Project.
- (b) Significant Environmental Effects Which Cannot be Avoided if the Proposed Project is Implemented.

- (c) Significant Irreversible Environmental Changes Which Would be Involved in the Proposed Project Should it be Implemented.
- (d) Growth-Inducing Impact of the Proposed Project.
- (e) The Mitigation Measures Proposed to Minimize the Significant Effects.
- (f) Alternatives to the Proposed Project.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002, 21003, 21100, and 21081.6, Public Resources Code; *Citizens of Goleta Valley v. Board of Supervisors* (1990) 52 Cal.3d 553; *Laurel Heights Improvement Association v. Regents of the University of California* (1988) 47 Cal.3d 376; *Gentry v. City of Murrieta* (1995) 36 Cal.App.4th 1359; and *Laurel Heights Improvement Association v. Regents of the University of California* (1993) 6 Cal.4th 1112.

## 15126.2 CONSIDERATION AND DISCUSSION OF SIGNIFICANT ENVIRONMENTAL IMPACTS.

- (a) The Significant Environmental Effects of the Proposed Project. An EIR shall identify and focus on the significant environmental effects of the proposed project. In assessing the impact of a proposed project on the environment, the lead agency should normally limit its examination to changes in the existing physical conditions in the affected area as they exist at the time the notice of preparation is published, or where no notice of preparation is published, at the time environmental analysis is commenced. Direct and indirect significant effects of the project on the environment shall be clearly identified and described, giving due consideration to both the short-term and long-term effects. The discussion should include relevant specifics of the area, the resources involved, physical changes, alterations to ecological systems, and changes induced in population distribution, population concentration, the human use of the land (including commercial and residential development), health and safety problems caused by the physical changes, and other aspects of the resource base such as water, historical resources, scenic quality, and public services. The EIR shall also analyze any significant environmental effects the project might cause by bringing development and people into the area affected. For example, an EIR on a subdivision astride an active fault line should identify as a significant effect the seismic hazard to future occupants of the subdivision. The subdivision would have the effect of attracting people to the location and exposing them to the hazards found there. Similarly, the EIR should evaluate any potentially significant impacts of locating development in other areas susceptible to hazardous conditions (e.g., floodplains, coastlines, wildfire risk areas) as identified in authoritative hazard maps, risk assessments or in land use plans addressing such hazards areas.
- (b) Significant Environmental Effects Which Cannot be Avoided if the Proposed Project is Implemented. Describe any significant impacts, including those which can be mitigated but not reduced to a level of insignificance. Where there are impacts that cannot be alleviated without imposing an alternative design, their implications and the reasons why the project is being proposed, notwithstanding their effect, should be described.
- (c) Significant Irreversible Environmental Changes Which Would be Caused by the Proposed Project Should it be Implemented. Uses of nonrenewable resources during the initial and continued phases of the project may be irreversible since a large commitment of such resources makes removal or nonuse thereafter unlikely. Primary impacts and, particularly, secondary impacts (such as highway improvement which provides access to a previously inaccessible area) generally commit future generations to similar uses. Also irreversible damage can result from environmental accidents associated with the project. Irretrievable commitments of resources should be evaluated to assure that such current consumption is justified. (See Public Resources Code section 21100.1 and Title 14, California Code of Regulations, section 15127 for limitations to applicability of this requirement.)

- (d) **Growth-Inducing Impact of the Proposed Project.** Discuss the ways in which the proposed project could foster economic or population growth, or the construction of additional housing, either directly or indirectly, in the surrounding environment. Included in this are projects which would remove obstacles to population growth (a major expansion of a waste water treatment plant might, for example, allow for more construction in service areas). Increases in the population may tax existing community service facilities, requiring construction of new facilities that could cause significant environmental effects. Also discuss the characteristic of some projects which may encourage and facilitate other activities that could significantly affect the environment, either individually or cumulatively. It must not be assumed that growth in any area is necessarily beneficial, detrimental, or of little significance to the environment.

**Note:** Authority cited: Sections 21083, 21083.05, Public Resources Code. Reference: Sections 21002, 21003, and 21100, Public Resources Code; *Citizens of Goleta Valley v. Board of Supervisors*, (1990) 52 Cal.3d 553; *Laurel Heights Improvement Association v. Regents of the University of California*, (1988) 47 Cal.3d 376; *Gentry v. City of Murrieta* (1995) 36 Cal.App.4th 1359; and *Laurel Heights Improvement Association v. Regents of the University of California* (1993) 6 Cal.4th 1112; *Goleta Union School Dist. v. Regents of the Univ. of Calif* (1995) 37 Cal. App.4th 1025.

#### 15126.4 CONSIDERATION AND DISCUSSION OF MITIGATION MEASURES PROPOSED TO MINIMIZE SIGNIFICANT EFFECTS.

##### (a) Mitigation Measures in General.

- (1) An EIR shall describe feasible measures which could minimize significant adverse impacts, including where relevant, inefficient and unnecessary consumption of energy.
  - (A) The discussion of mitigation measures shall distinguish between the measures which are proposed by project proponents to be included in the project and other measures proposed by the lead, responsible or trustee agency or other persons which are not included but the lead agency determines could reasonably be expected to reduce adverse impacts if required as conditions of approving the project. This discussion shall identify mitigation measures for each significant environmental effect identified in the EIR.
  - (B) Where several measures are available to mitigate an impact, each should be discussed and the basis for selecting a particular measure should be identified. Formulation of mitigation measures should not be deferred until some future time. However, measures may specify performance standards which would mitigate the significant effect of the project and which may be accomplished in more than one specified way.
  - (C) Energy conservation measures, as well as other appropriate mitigation measures, shall be discussed when relevant. Examples of energy conservation measures are provided in Appendix F.
  - (D) If a mitigation measure would cause one or more significant effects in addition to those that would be caused by the project as proposed, the effects of the mitigation measure shall be discussed but in less detail than the significant effects of the project as proposed. (*Stevens v. City of Glendale* (1981) 125 Cal.App.3d 986.)
- (2) Mitigation measures must be fully enforceable through permit conditions, agreements, or other legally binding instruments. In the case of the adoption of a plan, policy, regulation, or other public project, mitigation measures can be incorporated into the plan, policy, regulation, or project design.
- (3) Mitigation measures are not required for effects which are not found to be significant.
- (4) Mitigation measures must be consistent with all applicable constitutional requirements, including the following:

- (A) There must be an essential nexus (i.e. connection) between the mitigation measure and a legitimate governmental interest. *Nollan v. California Coastal Commission*, 483 U.S. 825 (1987); and
  - (B) The mitigation measure must be “roughly proportional” to the impacts of the project. *Dolan v. City of Tigard*, 512 U.S. 374 (1994). Where the mitigation measure is an *ad hoc* exaction, it must be “roughly proportional” to the impacts of the project. *Ehrlich v. City of Culver City* (1996) 12 Cal.4th 854.
- (5) If the lead agency determines that a mitigation measure cannot be legally imposed, the measure need not be proposed or analyzed. Instead, the EIR may simply reference that fact and briefly explain the reasons underlying the lead agency’s determination.
- (b) Mitigation Measures Related to Impacts on Historical Resources.
- (1) Where maintenance, repair, stabilization, rehabilitation, restoration, preservation, conservation or reconstruction of the historical resource will be conducted in a manner consistent with the Secretary of the Interior’s Standards for the Treatment of Historic Properties with Guidelines for Preserving, Rehabilitating, Restoring, and Reconstructing Historic Buildings (1995), Weeks and Grimmer, the project’s impact on the historical resource shall generally be considered mitigated below a level of significance and thus is not significant.
  - (2) In some circumstances, documentation of an historical resource, by way of historic narrative, photographs or architectural drawings, as mitigation for the effects of demolition of the resource will not mitigate the effects to a point where clearly no significant effect on the environment would occur.
  - (3) Public agencies should, whenever feasible, seek to avoid damaging effects on any historical resource of an archaeological nature. The following factors shall be considered and discussed in an EIR for a project involving such an archaeological site:
    - (A) Preservation in place is the preferred manner of mitigating impacts to archaeological sites. Preservation in place maintains the relationship between artifacts and the archaeological context. Preservation may also avoid conflict with religious or cultural values of groups associated with the site.
    - (B) Preservation in place may be accomplished by, but is not limited to, the following:
      1. Planning construction to avoid archaeological sites;
      2. Incorporation of sites within parks, greenspace, or other open space;
      3. Covering the archaeological sites with a layer of chemically stable soil before building tennis courts, parking lots, or similar facilities on the site.
      4. Deeding the site into a permanent conservation easement.
    - (C) When data recovery through excavation is the only feasible mitigation, a data recovery plan, which makes provisions for adequately recovering the scientifically consequential information from and about the historical resource, shall be prepared and adopted prior to any excavation being undertaken. Such studies shall be deposited with the California Historical Resources Regional Information Center. Archeological sites known to contain human remains shall be treated in accordance with the provisions of Section 7050.5 Health and Safety Code. If an artifact must be removed during project excavation or testing, curation may be an appropriate mitigation.
    - (D) Data recovery shall not be required for an historical resource if the lead agency determines that testing or studies already completed have adequately recovered the scientifically consequential information from and about the archaeological or historical resource, provided that the determination is documented in the EIR and that the studies are deposited with the California Historical Resources Regional Information Center.

## (c) Mitigation Measures Related to Greenhouse Gas Emissions.

Consistent with section 15126.4(a), lead agencies shall consider feasible means, supported by substantial evidence and subject to monitoring or reporting, of mitigating the significant effects of greenhouse gas emissions. Measures to mitigate the significant effects of greenhouse gas emissions may include, among others:

- (1) Measures in an existing plan or mitigation program for the reduction of emissions that are required as part of the lead agency's decision;
- (2) Reductions in emissions resulting from a project through implementation of project features, project design, or other measures, such as those described in Appendix F;
- (3) Off-site measures, including offsets that are not otherwise required, to mitigate a project's emissions;
- (4) Measures that sequester greenhouse gases;
- (5) In the case of the adoption of a plan, such as a general plan, long range development plan, or plans for the reduction of greenhouse gas emissions, mitigation may include the identification of specific measures that may be implemented on a project-by-project basis. Mitigation may also include the incorporation of specific measures or policies found in an adopted ordinance or regulation that reduces the cumulative effect of emissions.

**Note:** Authority cited: Sections 21083, 21083.05, Public Resources Code. Reference: Sections 5020.5, 21002, 21003, 21083.05, 21100 and 21084.1, Public Resources Code; *Citizens of Goleta Valley v. Board of Supervisors* (1990) 52 Cal.3d 553; *Laurel Heights Improvement Association v. Regents of the University of California* (1988) 47 Cal.3d 376; *Gentry v. City of Murrieta* (1995) 36 Cal.App.4th 1359; *Laurel Heights Improvement Association v. Regents of the University of California* (1993) 6 Cal.4th 1112; *Sacramento Old City Assn. v. City Council of Sacramento* (1991) 229 Cal.App.3d 1011; *San Franciscans Upholding the Downtown Plan v. City & Co. of San Francisco* (2002) 102 Cal.App.4th 656; *Ass'n of Irrigated Residents v. County of Madera* (2003) 107 Cal.App.4th 1383; *Environmental Council of Sacramento v. City of Sacramento* (2006) 147 Cal.App.4th 1018.

## 15126.6. CONSIDERATION AND DISCUSSION OF ALTERNATIVES TO THE PROPOSED PROJECT.

- (a) Alternatives to the Proposed Project. An EIR shall describe a range of reasonable alternatives to the project, or to the location of the project, which would feasibly attain most of the basic objectives of the project but would avoid or substantially lessen any of the significant effects of the project, and evaluate the comparative merits of the alternatives. An EIR need not consider every conceivable alternative to a project. Rather it must consider a reasonable range of potentially feasible alternatives that will foster informed decision making and public participation. An EIR is not required to consider alternatives which are infeasible. The lead agency is responsible for selecting a range of project alternatives for examination and must publicly disclose its reasoning for selecting those alternatives. There is no ironclad rule governing the nature or scope of the alternatives to be discussed other than the rule of reason. (*Citizens of Goleta Valley v. Board of Supervisors* (1990) 52 Cal.3d 553 and *Laurel Heights Improvement Association v. Regents of the University of California* (1988) 47 Cal.3d 376).
- (b) Purpose. Because an EIR must identify ways to mitigate or avoid the significant effects that a project may have on the environment (Public Resources Code Section 21002.1), the discussion of alternatives shall focus on alternatives to the project or its location which are capable of avoiding or substantially lessening any significant effects of the project, even if these alternatives would impede to some degree the attainment of the project objectives, or would be more costly.

- (c) Selection of a range of reasonable alternatives. The range of potential alternatives to the proposed project shall include those that could feasibly accomplish most of the basic objectives of the project and could avoid or substantially lessen one or more of the significant effects. The EIR should briefly describe the rationale for selecting the alternatives to be discussed. The EIR should also identify any alternatives that were considered by the lead agency but were rejected as infeasible during the scoping process and briefly explain the reasons underlying the lead agency's determination. Additional information explaining the choice of alternatives may be included in the administrative record. Among the factors that may be used to eliminate alternatives from detailed consideration in an EIR are: (i) failure to meet most of the basic project objectives, (ii) infeasibility, or (iii) inability to avoid significant environmental impacts.
- (d) Evaluation of alternatives. The EIR shall include sufficient information about each alternative to allow meaningful evaluation, analysis, and comparison with the proposed project. A matrix displaying the major characteristics and significant environmental effects of each alternative may be used to summarize the comparison. If an alternative would cause one or more significant effects in addition to those that would be caused by the project as proposed, the significant effects of the alternative shall be discussed, but in less detail than the significant effects of the project as proposed. (*County of Inyo v. City of Los Angeles* (1981) 124 Cal.App.3d 1).
- (e) "No project" alternative.
- (1) The specific alternative of "no project" shall also be evaluated along with its impact. The purpose of describing and analyzing a no project alternative is to allow decision makers to compare the impacts of approving the proposed project with the impacts of not approving the proposed project. The no project alternative analysis is not the baseline for determining whether the proposed project's environmental impacts may be significant, unless it is identical to the existing environmental setting analysis which does establish that baseline (see Section 15125).
  - (2) The "no project" analysis shall discuss the existing conditions at the time the notice of preparation is published, or if no notice of preparation is published, at the time environmental analysis is commenced, as well as what would be reasonably expected to occur in the foreseeable future if the project were not approved, based on current plans and consistent with available infrastructure and community services. If the environmentally superior alternative is the "no project" alternative, the EIR shall also identify an environmentally superior alternative among the other alternatives.
  - (3) A discussion of the "no project" alternative will usually proceed along one of two lines:
    - (A) When the project is the revision of an existing land use or regulatory plan, policy or ongoing operation, the "no project" alternative will be the continuation of the existing plan, policy or operation into the future. Typically this is a situation where other projects initiated under the existing plan will continue while the new plan is developed. Thus, the projected impacts of the proposed plan or alternative plans would be compared to the impacts that would occur under the existing plan.
    - (B) If the project is other than a land use or regulatory plan, for example a development project on identifiable property, the "no project" alternative is the circumstance under which the project does not proceed. Here the discussion would compare the environmental effects of the property remaining in its existing state against environmental effects which would occur if the project is approved. If disapproval of the project under consideration would result in predictable actions by others, such as the proposal of some other project, this "no project" consequence should be discussed. In certain instances, the no project alternative means "no build" wherein the existing environmental setting is maintained. However, where failure to proceed with the project will not result in preservation of existing environmental conditions, the analysis

should identify the practical result of the project's non-approval and not create and analyze a set of artificial assumptions that would be required to preserve the existing physical environment.

- (C) After defining the no project alternative using one of these approaches, the lead agency should proceed to analyze the impacts of the no project alternative by projecting what would reasonably be expected to occur in the foreseeable future if the project were not approved, based on current plans and consistent with available infrastructure and community services.
- (f) Rule of reason. The range of alternatives required in an EIR is governed by a "rule of reason" that requires the EIR to set forth only those alternatives necessary to permit a reasoned choice. The alternatives shall be limited to ones that would avoid or substantially lessen any of the significant effects of the project. Of those alternatives, the EIR need examine in detail only the ones that the lead agency determines could feasibly attain most of the basic objectives of the project. The range of feasible alternatives shall be selected and discussed in a manner to foster meaningful public participation and informed decision making.
  - (1) Feasibility. Among the factors that may be taken into account when addressing the feasibility of alternatives are site suitability, economic viability, availability of infrastructure, general plan consistency, other plans or regulatory limitations, jurisdictional boundaries (projects with a regionally significant impact should consider the regional context), and whether the proponent can reasonably acquire, control or otherwise have access to the alternative site (or the site is already owned by the proponent). No one of these factors establishes a fixed limit on the scope of reasonable alternatives. (*Citizens of Goleta Valley v. Board of Supervisors* (1990) 52 Cal.3d 553; see *Save Our Residential Environment v. City of West Hollywood* (1992) 9 Cal.App.4th 1745, 1753, fn. 1).
  - (2) Alternative locations.
    - (A) Key question. The key question and first step in analysis is whether any of the significant effects of the project would be avoided or substantially lessened by putting the project in another location. Only locations that would avoid or substantially lessen any of the significant effects of the project need be considered for inclusion in the EIR.
    - (B) None feasible. If the lead agency concludes that no feasible alternative locations exist, it must disclose the reasons for this conclusion, and should include the reasons in the EIR. For example, in some cases there may be no feasible alternative locations for a geothermal plant or mining project which must be in close proximity to natural resources at a given location.
    - (C) Limited new analysis required. Where a previous document has sufficiently analyzed a range of reasonable alternative locations and environmental impacts for projects with the same basic purpose, the lead agency should review the previous document. The EIR may rely on the previous document to help it assess the feasibility of potential project alternatives to the extent the circumstances remain substantially the same as they relate to the alternative. (*Citizens of Goleta Valley v. Board of Supervisors* (1990) 52 Cal.3d 553, 573).
  - (3) An EIR need not consider an alternative whose effect cannot be reasonably ascertained and whose implementation is remote and speculative. (*Residents Ad Hoc Stadium Committee v. Board of Trustees* (1979) 89 Cal. App.3d 274).

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21002, 21002.1, 21003, and 21100, Public Resources Code; *Citizens of Goleta Valley v. Board of Supervisors*, (1990) 52 Cal.3d 553; *Laurel Heights Improvement Association v. Regents of the University of California*, (1988) 47 Cal.3d 376; *Gentry v. City of Murrieta* (1995) 36 Cal.App.4th

1359; and *Laurel Heights Improvement Association v. Regents of the University of California* (1993) 6 Cal.4th 1112.

### 15127. LIMITATIONS ON DISCUSSION OF ENVIRONMENTAL IMPACT

The information required by Section 15126.2(c) concerning irreversible changes, need be included only in EIRs prepared in connection with any of the following activities:

- (a) The adoption, amendment, or enactment of a plan, policy, or ordinance of a public agency;
- (b) The adoption by a Local Agency Formation Commission of a resolution making determinations; or
- (c) A project which will be subject to the requirement for preparing an environmental impact statement pursuant to the requirements of the National Environmental Policy Act of 1969, 42 U.S.C. 4321–4347.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21100.1, Public Resources Code.

### 15128. EFFECTS NOT FOUND TO BE SIGNIFICANT

An EIR shall contain a statement briefly indicating the reasons that various possible significant effects of a project were determined not to be significant and were therefore not discussed in detail in the EIR. Such a statement may be contained in an attached copy of an Initial Study.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21100, Public Resources Code.

### 15129. ORGANIZATIONS AND PERSONS CONSULTED

The EIR shall identify all federal, state, or local agencies, other organizations, and private individuals consulted in preparing the draft EIR, and the persons, firm, or agency preparing the draft EIR, by contract or other authorization.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21104 and 21153, Public Resources Code.

### 15130. DISCUSSION OF CUMULATIVE IMPACTS

- (a) An EIR shall discuss cumulative impacts of a project when the project's incremental effect is cumulatively considerable, as defined in section 15065 (a)(3). Where a lead agency is examining a project with an incremental effect that is not "cumulatively considerable," a lead agency need not consider that effect significant, but shall briefly describe its basis for concluding that the incremental effect is not cumulatively considerable.
  - (1) As defined in Section 15355, a cumulative impact consists of an impact which is created as a result of the combination of the project evaluated in the EIR together with other projects causing related impacts. An EIR should not discuss impacts which do not result in part from the project evaluated in the EIR.
  - (2) When the combined cumulative impact associated with the project's incremental effect and the effects of other projects is not significant, the EIR shall briefly indicate why the cumulative impact is not significant and is not discussed in further detail in the EIR. A lead agency shall identify facts and analysis supporting the lead agency's conclusion that the cumulative impact is less than significant.
  - (3) An EIR may determine that a project's contribution to a significant cumulative impact will be rendered less than cumulatively considerable and thus is not significant. A project's contribution is less than cumulatively considerable if the project is required to implement or fund its fair share of a mitigation measure or measures designed to alleviate the cumulative

impact. The lead agency shall identify facts and analysis supporting its conclusion that the contribution will be rendered less than cumulatively considerable.

- (b) The discussion of cumulative impacts shall reflect the severity of the impacts and their likelihood of occurrence, but the discussion need not provide as great detail as is provided for the effects attributable to the project alone. The discussion should be guided by standards of practicality and reasonableness, and should focus on the cumulative impact to which the identified other projects contribute rather than the attributes of other projects which do not contribute to the cumulative impact. The following elements are necessary to an adequate discussion of significant cumulative impacts:
  - (1) Either:
    - (A) A list of past, present, and probable future projects producing related or cumulative impacts, including, if necessary, those projects outside the control of the agency, or
    - (B) A summary of projections contained in an adopted local, regional or statewide plan, or related planning document, that describes or evaluates conditions contributing to the cumulative effect. Such plans may include: a general plan, regional transportation plan, or plans for the reduction of greenhouse gas emissions. A summary of projections may also be contained in an adopted or certified prior environmental document for such a plan. Such projections may be supplemented with additional information such as a regional modeling program. Any such document shall be referenced and made available to the public at a location specified by the lead agency.
  - (2) When utilizing a list, as suggested in paragraph (1) of subdivision (b), factors to consider when determining whether to include a related project should include the nature of each environmental resource being examined, the location of the project and its type. Location may be important, for example, when water quality impacts are at issue since projects outside the watershed would probably not contribute to a cumulative effect. Project type may be important, for example, when the impact is specialized, such as a particular air pollutant or mode of traffic.
  - (3) Lead agencies should define the geographic scope of the area affected by the cumulative effect and provide a reasonable explanation for the geographic limitation used.
  - (4) A summary of the expected environmental effects to be produced by those projects with specific reference to additional information stating where that information is available; and
  - (5) A reasonable analysis of the cumulative impacts of the relevant projects. An EIR shall examine reasonable, feasible options for mitigating or avoiding the project's contribution to any significant cumulative effects.
- (c) With some projects, the only feasible mitigation for cumulative impacts may involve the adoption of ordinances or regulations rather than the imposition of conditions on a project-by-project basis.
- (d) Previously approved land use documents, including, but not limited to, general plans, specific plans, regional transportation plans, plans for the reduction of greenhouse gas emissions, and local coastal plans may be used in cumulative impact analysis. A pertinent discussion of cumulative impacts contained in one or more previously certified EIRs may be incorporated by reference pursuant to the provisions for tiering and program EIRs. No further cumulative impacts analysis is required when a project is consistent with a general, specific, master or comparable programmatic plan where the lead agency determines that the regional or areawide cumulative impacts of the proposed project have already been adequately addressed, as defined in section 15152(f), in a certified EIR for that plan.
- (e) If a cumulative impact was adequately addressed in a prior EIR for a community plan, zoning action, or general plan, and the project is consistent with that plan or action, then an EIR for such a project should not further analyze that cumulative impact, as provided in Section 15183(j).

**Note:** Authority cited: Sections 21083, 21083.05, Public Resources Code. Reference: Sections 21003(d), 21083(b), 21093, 21094 and 21100, Public Resources Code; *Whitman v. Board of Supervisors*, (1979) 88 Cal. App. 3d 397; *San Franciscans for Reasonable Growth v. City and County of San Francisco* (1984) 151 Cal.App.3d 61; *Kings County Farm Bureau v. City of Hanford* (1990) 221 Cal.App.3d 692; *Laurel Heights Homeowners Association v. Regents of the University of California* (1988) 47 Cal.3d 376; *Sierra Club v. Gilroy* (1990) 220 Cal.App.3d 30; *Citizens to Preserve the Ojai v. County of Ventura* (1985) 176 Cal.App.3d 421; *Concerned Citizens of South Cent. Los Angeles v. Los Angeles Unified Sch. Dist.* (1994) 24 Cal.App.4th 826; *Las Virgenes Homeowners Fed'n v. County of Los Angeles* (1986) 177 Cal.App.3d 300; *San Joaquin Raptor/Wildlife Rescue Ctr v. County of Stanislaus* (1994) 27 Cal.App.4th 713; *Fort Mojave Indian Tribe v. Cal. Dept. Of Health Services* (1995) 38 Cal.App.4th 1574; *Santa Monica Chamber of Commerce v. City of Santa Monica* (2002) 101 Cal.App.4th 786; *Communities for a Better Environment v. California Resources Agency* (2002) 103 Cal.App.4th 98; and *Ass'n of Irrigated Residents v. County of Madera* (2003) 107 Cal.App.4th 1383.

### 15131. ECONOMIC AND SOCIAL EFFECTS

Economic or social information may be included in an EIR or may be presented in whatever form the agency desires.

- (a) Economic or social effects of a project shall not be treated as significant effects on the environment. An EIR may trace a chain of cause and effect from a proposed decision on a project through anticipated economic or social changes resulting from the project to physical changes caused in turn by the economic or social changes. The intermediate economic or social changes need not be analyzed in any detail greater than necessary to trace the chain of cause and effect. The focus of the analysis shall be on the physical changes.
- (b) Economic or social effects of a project may be used to determine the significance of physical changes caused by the project. For example, if the construction of a new freeway or rail line divides an existing community, the construction would be the physical change, but the social effect on the community would be the basis for determining that the effect would be significant. As an additional example, if the construction of a road and the resulting increase in noise in an area disturbed existing religious practices in the area, the disturbance of the religious practices could be used to determine that the construction and use of the road and the resulting noise would be significant effects on the environment. The religious practices would need to be analyzed only to the extent to show that the increase in traffic and noise would conflict with the religious practices. Where an EIR uses economic or social effects to determine that a physical change is significant, the EIR shall explain the reason for determining that the effect is significant.
- (c) Economic, social, and particularly housing factors shall be considered by public agencies together with technological and environmental factors in deciding whether changes in a project are feasible to reduce or avoid the significant effects on the environment identified in the EIR. If information on these factors is not contained in the EIR, the information must be added to the record in some other manner to allow the agency to consider the factors in reaching a decision on the project.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21001(e) and (g), 21002, 21002.1, 21060.5, 21080.1, 21083(c), and 21100, Public Resources Code.

### 15132. CONTENTS OF FINAL ENVIRONMENTAL IMPACT REPORT

The Final EIR shall consist of:

- (a) The draft EIR or a revision of the draft.
- (b) Comments and recommendations received on the draft EIR either verbatim or in summary.
- (c) A list of persons, organizations, and public agencies commenting on the draft EIR.

(d) The responses of the Lead Agency to significant environmental points raised in the review and consultation process.

(e) Any other information added by the Lead Agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21100, Public Resources Code.

## Article 10. Considerations in Preparing EIRs and Negative Declarations

### SECTIONS 15140 TO 15155

#### 15140. WRITING

EIRs shall be written in plain language and may use appropriate graphics so that decision makers and the public can rapidly understand the documents.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003 and 21100, Public Resources Code.

#### 15141. PAGE LIMITS

The text of draft EIRs should normally be less than 150 pages and for proposals of unusual scope or complexity should normally be less than 300 pages.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21100, Public Resources Code.

#### 15142. INTERDISCIPLINARY APPROACH

An EIR shall be prepared using an interdisciplinary approach which will ensure the integrated use of the natural and social sciences and the consideration of qualitative as well as quantitative factors. The interdisciplinary analysis shall be conducted by competent individuals, but no single discipline shall be designated or required to undertake this evaluation.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference Sections 21000, 21001, and 21100, Public Resources Code.

#### 15143. EMPHASIS

The EIR shall focus on the significant effects on the environment. The significant effects should be discussed with emphasis in proportion to their severity and probability of occurrence. Effects dismissed in an Initial Study as clearly insignificant and unlikely to occur need not be discussed further in the EIR unless the Lead Agency subsequently receives information inconsistent with the finding in the Initial Study. A copy of the Initial Study may be attached to the EIR to provide the basis for limiting the impacts discussed.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061, and 21100, Public Resources Code.

#### 15144. FORECASTING

Drafting an EIR or preparing a Negative Declaration necessarily involves some degree of forecasting. While foreseeing the unforeseeable is not possible, an agency must use its best efforts to find out and disclose all that it reasonably can.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061, and 21100, Public Resources Code.

### 15145. SPECULATION

If, after thorough investigation, a Lead Agency finds that a particular impact is too speculative for evaluation, the agency should note its conclusion and terminate discussion of the impact.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061, and 21100, Public Resources Code; *Topanga Beach Renters Association v. Department of General Services*, (1976) 58 Cal. App. 3d 712.

### 15146. DEGREE OF SPECIFICITY

The degree of specificity required in an EIR will correspond to the degree of specificity involved in the underlying activity which is described in the EIR.

- (a) An EIR on a construction project will necessarily be more detailed in the specific effects of the project than will be an EIR on the adoption of a local general plan or comprehensive zoning ordinance because the effects of the construction can be predicted with greater accuracy.
- (b) An EIR on a project such as the adoption or amendment of a comprehensive zoning ordinance or a local general plan should focus on the secondary effects that can be expected to follow from the adoption or amendment, but the EIR need not be as detailed as an EIR on the specific construction projects that might follow.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061, and 21100, Public Resources Code. Formerly Section 15147.

### 15147. TECHNICAL DETAIL

The information contained in an EIR shall include summarized technical data, maps, plot plans, diagrams, and similar relevant information sufficient to permit full assessment of significant environmental impacts by reviewing agencies and members of the public. Placement of highly technical and specialized analysis and data in the body of an EIR should be avoided through inclusion of supporting information and analyses as appendices to the main body of the EIR. Appendices to the EIR may be prepared in volumes separate from the basic EIR document, but shall be readily available for public examination and shall be submitted to all clearinghouses which assist in public review.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061, and 21100, Public Resources Code.

### 15148. CITATION

Preparation of EIRs is dependent upon information from many sources, including engineering project reports and many scientific documents relating to environmental features. These documents should be cited but not included in the EIR. The EIR shall cite all documents used in its preparation including, where possible, the page and section number of any technical reports which were used as the basis for any statements in the EIR.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061, and 21100, Public Resources Code.

### 15149. USE OF REGISTERED PROFESSIONALS IN PREPARING EIRS

- (a) A number of statutes provide that certain professional services can be provided to the public only by individuals who have been registered by a registration board established under California law. Such statutory restrictions apply to a number of professions including but not limited to engineering, land surveying, forestry, geology, and geophysics.
- (b) In its intended usage, an EIR is not a technical document that can be prepared only by a registered professional. The EIR serves as a public disclosure document explaining the effects of the proposed project on the environment, alternatives to the project, and ways to minimize

adverse effects and to increase beneficial effects. As a result of information in the EIR, the Lead Agency should establish requirements or conditions on project design, construction, or operation in order to protect or enhance the environment. State statutes may provide that only registered professionals can prepare technical studies which will be used in or which will control the detailed design, construction, or operation of the proposed project and which will be prepared in support of an EIR.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061, and 21100, Public Resources Code.

### 15150. INCORPORATION BY REFERENCE

- (a) An EIR or Negative Declaration may incorporate by reference all or portions of another document which is a matter of public record or is generally available to the public. Where all or part of another document is incorporated by reference, the incorporated language shall be considered to be set forth in full as part of the text of the EIR or Negative Declaration.
- (b) Where part of another document is incorporated by reference, such other document shall be made available to the public for inspection at a public place or public building. The EIR or Negative Declaration shall state where the incorporated documents will be available for inspection. At a minimum, the incorporated document shall be made available to the public in an office of the Lead Agency in the county where the project would be carried out or in one or more public buildings such as county offices or public libraries if the Lead Agency does not have an office in the county.
- (c) Where an EIR or Negative Declaration uses incorporation by reference, the incorporated part of the referenced document shall be briefly summarized where possible or briefly described if the data or information cannot be summarized. The relationship between the incorporated part of the referenced document and the EIR shall be described.
- (d) Where an agency incorporates information from an EIR that has previously been reviewed through the state review system, the state identification number of the incorporated document should be included in the summary or designation described in subdivision (c).
- (e) Examples of materials that may be incorporated by reference include but are not limited to:
  - (1) A description of the environmental setting from another EIR.
  - (2) A description of the air pollution problems prepared by an air pollution control agency concerning a process involved in the project.
  - (3) A description of the city or county general plan that applies to the location of the project.
  - (4) A description of the effects of greenhouse gas emissions on the environment.
- (f) Incorporation by reference is most appropriate for including long, descriptive, or technical materials that provide general background but do not contribute directly to the analysis of the problem at hand.

**Note:** Authority cited: Sections 21083, 21083.05, Public Resources Code; Reference Sections 21003, 21061, 21083.05, and 21100, Public Resources Code.

### 15151. STANDARDS FOR ADEQUACY OF AN EIR

An EIR should be prepared with a sufficient degree of analysis to provide decision makers with information which enables them to make a decision which intelligently takes account of environmental consequences. An evaluation of the environmental effects of a proposed project need not be exhaustive, but the sufficiency of an EIR is to be reviewed in the light of what is reasonably feasible. Disagreement among experts does not make an EIR inadequate, but the EIR should summarize the main points of disagreement among the experts. The courts have looked not for perfection but for adequacy, completeness, and a good faith effort at full disclosure.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21061 and 21100, Public Resources Code; *San Francisco Ecology Center v. City and County of San Francisco*, (1975) 48 Cal. App. 3d 584.

## 15152. TIERING

- (a) "Tiering" refers to using the analysis of general matters contained in a broader EIR (such as one prepared for a general plan or policy statement) with later EIRs and negative declarations on narrower projects; incorporating by reference the general discussions from the broader EIR; and concentrating the later EIR or negative declaration solely on the issues specific to the later project.
- (b) Agencies are encouraged to tier the environmental analyses which they prepare for separate but related projects including general plans, zoning changes, and development projects. This approach can eliminate repetitive discussions of the same issues and focus the later EIR or negative declaration on the actual issues ripe for decision at each level of environmental review. Tiering is appropriate when the sequence of analysis is from an EIR prepared for a general plan, policy, or program to an EIR or negative declaration for another plan, policy, or program of lesser scope, or to a site-specific EIR or negative declaration. Tiering does not excuse the lead agency from adequately analyzing reasonably foreseeable significant environmental effects of the project and does not justify deferring such analysis to a later tier EIR or negative declaration. However, the level of detail contained in a first tier EIR need not be greater than that of the program, plan, policy, or ordinance being analyzed.
- (c) Where a lead agency is using the tiering process in connection with an EIR for a large-scale planning approval, such as a general plan or component thereof (e.g., an area plan or community plan), the development of detailed, site-specific information may not be feasible but can be deferred, in many instances, until such time as the lead agency prepares a future environmental document in connection with a project of a more limited geographical scale, as long as deferral does not prevent adequate identification of significant effects of the planning approval at hand.
- (d) Where an EIR has been prepared and certified for a program, plan, policy, or ordinance consistent with the requirements of this section, any lead agency for a later project pursuant to or consistent with the program, plan, policy, or ordinance should limit the EIR or negative declaration on the later project to effects which:
  - (1) Were not examined as significant effects on the environment in the prior EIR; or
  - (2) Are susceptible to substantial reduction or avoidance by the choice of specific revisions in the project, by the imposition of conditions, or other means.
- (e) Tiering under this section shall be limited to situations where the project is consistent with the general plan and zoning of the city or county in which the project is located, except that a project requiring a rezone to achieve or maintain conformity with a general plan may be subject to tiering.
- (f) A later EIR shall be required when the initial study or other EIR analysis finds that the later project may cause significant effects on the environment that were not adequately addressed in the prior EIR. A negative declaration shall be required when the provisions of Section 15070 are met.
  - (1) Where a lead agency determines that a cumulative effect has been adequately addressed in the prior EIR, that effect is not treated as significant for purposes of the later EIR or negative declaration, and need not be discussed in detail.
  - (2) When assessing whether there is a new significant cumulative effect, the lead agency shall consider whether the incremental effects of the project would be considerable when viewed in the context of past, present, and probable future projects. At this point, the question is

not whether there is a significant cumulative impact, but whether the effects of the project are cumulatively considerable. For a discussion on how to assess whether project impacts are cumulatively considerable, see Section 15064(i).

- (3) Significant environmental effects have been “adequately addressed” if the lead agency determines that:
  - (A) they have been mitigated or avoided as a result of the prior environmental impact report and findings adopted in connection with that prior environmental report; or
  - (B) they have been examined at a sufficient level of detail in the prior environmental impact report to enable those effects to be mitigated or avoided by site specific revisions, the imposition of conditions, or by other means in connection with the approval of the later project.
- (g) When tiering is used, the later EIRs or negative declarations shall refer to the prior EIR and state where a copy of the prior EIR may be examined. The later EIR or negative declaration should state that the lead agency is using the tiering concept and that it is being tiered with the earlier EIR.
- (h) There are various types of EIRs that may be used in a tiering situation. These include, but are not limited to, the following:
  - (1) General plan EIR (Section 15166).
  - (2) Staged EIR (Section 15167).
  - (3) Program EIR (Section 15168).
  - (4) Master EIR (Section 15175).
  - (5) Multiple-family residential development / residential and commercial or retail mixed-use development (Section 15179.5).
  - (6) Redevelopment project (Section 15180).
  - (7) Projects consistent with community plan, general plan, or zoning (Section 15183).

**Note:** Authority: Section 21083, Public Resources Code. Reference: Sections 21003, 21061, 21093, 21094, 21100, and 21151, Public Resources Code; *Stanislaus Natural Heritage Project, Sierra Club v. County of Stanislaus* (1996) 48 Cal.App.4th 182; *Al Larson Boat Shop, Inc. v. Board of Harbor Commissioners* (1993) 18 Cal.App. 4th 729; and *Sierra Club v. County of Sonoma* (1992) 6 Cal.App. 4th 1307.

### 15153. USE OF AN EIR FROM AN EARLIER PROJECT

- (a) The Lead Agency may employ a single EIR to describe more than one project, if such projects are essentially the same in terms of environmental impact. Further, the Lead Agency may use an earlier EIR prepared in connection with an earlier project to apply to a later project, if the circumstances of the projects are essentially the same.
- (b) When a Lead Agency proposes to use an EIR from an earlier project as the EIR for a separate, later project, the Lead Agency shall use the following procedures:
  - (1) The Lead Agency shall review the proposed project with an Initial Study, using incorporation by reference if necessary, to determine whether the EIR would adequately describe:
    - (A) The general environmental setting of the project,
    - (B) The significant environmental impacts of the project, and
    - (C) Alternatives and mitigation measures related to each significant effect.
  - (2) If the Lead Agency believes that the EIR would meet the requirements of subdivision (1), it shall provide public review as provided in Section 15087 stating that it plans to use the

previously prepared EIR as the draft EIR for this project. The notice shall include as a minimum:

- (A) An identification of the project with a brief description;
  - (B) A statement that the agency plans to use a certain EIR prepared for a previous project as the EIR for this project;
  - (C) A listing of places where copies of the EIR may be examined; and
  - (D) A statement that the key issues involving the EIR are whether the EIR should be used for this project and whether there are any additional, reasonable alternatives or mitigation measures that should be considered as ways of avoiding or reducing the significant effects of the project.
- (3) The Lead Agency shall prepare responses to comments received during the review period.
  - (4) Before approving the project, the decision maker in the Lead Agency shall:
    - (A) Consider the information in the EIR including comments received during the review period and responses to those comments,
    - (B) Decide either on its own or on a staff recommendation whether the EIR is adequate for the project at hand, and
    - (C) Make or require certification to be made as described in Section 15090.
    - (D) Make findings as provided in Sections 15091 and 15093 as necessary.
  - (5) After making a decision on the project, the Lead Agency shall file a Notice of Determination.
- (c) An EIR prepared for an earlier project may also be used as part of an Initial Study to document a finding that a later project will not have a significant effect. In this situation a Negative Declaration will be prepared.
  - (d) An EIR prepared for an earlier project shall not be used as the EIR for a later project if any of the conditions described in Section 15162 would require preparation of a subsequent or supplemental EIR.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21100, 21151, and 21165, Public Resources Code.

#### 15154. PROJECTS NEAR AIRPORTS

- (a) When a lead agency prepares an EIR for a project within the boundaries of a comprehensive airport land use plan or, if a comprehensive airport land use plan has not been adopted for a project within two nautical miles of a public airport or public use airport, the agency shall utilize the Airport Land Use Planning Handbook published by Caltrans' Division of Aeronautics to assist in the preparation of the EIR relative to potential airport-related safety hazards and noise problems.
- (b) A lead agency shall not adopt a negative declaration or mitigated negative declaration for a project described in subdivision (a) unless the lead agency considers whether the project will result in a safety hazard or noise problem for persons using the airport or for persons residing or working in the project area.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21096, Public Resources Code.

#### 15155. CITY OR COUNTY CONSULTATION WITH WATER AGENCIES.

- (a) The following definitions are applicable to this section.
  - (1) A "water-demand project" means:

- (A) A residential development of more than 500 dwelling units.
  - (B) A shopping center or business establishment employing more than 1,000 persons or having more than 500,000 square feet of floor space.
  - (C) A commercial office building employing more than 1,000 persons or having more than 250,000 square feet of floor space.
  - (D) A hotel or motel, or both, having more than 500 rooms.
  - (E) An industrial, manufacturing, or processing plant, or industrial park planned to house more than 1,000 persons, occupying more than 40 acres of land, or having more than 650,000 square feet of floor area.
  - (F) A mixed-use project that includes one or more of the projects specified in subdivisions (a)(1)(A), (a)(1)(B), (a)(1)(C), (a)(1)(D), (a)(1)(E), and (a)(1)(G) of this section.
  - (G) A project that would demand an amount of water equivalent to, or greater than, the amount of water required by a 500 dwelling unit project.
  - (H) For public water systems with fewer than 5,000 service connections, a project that meets the following criteria:
    - 1. A proposed residential, business, commercial, hotel or motel, or industrial development that would account for an increase of 10 percent or more in the number of a public water system's existing service connections; or
    - 2. A mixed-use project that would demand an amount of water equivalent to, or greater than, the amount of water required by residential development that would represent an increase of 10 percent or more in the number of the public water system's existing service connections.
- (2) "Public water system" means a system for the provision of piped water to the public for human consumption that has 3000 or more service connections. A public water system includes all of the following:
- (A) Any collection, treatment, storage, and distribution facility under control of the operator of the system which is used primarily in connection with the system.
  - (B) Any collection or pretreatment storage facility not under the control of the operator that is used primarily in connection with the system.
  - (C) Any person who treats water on behalf of one or more public water systems for the purpose of rendering it safe for human consumption.
- (3) "Water acquisition plans" means any plans for acquiring additional water supplies prepared by the public water system or a city or county lead agency pursuant to subdivision (a) of section 10911 of the Water Code.
- (4) "Water assessment" means the water supply assessment that must be prepared by the governing body of a public water system, or the city or county lead agency, pursuant to and in compliance with sections 10910 to 10915 of the Water Code, and that includes, without limitation, the elements of the assessment required to comply with subdivisions (d), (e), (f), and (g) of section 10910 of the Water Code.
- (5) "City or county lead agency" means a city or county, acting as lead agency, for purposes of certifying or approving an environmental impact report, a negative declaration, or a mitigated negative declaration for a water-demand project.
- (b) Subject to section 15155, subdivision (d) below, at the time a city or county lead agency determines whether an environmental impact report, a negative declaration, or a mitigated negative declaration, or any supplement thereto, is required for the water-demand project, the city or county lead agency shall take the following steps:

- (1) The city or county lead agency shall identify any water system that either: (A) is a public water system that may supply water to the water-demand project, or (B) that may become such a public water system as a result of supplying water to the water-demand project. The city or county lead agency shall request the governing body of each such public water system to determine whether the projected water demand associated with a water-demand project was included in the most recently adopted urban water management plan adopted pursuant to Part 2.6 (commencing with section 10610) of the Water Code, and to prepare a water assessment approved at a regular or special meeting of that governing body.
  - (2) If the city or county lead agency is not able to identify any public water system that may supply water for the water-demand project, the city or county lead agency shall prepare a water assessment after consulting with any entity serving domestic water supplies whose service area includes the site of the water-demand project, the local agency formation commission, and the governing body of any public water system adjacent to the site of the water-demand project. The governing body of the city or county lead agency must approve the water assessment prepared pursuant to this section at a regular or special meeting.
- (c) The city or county lead agency shall grant any reasonable request for an extension of time that is made by the governing body of a public water system preparing the water assessment, provided that the request for an extension of time is made within 90 days after the date on which the governing body of the public water system received the request to prepare a water assessment. If the governing body of the public water system fails to request and receive an extension of time, or fails to submit the water assessment notwithstanding the 30-day extension, the city or county lead agency may seek a writ of mandamus to compel the governing body of the public water system to comply with the requirements of Part 2.10 of Division 6 (commencing with section 10910) of the Water Code relating to the submission of the water assessment.
- (d) If a water-demand project has been the subject of a water assessment, no additional water assessment shall be required for subsequent water-demand projects that were included in such larger water-demand project if all of the following criteria are met:
- (1) The entity completing the water assessment had concluded that its water supplies are sufficient to meet the projected water demand associated with the larger water-demand project, in addition to the existing and planned future uses, including, but not limited to, agricultural and industrial uses; and
  - (2) None of the following changes has occurred since the completion of the water assessment for the larger water-demand project:
    - (A) Changes in the larger water-demand project that result in a substantial increase in water demand for the water-demand project.
    - (B) Changes in the circumstances or conditions substantially affecting the ability of the public water system or the water supplying city or county identified in the water assessment to provide a sufficient supply of water for the water demand project.
    - (C) Significant new information becomes available which was not known and could not have been known at the time when the entity had reached the conclusion in subdivision (d)(1).
- (e) The city or county lead agency shall include the water assessment, and any water acquisition plan in the EIR, negative declaration, or mitigated negative declaration, or any supplement thereto, prepared for the water-demand project, and may include an evaluation of the water assessment and water acquisition plan information within such environmental document. The city or county lead agency shall determine, based on the entire record, whether projected water supplies will be sufficient to satisfy the demands of the project, in addition to existing and planned future uses. If a city or county lead agency determines that water supplies will not be

sufficient, the city or county lead agency shall include that determination in its findings for the water-demand project.

**Note:** Authority Cited: Section 21083, Public Resources Code. Reference: Section 21151.9, Public Resources Code, Sections 10910–10915 of the Water Code.

## Article 11. Types of EIRs

### SECTIONS 15160 TO 15170

#### 15160. GENERAL

This article describes a number of examples of variations in EIRs as the documents are tailored to different situations and intended uses. These variations are not exclusive. Lead Agencies may use other variations consistent with the Guidelines to meet the needs of other circumstances. All EIRs must meet the content requirements discussed in Article 9 beginning with Section 15120.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21061, 21100, and 21151, Public Resources Code.

#### 15161. PROJECT EIR

The most common type of EIR examines the environmental impacts of a specific development project. This type of EIR should focus primarily on the changes in the environment that would result from the development project. The EIR shall examine all phases of the project including planning, construction, and operation.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21061, 21100, and 21151, Public Resources Code.

#### 15162. SUBSEQUENT EIRS AND NEGATIVE DECLARATIONS

- (a) When an EIR has been certified or a negative declaration adopted for a project, no subsequent EIR shall be prepared for that project unless the lead agency determines, on the basis of substantial evidence in the light of the whole record, one or more of the following:
- (1) Substantial changes are proposed in the project which will require major revisions of the previous EIR or negative declaration due to the involvement of new significant environmental effects or a substantial increase in the severity of previously identified significant effects;
  - (2) Substantial changes occur with respect to the circumstances under which the project is undertaken which will require major revisions of the previous EIR or Negative Declaration due to the involvement of new significant environmental effects or a substantial increase in the severity of previously identified significant effects; or
  - (3) New information of substantial importance, which was not known and could not have been known with the exercise of reasonable diligence at the time the previous EIR was certified as complete or the Negative Declaration was adopted, shows any of the following:
    - (A) The project will have one or more significant effects not discussed in the previous EIR or negative declaration;
    - (B) Significant effects previously examined will be substantially more severe than shown in the previous EIR;
    - (C) Mitigation measures or alternatives previously found not to be feasible would in fact be feasible, and would substantially reduce one or more significant effects of the project, but the project proponents decline to adopt the mitigation measure or alternative; or

- (D) Mitigation measures or alternatives which are considerably different from those analyzed in the previous EIR would substantially reduce one or more significant effects on the environment, but the project proponents decline to adopt the mitigation measure or alternative.
- (b) If changes to a project or its circumstances occur or new information becomes available after adoption of a negative declaration, the lead agency shall prepare a subsequent EIR if required under subdivision (a). Otherwise the lead agency shall determine whether to prepare a subsequent negative declaration, an addendum, or no further documentation.
- (c) Once a project has been approved, the lead agency's role in project approval is completed, unless further discretionary approval on that project is required. Information appearing after an approval does not require reopening of that approval. If after the project is approved, any of the conditions described in subdivision (a) occurs, a subsequent EIR or negative declaration shall only be prepared by the public agency which grants the next discretionary approval for the project, if any. In this situation no other responsible agency shall grant an approval for the project until the subsequent EIR has been certified or subsequent negative declaration adopted.
- (d) A subsequent EIR or subsequent negative declaration shall be given the same notice and public review as required under Section 15087 or Section 15072. A subsequent EIR or negative declaration shall state where the previous document is available and can be reviewed.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21166, Public Resources Code; *Bowman v. City of Petaluma* (1986) 185 Cal.App.3d 1065; *Benton v. Board of Supervisors* (1991) 226 Cal.App.3d 1467; and *Fort Mojave Indian Tribe v. California Department of Health Services et al.* (1995) 38 Cal.App.4th 1574.

### 15163. SUPPLEMENT TO AN EIR

- (a) The Lead or Responsible Agency may choose to prepare a supplement to an EIR rather than a subsequent EIR if:
- (1) Any of the conditions described in Section 15162 would require the preparation of a subsequent EIR, and
  - (2) Only minor additions or changes would be necessary to make the previous EIR adequately apply to the project in the changed situation.
- (b) The supplement to the EIR need contain only the information necessary to make the previous EIR adequate for the project as revised.
- (c) A supplement to an EIR shall be given the same kind of notice and public review as is given to a draft EIR under Section 15087.
- (d) A supplement to an EIR may be circulated by itself without recirculating the previous draft or final EIR.
- (e) When the agency decides whether to approve the project, the decision-making body shall consider the previous EIR as revised by the supplemental EIR. A finding under Section 15091 shall be made for each significant effect shown in the previous EIR as revised.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21166, Public Resources Code.

### 15164. ADDENDUM TO AN EIR OR NEGATIVE DECLARATION

- (a) The lead agency or responsible agency shall prepare an addendum to a previously certified EIR if some changes or additions are necessary but none of the conditions described in Section 15162 calling for preparation of a subsequent EIR have occurred.

- (b) An addendum to an adopted negative declaration may be prepared if only minor technical changes or additions are necessary or none of the conditions described in Section 15162 calling for the preparation of a subsequent EIR or negative declaration have occurred.
- (c) An addendum need not be circulated for public review but can be included in or attached to the final EIR or adopted negative declaration.
- (d) The decision making body shall consider the addendum with the final EIR or adopted negative declaration prior to making a decision on the project.
- (e) A brief explanation of the decision not to prepare a subsequent EIR pursuant to Section 15162 should be included in an addendum to an EIR, the lead agency's findings on the project, or elsewhere in the record. The explanation must be supported by substantial evidence.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21166, Public Resources Code; *Bowman v. City of Petaluma* (1986) 185 Cal.App.3d 1065; and *Benton v. Board of Supervisors* (1991) 226 Cal.App.3d 1467.

### 15165. MULTIPLE AND PHASED PROJECTS

Where individual projects are, or a phased project is, to be undertaken and where the total undertaking comprises a project with significant environmental effect, the Lead Agency shall prepare a single program EIR for the ultimate project as described in Section 15168. Where an individual project is a necessary precedent for action on a larger project, or commits the Lead Agency to a larger project, with significant environmental effect, an EIR must address itself to the scope of the larger project. Where one project is one of several similar projects of a public agency, but is not deemed a part of a larger undertaking or a larger project, the agency may prepare one EIR for all projects, or one for each project, but shall in either case comment upon the cumulative effect.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21061, 21100, and 21151, Public Resources Code; *Whitman v. Board of Supervisors*, (1979) 88 Cal. App. 3d 397.

### 15166. EIR AS PART OF A GENERAL PLAN

- (a) The requirements for preparing an EIR on a local general plan, element, or amendment thereof will be satisfied by using the general plan, or element document, as the EIR and no separate EIR will be required, if:
  - (1) The general plan addresses all the points required to be in an EIR by Article 9 of these Guidelines, and
  - (2) The document contains a special section or a cover sheet identifying where the general plan document addresses each of the points required.
- (b) Where an EIR rather than a Negative Declaration has been prepared for a general plan, element, or amendment thereto, the EIR shall be forwarded to the State Clearinghouse for review. The requirement shall apply regardless of whether the EIR is prepared as a separate document or as a part of the general plan or element document.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21003, 21061, 21083, 21100, 21104, 21151, and 21152, Public Resources Code.

### 15167. STAGED EIR

- (a) Where a large capital project will require a number of discretionary approvals from government agencies and one of the approvals will occur more than two years before construction will begin, a staged EIR may be prepared covering the entire project in a general form. The staged EIR shall evaluate the proposal in light of current and contemplated plans and produce an informed estimate of the environmental consequences of the entire project. The aspect of the project before the public agency for approval shall be discussed with a greater degree of specificity.

- (b) When a staged EIR has been prepared, a supplement to the EIR shall be prepared when a later approval is required for the project, and the information available at the time of the later approval would permit consideration of additional environmental impacts, mitigation measures, or reasonable alternatives to the project.
- (c) Where a statute such as the Warren-Alquist Energy Resources Conservation and Development Act provides that a specific agency shall be the Lead Agency for a project and requires the Lead Agency to prepare an EIR, a Responsible Agency which must grant an approval for the project before the Lead Agency has completed the EIR may prepare and consider a staged EIR.
- (d) An agency requested to prepare a staged EIR may decline to act as the Lead Agency if it determines, among other factors, that:
  - (1) Another agency would be the appropriate Lead Agency; and
  - (2) There is no compelling need to prepare a staged EIR and grant an approval for the project before the appropriate Lead Agency will take its action on the project.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21003, Public Resources Code.

### 15168. PROGRAM EIR

- (a) General. A program EIR is an EIR which may be prepared on a series of actions that can be characterized as one large project and are related either:
  - (1) Geographically,
  - (2) A logical parts in the chain of contemplated actions,
  - (3) In connection with issuance of rules, regulations, plans, or other general criteria to govern the conduct of a continuing program, or
  - (4) As individual activities carried out under the same authorizing statutory or regulatory authority and having generally similar environmental effects which can be mitigated in similar ways.
- (b) Advantages. Use of a program EIR can provide the following advantages. The program EIR can:
  - (1) Provide an occasion for a more exhaustive consideration of effects and alternatives than would be practical in an EIR on an individual action,
  - (2) Ensure consideration of cumulative impacts that might be slighted in a case-by-case analysis,
  - (3) Avoid duplicative reconsideration of basic policy considerations,
  - (4) Allow the Lead Agency to consider broad policy alternatives and programwide mitigation measures at an early time when the agency has greater flexibility to deal with basic problems or cumulative impacts, and
  - (5) Allow reduction in paperwork.
- (c) Use with Later Activities. Subsequent activities in the program must be examined in the light of the program EIR to determine whether an additional environmental document must be prepared.
  - (1) If a later activity would have effects that were not examined in the program EIR, a new Initial Study would need to be prepared leading to either an EIR or a Negative Declaration.
  - (2) If the agency finds that pursuant to Section 15162, no new effects could occur or no new mitigation measures would be required, the agency can approve the activity as being within the scope of the project covered by the program EIR, and no new environmental document would be required.

- (3) An agency shall incorporate feasible mitigation measures and alternatives developed in the program EIR into subsequent actions in the program.
  - (4) Where the subsequent activities involve site specific operations, the agency should use a written checklist or similar device to document the evaluation of the site and the activity to determine whether the environmental effects of the operation were covered in the program EIR.
  - (5) A program EIR will be most helpful in dealing with subsequent activities if it deals with the effects of the program as specifically and comprehensively as possible. With a good and detailed analysis of the program, many subsequent activities could be found to be within the scope of the project described in the program EIR, and no further environmental documents would be required.
- (d) Use with Subsequent EIRs and Negative Declarations. A program EIR can be used to simplify the task of preparing environmental documents on later parts of the program. The program EIR can:
- (1) Provide the basis in an Initial Study for determining whether the later activity may have any significant effects.
  - (2) Be incorporated by reference to deal with regional influences, secondary effects, cumulative impacts, broad alternatives, and other factors that apply to the program as a whole.
  - (3) Focus an EIR on a subsequent project to permit discussion solely of new effects which had not been considered before.
- (e) Notice with Later Activities. When a law other than CEQA requires public notice when the agency later proposes to carry out or approve an activity within the program and to rely on the program EIR for CEQA compliance, the notice for the activity shall include a statement that:
- (1) This activity is within the scope of the program approved earlier, and
  - (2) The program EIR adequately describes the activity for the purposes of CEQA.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21003, Public Resources Code; *County of Inyo v. Yorty*, (1973) 32 Cal. App. 3d 795.

### 15169. MASTER ENVIRONMENTAL ASSESSMENT

- (a) General. A public agency may prepare a Master Environmental Assessment, inventory, or data base for all, or a portion of, the territory subject to its control in order to provide information which may be used or referenced in EIRs or Negative Declarations. Neither the content, the format, nor the procedures to be used to develop a Master Environmental Assessment are prescribed by these Guidelines. The descriptions contained in this section are advisory. A Master Environmental Assessment is suggested solely as an approach to identify and organize environmental information for a region or area of the state.
- (b) Contents. A Master Environmental Assessment may contain an inventory of the physical and biological characteristics of the area for which it is prepared and may contain such additional data and information as the public agency determines is useful or necessary to describe environmental characteristics of the area. It may include identification of existing levels of quality and supply of air and water, capacities and levels of use of existing services and facilities, and generalized incremental effects of different categories of development projects by type, scale, and location.
- (c) Preparation.
  - (1) A Master Environmental Assessment or inventory may be prepared in many possible ways. For example, a Master Environmental Assessment may be prepared as a special, comprehensive study of the area involved, as part of the EIR on a general plan, or as a data

base accumulated by indexing EIRs prepared for individual projects or programs in the area involved.

- (2) The information contained in a Master Environmental Assessment should be reviewed periodically and revised as needed so that it is accurate and current.
  - (3) When advantageous to do so, Master Environmental Assessments may be prepared through a joint exercise of powers agreement with neighboring local agencies or with the assistance of the appropriate Council of Governments.
- (d) Uses.
- (1) A Master Environmental Assessment can identify the environmental characteristics and constraints of an area. This information can be used to influence the design and location of individual projects.
  - (2) A Master Environmental Assessment may provide information agencies can use in initial studies to decide whether certain environmental effects are likely to occur and whether certain effects will be significant.
  - (3) A Master Environmental Assessment can provide a central source of current information for use in preparing individual EIRs and Negative Declarations.
  - (4) Relevant portions of a Master Environmental Assessment can be referenced and summarized in EIRs and Negative Declarations.
  - (5) A Master Environmental Assessment can assist in identifying long range, areawide, and cumulative impacts of individual projects proposed in the area covered by the assessment.
  - (6) A Master Environmental Assessment can assist a city or county in formulating a general plan or any element of such a plan by identifying environmental characteristics and constraints that need to be addressed in the general plan.
  - (7) A Master Environmental Assessment can serve as a reference document to assist public agencies which review other environmental documents dealing with activities in the area covered by the assessment. The public agency preparing the assessment should forward a completed copy to each agency which will review projects in the area.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21003, Public Resources Code.

### 15170. JOINT EIR-EIS

A Lead Agency under CEQA may work with a federal agency to prepare a joint document which will meet the requirements of both CEQA and NEPA. Use of such a joint document is described in Article 14, beginning with Section 15220.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21083.5 and 21083.7, Public Resources Code.

## Article 11.5 Master Environmental Impact Report

### SECTIONS 15175 TO 15179.5

#### 15175. MASTER EIR

- (a) The Master EIR procedure is an alternative to preparing a project EIR, staged EIR, or program EIR for certain projects which will form the basis for later decision making. It is intended to streamline the later environmental review of projects or approval included within the project, plan or program analyzed in the Master EIR. Accordingly, a Master EIR shall, to the greatest

extent feasible, evaluate the cumulative impacts, growth inducing impacts, and irreversible significant effects on the environment of subsequent projects.

- (b) A lead agency may prepare a Master EIR for any of the following classes of projects:
  - (1) A general plan, general plan update, general plan element, general plan amendment, or specific plan.
  - (2) Public or private projects that will be carried out or approved pursuant to, or in furtherance of, a redevelopment plan.
  - (3) A project that consists of smaller individual projects which will be carried out in phases.
  - (4) A rule or regulation which will be implemented by later projects.
  - (5) Projects that will be carried out or approved pursuant to a development agreement.
  - (6) A state highway project or mass transit project which will be subject to multiple stages of review or approval.
  - (7) A plan proposed by a local agency, including a joint powers authority, for the reuse of a federal military base or reservation that has been closed or is proposed for closure by the federal government.
  - (8) A regional transportation plan or congestion management plan.
  - (9) Regulations adopted by the California Department of Fish and Game for the regulation of hunting and fishing.
- (c) A lead agency may develop and implement a fee program in accordance with applicable provisions of law to generate the revenue necessary to prepare a Master EIR.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21156, 21157, and 21089, Public Resources Code.

### 15176. CONTENTS OF A MASTER EIR

A lead agency shall include in a Master EIR all of the following:

- (a) A detailed discussion as required by Section 15126.
- (b) A description of anticipated subsequent projects that are within the scope of the Master EIR, including information with regard to the kind, size, intensity, and location of the subsequent projects, including, but not limited to all of the following:
  - (1) The specific type of project anticipated to be undertaken such as a single family development, office-commercial development, sewer line installation or other activities.
  - (2) The maximum and minimum intensity of any anticipated subsequent project, such as the number of residences in a residential development, and with regard to a public works facility, its anticipated capacity and service area.
  - (3) The anticipated location for any subsequent development projects, and, consistent with the rule of reason set forth in Section 15126.6(f), alternative locations for any such projects.
  - (4) A capital outlay or capital improvement program, or other scheduling or implementing device that governs the submission and approval of subsequent projects, or an explanation as to why practical planning considerations render it impractical to identify any such program or scheduling or other device at the time of preparing the Master EIR.
- (c) A description of potential impacts of anticipated projects for which there is not sufficient information reasonably available to support a full assessment of potential impacts in the Master EIR. This description shall not be construed as a limitation on the impacts which may be considered in a focused EIR.
- (d) Where a Master EIR is prepared in connection with a project identified in subdivision (b)(1) of section 15175, the anticipated subsequent projects included within a Master EIR may consist of

later planning approvals, including parcel-specific approvals, consistent with the overall planning decision (e.g., general plan, or specific plan, or redevelopment plan) for which the Master EIR has been prepared. Such subsequent projects shall be adequately described for purposes of subdivision (b) or of this section (15176) if the Master EIR and any other documents embodying or relating to the overall planning decision identify the land use designations and the permissible densities and intensities of use for the affected parcel(s). The proponents of such subsequent projects shall not be precluded from relying on the Master EIR solely because that document did not specifically identify or list, by name, the subsequent project as ultimately proposed for approval.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21157, Public Resources Code.

### 15177. SUBSEQUENT PROJECTS WITHIN THE SCOPE OF THE MEIR

- (a) After a Master EIR has been prepared and certified, subsequent projects which the lead agency determines as being within the scope of the Master EIR will be subject to only limited environmental review.
- (b) Except as provided in subdivision (2) of this subdivision, neither a new environmental document nor the preparation of findings pursuant to section 15091 shall be required of a subsequent project when all the following requirements are met:
  - (1) The lead agency for the subsequent project is the lead agency or any responsible agency identified in the Master EIR.
  - (2) The lead agency for the subsequent project prepares an initial study on the proposal. The initial study shall analyze whether the subsequent project was described in the Master EIR and whether the subsequent project may cause any additional significant effect on the environment which was not previously examined in the Master EIR.
  - (3) The lead agency for the subsequent project determines, on the basis of written findings, that no additional significant environmental effect will result from the proposal, no new additional mitigation measures or alternatives may be required, and that the project is within the scope of the Master EIR. "Additional significant environmental effect" means any project-specific effect which was not addressed as a significant effect in the Master EIR.
- (c) Whether a subsequent project is within the scope of the Master EIR is a question of fact to be determined by the lead agency based upon a review of the initial study to determine whether there are additional significant effects or new additional mitigation measures or alternatives required for the subsequent project that are not already discussed in the Master EIR.
- (d) Prior to approval of the proposed subsequent project, the lead agency shall incorporate all feasible mitigation measures or feasible alternatives appropriate to the project as set forth in the Master EIR and provide notice in the manner required by Section 15087.
- (e) When the lead agency approves a project pursuant to this section, the lead agency shall file a notice in the manner required by Section 15075.

**Note:** Authority cited: Section 21083, Public Resources Code; References: Sections 21157, 21157.6 and 21158, Public Resources Code.

### 15178. SUBSEQUENT PROJECTS IDENTIFIED IN THE MEIR

- (a) When a proposed subsequent project is identified in the Master EIR, but the lead agency cannot make a determination pursuant to Section 15177 that the subsequent project is within the scope of the Master EIR, and the lead agency determines that the cumulative impacts, growth inducing impacts and irreversible significant effects analysis in the Master EIR is adequate for the subsequent project, the lead agency shall prepare a mitigated negative declaration or a

focused EIR if, after preparing an initial study, the lead agency determines that the project may result in new or additional significant effects. Whether the cumulative impacts, growth inducing impacts and irreversible significant effects analyses are adequate is a question of fact to be determined by the lead agency based upon a review of the proposed subsequent project in light of the Master EIR.

- (b) A lead agency shall prepare a mitigated negative declaration for any proposed subsequent project if both of the following occur:
  - (1) The initial study prepared pursuant to Section 15177 has identified potentially new or additional significant environmental effects that were not analyzed in the Master EIR; and
  - (2) Feasible mitigation measures or alternatives will be incorporated to revise the subsequent project before the negative declaration is released for public review pursuant to Section 15073 in order to avoid or mitigate the identified effects to a level of insignificance.
- (c) A lead agency shall prepare a focused EIR if the subsequent project may have a significant effect on the environment and a mitigated negative declaration pursuant to subdivision (b) of this section cannot be prepared.
  - (1) The focused EIR shall incorporate by reference the Master EIR and analyze only the subsequent project's additional significant environmental effects and any new or additional mitigation measures or alternatives that were not identified and analyzed by the Master EIR. "Additional significant environmental effects" are those project-specific effects on the environment which were not addressed as significant in the Master EIR.
  - (2) A focused EIR need not examine those effects which the lead agency, prior to public release of the focused EIR, finds, on the basis of the initial study, related documents, and commitments from the proponent of a subsequent project, have been mitigated in one of the following manners:
    - (A) Mitigated or avoided as a result of mitigation measures identified in the Master EIR which the lead agency will require as part of the approval of the subsequent project;
    - (B) Examined at a sufficient level of detail in the Master EIR to enable those significant effects to be mitigated or avoided by specific revisions to the project, the imposition of conditions of approval, or by other means in connection with approval of the subsequent project; or
    - (C) The mitigation or avoidance of which is the responsibility of and within the jurisdiction of another public agency and is, or can and should be, undertaken by that agency.
  - (3) The lead agency's findings pursuant to subdivision (2) shall be included in the focused EIR prior to public release pursuant to Section 15087.
  - (4) A focused EIR prepared pursuant to this section shall analyze any significant environmental effects when:
    - (A) Substantial new or additional information shows that the adverse environmental effect may be more significant than was described in the Master EIR; or
    - (B) Substantial new or additional information shows that mitigation measures or alternatives which were previously determined to be infeasible are feasible and will avoid or reduce the significant effects of the subsequent project to a level of insignificance.
- (d) A lead agency shall file a notice of determination shall be filed pursuant to Section 15075 if a project has been approved for which a mitigated negative declaration has been prepared pursuant to this section and a notice of determination shall be filed pursuant to Section 15094 if a project has been approved for which a focused EIR has been prepared pursuant to this section.

- (e) When a lead agency determines that the cumulative impacts, growth inducing impacts and irreversible significant effects analysis in the Master EIR is inadequate for the subsequent project, the subsequent project is no longer eligible for the limited environmental review available under the Master EIR process and shall be reviewed according to Article 7 (commencing with Section 15080) of these guidelines. The lead agency shall tier the project specific EIR upon the Master EIR to the extent feasible under Section 15152.

**Note:** Authority cited: Section 21083, Public Resources Code; References: Sections 21081(a)(2), 21157.5 and 21158, Public Resources Code.

### 15179. LIMITATIONS ON THE USE OF THE MASTER EIR

- (a) The certified Master EIR shall not be used for a subsequent project described in the Master EIR in accordance with this article if either:
- (1) The Master EIR was certified more than five years prior to the filing of an application for a subsequent project except as set forth in subsection (b) below, or
  - (2) After the certification of the Master EIR, a project not described in the certified Master EIR as an anticipated subsequent project is approved and the approved project may affect the adequacy of the Master EIR for any subsequent project that was described in the Master EIR.
- (b) A Master EIR that was certified more than five years prior to the filing of an application for a subsequent project described in the Master EIR may be used in accordance with this article to review such a subsequent project if the lead agency reviews the adequacy of the Master EIR and takes either of the following steps:
- (1) Finds that no substantial changes have occurred with respect to the circumstances under which the Master EIR was certified, or that there is no new available information which was not known and could not have been known at the time the Master EIR was certified; or
  - (2) Prepares an initial study, and, pursuant to the findings of the initial study, does either (A) or (B) below:
    - (A) certifies a subsequent or supplemental EIR that updates or revises the Master EIR and which either:
      1. is incorporated into the previously certified Master EIR, or
      2. references any deletions, additions or other modifications to the previously certified Master EIR.;
    - (B) approves a mitigated negative declaration that addresses substantial changes that have occurred with respect to the circumstances under which the Master EIR was certified or the new information that was not known and could not have been known at the time the Master EIR was certified.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21157.6, Public Resources Code.

### 15179.5. FOCUSED EIRS AND SMALL PROJECTS

- (a) When a project is a multiple family residential development of 100 units or less or is a residential and commercial or retail mixed-use commercial development of not more than 100,000 square feet, whether or not the project is identified in the Master EIR, a focused EIR shall be prepared pursuant to this section when the following conditions are met:
- (1) The project is consistent with a general plan, specific plan, community plan, or zoning ordinance for which an EIR was prepared within five years of certification of the focused EIR; and
  - (2) The parcel on which the project is to be developed is either:

- (A) Surrounded by immediately contiguous urban development;
  - (B) Previously developed with urban uses; or
  - (C) Within one-half mile of an existing rail transit station.
- (b) A focused environmental impact report prepared pursuant to this section shall be limited to a discussion of potentially significant effects on the environment specific to the project, or which substantial new information shows will be more significant than described in the prior environmental impact report. No discussion shall be required of alternatives to the project, cumulative impacts of the project, or the growth inducing impacts of the project.
- (c) This section does not apply where the lead agency can make a finding pursuant to Section 15177 that the subsequent project is within the scope of the Master EIR, where the lead agency can prepare a mitigated negative declaration or focused EIR pursuant to Section 15178, or where, pursuant to Section 15162 or Section 15163, the environmental impact report referenced in subdivision (a)(1) of this section must be updated through the preparation of a subsequent environmental impact report or a supplemental environmental impact report.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21158.5, Public Resources Code.

## Article 12. Special Situations

### SECTIONS 15180 TO 15190

#### 15180. REDEVELOPMENT PROJECTS

- (a) An EIR for a redevelopment plan may be a Master EIR, a program EIR, or a project EIR. An EIR for a redevelopment plan must specify whether it is a Master EIR, a program EIR, or a project EIR.
- (b) If the EIR for a redevelopment plan is a project EIR, all public and private activities or undertakings pursuant to or in furtherance of the redevelopment plan shall constitute a single project, which shall be deemed approved at the time of adoption of the redevelopment plan by the legislative body. The EIR in connection with the redevelopment plan shall be submitted in accordance with Section 33352 of the Health and Safety Code.

If a project EIR has been certified for the redevelopment plan, no subsequent EIRs are required for individual components of the redevelopment plan unless a subsequent EIR or a supplement to an EIR would be required by Section 15162 or 15163.

- (c) If the EIR for a redevelopment plan is a Master EIR, subsequent projects which the lead agency determines as being within the scope of the Master EIR will be subject to the review required by Section 15177. If the EIR for a redevelopment plan is a program EIR, subsequent activities in the program will be subject to the review required by Section 15168.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21090 and 21166, Public Resources Code.

#### 15181. [DELETED]

#### 15182. RESIDENTIAL PROJECTS PURSUANT TO A SPECIFIC PLAN

- (a) Exemption. Where a public agency has prepared an EIR on a specific plan after January 1, 1980, no EIR or negative declaration need be prepared for a residential project undertaken pursuant to and in conformity to that specific plan if the project meets the requirements of this section.

- (b) Scope. Residential projects covered by this section include but are not limited to land subdivisions, zoning changes, and residential planned unit developments.
- (c) Limitation. This section is subject to the limitation that if after the adoption of the specific plan, an event described in Section 15162 should occur, this exemption shall not apply until the city or county which adopted the specific plan completes a subsequent EIR or a supplement to an EIR on the specific plan. The exemption provided by this section shall again be available to residential projects after the Lead Agency has filed a Notice of Determination on the specific plan as reconsidered by the subsequent EIR or supplement to the EIR.
- (d) Fees. The Lead Agency has authority to charge fees to applicants for projects which benefit from this section. The fees shall be calculated in the aggregate to defray but not to exceed the cost of developing and adopting the specific plan including the cost of preparing the EIR.
- (e) Statute of Limitations. A court action challenging the approval of a project under this section for failure to prepare a supplemental EIR shall be commenced within 30 days after the Lead Agency's decision to carry out or approve the project in accordance with the specific plan.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 65453, Government Code.

### 15183. PROJECTS CONSISTENT WITH A COMMUNITY PLAN OR ZONING

- (a) CEQA mandates that projects which are consistent with the development density established by existing zoning, community plan, or general plan policies for which an EIR was certified shall not require additional environmental review, except as might be necessary to examine whether there are project-specific significant effects which are peculiar to the project or its site. This streamlines the review of such projects and reduces the need to prepare repetitive environmental studies.
- (b) In approving a project meeting the requirements of this section, a public agency shall limit its examination of environmental effects to those which the agency determines, in an initial study or other analysis:
  - (1) Are peculiar to the project or the parcel on which the project would be located,
  - (2) Were not analyzed as significant effects in a prior EIR on the zoning action, general plan, or community plan, with which the project is consistent,
  - (3) Are potentially significant off-site impacts and cumulative impacts which were not discussed in the prior EIR prepared for the general plan, community plan or zoning action, or
  - (4) Are previously identified significant effects which, as a result of substantial new information which was not known at the time the EIR was certified, are determined to have a more severe adverse impact than discussed in the prior EIR.
- (c) If an impact is not peculiar to the parcel or to the project, has been addressed as a significant effect in the prior EIR, or can be substantially mitigated by the imposition of uniformly applied development policies or standards, as contemplated by subdivision (e) below, then an additional EIR need not be prepared for the project solely on the basis of that impact.
- (d) This section shall apply only to projects which meet the following conditions:
  - (1) The project is consistent with:
    - (A) A community plan adopted as part of a general plan,
    - (B) A zoning action which zoned or designated the parcel on which the project would be located to accommodate a particular density of development, or
    - (C) A general plan of a local agency, and

- (2) An EIR was certified by the lead agency for the zoning action, the community plan, or the general plan.
- (e) This section shall limit the analysis of only those significant environmental effects for which:
  - (1) Each public agency with authority to mitigate any of the significant effects on the environment identified in the planning or zoning action undertakes or requires others to undertake mitigation measures specified in the EIR which the lead agency found to be feasible, and
  - (2) The lead agency makes a finding at a public hearing as to whether the feasible mitigation measures will be undertaken.
- (f) An effect of a project on the environment shall not be considered peculiar to the project or the parcel for the purposes of this section if uniformly applied development policies or standards have been previously adopted by the city or county with a finding that the development policies or standards will substantially mitigate that environmental effect when applied to future projects, unless substantial new information shows that the policies or standards will not substantially mitigate the environmental effect. The finding shall be based on substantial evidence which need not include an EIR. Such development policies or standards need not apply throughout the entire city or county, but can apply only within the zoning district in which the project is located, or within the area subject to the community plan on which the lead agency is relying. Moreover, such policies or standards need not be part of the general plan or any community plan, but can be found within another pertinent planning document such as a zoning ordinance. Where a city or county, in previously adopting uniformly applied development policies or standards for imposition on future projects, failed to make a finding as to whether such policies or standards would substantially mitigate the effects of future projects, the decision-making body of the city or county, prior to approving such a future project pursuant to this section, may hold a public hearing for the purpose of considering whether, as applied to the project, such standards or policies would substantially mitigate the effects of the project. Such a public hearing need only be held if the city or county decides to apply the standards or policies as permitted in this section.
- (g) Examples of uniformly applied development policies or standards include, but are not limited to:
  - (1) Parking ordinances.
  - (2) Public access requirements.
  - (3) Grading ordinances.
  - (4) Hillside development ordinances.
  - (5) Flood plain ordinances.
  - (6) Habitat protection or conservation ordinances.
  - (7) View protection ordinances.
  - (8) Requirements for reducing greenhouse gas emissions, as set forth in adopted land use plans, policies, or regulations.
- (h) An environmental effect shall not be considered peculiar to the project or parcel solely because no uniformly applied development policy or standard is applicable to it.
- (i) Where the prior EIR relied upon by the lead agency was prepared for a general plan or community plan that meets the requirements of this section, any rezoning action consistent with the general plan or community plan shall be treated as a project subject to this section.
  - (1) "Community plan" is defined as a part of the general plan of a city or county which applies to a defined geographic portion of the total area included in the general plan, includes or references each of the mandatory elements specified in Section 65302 of the Government

Code, and contains specific development policies and implementation measures which will apply those policies to each involved parcel.

- (2) For purposes of this section, “consistent” means that the density of the proposed project is the same or less than the standard expressed for the involved parcel in the general plan, community plan or zoning action for which an EIR has been certified, and that the project complies with the density-related standards contained in that plan or zoning. Where the zoning ordinance refers to the general plan or community plan for its density standard, the project shall be consistent with the applicable plan.
- (j) This section does not affect any requirement to analyze potentially significant offsite or cumulative impacts if those impacts were not adequately discussed in the prior EIR. If a significant offsite or cumulative impact was adequately discussed in the prior EIR, then this section may be used as a basis for excluding further analysis of that offsite or cumulative impact.

**Note:** Authority cited: Section 21083, 21083.05, Public Resources Code; Reference: Section 21083.3, 21083.05, Public Resources Code.

### 15183.3 STREAMLINING FOR INFILL PROJECTS

- (a) Purpose. The purpose of this section is to streamline the environmental review process for eligible infill projects by limiting the topics subject to review at the project level where the effects of infill development have been addressed in a planning level decision or by uniformly applicable development policies.
- (b) Eligibility. To be eligible for the streamlining procedures prescribed in this section, an infill project must:
  - (1) Be located in an urban area on a site that either has been previously developed or that adjoins existing qualified urban uses on at least seventy-five percent of the site's perimeter. For the purpose of this subdivision "adjoin" means the infill project is immediately adjacent to qualified urban uses, or is only separated from such uses by an improved public right-of-way;
  - (2) Satisfy the performance standards provided in Appendix M; and
  - (3) Be consistent with the general use designation, density, building intensity, and applicable policies specified for the project area in either a sustainable communities strategy or an alternative planning strategy, except as provided in subdivisions (b)(3)(A) or (b)(3)(B) below.
    - (A) Only where an infill project is proposed within the boundaries of a metropolitan planning organization for which a sustainable communities strategy or an alternative planning strategy will be, but is not yet, in effect, a residential infill project must have a density of at least 20 units per acre, and a retail or commercial infill project must have a floor area ratio of at least 0.75.
    - (B) Where an infill project is proposed outside of the boundaries of a metropolitan planning organization, the infill project must meet the definition of a small walkable community project in subdivision (f)(5), below.
- (c) Streamlined Review. CEQA does not apply to the effects of an eligible infill project under two circumstances. First, if an effect was addressed as a significant effect in a prior EIR for a planning level decision, then, with some exceptions, that effect need not be analyzed again for an individual infill project even when that effect was not reduced to a less than significant level in the prior EIR. Second, an effect need not be analyzed, even if it was not analyzed in a prior EIR or is more significant than previously analyzed, if the lead agency makes a finding that uniformly applicable development policies or standards, adopted by the lead agency or a city or county, apply to the infill project and would substantially mitigate that effect. Depending on the effects addressed in the prior EIR and the availability of uniformly applicable development

policies or standards that apply to the eligible infill project, streamlining under this section will range from a complete exemption to an obligation to prepare a narrowed, project-specific environmental document. A prior EIR will be most helpful in dealing with later infill projects if it deals with the effects of infill development as specifically and comprehensively as possible. With a good and detailed analysis of such development, the effects of many infill projects could be found to have been addressed in the prior EIR, and no further environmental documents would be required.

- (d) Procedure. Following preliminary review of an infill project pursuant to Section 15060, the lead agency must examine an eligible infill project in light of the prior EIR to determine whether the infill project will cause any effects that require additional review under CEQA. Determinations pursuant to this section are questions of fact to be resolved by the lead agency. Such determinations must be supported with enough relevant information and reasonable inferences from this information to support a conclusion, even though other conclusions might also be reached. (See Section 15384.)
- (1) Evaluation of the Infill Project. A lead agency should prepare a written checklist or similar device to document the infill project's eligibility for streamlining and to assist in making the determinations required by this section. The sample written checklist provided in Appendix N may be used for this purpose. A written checklist prepared pursuant to this section should do all of the following:
- (A) Document whether the infill project satisfies the applicable performance standards in Appendix M.
  - (B) Explain whether the effects of the infill project were analyzed in a prior EIR. The written checklist should cite the specific portions of the prior EIR, including page and section references, containing the analysis of the infill project's significant effects. The written checklist should also indicate whether the infill project incorporates all applicable mitigation measures from the prior EIR.
  - (C) Explain whether the infill project will cause new specific effects. For the purposes of this section, a new specific effect is an effect that was not addressed in the prior EIR and that is specific to the infill project or the infill project site. A new specific effect may result if, for example, the prior EIR stated that sufficient site-specific information was not available to analyze the significance of that effect. Substantial changes in circumstances following certification of a prior EIR may also result in a new specific effect.
  - (D) Explain whether substantial new information shows that the adverse environmental effects of the infill project are more significant than described in the prior EIR. For the purpose of this section, "more significant" means an effect will be substantially more severe than described in the prior EIR. More significant effects include those that result from changes in circumstances or changes in the development assumptions underlying the prior EIR's analysis. An effect is also more significant if substantial new information shows that: (1) mitigation measures that were previously rejected as infeasible are in fact feasible, and such measures are not included in the project; (2) feasible mitigation measures considerably different than those previously analyzed could substantially reduce a significant effect described in the prior EIR, but such measures are not included in the project; or (3) an applicable mitigation measure was adopted in connection with a planning level decision, but the lead agency determines that it is not feasible for the infill project to implement that measure.
  - (E) If the infill project will cause new specific effects or more significant effects, the written checklist should indicate whether uniformly applicable development policies or standards will substantially mitigate those effects. For the purpose of this section, "substantially mitigate" means that the policy or standard will substantially lessen the

effect, but not necessarily below the level of significance. The written checklist should specifically identify the uniformly applicable development policy or standard and explain how it will substantially mitigate the effects of the infill project. The explanation in the written checklist may be used to support the finding required in subdivision (d)(2)(D) below.

- (2) Environmental Document. After examining the effects of the infill project in light of the analysis in any prior EIR and uniformly applicable development policies or standards, the lead agency shall determine what type of environmental document shall be prepared for the infill project.
  - (A) No Further Review. No additional environmental review is required if the infill project would not cause any new specific effects or more significant effects, or if uniformly applicable development policies or standards would substantially mitigate such effects. Where the lead agency determines that no additional environmental review of the effects of the infill project is required, the lead agency shall file a Notice of Determination as provided in Section 15094. Where the lead agency finds that uniformly applicable development policies substantially mitigate a significant effect of an infill project, the lead agency shall make the finding described in subdivision (d)(2)(D).
  - (B) Negative Declaration, Mitigated Negative Declaration or Sustainable Communities Environmental Assessment. If the infill project would result in new specific effects or more significant effects, and uniformly applicable development policies or standards would not substantially mitigate such effects, those effects shall be subject to CEQA. If a new specific effect is less than significant, the lead agency may prepare a negative declaration. If new specific effects or more significant effects can be mitigated to a less than significant level through project changes agreed to prior to circulation of the written checklist, the lead agency may prepare a mitigated negative declaration. In these circumstances, the lead agency shall follow the procedure set forth in Sections 15072 to 15075. Alternatively, if the infill project is a transit priority project, the lead agency may follow the procedures in Section 21155.2 of the Public Resources Code. In either instance, the written checklist should clearly state which effects are new or more significant, and are subject to CEQA, and which effects have been previously analyzed and are not subject to further environmental review. Where the lead agency finds that uniformly applicable development policies or standards substantially mitigate a significant effect of an infill project, the lead agency shall make the finding described in subdivision (d)(2)(D).
  - (C) Infill EIR. If the infill project would result in new specific effects or more significant effects, and uniformly applicable development policies or standards would not substantially mitigate such effects, those effects are subject to CEQA. With respect to those effects that are subject to CEQA, the lead agency shall prepare an infill EIR if the written checklist shows that the effects of the infill project would be potentially significant. In this circumstance, the lead agency shall prepare an infill EIR as provided in subdivision (e) and, except as otherwise provided in this section, shall follow the procedures in Article 7. Where the lead agency finds that uniformly applicable development policies or standards substantially mitigate a significant effect of an infill project, the lead agency shall make the finding described in subdivision (d)(2)(D).
  - (D) Findings. Any findings or statement of overriding considerations required by Sections 15091 or 15093 shall be limited to those effects analyzed in an infill EIR. Findings for such effects should incorporate by reference any such findings made in connection with a planning level decision. Where uniformly applicable development policies or standards substantially mitigate the significant effects of an infill project, the lead

agency shall also make a written finding, supported with substantial evidence, providing a brief explanation of the rationale for the finding.

- (e) Infill EIR Contents. An infill EIR shall analyze only those significant effects that uniformly applicable development policies or standards do not substantially mitigate, and that are either new specific effects or are more significant than a prior EIR analyzed. All other effects of the infill project should be described in the written checklist as provided in subdivision (d)(1), and that written checklist should be circulated for public review along with the infill EIR. The written checklist should clearly set forth those effects that are new specific effects, and are subject to CEQA, and those effects which have been previously analyzed and are not subject to further environmental review. The analysis of alternatives in an infill EIR need not address alternative locations, densities, or building intensities. An infill EIR need not analyze growth inducing impacts. Except as provided in this subdivision, an infill EIR shall contain all elements described in Article 9.
- (f) Terminology. The following definitions apply to this section:
- (1) "Infill project" includes the whole of an action consisting of residential, commercial, retail, transit station, school, or public office building uses, or any combination of such uses that meet the eligibility requirements set forth in subdivision (b). For retail and commercial projects, no more than one half of the project area may be used for parking. "Transit station" means a rail or light-rail station, ferry terminal, bus hub, bus transfer station, or bus stop, and includes all streetscape improvements constructed in the public right-of-way within one-quarter mile of such facility to improve multi-modal access to the facility, such as pedestrian and bicycle safety improvements and traffic-calming design changes that support pedestrian and bicycle access.
  - (2) "Planning level decision" means the enactment or amendment of a general plan or any general plan element, community plan, specific plan, or zoning code.
  - (3) "Prior EIR" means the environmental impact report certified for a planning level decision, as supplemented by any subsequent or supplemental environmental impact reports, negative declarations, or addenda to those documents.
  - (4) "Qualified urban use" is defined in Public Resources Code Section 21072.
  - (5) "Small walkable community project" means a project that is all of the following:
    - (A) In an incorporated city that is not within the boundary of metropolitan planning organization;
    - (B) Within an area of approximately one-quarter mile diameter of contiguous land that includes a residential area adjacent to a retail downtown area and that is designated by the city for infill development consisting of residential and commercial uses. A city may designate such an area within its general plan, zoning code, or by any legislative act creating such a designation, and may make such designation concurrently with project approval; and
    - (C) Either a residential project that has a density of at least eight units to the acre or a commercial project with a floor area ratio of at least 0.5, or both.
  - (6) The terms "sustainable communities strategy" and "alternative planning strategy" refer to a strategy for which the State Air Resources Board, pursuant to subparagraph (H) of paragraph (2) of subdivision (b) of Section 65080 of the Government Code, has accepted a metropolitan planning organization's determination that the sustainable communities strategy or the alternative planning strategy would, if implemented, achieve its greenhouse gas emission reduction targets.
  - (7) "Uniformly applicable development policies or standards" are policies or standards adopted or enacted by a city or county, or by a lead agency, that reduce one or more adverse

environmental effects. Examples of uniformly applicable development policies or standards include, but are not limited to:

- (A) Regulations governing construction activities, including noise regulations, dust control, provisions for discovery of archeological and paleontological resources, stormwater runoff treatment and containment, protection against the release of hazardous materials, recycling of construction and demolition waste, temporary street closure and traffic rerouting, and similar regulations.
  - (B) Requirements in locally adopted building, grading and stormwater codes.
  - (C) Design guidelines.
  - (D) Requirements for protecting residents from sources of air pollution including high volume roadways and stationary sources.
  - (E) Impact fee programs to provide public improvements, police, fire, parks and other open space, libraries and other public services and infrastructure, including transit, bicycle and pedestrian infrastructure and traffic calming devices.
  - (F) Traffic impact fees.
  - (G) Requirements for reducing greenhouse gas emissions, as set forth in adopted land use plans, policies, or regulations.
  - (H) Ordinances addressing protection of urban trees and historic resources.
- (8) "Urban area" is defined in Public Resources Code Section 21094.5(e)(5).

**Note:** Authority cited: Sections 21083, 21094.5.5, Public Resources Code. Reference: Sections 21094.5 and 21094.5.5, Public Resources Code.

### 15183.5. TIERING AND STREAMLINING THE ANALYSIS OF GREENHOUSE GAS EMISSIONS

- (a) Lead agencies may analyze and mitigate the significant effects of greenhouse gas emissions at a programmatic level, such as in a general plan, a long range development plan, or a separate plan to reduce greenhouse gas emissions. Later project-specific environmental documents may tier from and/or incorporate by reference that existing programmatic review. Project-specific environmental documents may rely on an EIR containing a programmatic analysis of greenhouse gas emissions as provided in section 15152 (tiering), 15167 (staged EIRs) 15168 (program EIRs), 15175–15179.5 (Master EIRs), 15182 (EIRs Prepared for Specific Plans), and 15183 (EIRs Prepared for General Plans, Community Plans, or Zoning).
- (b) Plans for the Reduction of Greenhouse Gas Emissions. Public agencies may choose to analyze and mitigate significant greenhouse gas emissions in a plan for the reduction of greenhouse gas emissions or similar document. A plan to reduce greenhouse gas emissions may be used in a cumulative impacts analysis as set forth below. Pursuant to sections 15064(h)(3) and 15130(d), a lead agency may determine that a project's incremental contribution to a cumulative effect is not cumulatively considerable if the project complies with the requirements in a previously adopted plan or mitigation program under specified circumstances.
  - (1) Plan Elements. A plan for the reduction of greenhouse gas emissions should:
    - (A) Quantify greenhouse gas emissions, both existing and projected over a specified time period, resulting from activities within a defined geographic area;
    - (B) Establish a level, based on substantial evidence, below which the contribution to greenhouse gas emissions from activities covered by the plan would not be cumulatively considerable;
    - (C) Identify and analyze the greenhouse gas emissions resulting from specific actions or categories of actions anticipated within the geographic area;

- (D) Specify measures or a group of measures, including performance standards, that substantial evidence demonstrates, if implemented on a project-by-project basis, would collectively achieve the specified emissions level;
  - (E) Establish a mechanism to monitor the plan's progress toward achieving the level and to require amendment if the plan is not achieving specified levels;
  - (F) Be adopted in a public process following environmental review.
- (2) Use with Later Activities. A plan for the reduction of greenhouse gas emissions, once adopted following certification of an EIR or adoption of an environmental document, may be used in the cumulative impacts analysis of later projects. An environmental document that relies on a greenhouse gas reduction plan for a cumulative impacts analysis must identify those requirements specified in the plan that apply to the project, and, if those requirements are not otherwise binding and enforceable, incorporate those requirements as mitigation measures applicable to the project. If there is substantial evidence that the effects of a particular project may be cumulatively considerable, notwithstanding the project's compliance with the specified requirements in the plan for the reduction of greenhouse gas emissions, an EIR must be prepared for the project.
- (c) Special Situations. As provided in Public Resources Code sections 21155.2 and 21159.28, environmental documents for certain residential and mixed use projects, and transit priority projects, as defined in section 21155, that are consistent with the general use designation, density, building intensity, and applicable policies specified for the project area in an applicable sustainable communities strategy or alternative planning strategy need not analyze global warming impacts resulting from cars and light duty trucks. A lead agency should consider whether such projects may result in greenhouse gas emissions resulting from other sources, however, consistent with these Guidelines.

**Note:** Authority cited: Sections 21083, 21083.05, Public Resources Code. Reference: Section 65457, Gov. Code; Sections 21003, 21061, 21068.5, 21081(a)(2), 21083.05, 21083.3, 21081.6, 21093, 21094, 21100, 21151, 21155, 21155.2, 21156, 21157, 21157.1, 21157.5, 21157.6, 21158, 21158.5, 21159.28, Pub. Resources Code; *California Native Plant Society v. County of El Dorado* (2009) 170 Cal.App.4th 1026; *Protect the Historic Amador Waterways v. Amador Water Agency* (2004) 116 Cal.App.4th 1099.

## 15184. STATE MANDATED LOCAL PROJECTS

Whenever a state agency issues an order which requires a local agency to carry out a project subject to CEQA, the following rules apply:

- (a) If an EIR is prepared for the project, the local agency shall limit the EIR to considering those factors and alternatives which will not conflict with the order.
- (b) If a local agency undertakes a project to implement a rule or regulation imposed by a certified state environmental regulatory program listed in Section 15251, the project shall be exempt from CEQA with regard to the significant effects analyzed in the document prepared by the state agency as a substitute for an EIR. The local agency shall comply with CEQA with regard to any site-specific effect of the project which was not analyzed by the certified state agency as a significant effect on the environment. The local agency need not re-examine the general environmental effects of the state rule or regulation.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080, 21080.5, and 21154, Public Resources Code.

## 15185. ADMINISTRATIVE APPEALS

- (a) Where an agency allows administrative appeals upon the adequacy of an environmental document, an appeal shall be handled according to the procedures of that agency. Public notice shall be handled in accordance with individual agency requirements and Section 15202(e).
- (b) The decision-making body to which an appeal has been made shall consider the environmental document and make findings under Sections 15091 and 15093 if appropriate.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21082 and 21083, Public Resources Code.

## 15186. SCHOOL FACILITIES

- (a) CEQA establishes a special requirement for certain school projects, as well as certain projects near schools, to ensure that potential health impacts resulting from exposure to hazardous materials, wastes, and substances will be carefully examined and disclosed in a negative declaration or EIR, and that the lead agency will consult with other agencies in this regard.
- (b) Before certifying an EIR or adopting a negative declaration for a project located within one-fourth mile of a school that involves the construction or alteration of a facility that might reasonably be anticipated to emit hazardous air emissions, or that would handle an extremely hazardous substance or a mixture containing extremely hazardous substances in a quantity equal to or greater than the state threshold quantity specified in subdivision (j) of Section 25532 of the Health and Safety code, that may impose a health or safety hazard to persons who would attend or would be employed at the school, the lead agency must do both of the following:
  - (1) Consult with the affected school district or districts regarding the potential impact of the project on the school; and
  - (2) Notify the affected school district or districts of the project, in writing, not less than 30 days prior to approval or certification of the negative declaration or EIR.
- (c) When the project involves the purchase of a school site or the construction of a secondary or elementary school by a school district, the negative declaration or EIR prepared for the project shall not be adopted or certified unless:
  - (1) The negative declaration, mitigated negative declaration, or EIR contains sufficient information to determine whether the property is:
    - (A) The site of a current or former hazardous waste or solid waste disposal facility and, if so, whether wastes have been removed.
    - (B) A hazardous substance release site identified by the Department of Toxic Substances Control in a current list adopted pursuant to Section 25356 of the Health and Safety Code for removal or remedial action pursuant to Chapter 6.8 (commencing with Section 25300) of Division 20 of the Health and Safety Code.
    - (C) The site of one or more buried or above ground pipelines which carry hazardous substances, acutely hazardous materials, or hazardous wastes, as defined in Division 20 of the Health and Safety Code. This does not include a natural gas pipeline used only to supply the school or neighborhood.
    - (D) Within 500 feet of the edge of the closest traffic lane of a freeway or other busy traffic corridor.
  - (2) The lead agency has notified in writing and consulted with the county or city administering agency (as designated pursuant to Section 25502 of the Health and Safety Code) and with any air pollution control district or air quality management district having jurisdiction, to identify facilities within one-fourth mile of the proposed school site which might reasonably be anticipated to emit hazardous emissions or handle hazardous or acutely hazardous material, substances, or waste. The notice shall include a list of the school sites

for which information is sought. Each agency or district receiving notice shall provide the requested information and provide a written response to the lead agency within 30 days of receiving the notification. If any such agency or district fails to respond within that time, the negative declaration or EIR shall be conclusively presumed to comply with this section as to the area of responsibility of that agency.

- (3) The school district makes, on the basis of substantial evidence, one of the following written findings:

(A) Consultation identified none of the facilities specified in paragraph (2).

(B) The facilities specified in paragraph (2) exist, but one of the following conditions applies:

1. The health risks from the facilities do not and will not constitute an actual or potential endangerment of public health to persons who would attend or be employed at the proposed school.
2. Corrective measures required under an existing order by another agency having jurisdiction over the facilities will, before the school is occupied, mitigate all chronic or accidental hazardous air emissions to levels that do not constitute any actual or potential public health danger to persons who would attend or be employed at the proposed school. When the school district board makes such a finding, it shall also make a subsequent finding, prior to occupancy of the school, that the emissions have been so mitigated.

This finding shall be in addition to any findings which may be required pursuant to Sections 15074, 15091 or 15093.

3. For a school site with boundary that is within 500 feet of the edge of the closest traffic lane of a freeway or other busy traffic corridor, the school district determines, through a health risk assessment pursuant to subdivision (b)(2) of Section 44360 of the Health and Safety Code, based on appropriate air dispersion modeling, and after considering any potential mitigation measures, that the air quality at the proposed site is such that neither short-term nor long-term exposure poses significant health risks to pupils.

(C) The facilities or other pollution sources specified in subsection (c)(2) exist, but conditions in subdivisions (c)(3)(B)(1), (2) or (3) cannot be met, and the school district is unable to locate an alternative site that is suitable due to a severe shortage of sites that meet the requirements in subdivision (a) of Section 17213 of the Education Code. If the school district makes this finding, the school board shall prepare an EIR and adopt a statement of overriding considerations.

(d) When the lead agency has carried out the consultation required by paragraph (2) of subdivision (b), the negative declaration or EIR shall be conclusively presumed to comply with this section, notwithstanding any failure of the consultation to identify an existing facility.

(e) The following definitions shall apply for the purposes of this section:

- (1) "Acutely hazardous material," is as defined in 22 C.C.R. § 66260.10.
- (2) "Administering agency," is as defined in Section 25501 of the Health and Safety Code.
- (3) "Extremely hazardous substance," is as defined in subdivision (g)(2)(B) of Section 25532 of the Health and Safety Code and listed in Section 2770.5, Table 3, of Title 19 of the California Code of Regulations.
- (4) "Facilities" means any source with a potential to use, generate, emit or discharge hazardous air pollutants, including, but not limited to, pollutants that meet the definition of a hazardous substance, and whose process or operation is identified as an emission source

pursuant to the most recent list of source categories published by the California Air Resources Board.

- (5) "Freeway or other busy traffic corridors" means those roadways that, on an average day, have traffic in excess of 50,000 vehicles in a rural area, as defined in Section 50101 of the Health and Safety Code, and 100,000 vehicles in an urban area, as defined in Section 50104.7 of the Health and Safety Code.
- (6) "Handle" means to use, generate, process, produce, package, treat, store, emit, discharge, or dispose of a hazardous material in any fashion.
- (7) "Hazardous air emissions," is as defined in subdivisions (a) to (f), inclusive, of Section 44321 of the Health and Safety Code.
- (8) "Hazardous substance," is as defined in Section 25316 of the Health and Safety Code.
- (9) "Hazardous waste," is as defined in Section 25117 of the Health and Safety Code.
- (10) "Hazardous waste disposal site," is as defined in Section 25114 of the Health and Safety Code.

**Note:** Authority cited: Sections Section 21083, Public Resources Code. References: Sections 21151.4 and 21151.8, Public Resources Code.

## 15187. ENVIRONMENTAL REVIEW OF NEW RULES AND REGULATIONS

- (a) At the time of the adoption of a rule or regulation requiring the installation of pollution control equipment, establishing a performance standard, or establishing a treatment requirement, the California Air Resources Board, Department of Toxic Substances Control, Integrated Waste Management Board, State Water Resources Control Board, all regional water quality control boards, and all air pollution control districts and air quality management districts, as defined in Section 39025 of the Health and Safety Code, must perform an environmental analysis of the reasonably foreseeable methods by which compliance with that rule or regulation will be achieved.
- (b) If an EIR is prepared by the agency at the time of adoption of a rule or regulation, it satisfies the requirements of this section provided that the document contains the information specified in subdivision (c) below. Similarly, for those State agencies whose regulatory programs have been certified by the Resources Agency pursuant to Section 21080.5 of the Public Resources Code, an environmental document prepared pursuant to such programs satisfies the requirements of this section, provided that the document contains the information specified in subdivision (c) below.
- (c) The environmental analysis shall include at least the following:
  - (1) An analysis of reasonably foreseeable environmental impacts of the methods of compliance;
  - (2) An analysis of reasonably foreseeable feasible mitigation measures relating to those impacts; and
  - (3) An analysis of reasonably foreseeable alternative means of compliance with the rule or regulation, which would avoid or eliminate the identified impacts.
- (d) The environmental analysis shall take into account a reasonable range of environmental, economic, and technical factors, population and geographic areas, and specific sites. The agency may utilize numerical ranges and averages where specific data is not available, but is not required to, nor should it, engage in speculation or conjecture.
- (e) Nothing in this section shall require the agency to conduct a project level analysis.
- (f) Nothing in this section is intended, or may be used, to delay the adoption of any rule or regulation for which this section requires an environmental analysis.

**Note:** Authority cited: Section 21083, Public Resources Code; References: Sections 21159 and 21159.4, Public Resources Code.

### 15188. FOCUSED EIR FOR POLLUTION CONTROL EQUIPMENT

This section applies to projects consisting solely of the installation of pollution control equipment and other components necessary to the installation of that equipment which are undertaken for the purpose of complying with a rule or regulation which was the subject of an environmental analysis as described in Section 15187.

- (a) The lead agency for the compliance project may prepare a focused EIR to analyze the effects of that project when the following occur:
  - (1) the agency which promulgated the rule or regulation certified an EIR on that rule or regulation, or reviewed it pursuant to an environmental analysis prepared under a certified regulatory program and, in either case, the review included an assessment of growth inducing impacts and cumulative impacts of, and alternatives to, the project;
  - (2) the focused EIR for the compliance project is certified within five years of the certified EIR or environmental analysis required by subdivision (a)(1); and
  - (3) the EIR prepared in connection with the adoption of the rule or regulation need not be updated through the preparation of a subsequent EIR or supplemental EIR pursuant to section 15162 or section 15163.
- (b) The discussion of significant environmental effects in the focused EIR shall be limited to project-specific, potentially significant effects which were not discussed in the environmental analysis required under Section 15187. No discussion of growth-inducing or cumulative impacts is required. Discussion of alternatives shall be limited to alternative means of compliance, if any, with the rule or regulation.

**Note:** Authority: Section 21083, Public Resources Code; Reference: Section 21159.1, Public Resources Code.

### 15189. COMPLIANCE WITH PERFORMANCE STANDARD OR TREATMENT REQUIREMENT RULE OR REGULATION

This section applies to projects consisting solely of compliance with a performance standard or treatment requirement which was the subject of an environmental analysis as described in Section 15187.

- (a) If preparing a negative declaration, mitigated negative declaration or EIR on the compliance project the lead agency for the compliance project shall, to the greatest extent feasible, use the environmental analysis prepared pursuant to Section 15187. The use of numerical averages or ranges in the environmental analysis prepared under Section 15187 does not relieve the lead agency on the compliance project from its obligation to identify and evaluate the environmental effects of the project.
- (b) Where the lead agency determines that an EIR is required for the compliance project, the EIR need address only the project-specific issues or other issues that were not discussed in sufficient detail in the environmental analysis prepared under Section 15187. The mitigation measures imposed by the lead agency shall be limited to addressing the significant effects on the environment of the compliance project. The discussion of alternatives shall be limited to a discussion of alternative means of compliance, if any, with the rule or regulation.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21159.2, Public Resources Code.

## 15190. DEADLINES FOR COMPLIANCE WITH SECTIONS 15188 AND 15189

- (a) The lead agency for a compliance project under either Section 15188 or Section 15189 shall determine whether an EIR or negative declaration should be prepared within 30 days of its determination that the application for the project is complete.
- (b) Where the EIR will be prepared under contract to the lead agency for the compliance project, the agency shall issue a request for proposal for preparation of the EIR not later than 30 days after the deadline for response to the notice of preparation has expired. The contract shall be awarded within 30 days of the response date on the request for proposals.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21159.3, Public Resources Code.

## 15190.5. DEPARTMENT OF DEFENSE NOTIFICATION REQUIREMENT

- (a) For purposes of this section, the following definitions are applicable.
  - (1) “Low-level flight path” means any flight path for any aircraft owned, maintained, or that is under the jurisdiction of the United States Department of Defense that flies lower than 1,500 feet above ground level, as indicated in the United States Department of Defense Flight Information Publication, “Area Planning Military Training Routes: North and South America (AP/1B)” published by the United States National Imagery and Mapping Agency, or its successor, as of the date the military service gives written notification to a lead agency pursuant to subdivision (b).
  - (2) “Military impact zone” means any area, including airspace, that meets both of the following criteria:
    - (A) Is within two miles of a military installation, including, but not limited to, any base, military airport, camp, post, station, yard, center, homeport facility for a ship, or any other military activity center that is under the jurisdiction of the United States Department of Defense; and
    - (B) Covers greater than 500 acres of unincorporated land, or greater than 100 acres of city incorporated land.
  - (3) “Military service” means the United States Department of Defense or any branch of the United States Armed Forces.
  - (4) “Special use airspace” means the land area underlying the airspace that is designated for training, research, development, or evaluation for a military service, as that land area is established by the United States Department of Defense Flight Information Publication, “Area Planning: Special Use Airspace: North and South America (AP/1A)” published by the United States National Imagery and Mapping Agency, or its successor, as of the date the military service gives written notification to a lead agency pursuant to subdivision (b).
- (b) A military service may give written notification to a lead agency of the specific boundaries of a low-level flight path, military impact zone, or special use airspace, and provide the lead agency, in writing, the military contact office and address for the military service. If the notice references the specific boundaries of a low-level flight path, such notification must include a copy of the applicable United States Department of Defense Flight Information Publication, “Area Planning Military Training Routes: North and South America (AP/1B).” If the notice references the specific boundaries of a special use airspace, such notification must include a copy of the applicable United States Department of Defense Flight Information Publication, “Area Planning: Special Use Airspace: North and South America (AP/1A).”
- (c) If a military service provides the written notification specified in subdivision (b) of this section, a lead agency must include the specified military contact office in the list of organizations and

individuals receiving a notice of intent to adopt a negative declaration or a mitigated negative declaration pursuant to Section 15072, in the list of organizations and individuals receiving a notice of preparation of an EIR pursuant to Section 15082, and in the list of organizations and individuals receiving a notice of availability of a draft EIR pursuant to Section 15087 for any project that meets all of the criteria specified below:

- (1) The project to be carried out or approved by the lead agency is within the boundaries specified in subdivision (b).
- (2) The project is one of the following:
  - (A) a project that includes a general plan amendment; or
  - (B) a project that is of statewide, regional, or areawide significance; or
  - (C) a project that relates to a public use airport and the area surrounding such airport which is required to be referred to the airport land use commission, or appropriately designated body, pursuant to Sections 21670–21679.5 of the Public Utilities Code.
- (3) The project is not one of the actions described below. A lead agency does not need to send to the specified military contact office a notice of intent to adopt a negative declaration or a mitigated negative declaration, a notice of preparation of an EIR, or a notice of availability of a draft EIR for such actions.
  - (A) a response action taken pursuant to Chapter 6.8 (commencing with Section 25300) of Division 20 of the Health and Safety Code.
  - (B) a response action taken pursuant to Chapter 6.85 (commencing with Section 25396) of Division 20 of the Health and Safety Code.
  - (C) a project undertaken at a site in response to a corrective action order issued pursuant to Section 25187 of the Health and Safety Code.

The lead agency shall send the specified military contact office a notice of intent or a notice of availability sufficiently prior to adoption or certification of the environmental documents by the lead agency to allow the military service the review period provided under Section 15105.

- (d) The effect or potential effect that a project may have on military activities does not itself constitute an adverse effect on the environment for the purposes of CEQA.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21098, Public Resources Code. § 15190.5.

## Article 12.5 Exemptions for Agricultural Housing, Affordable Housing, and Residential Infill Projects

### SECTIONS 15191 TO 15196

#### 15191. DEFINITIONS

For purposes of this Article 12.5 only, the following words shall have the following meanings:

- (a) “Agricultural employee” means a person engaged in agriculture, including: farming in all its branches, and, among other things, includes: (1) the cultivation and tillage of the soil, (2) dairying, (3) the production, cultivation, growing, and harvesting of any agricultural or horticultural commodities (including commodities defined as agricultural commodities in Section 1141j(g) of Title 12 of the United States Code), (4) the raising of livestock, bees, furbearing animals, or poultry, and (5) any practices (including any forestry or lumbering operations) performed by a farmer or on a farm as an incident to or in conjunction with such farming operations, including preparation for market and delivery to storage or to market or to carriers for transportation to market. This definition is subject to the following limitations:

This definition shall not be construed to include any person other than those employees excluded from the coverage of the National Labor Relations Act, as amended, as agricultural employees, pursuant to Section 2(3) of the Labor Management Relations Act (Section 152(3), Title 29, United States Code), and Section 3(f) of the Fair Labor Standards Act (Section 203(f), Title 29, United States Code).

This definition shall not apply, or be construed to apply, to any employee who performs work to be done at the site of the construction, alteration, painting, or repair of a building, structure, or other work (as these terms have been construed under Section 8(e) of the Labor Management Relations Act, 29 U.S.C. Sec. 158(e)) or logging or timber-clearing operations in initial preparation of land for farming, or who does land leveling or only land surveying for any of the above. As used in this definition, "land leveling" shall include only major land moving operations changing the contour of the land, but shall not include annual or seasonal tillage or preparation of land for cultivation.

- (b) "Census-defined place" means a specific unincorporated land area within boundaries determined by the United States Census Bureau in the most recent decennial census.
- (c) "Community-level environmental review" means either of the following:
  - (1) An EIR certified on any of the following:
    - (A) A general plan.
    - (B) A revision or update to the general plan that includes at least the land use and circulation elements.
    - (C) An applicable community plan.
    - (D) An applicable specific plan.
    - (E) A housing element of the general plan, if the environmental impact report analyzed the environmental effects of the density of the proposed project.
  - (2) A negative declaration or mitigated negative declaration adopted as a subsequent environmental review document, following and based upon an EIR on a general plan, an applicable community plan, or an applicable specific plan, provided that the subsequent environmental review document is allowed by CEQA following a master EIR or a program EIR, or is required pursuant to Section 21166.
- (d) "Developed open space" means land that meets all of the following criteria:
  - (1) land that is publicly owned, or financed in whole or in part by public funds,
  - (2) is generally open to, and available for use by, the public, and
  - (3) is predominantly lacking in structural development other than structures associated with open spaces, including, but not limited to, playgrounds, swimming pools, ball fields, enclosed child play areas, and picnic facilities.

Developed open space may include land that has been designated for acquisition by a public agency for developed open space but does not include lands acquired by public funds dedicated to the acquisition of land for housing purposes.

- (e) "Infill site" means a site in an urbanized area that meets one of the following criteria:
  - (1) The site has been previously developed for qualified urban uses; or
  - (2) The site has not been developed for qualified urban uses but all immediately adjacent parcels are developed with existing qualified urban uses; or
  - (3) The site has not been developed for qualified urban uses, no parcel within the site has been created within the past 10 years, and the site is situated so that:

- (A) at least 75 percent of the perimeter of the site is adjacent to parcels that are developed with existing qualified urban uses at the time the lead agency receives an application for an approval; and
  - (B) the remaining 25 percent of the perimeter of the site adjoins parcels that had been previously developed for qualified urban uses.
- (f) “Low- and moderate-income households” means “persons and families of low or moderate income” as defined in Section 50093 of the Health and Safety Code to mean persons and families whose income does not exceed 120 percent of area median income, adjusted for family size by the Department of Housing and Community Development, in accordance with adjustment factors adopted and amended from time to time by the United States Department of Housing and Urban Development pursuant to Section 8 of the United States Housing Act of 1937.
- (g) “Low-income households” means households of persons and families of very low and low income, which are defined in Sections 50093 and 50105 of the Health and Safety Code as follows:
- (1) “Persons and families of low income” or “persons of low income” is defined in Section 50093 of the Health & Safety Code to mean persons or families who are eligible for financial assistance specifically provided by a governmental agency for the benefit of occupants of housing financed pursuant to this division.
  - (2) “Very low income households” is defined in Section 50105 of the Health & Safety Code to mean persons and families whose incomes do not exceed the qualifying limits for very low income families as established and amended from time to time pursuant to Section 8 of the United States Housing Act of 1937. “Very low income households” includes extremely low income households, as defined in Section 50106 of the Health & Safety Code.
- (h) “Lower income households” is defined in Section 50079.5 of the Health and Safety Code to mean any of the following:
- (1) “Lower income households,” which means persons and families whose income does not exceed the qualifying limits for lower income families as established and amended from time to time pursuant to Section 8 of the United States Housing Act of 1937.
  - (2) “Very low income households,” which means persons and families whose incomes do not exceed the qualifying limits for very low income families as established and amended from time to time pursuant to Section 8 of the United States Housing Act of 1937.
  - (3) “Extremely low income households,” which means persons and families whose incomes do not exceed the qualifying limits for extremely low income families as established and amended from time to time by the Secretary of Housing and Urban Development and defined in Section 5.603(b) of Title 24 of the Code of Federal Regulations.
- (i) “Major transit stop” means a site containing an existing rail transit station, a ferry terminal served by either a bus or rail transit service, or the intersection of two or more major bus routes with a frequency of service interval of 15 minutes or less during the morning and afternoon peak commute periods.
- (j) “Project-specific effect” means all the direct or indirect environmental effects of a project other than cumulative effects and growth-inducing effects.
- (k) “Qualified urban use” means any residential, commercial, public institutional, transit or transportation passenger facility, or retail use, or any combination of those uses.
- (l) “Residential” means a use consisting of either of the following:
- (1) Residential units only.

- (2) Residential units and primarily neighborhood-serving goods, services, or retail uses that do not exceed 15 percent of the total floor area of the project.
- (m) “Urbanized area” means either of the following:
  - (1) An incorporated city that either by itself or in combination with two contiguous incorporated cities has a population of at least 100,000 persons; or
  - (2) An unincorporated area that meets the requirements set forth in subdivision (m)(2)(A) and subdivision (m)(2)(B) below.
    - (A) The unincorporated area must meet one of the following location or density requirements:
      - 1. The unincorporated area must be: (i) completely surrounded by one or more incorporated cities, (ii) have a population of at least 100,000 persons either by itself or in combination with the surrounding incorporated city or cities, and (iii) have a population density that at least equals the population density of the surrounding city or cities; or
      - 2. The unincorporated area must be located within an urban growth boundary and have an existing residential population of at least 5,000 persons per square mile. For purposes of this subparagraph, an “urban growth boundary” means a provision of a locally adopted general plan that allows urban uses on one side of the boundary and prohibits urban uses on the other side.
    - (B) The board of supervisors with jurisdiction over the unincorporated area must have taken the following steps:
      - 1. The board has prepared a draft document by which the board would find that the general plan, zoning ordinance, and related policies and programs applicable to the unincorporated area are consistent with principles that: (i) encourage compact development in a manner that promotes efficient transportation systems, economic growth, affordable housing, energy efficiency, and an appropriate balance of jobs and housing, and (ii) protects the environment, open space, and agricultural areas.
      - 2. The board has submitted the draft document to OPR and allowed OPR thirty days to submit comments on the draft findings to the board.
      - 3. No earlier than thirty days after submitting the draft document to OPR, the board has adopted a final finding in substantial conformity with the draft finding described in the draft document referenced in subdivision (m)(2)(B)(1) above.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21159.20, 21159.21, 21159.22, 21159.23, 21159.24, Public Resources Code.

### 15192. THRESHOLD REQUIREMENTS FOR EXEMPTIONS FOR AGRICULTURAL HOUSING, AFFORDABLE HOUSING, AND RESIDENTIAL INFILL PROJECTS

In order to qualify for an exemption set forth in sections 15193, 15194 or 15195, a housing project must meet all of the threshold criteria set forth below.

- (a) The project must be consistent with:
  - (1) Any applicable general plan, specific plan, or local coastal program, including any mitigation measures required by such plan or program, as that plan or program existed on the date that the application for the project pursuant to Section 65943 of the Government Code was deemed complete; and
  - (2) Any applicable zoning ordinance, as that zoning ordinance existed on the date that the application for the project pursuant to Section 65943 of the Government Code was deemed

complete, unless the zoning of project property is inconsistent with the general plan because the project property has not been rezoned to conform to the general plan.

- (b) Community-level environmental review has been adopted or certified.
- (c) The project and other projects approved prior to the approval of the project can be adequately served by existing utilities, and the project applicant has paid, or has committed to pay, all applicable in-lieu or development fees.
- (d) The site of the project:
  - (1) Does not contain wetlands, as defined in Section 328.3 of Title 33 of the Code of Federal Regulations.
  - (2) Does not have any value as an ecological community upon which wild animals, birds, plants, fish, amphibians, and invertebrates depend for their conservation and protection.
  - (3) Does not harm any species protected by the federal Endangered Species Act of 1973 (16 U.S.C. Sec. 1531 et seq.) or by the Native Plant Protection Act (Chapter 10 (commencing with Section 1900) of Division 2 of the Fish and Game Code), the California Endangered Species Act (Chapter 1.5 (commencing with Section 2050) of Division 3 of the Fish and Game Code.
  - (4) Does not cause the destruction or removal of any species protected by a local ordinance in effect at the time the application for the project was deemed complete.
- (e) The site of the project is not included on any list of facilities and sites compiled pursuant to Section 65962.5 of the Government Code.
- (f) The site of the project is subject to a preliminary endangerment assessment prepared by a registered environmental assessor to determine the existence of any release of a hazardous substance on the site and to determine the potential for exposure of future occupants to significant health hazards from any nearby property or activity. In addition, the following steps have been taken in response to the results of this assessment:
  - (1) If a release of a hazardous substance is found to exist on the site, the release shall be removed, or any significant effects of the release shall be mitigated to a level of insignificance in compliance with state and federal requirements.
  - (2) If a potential for exposure to significant hazards from surrounding properties or activities is found to exist, the effects of the potential exposure shall be mitigated to a level of insignificance in compliance with state and federal requirements.
- (g) The project does not have a significant effect on historical resources pursuant to Section 21084.1 of the Public Resources Code.
- (h) The project site is not subject to wildland fire hazard, as determined by the Department of Forestry and Fire Protection, unless the applicable general plan or zoning ordinance contains provisions to mitigate the risk of a wildland fire hazard.
- (i) The project site does not have an unusually high risk of fire or explosion from materials stored or used on nearby properties.
- (j) The project site does not present a risk of a public health exposure at a level that would exceed the standards established by any state or federal agency.
- (k) Either the project site is not within a delineated earthquake fault zone or a seismic hazard zone, as determined pursuant to Section 2622 and 2696 of the Public Resources Code respectively, or the applicable general plan or zoning ordinance contains provisions to mitigate the risk of an earthquake or seismic hazard.
- (l) Either the project site does not present a landslide hazard, flood plain, flood way, or restriction zone, or the applicable general plan or zoning ordinance contains provisions to mitigate the risk of a landslide or flood.

- (m) The project site is not located on developed open space.
- (n) The project site is not located within the boundaries of a state conservancy.
- (o) The project has not been divided into smaller projects to qualify for one or more of the exemptions set forth in sections 15193 to 15195.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21159.21, 21159.27, Public Resources Code.

### 15193. AGRICULTURAL HOUSING EXEMPTION

CEQA does not apply to any development project that meets the following criteria.

- (a) The project meets the threshold criteria set forth in section 15192.
- (b) The project site meets the following size criteria:
  - (1) The project site is located in an area with a population density of at least 1,000 persons per square mile and is two acres or less in area; or
  - (2) The project site is located in an area with a population density of less than 1,000 persons per square mile and is five acres or less in area.
- (c) The project meets the following requirements regarding location and number of units.
  - (1) If the proposed development project is located on a project site within city limits or in a census-defined place, it must meet the following requirements:
    - (A) The proposed project location must be within one of the following:
      - 1. Incorporated city limits; or
      - 2. A census defined place with a minimum population density of at least 5,000 persons per square mile; or
      - 3. A census-defined place with a minimum population density of at least 1,000 persons per square mile, unless a public agency that is carrying out or approving the project determines that there is a reasonable possibility that the project, if completed, would have a significant effect on the environment due to unusual circumstances or that the cumulative impacts of successive projects of the same type in the same area, over time, would be significant.
    - (B) The proposed development project must be located on a project site that is adjacent, on at least two sides, to land that has been developed.
    - (C) The proposed development project must meet either of the following requirements:
      - 1. Consist of not more than 45 units, or
      - 2. Consist of housing for a total of 45 or fewer agricultural employees if the housing consists of dormitories, barracks, or other group living facilities.
  - (2) If the proposed development project is located on a project site zoned for general agricultural use, it must meet either of the following requirements:
    - (A) Consist of not more than 20 units, or
    - (B) Consist of housing for a total of 20 or fewer agricultural employees if the housing consists of dormitories, barracks, or other group living facilities.
- (d) The project meets the following requirements regarding provision of housing for agricultural employees:
  - (1) The project must consist of the construction, conversion, or use of residential housing for agricultural employees.
  - (2) If the project lacks public financial assistance, then:
    - (A) The project must be affordable to lower income households; and

- (B) The developer of the development project must provide sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units for lower income households for a period of at least 15 years.
- (3) If public financial assistance exists for the project, then:
  - (A) The project must be housing for very low, low-, or moderate-income households; and
  - (B) The developer of the development project must provide sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units for low- and moderate-income households for a period of at least 15 years.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21159.22, Public Resources Code.

### 15194. AFFORDABLE HOUSING EXEMPTION

CEQA does not apply to any development project that meets the following criteria:

- (a) The project meets the threshold criteria set forth in section 15192.
- (b) The project meets the following size criteria: the project site is not more than five acres in area.
- (c) The project meets both of the following requirements regarding location:
  - (1) The project meets one of the following location requirements relating to population density:
    - (A) The project site is located within an urbanized area or within a census-defined place with a population density of at least 5,000 persons per square mile.
    - (B) If the project consists of 50 or fewer units, the project site is located within an incorporated city with a population density of at least 2,500 persons per square mile and a total population of at least 25,000 persons.
    - (C) The project is located within either an incorporated city or a census defined place with a population density of at least 1,000 persons per square mile and there is no reasonable possibility that the project would have a significant effect on the environment or the residents of the project due to unusual circumstances or due to the related or cumulative impacts of reasonably foreseeable projects in the vicinity of the project.
  - (2) The project meets one of the following site-specific location requirements:
    - (A) The project site has been previously developed for qualified urban uses; or
    - (B) The parcels immediately adjacent to the project site are developed with qualified urban uses.
    - (C) The project site has not been developed for urban uses and all of the following conditions are met:
      - 1. No parcel within the site has been created within 10 years prior to the proposed development of the site.
      - 2. At least 75 percent of the perimeter of the site adjoins parcels that are developed with qualified urban uses.
      - 3. The existing remaining 25 percent of the perimeter of the site adjoins parcels that have previously been developed for qualified urban uses.
- (d) The project meets both of the following requirements regarding provision of affordable housing.
  - (1) The project consists of the construction, conversion, or use of residential housing consisting of 100 or fewer units that are affordable to low-income households.
  - (2) The developer of the project provides sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units for lower income households for a period of at least 30 years, at monthly housing costs deemed to be

“affordable rent” for lower income, very low income, and extremely low income households, as determined pursuant to Section 50053 of the Health and Safety Code.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21159.23, Public Resources Code.

### 15195. RESIDENTIAL INFILL EXEMPTION

- (a) Except as set forth in subdivision (b), CEQA does not apply to any development project that meets the following criteria:
- (1) The project meets the threshold criteria set forth in section 15192; provided that with respect to the requirement in section 15192(b) regarding community-level environmental review, such review must be certified or adopted within five years of the date that the lead agency deems the application for the project to be complete pursuant to Section 65943 of the Government Code.
  - (2) The project meets both of the following size criteria:
    - (A) The site of the project is not more than four acres in total area.
    - (B) The project does not include any single level building that exceeds 100,000 square feet.
  - (3) The project meets both of the following requirements regarding location:
    - (A) The project is a residential project on an infill site.
    - (B) The project is within one-half mile of a major transit stop.
  - (4) The project meets both of the following requirements regarding number of units:
    - (A) The project does not contain more than 100 residential units.
    - (B) The project promotes higher density infill housing. The lead agency may establish its own criteria for determining whether the project promotes higher density infill housing except in either of the following two circumstances:
      1. A project with a density of at least 20 units per acre is conclusively presumed to promote higher density infill housing.
      2. A project with a density of at least 10 units per acre and a density greater than the average density of the residential properties within 1,500 feet shall be presumed to promote higher density infill housing unless the preponderance of the evidence demonstrates otherwise.
  - (5) The project meets the following requirements regarding availability of affordable housing: The project would result in housing units being made available to moderate, low or very low income families as set forth in either A or B below:
    - (A) The project meets one of the following criteria, and the project developer provides sufficient legal commitments to the appropriate local agency to ensure the continued availability and use of the housing units as set forth below at monthly housing costs determined pursuant to paragraph (3) of subdivision (h) of Section 65589.5 of the Government Code.
      1. At least 10 percent of the housing is sold to families of moderate income, or
      2. Not less than 10 percent of the housing is rented to families of low income, or
      3. Not less than 5 percent of the housing is rented to families of very low income.
    - (B) If the project does not result in housing units being available as set forth in subdivision (A) above, then the project developer has paid or will pay in-lieu fees pursuant to a local ordinance in an amount sufficient to result in the development of an equivalent number of units that would otherwise be required pursuant to subparagraph (A).

- (b) A project that otherwise meets the criteria set forth in subdivision (a) is not exempt from CEQA if any of the following occur:
- (1) There is a reasonable possibility that the project will have a project-specific, significant effect on the environment due to unusual circumstances.
  - (2) Substantial changes with respect to the circumstances under which the project is being undertaken that are related to the project have occurred since community-level environmental review was certified or adopted.
  - (3) New information becomes available regarding the circumstances under which the project is being undertaken and that is related to the project that was not known, and could not have been known at the time that community-level environmental review was certified or adopted.

If a project is not exempt from CEQA due to subdivision (b), the analysis of the environmental effects of the project covered in the EIR or the negative declaration shall be limited to an analysis of the project-specific effect of the projects and any effects identified pursuant to subdivisions (b)(2) and (3).

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21159.24, Public Resources Code.

### 15196. NOTICE OF EXEMPTION FOR AGRICULTURAL HOUSING, AFFORDABLE HOUSING, AND RESIDENTIAL INFILL PROJECTS

- (a) When a local agency determines that a project is not subject to CEQA under Section 15193, 15194, or 15195, and it approves or determines to carry out that project, the local agency or person seeking project approval shall file the notice required by Section 21152.1 of the Public Resources Code, pursuant to Section 15062.
- (b) Failure to file the notice required by this section does not affect the validity of a project.
- (c) Nothing in this section affects the time limitations contained in Section 21167.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: 21152.1, Public Resources Code.

## Article 13. Review and Evaluation of EIRs and Negative Declarations

### SECTIONS 15200 TO 15209

#### 15200. PURPOSES OF REVIEW

The purposes of review of EIRs and Negative Declarations include:

- (a) Sharing expertise,
- (b) Disclosing agency analyses,
- (c) Checking for accuracy,
- (d) Detecting omissions,
- (e) Discovering public concerns, and
- (f) Soliciting counter proposals.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21000, 21108, and 21152, Public Resources Code; *Environmental Defense Fund v. Coastside County Water District*, (1972) 27 Cal. App. 3d 695; *County of Inyo v. City of Los Angeles*, (1977) 71 Cal. App. 3d 185.

## 15201. PUBLIC PARTICIPATION

Public participation is an essential part of the CEQA process. Each public agency should include provisions in its CEQA procedures for wide public involvement, formal and informal, consistent with its existing activities and procedures, in order to receive and evaluate public reactions to environmental issues related to the agency's activities. Such procedures should include, whenever possible, making environmental information available in electronic format on the Internet, on a web site maintained or utilized by the public agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21000, 21082, 21108, and 21152, Public Resources Code; *Environmental Defense Fund v. Coastside County Water District*, (1972) 27 Cal. App. 3d 695; *People v. County of Kern*, (1974) 39 Cal. App. 3d 830; *County of Inyo v. City of Los Angeles*, (1977) 71 Cal. App. 3d 185.

## 15202. PUBLIC HEARINGS

- (a) CEQA does not require formal hearings at any stage of the environmental review process. Public comments may be restricted to written communication.
- (b) If an agency provides a public hearing on its decision to carry out or approve a project, the agency should include environmental review as one of the subjects for the hearing.
- (c) A public hearing on the environmental impact of a project should usually be held when the Lead Agency determines it would facilitate the purposes and goals of CEQA to do so. The hearing may be held in conjunction with and as a part of normal planning activities.
- (d) A draft EIR or Negative Declaration should be used as a basis for discussion at a public hearing. The hearing may be held at a place where public hearings are regularly conducted by the Lead Agency or at another location expected to be convenient to the public.
- (e) Notice of all public hearings shall be given in a timely manner. This notice may be given in the same form and time as notice for other regularly conducted public hearings of the public agency. To the extent that the public agency maintains an Internet web site, notice of all public hearings should be made available in electronic format on that site.
- (f) A public agency may include, in its implementing procedures, procedures for the conducting of public hearings pursuant to this section. The procedures may adopt existing notice and hearing requirements of the public agency for regularly conducted legislative, planning, and other activities.
- (g) There is no requirement for a public agency to conduct a public hearing in connection with its review of an EIR prepared by another public agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21000, 21082, 21108, and 21152, Public Resources Code; *Concerned Citizens of Palm Desert, Inc. v. Board of Supervisors*, (1974) 38 Cal. App. 3d 272.

## 15203. ADEQUATE TIME FOR REVIEW AND COMMENT

The Lead Agency shall provide adequate time for other public agencies and members of the public to review and comment on a draft EIR or Negative Declaration that it has prepared.

- (a) Public agencies may establish time periods for review in their implementing procedures and shall notify the public and reviewing agencies of the time for receipt of comments on EIRs. These time periods shall be consistent with applicable statutes, the State CEQA Guidelines, and applicable Clearinghouse review periods.
- (b) A review period for an EIR does not require a halt in other planning or evaluation activities related to a project. Planning should continue in conjunction with environmental evaluation.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21082, 21108 and 21152, Public Resources Code.

## 15204. FOCUS OF REVIEW

- (a) In reviewing draft EIRs, persons and public agencies should focus on the sufficiency of the document in identifying and analyzing the possible impacts on the environment and ways in which the significant effects of the project might be avoided or mitigated. Comments are most helpful when they suggest additional specific alternatives or mitigation measures that would provide better ways to avoid or mitigate the significant environmental effects. At the same time, reviewers should be aware that the adequacy of an EIR is determined in terms of what is reasonably feasible, in light of factors such as the magnitude of the project at issue, the severity of its likely environmental impacts, and the geographic scope of the project. CEQA does not require a lead agency to conduct every test or perform all research, study, and experimentation recommended or demanded by commentors. When responding to comments, lead agencies need only respond to significant environmental issues and do not need to provide all information requested by reviewers, as long as a good faith effort at full disclosure is made in the EIR.
- (b) In reviewing negative declarations, persons and public agencies should focus on the proposed finding that the project will not have a significant effect on the environment. If persons and public agencies believe that the project may have a significant effect, they should:
  - (1) Identify the specific effect,
  - (2) Explain why they believe the effect would occur, and
  - (3) Explain why they believe the effect would be significant.
- (c) Reviewers should explain the basis for their comments, and should submit data or references offering facts, reasonable assumptions based on facts, or expert opinion supported by facts in support of the comments. Pursuant to Section 15064, an effect shall not be considered significant in the absence of substantial evidence.
- (d) Reviewing agencies or organizations should include with their comments the name of a contact person who would be available for later consultation if necessary. Each responsible agency and trustee agency shall focus its comments on environmental information germane to that agency's statutory responsibility.
- (e) This section shall not be used to restrict the ability of reviewers to comment on the general adequacy of a document or of the lead agency to reject comments not focused as recommended by this section.
- (f) Prior to the close of the public review period for an EIR or mitigated negative declaration, a responsible or trustee agency which has identified significant effects on the environment may submit to the lead agency proposed mitigation measures which would address those significant effects. Any such measures shall be limited to impacts affecting those resources which are subject to the statutory authority of that agency. If mitigation measures are submitted, the responsible or trustee agency shall either submit to the lead agency complete and detailed performance objectives for the mitigation measures, or shall refer the lead agency to appropriate, readily available guidelines or reference documents which meet the same purpose.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Sections 21080, 21081.6, and 21080.4, 21104 and 21153, Public Resources Code, Formerly Section 15161; *San Joaquin Raptor/Wildlife Rescue Center v. County of Stanislaus* (1996) 42 Cal.App.4th 608; and *Leonoff v. Monterey County Board of Supervisors* (1990) 222 Cal.App.3d 1337.

## 15205. REVIEW BY STATE AGENCIES

- (a) Draft EIRs and negative declarations to be reviewed by state agencies shall be submitted to the State Clearinghouse, 1400 Tenth Street, Sacramento, California 95814. For U.S. Mail, submit to P.O. Box 3044, Sacramento, California 95812-3044. When submitting such documents to

the State Clearinghouse, the public agency shall include, in addition to the printed copy, a copy of the document in electronic form on a diskette or by electronic mail transmission, if available.

- (b) The following environmental documents shall be submitted to the State Clearinghouse for review by state agencies:
- (1) Draft EIRs and Negative Declarations prepared by a state agency where such agency is a Lead Agency.
  - (2) Draft EIRs and Negative Declarations prepared by a public agency where a state agency is a Responsible Agency, Trustee Agency, or otherwise has jurisdiction by law with respect to the project.
  - (3) Draft EIRs and Negative Declarations on projects identified in Section 15206 as being of statewide, regional, or areawide significance.
  - (4) Draft EISs, environmental assessments, and findings of no significant impact prepared pursuant to NEPA, the Federal Guidelines (Title 40 CFR, Part 1500, commencing with Section 1500.1).
- (c) Public agencies may send environmental documents to the State Clearinghouse for review where a state agency has special expertise with regard to the environmental impacts involved. The areas of statutory authorities of state agencies are identified in Appendix B. Any such environmental documents submitted to the State Clearinghouse shall include, in addition to the printed copy, a copy of the document in electronic format, on a diskette or by electronic mail transmission, if available.
- (d) When an EIR or Negative Declaration is submitted to the State Clearinghouse for review, the review period set by the Lead Agency shall be at least as long as the period provided in the state review system operated by the State Clearinghouse. In the state review system, the normal review period is 45 days for EIRs and 30 days for Negative Declarations. In exceptional circumstances, the State Clearinghouse may set shorter review periods when requested by the Lead Agency.
- (e) A sufficient number of copies of an EIR, negative declaration, or mitigated negative declaration, shall be submitted to the State Clearinghouse for review and comment by state agencies. The notice of completion form required by the State Clearinghouse must be submitted together with the copies of the EIR and may be submitted together with the copies of the negative declaration or mitigated negative declaration. The notice of completion form required by the State Clearinghouse is included in Appendix C. If the lead agency uses the on-line process for submittal of the notice of completion form to the State Clearinghouse, the form generated from the Internet shall satisfy this requirement (refer to [www.ceqanet.ca.gov](http://www.ceqanet.ca.gov)).
- (f) While the Lead Agency is encouraged to contact the regional and district offices of state Responsible Agencies, the Lead Agency must, in all cases, submit documents to the State Clearinghouse for distribution in order to comply with the review requirements of this section.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21083, 21091, 21104, and 21153, Public Resources Code.

## 15206. PROJECTS OF STATEWIDE, REGIONAL, OR AREAWIDE SIGNIFICANCE

- (a) Projects meeting the criteria in this section shall be deemed to be of statewide, regional, or areawide significance.
- (1) A draft EIR or negative declaration prepared by any public agency on a project described in this section shall be submitted to the State Clearinghouse and should be submitted also to the appropriate metropolitan area council of governments for review and comment. The notice of completion form required by the State Clearinghouse must be submitted together with the copies of the EIR and may be submitted together with the copies of the negative declaration. The notice of completion form required by the State Clearinghouse is included

in Appendix C. If the lead agency uses the on-line process for submittal of the notice of completion form to the State Clearinghouse, the form generated from the Internet shall satisfy this requirement (refer to [www.ceqanet.ca.gov](http://www.ceqanet.ca.gov)).

- (2) When such documents are submitted to the State Clearinghouse, the public agency shall include, in addition to the printed copy, a copy of the document in electronic format on a diskette or by electronic mail transmission, if available.
- (b) The Lead Agency shall determine that a proposed project is of statewide, regional, or areawide significance if the project meets any of the following criteria:
- (1) A proposed local general plan, element, or amendment thereof for which an EIR was prepared. If a Negative Declaration was prepared for the plan, element, or amendment, the document need not be submitted for review.
  - (2) A project has the potential for causing significant effects on the environment extending beyond the city or county in which the project would be located. Examples of the effects include generating significant amounts of traffic or interfering with the attainment or maintenance of state or national air quality standards. Projects subject to this subdivision include:
    - (A) A proposed residential development of more than 500 dwelling units.
    - (B) A proposed shopping center or business establishment employing more than 1,000 persons or encompassing more than 500,000 square feet of floor space.
    - (C) A proposed commercial office building employing more than 1,000 persons or encompassing more than 250,000 square feet of floor space.
    - (D) A proposed hotel/motel development of more than 500 rooms.
    - (E) A proposed industrial, manufacturing, or processing plant, or industrial park planned to house more than 1,000 persons, occupying more than 40 acres of land, or encompassing more than 650,000 square feet of floor area.
  - (3) A project which would result in the cancellation of an open space contract made pursuant to the California Land Conservation Act of 1965 (Williamson Act) for any parcel of 100 or more acres.
  - (4) A project for which an EIR and not a Negative Declaration was prepared which would be located in and would substantially impact the following areas of critical environmental sensitivity:
    - (A) The Lake Tahoe Basin.
    - (B) The Santa Monica Mountains Zone as defined by Section 33105 of the Public Resources Code.
    - (C) The California Coastal Zone as defined in, and mapped pursuant to, Section 30103 of the Public Resources Code.
    - (D) An area within 1/4 mile of a wild and scenic river as defined by Section 5093.5 of the Public Resources Code.
    - (E) The Sacramento-San Joaquin Delta, as defined in Water Code Section 12220.
    - (F) The Suisun Marsh as defined in Public Resources Code Section 29101.
    - (G) The jurisdiction of the San Francisco Bay Conservation and Development Commission as defined in Government Code Section 66610.
  - (5) A project which would substantially affect sensitive wildlife habitats including but not limited to riparian lands, wetlands, bays, estuaries, marshes, and habitats for endangered, rare and threatened species as defined by Section 15380 of this Chapter.

- (6) A project which would interfere with attainment of regional water quality standards as stated in the approved areawide waste treatment management plan.
- (7) A project which would provide housing, jobs, or occupancy for 500 or more people within 10 miles of a nuclear power plant.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21083, Public Resources Code.

### 15207. FAILURE TO COMMENT

If any public agency or person who is consulted with regard to an EIR or Negative Declaration fails to comment within a reasonable time as specified by the Lead Agency, it shall be assumed, without a request for a specific extension of time, that such agency or person has no comment to make. Although the Lead Agency need not respond to late comments, the Lead Agency may choose to respond to them.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21104 and 21153, Public Resources Code; *Cleary v. County of Stanislaus*, (1981) 118 Cal. App. 3d 348.

### 15208. RETENTION AND AVAILABILITY OF COMMENTS

Comments received through the consultation process shall be retained for a reasonable period and available for public inspection at an address given in the final EIR. Comments which may be received on a draft EIR or Negative Declaration under preparation shall also be considered and kept on file.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21104, 21082.1 and 21153, Public Resources Code; Section 4, Chapter 480, Statutes of 1981; *People v. County of Kern*, (1974) 39 Cal. App. 3d 830. Formerly Section 15166.

### 15209. COMMENTS ON INITIATIVE OF PUBLIC AGENCIES

Every public agency may comment on environmental documents dealing with projects which affect resources with which the agency has special expertise regardless of whether its comments were solicited or whether the effects fall within the legal jurisdiction of the agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002, 21104, and 21153, Public Resources Code.

## Article 14. Projects Also Subject to the National Environmental Policy Act (NEPA)

### SECTIONS 15220 TO 15229

#### 15220. GENERAL

This article applies to projects that are subject to both CEQA and NEPA. NEPA applies to projects which are carried out, financed, or approved in whole or in part by federal agencies. Accordingly, this article applies to projects which involve one or more state or local agencies and one or more federal agencies.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21083.5, 21083.6, and 21083.7, Public Resources Code; National Environmental Policy Act of 1969, Public Law 91-190 as amended, 42 U.S.C.A. 4321-4347; NEPA Regulations, 40 Code of Federal Regulations (C.F.R.) Parts 1500-1508.

## 15221. NEPA DOCUMENT READY BEFORE CEQA DOCUMENT

- (a) When a project will require compliance with both CEQA and NEPA, state or local agencies should use the EIS or Finding of No Significant Impact rather than preparing an EIR or Negative Declaration if the following two conditions occur:
- (1) An EIS or Finding of No Significant Impact will be prepared before an EIR or Negative Declaration would otherwise be completed for the project; and
  - (2) The EIS or Finding of No Significant Impact complies with the provisions of these Guidelines.
- (b) Because NEPA does not require separate discussion of mitigation measures or growth inducing impacts, these points of analysis will need to be added, supplemented, or identified before the EIS can be used as an EIR.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21083.5 and 21083.7, Public Resources Code; Section 102(2)(C) of NEPA, 43 U.S.C.A. 4322(2)(C).

## 15222. PREPARATION OF JOINT DOCUMENTS

If a Lead Agency finds that an EIS or Finding of No Significant Impact for a project would not be prepared by the federal agency by the time when the Lead Agency will need to consider an EIR or Negative Declaration, the Lead Agency should try to prepare a combined EIR-EIS or Negative Declaration-Finding of No Significant Impact. To avoid the need for the federal agency to prepare a separate document for the same project, the Lead Agency must involve the federal agency in the preparation of the joint document.

This involvement is necessary because federal law generally prohibits a federal agency from using an EIR prepared by a state agency unless the federal agency was involved in the preparation of the document.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21083.5 and 21083.7, Public Resources Code; Section 102(2)(D) of NEPA, 43 U.S.C.A. 4322(2)(D); 40 C.F.R. Part 1506.2.

## 15223. CONSULTATION WITH FEDERAL AGENCIES

When it plans to use an EIS or Finding of No Significant Impact or to prepare such a document jointly with a federal agency, the Lead Agency shall consult as soon as possible with the federal agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21083.5 and 21083.7, Public Resources Code.

## 15224. TIME LIMITS

Where a project will be subject to both CEQA and the National Environmental Policy Act, the one year time limit and the 105-day time limit may be waived pursuant to Section 15110.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21083.6, Public Resources Code.

## 15225. CIRCULATION OF DOCUMENTS

- (a) Where the federal agency circulated the EIS or Finding of No Significant Impact for public review as broadly as state or local law may require and gave notice meeting the standards in Section 15072(a) or 15087(a), the Lead Agency under CEQA may use the federal document in the place of an EIR or Negative Declaration without recirculating the federal document for public review. One review and comment period is enough. Prior to using the federal document in this situation, the Lead Agency shall give notice that it will use the federal document in the place of an EIR or Negative Declaration and that it believes that the federal document meets the

requirements of CEQA. The notice shall be given in the same manner as a notice of the public availability of a draft EIR under Section 15087.

- (b) If an EIS has been prepared and filed pursuant to NEPA on the closure and reuse of a military base and the Lead Agency decides that the EIS does not fully meet the requirements of CEQA or has not been circulated for public review as state and local law may require, the Lead Agency responsible for preparation of an EIR for a reuse plan for the same base may proceed in the following manner:
- (1) Prepare and circulate a notice of preparation pursuant to Section 15082. The notice shall include a description of the reuse plan, a copy of the EIS, an address to which to send comments, and the deadline for submitting comments. The notice shall state that the lead agency intends to utilize the EIS as a draft EIR and requests comments on whether the EIS provides adequate information to serve as a draft EIR and what specific additional information, if any, is necessary.
  - (2) Upon the close of the comment period, the lead agency may proceed with preparation and circulation for comment of the draft EIR for the reuse plan. To the greatest extent feasible, the lead agency shall avoid duplication and utilize the EIS or information in the EIS as all or part of the draft EIR. The EIR shall be completed in compliance with the provisions of CEQA.

**Note:** Authority cited: Section 21083, Public Resources Code; References: Sections 21083.5 and 21092, Public Resources Code.

### 15226. JOINT ACTIVITIES

State and local agencies should cooperate with federal agencies to the fullest extent possible to reduce duplication between the California Environmental Quality Act and the National Environmental Policy Act. Such cooperation should, to the fullest extent possible, include:

- (a) Joint planning processes,
- (b) Joint environmental research and studies,
- (c) Joint public hearings,
- (d) Joint environmental documents.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21083.5 and 21083.7, Public Resources Code; 40 C.F.R. Part 1506.2. Formerly Section 15063(h).

### 15227. STATE COMMENTS ON A FEDERAL PROJECT

When a state agency officially comments on a proposed federal project which may have a significant effect on the environment, the comments shall include or reference a discussion of the material specified in Section 15126. An EIS on the federal project may be referenced to meet the requirements of this section.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21101, Public Resources Code.

### 15228. WHERE FEDERAL AGENCY WILL NOT COOPERATE

Where a federal agency will not cooperate in the preparation of joint document and will require separate NEPA compliance for the project at a later time, the state or local agency should persist in efforts to cooperate with the federal agency. Because NEPA expressly allows federal agencies to use environmental documents prepared by an agency of statewide jurisdiction, a local agency should try to involve a state agency in helping prepare an EIR or Negative Declaration for the project. In this way there will be a greater chance that the federal agency may later use the CEQA document and not require the applicant to pay for preparation of a second document to meet NEPA requirements at a later time.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21083.5, Public Resources Code; Section 102(2)(D) of NEPA, 42 U.S.C.A. 4322(2)(D).

### 15229. BASELINE ANALYSIS FOR MILITARY BASE REUSE PLAN EIRS

When preparing and certifying an EIR for a plan for the reuse of a military base, including when utilizing an Environmental Impact Statement pursuant to Section 21083.5 of the Public Resources Code, the determination of whether the reuse plan may have a significant effect on the environment may, at the discretion of the lead agency, be based upon the physical conditions which were present at the time that the federal decision for the closure or realignment of the base or reservation became final. These conditions shall be referred to as the “baseline physical conditions.” Impacts which do not exceed the baseline physical conditions shall not be considered significant.

- (a) Prior to circulating a draft EIR pursuant to the provisions of this Section, the lead agency shall do all of the following, in order:
  - (1) Prepare proposed baseline physical conditions, identify pertinent responsible and trustee agencies and consult with those agencies prior to the public hearing required by subdivision (a)(2) as to the application of their regulatory authority and permitting standards to the proposed baseline physical conditions, the proposed reuse plan, and specific, planned future nonmilitary land uses of the base or reservation. The affected agencies shall have not less than 30 days prior to the public hearing to review the proposed baseline physical conditions and the proposed reuse plan and to submit their comments to the lead agency.
  - (2) Hold a public hearing at which is discussed the federal EIS prepared for, or being prepared for, the closure or realignment of the military base or reservation. The discussion shall include the significant effects on the environment, if any, examined in the EIS, potential methods of mitigating those effects, including feasible alternatives, and the mitigative effects of federal, state, and local laws applicable to future nonmilitary activities. Prior to the close of the hearing, the lead agency shall specify whether it will adopt any of the baseline physical conditions for the reuse plan EIR and identify those conditions. The lead agency shall specify particular baseline physical conditions, if any, which it will examine in greater detail than they were examined in the EIS. Notice of the hearing shall be given pursuant to Section 15087. The hearing may be continued from time to time.
  - (3) Prior to the close of the hearing, the lead agency shall do all of the following:
    - (A) Specify the baseline physical conditions which it intends to adopt for the reuse plan EIR, and specify particular physical conditions, if any, which it will examine in greater detail than were examined in the EIS.
    - (B) State specifically how it intends to integrate its discussion of the baseline physical conditions in the EIR with the reuse planning process, taking into account the adopted environmental standards of the community, including but not limited to, the adopted general plan, specific plan or redevelopment plan, and including other applicable provisions of adopted congestion management plans, habitat conservation or natural communities conservation plans, air quality management plans, integrated waste management plans, and county hazardous waste management plans.
    - (C) State the specific economic or social reasons, including but not limited to, new job creation, opportunities for employment of skilled workers, availability of low and moderate-income housing, and economic continuity which support selection of the baseline physical conditions.
- (b) An EIR prepared under this section should identify any adopted baseline physical conditions in the environmental setting section. The baseline physical conditions should be cited in discussions of effects. The no-project alternative analyzed in an EIR prepared under this section shall discuss the conditions on the base as they exist at the time of preparation, as well as what

could be reasonably expected to occur in the foreseeable future if the reuse plan were not approved, based on current plans and consistent with available infrastructure and services.

- (c) All public and private activities taken pursuant to or in furtherance of a reuse plan for which an EIR was prepared and certified pursuant to this section shall be deemed to be a single project. A subsequent or supplemental EIR shall be required only if the lead agency determines that any of the circumstances described in Section 15162 or 15163 exist.
- (d) Limitations:
  - (1) Nothing in this section shall in any way limit the scope of review or determination of significance of the presence of hazardous or toxic wastes, substances, and materials, including but not limited to, contaminated soils and groundwater. The regulation of hazardous or toxic wastes, substances, and materials shall not be constrained by this section.
  - (2) This section does not apply to hazardous waste regulation and remediation projects undertaken pursuant to Chapter 6.5 (commencing with Section 25100) or Chapter 6.8 (commencing with Section 25300) of Division 20 of the Health and Safety Code or pursuant to the Porter-Cologne Water Quality Control Act (Water Code Section 13000, et seq.)
  - (3) All subsequent development at the military base or reservation shall be subject to all applicable federal, state, or local laws, including but not limited to, those relating to air quality, water quality, traffic, threatened and endangered species, noise, and hazardous or toxic wastes, substances, or materials.
- (e) “Reuse plan” means the initial plan for the reuse of military base adopted by a local government, including a redevelopment agency or joint powers authority, in the form of a general plan, general plan amendment, specific plan, redevelopment plan, or other planning document. For purposes of this section, a reuse plan also shall include a statement of development policies, a diagram or diagrams illustrating its provisions, including a designation of the proposed general distribution, location, and development intensity for housing, business, industry, open space, recreation, natural resources, public buildings and grounds, roads, and other transportation facilities, infrastructure, and other categories of proposed uses, whether public or private.
- (f) This section may be applied to any reuse plan EIR for which a notice of preparation is issued within one year from the date that the federal record of decision was rendered for the military base or reservation closure or realignment and reuse, or prior to January 1, 1997, whichever is later, but only if the EIR is completed and certified within five years from the date that the federal record of decision was rendered.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21083.1, Public Resources Code.

## Article 15. Litigation

### SECTIONS 15230 TO 15233

#### 15230. TIME LIMITS AND CRITERIA

Litigation under CEQA must be handled under the time limits and criteria described in Sections 21167 et seq. of the Public Resources Code and Section 15112 of these Guidelines in addition to provisions in this article.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21167 et seq., Public Resources Code.

## 15231. ADEQUACY OF EIR OR NEGATIVE DECLARATION FOR USE BY RESPONSIBLE AGENCIES

A final EIR prepared by a Lead Agency or a Negative Declaration adopted by a Lead Agency shall be conclusively presumed to comply with CEQA for purposes of use by Responsible Agencies which were consulted pursuant to sections 15072 or 15082 unless one of the following conditions occurs:

- (a) The EIR or Negative Declaration is finally adjudged in a legal proceeding not to comply with the requirements of CEQA, or
- (b) A subsequent EIR is made necessary by Section 15162 of these Guidelines.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.1, 21166, 21167.2, and 21167.3, Public Resources Code.

## 15232. REQUEST FOR HEARING

In a writ of mandate proceeding challenging approval of a project under CEQA, the petitioner shall, within 90 days of filing the petition, request a hearing or otherwise be subject to dismissal on the court's own motion or on the motion of any party to the suit.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21167.4, Public Resources Code.

## 15233. CONDITIONAL PERMITS

If a lawsuit is filed challenging an EIR or Negative Declaration for noncompliance with CEQA, Responsible Agencies shall act as if the EIR or Negative Declaration complies with CEQA and continue to process the application for the project according to the time limits for Responsible Agency action contained in Government Code Section 65952.

- (a) If an injunction or a stay has been granted in the lawsuit prohibiting the project from being carried out, the Responsible Agency shall have authority only to disapprove the project or to grant a conditional approval of the project. A conditional approval shall constitute permission to proceed with a project only when the court action results in a final determination that the EIR or Negative Declaration does comply with the provisions of CEQA (Public Resources Code Section 21167.3(a)).
- (b) If no injunction or stay is granted in the lawsuit, the Responsible Agency shall assume that the EIR or Negative Declaration fully meets the requirements of CEQA. The Responsible Agency shall approve or disapprove the project within the time limits described in Article 8, commencing with Section 15100, of these Guidelines and described in Government Code Section 65952. An approval granted by a Responsible Agency in this situation provides only permission to proceed with the project at the applicant's risk prior to a final decision in the lawsuit (Public Resources Code Section 21167.3(b)).

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21167.3, Public Resources Code; *Kriebel v. City Council* (1980) 112 Cal. App. 3d 693.

# Article 16. EIR Monitor

## SECTION 15240

### 15240. EIR MONITOR

The Secretary for Resources may provide for publication of a bulletin entitled "California EIR Monitor" on a subscription basis to provide public notice of amendments to the Guidelines, the

completion of draft EIRs, and other matters as deemed appropriate. Inquiries and subscription requests should be sent to the following address:

Secretary for Resources Attention: California EIR Monitor 1416 Ninth Street, Room 1311  
Sacramento, California 95814

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21088, Public Resources Code.

## Article 17. Exemption for Certified State Regulatory Programs

### SECTIONS 15250 TO 15253

#### 15250. GENERAL

Section 21080.5 of the Public Resources Code provides that a regulatory program of a state agency shall be certified by the Secretary for Resources as being exempt from the requirements for preparing EIRs, Negative Declarations, and Initial Studies if the Secretary finds that the program meets the criteria contained in that code section. A certified program remains subject to other provisions in CEQA such as the policy of avoiding significant adverse effects on the environment where feasible. This article provides information concerning certified programs.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.5, Public Resources Code.

#### 15251. LIST OF CERTIFIED PROGRAMS

The following programs of state regulatory agencies have been certified by the Secretary for Resources as meeting the requirements of Section 21080.5:

- (a) The regulation of timber harvesting operations by the California Department of Forestry and the State Board of Forestry pursuant to Chapter 8, commencing with Section 4511 of Part 2 of Division 4 of the Public Resources Code.
- (b) The regulatory program of the Fish and Game Commission pursuant to the Fish and Game Code.
- (c) The regulatory program of the California Coastal Commission and the regional coastal commissions dealing with the consideration and granting of coastal development permits under the California Coastal Act of 1976, Division 20 (commencing with Section 30000) of the Public Resources Code.
- (d) That portion of the regulatory program of the Air Resources Board which involves the adoption, approval, amendment, or repeal of standards, rules, regulations, or plans to be used in the regulatory program for the protection and enhancement of ambient air quality in California.
- (e) The regulatory program of the State Board of Forestry in adopting, amending, or repealing standards, rules, regulations, or plans under the Z'berg-Nejedly Forest Practice Act, Chapter 8 (commencing with Section 4511) of Part 2 of Division 4 of the Public Resources Code.
- (f) The program of the California Coastal Commission involving the preparation, approval, and certification of local coastal programs as provided in Sections 30500 through 30522 of the Public Resources Code.
- (g) The Water Quality Control (Basin)/208 Planning Program of the State Water Resources Control Board and the Regional Water Quality Control Boards.
- (h) The permit and planning programs of the San Francisco Bay Conservation and Development Commission under the McAtteer-Petris Act, Title 7.2 (commencing with Section 66600) of the Government Code; and the planning program of the San Francisco Bay Conservation and

Development Commission under Suisun Marsh Preservation Act, Division 19 (commencing with Section 29000) of the Public Resources Code.

- (i) The pesticide regulatory program administered by the Department of Pesticide Regulation and the county agricultural commissioners insofar as the program consists of:
  - (1) The registration, evaluation, and classification of pesticides.
  - (2) The adoption, amendment, or repeal of regulations and standards for the licensing and regulation of pesticide dealers and pest control operators and advisors.
  - (3) The adoption, amendment, or repeal of regulations for standards dealing with the monitoring of pesticides and of the human health and environmental effects of pesticides.
  - (4) The regulation of the use of pesticides in agricultural and urban areas of the state through the permit system administered by the county agricultural commissioners.
- (j) The power plant site certification program of the State Energy Resources Conservation and Development Commission under Chapter 6 of the Warren-Alquist Act, commencing with Public Resources Code Section 25500.
- (k) The regulatory program of the State Water Resources Control Board to establish instream beneficial use protection programs.
- (l) That portion of the regulatory program of the South Coast Air Quality Management District which involves the adoption, amendment, and repeal of regulations pursuant to the provisions of the Health and Safety Code.
- (m) The Program of the Delta Protection Commission involving the preparation and adoption of a Resources Management Plan for the Sacramento-San Joaquin Delta (Pub. Resources Code §29760 ff.), and the Commission's review and action on general plan amendments proposed by local governments to make their plans consistent with the provisions of the Commission's Resource Management Plan (Pub. Resources Code §29763.5).
- (n) The program of the Department of Fish and Game for the adoption of regulations under the Fish and Game Code.
- (o) The program of the Department of Fish and Game implementing the incidental take permit application process under the California Endangered Species Act ("CESA"), Fish and Game Code sections 2080 and 2081, and specifically the regulation governing the Department of Fish and Game's role as a "lead agency" when issuing incidental take permits, found at California Code of Regulations, Title 14, section 783.5(d).
- (p) The regulatory program of the Department of Fish and Game for review and approval of voluntary local programs for routine and ongoing agricultural activities, as authorized by the California Endangered Species Act, Fish and Game Code section 2086.

**Note:** Authority cited: Sections 21083 and 21080.5, Public Resources Code; Reference: Section 21080.5, Public Resources Code.

## 15252. SUBSTITUTE DOCUMENT

- (a) The document used as a substitute for an EIR or Negative Declaration in a certified program shall include at least the following items:
  - (1) A description of the proposed activity, and
  - (2) Either:
    - (A) Alternatives to the activity and mitigation measures to avoid or reduce any significant or potentially significant effects that the project might have on the environment, or
    - (B) A statement that the agency's review of the project showed that the project would not have any significant or potentially significant effects on the environment and therefore no alternatives or mitigation measures are proposed to avoid or reduce any significant

effects on the environment. This statement shall be supported by a checklist or other documentation to show the possible effects that the agency examined in reaching this conclusion.

- (b) The notice of the decision on the proposed activity shall be filed with the Secretary for Resources.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.5, Public Resources Code.

### 15253. USE OF AN EIR SUBSTITUTE BY A RESPONSIBLE AGENCY

- (a) An environmental analysis document prepared for a project under a certified program listed in Section 15251 shall be used by another agency granting an approval for the same project where the conditions in subdivision (b) have been met. In this situation, the certified agency shall act as Lead Agency, and the other permitting agencies shall act as Responsible Agencies using the certified agency's document.
- (b) The conditions under which a public agency shall act as a Responsible Agency when approving a project using an environmental analysis document prepared under a certified program in the place of an EIR or Negative Declaration are as follows:
- (1) The certified agency is the first agency to grant a discretionary approval for the project.
  - (2) The certified agency consults with the Responsible Agencies, but the consultation need not include the exchange of written notices.
  - (3) The environmental analysis document identifies:
    - (A) The significant environmental effects within the jurisdiction or special expertise of the Responsible Agency.
    - (B) Alternatives or mitigation measures that could avoid or reduce the severity of the significant environmental effects.
  - (4) Where written notices were not exchanged in the consultation process, the Responsible Agency was afforded the opportunity to participate in the review of the property by the certified agency in a regular manner designed to inform the certified agency of the concerns of the Responsible Agency before release of the EIR substitute for public review.
  - (5) The certified agency established a consultation period between the certified agency and the Responsible Agency that was at least as long as the period allowed for public review of the EIR substitute document.
  - (6) The certified agency exercised the powers of a Lead Agency by considering all the significant environmental effects of the project and making a finding under Section 15091 for each significant effect.
- (c) Certified agencies are not required to adjust their activities to meet the criteria in subdivision (b). Where a certified agency does not meet the criteria in subdivision (b):
- (1) The substitute document prepared by the agency shall not be used by other permitting agencies in the place of an EIR or Negative Declaration, and
  - (2) Any other agencies granting approvals for the project shall comply with CEQA in the normal manner. A permitting agency shall act as a Lead Agency and prepare an EIR or a Negative Declaration. Other permitting agencies, if any, shall act as Responsible Agencies and use the EIR or Negative Declaration prepared by the Lead Agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002.1(d), 21080.5, and 21165, Public Resources Code.

## Article 18. Statutory Exemptions

### SECTIONS 15260 TO 15285

#### 15260. GENERAL

This article describes the exemptions from CEQA granted by the Legislature. The exemptions take several forms. Some exemptions are complete exemptions from CEQA. Other exemptions apply to only part of the requirements of CEQA, and still other exemptions apply only to the timing of CEQA compliance.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b), Public Resources Code.

#### 15261. ONGOING PROJECT

- (a) If a project being carried out by a public agency was approved prior to November 23, 1970, the project shall be exempt from CEQA unless either of the following conditions exist:
- (1) A substantial portion of public funds allocated for the project have not been spent, and it is still feasible to modify the project to mitigate potentially adverse environmental effects, or to choose feasible alternatives to the project, including the alternative of “no project” or halting the project; provided that a project subject to the National Environmental Policy Act (NEPA) shall be exempt from CEQA as an on-going project if, under regulations promulgated under NEPA, the project would be too far advanced as of January 1, 1970, to require preparation of an EIS.
  - (2) A public agency proposes to modify the project in such a way that the project might have a new significant effect on the environment.
- (b) A private project shall be exempt from CEQA if the project received approval of a lease, license, certificate, permit, or other entitlement for use from a public agency prior to April 5, 1973, subject to the following provisions:
- (1) CEQA does not prohibit a public agency from considering environmental factors in connection with the approval or disapproval of a project, or from imposing reasonable fees on the appropriate private person or entity for preparing an environmental report under authority other than CEQA. Local agencies may require environmental reports for projects covered by this paragraph pursuant to local ordinances during this interim period.
  - (2) Where a project was approved prior to December 5, 1972, and prior to that date the project was legally challenged for noncompliance with CEQA, the project shall be bound by special rules set forth in Section 21170 of CEQA.
  - (3) Where a private project has been granted a discretionary governmental approval for part of the project before April 5, 1973, and another or additional discretionary governmental approvals after April 5, 1973, the project shall be subject to CEQA only if the approval or approvals after April 5, 1973, involve a greater degree of responsibility or control over the project as a whole than did the approval or approvals prior to that date.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21169, 21170, and 21171, Public Resources Code; *County of Inyo v. Yorty*, 32 Cal. App. 3d 795.

#### 15262. FEASIBILITY AND PLANNING STUDIES

A project involving only feasibility or planning studies for possible future actions which the agency, board, or commission has not approved, adopted, or funded does not require the preparation of an EIR or Negative Declaration but does require consideration of environmental factors. This section does not apply to the adoption of a plan that will have a legally binding effect on later activities.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21102 and 21150, Public Resources Code.

### 15263. DISCHARGE REQUIREMENTS

The State Water Resources Control Board and the regional boards are exempt from the requirement to prepare an EIR or a Negative Declaration prior to the adoption of waste discharge requirements, except requirements for new sources as defined in the Federal Water Pollution Control Act or in other acts which amend or supplement the Federal Water Pollution Control Act. The term “waste discharge requirements” as used in this section is the equivalent of the term “permits” as used in the Federal Water Pollution Control Act.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 13389, Water Code.

### 15264. TIMBERLAND PRESERVES

Local agencies are exempt from the requirement to prepare an EIR or Negative Declaration on the adoption of timberland preserve zones under Government Code Sections 51100 et seq. (Gov. Code, Sec. 51119).

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Government Code Section 51119, Government Code.

### 15265. ADOPTION OF COASTAL PLANS AND PROGRAMS

- (a) CEQA does not apply to activities and approvals pursuant to the California Coastal Act (commencing with Section 30000 of the Public Resources Code) by:
- (1) Any local government, as defined in Section 30109 of the Public Resources Code, necessary for the preparation and adoption of a local coastal program, or
  - (2) Any state university or college, as defined in Section 30119, as necessary for the preparation and adoption of a long-range land use development plan.
- (b) CEQA shall apply to the certification of a local coastal program or long-range land use development plan by the California Coastal Commission.
- (c) This section shifts the burden of CEQA compliance from the local agency or the state university or college to the California Coastal Commission. The Coastal Commission’s program of certifying local coastal programs and long-range land use development plans has been certified under Section 21080.5, Public Resources Code. See: Section 15192.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.9, Public Resources Code.

### 15266. GENERAL PLAN TIME EXTENSION

CEQA shall not apply to the granting of an extension of time by the Office of Planning and Research to a city or county for the preparation and adoption of one or more elements of a city or county general plan.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.10(a), Public Resources Code.

### 15267. FINANCIAL ASSISTANCE TO LOW OR MODERATE INCOME HOUSING

CEQA does not apply to actions taken by the Department of and Community Development to provide financial assistance for the development and construction of residential housing for persons and families of low or moderate income, as defined in Section 50093 of the Health and Safety Code. The residential project which is the subject of the application for financial assistance will be subject to CEQA when approvals are granted by another agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.10(b), Public Resources Code.

### 15268. MINISTERIAL PROJECTS

- (a) Ministerial projects are exempt from the requirements of CEQA. The determination of what is “ministerial” can most appropriately be made by the particular public agency involved based upon its analysis of its own laws, and each public agency should make such determination either as a part of its implementing regulations or on a case-by-case basis.
- (b) In the absence of any discretionary provision contained in the local ordinance or other law establishing the requirements for the permit, license, or other entitlement for use, the following actions shall be presumed to be ministerial:
  - (1) Issuance of building permits.
  - (2) Issuance of business licenses.
  - (3) Approval of final subdivision maps.
  - (4) Approval of individual utility service connections and disconnections.
- (c) Each public agency should, in its implementing regulations or ordinances, provide an identification or itemization of its projects and actions which are deemed ministerial under the applicable laws and ordinances.
- (d) Where a project involves an approval that contains elements of both a ministerial action and a discretionary action, the project will be deemed to be discretionary and will be subject to the requirements of CEQA.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b)(1), Public Resources Code; *Day v. City of Glendale*, 51 Cal. App. 3d 817.

### 15269. EMERGENCY PROJECTS

The following emergency projects are exempt from the requirements of CEQA.

- (a) Projects to maintain, repair, restore, demolish, or replace property or facilities damaged or destroyed as a result of a disaster in a disaster stricken area in which a state of emergency has been proclaimed by the Governor pursuant to the California Emergency Services Act, commencing with Section 8550 of the Government Code. This includes projects that will remove, destroy, or significantly alter an historical resource when that resource represents an imminent threat to the public of bodily harm or of damage to adjacent property or when the project has received a determination by the State Office of Historic Preservation pursuant to Section 5028(b) of Public Resources Code.
- (b) Emergency repairs to publicly or privately owned service facilities necessary to maintain service essential to the public health, safety or welfare.
- (c) Specific actions necessary to prevent or mitigate an emergency. This does not include long-term projects undertaken for the purpose of preventing or mitigating a situation that has a low probability of occurrence in the short-term.
- (d) Projects undertaken, carried out, or approved by a public agency to maintain, repair, or restore an existing highway damaged by fire, flood, storm, earthquake, land subsidence, gradual earth movement, or landslide, provided that the project is within the existing right of way of that highway and is initiated within one year of the damage occurring. This exemption does not apply to highways designated as official state scenic highways, nor any project undertaken, carried out, or approved by a public agency to expand or widen a highway damaged by fire, flood, storm, earthquake, land subsidence, gradual earth movement, or landslide.
- (e) Seismic work on highways and bridges pursuant to Section 180.2 of the Streets and Highways Code, Section 180 et seq.

**Note:** Authority: Section 21083, Public Resources Code; Reference: Sections 21080(b)(2), (3), and (4), 21080.33 and 21172, Public Resources Code; *Castaic Lake Water Agency v. City of Santa Clarita* (1995) 41 Cal.App.4th 1257; and *Western Municipal Water District of Riverside County v. Superior Court of San Bernardino County* (1987) 187 Cal.App.3d 1104.

### 15270. PROJECTS WHICH ARE DISAPPROVED

- (a) CEQA does not apply to projects which a public agency rejects or disapproves.
- (b) This section is intended to allow an initial screening of projects on the merits for quick disapprovals prior to the initiation of the CEQA process where the agency can determine that the project cannot be approved.
- (c) This section shall not relieve an applicant from paying the costs for an EIR or Negative Declaration prepared for his project prior to the Lead Agency's disapproval of the project after normal evaluation and processing.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b)(5), Public Resources Code.

### 15271. EARLY ACTIVITIES RELATED TO THERMAL POWER PLANTS

- (a) CEQA does not apply to actions undertaken by a public agency relating to any thermal power plant site or facility including the expenditure, obligation, or encumbrance of funds by a public agency for planning, engineering, or design purposes, or for the conditional sale or purchase of equipment, fuel, water (except groundwater), steam, or power for such a thermal power plant, if the thermal power plant site and related facility will be the subject of an EIR or Negative Declaration or other document or documents prepared pursuant to a regulatory program certified pursuant to Public Resources Code Section 21080.5, which will be prepared by:
  - (1) The State Energy Resources Conservation and Development Commission,
  - (2) The Public Utilities Commission, or
  - (3) The city or county in which the power plant and related facility would be located.
- (b) The EIR, Negative Declaration, or other document prepared for the thermal power plant site or facility, shall include the environmental impact, if any, of the early activities described in this section.
- (c) This section acts to delay the timing of CEQA compliance from the early activities of a utility to the time when a regulatory agency is requested to approve the thermal power plant and shifts the responsibility for preparing the document to the regulatory agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 15080(b)(6), Public Resources Code.

### 15272. OLYMPIC GAMES

CEQA does not apply to activities or approvals necessary to the bidding for, hosting or staging of, and funding or carrying out of, Olympic Games under the authority of the International Olympic Committee, except for the construction of facilities necessary for such Olympic Games. If the facilities are required by the International Olympic Committee as a condition of being awarded the Olympic Games, the Lead Agency need not discuss the "no project" alternative in an EIR with respect to those facilities.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b)(7), Public Resources Code.

### 15273. RATES, TOLLS, FARES, AND CHARGES

- (a) CEQA does not apply to the establishment, modification, structuring, restructuring, or approval of rates, tolls, fares, or other charges by public agencies which the public agency finds are for the purpose of:
- (1) Meeting operating expenses, including employee wage rates and fringe benefits,
  - (2) Purchasing or leasing supplies, equipment, or materials,
  - (3) Meeting financial reserve needs and requirements,
  - (4) Obtaining funds for capital projects, necessary to maintain service within existing service areas, or
  - (5) Obtaining funds necessary to maintain such intra-city transfers as are authorized by city charter.
- (b) Rate increases to fund capital projects for the expansion of a system remain subject to CEQA. The agency granting the rate increase shall act either as the Lead Agency if no other agency has prepared environmental documents for the capital project or as a Responsible Agency if another agency has already complied with CEQA as the Lead Agency.
- (c) The public agency shall incorporate written findings in the record of any proceeding in which an exemption under this section is claimed setting forth with specificity the basis for the claim of exemption.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b)(8), Public Resources Code.

### 15274. FAMILY DAY CARE HOMES

- (a) CEQA does not apply to establishment or operation of a large family day care home, which provides in-home care for up to fourteen children, as defined in Section 1596.78 of the Health and Safety Code.
- (b) Under the Health and Safety Code, local agencies cannot require use permits for the establishment or operation of a small family day care home, which provides in-home care for up to eight children, and the establishment or operation of a small family day care home is a ministerial action which is not subject to CEQA.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21083, Public Resources Code.

### 15275. SPECIFIED MASS TRANSIT PROJECTS

CEQA does not apply to the following mass transit projects:

- (a) The institution or increase of passenger or commuter service on rail lines or high-occupancy vehicle lanes already in use, including the modernization of existing stations and parking facilities;
- (b) Facility extensions not to exceed four miles in length which are required for transfer of passengers from or to exclusive public mass transit guideway or busway public transit services.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b)(11), (12), and (13), Public Resources Code.

### 15276. TRANSPORTATION IMPROVEMENT AND CONGESTION MANAGEMENT PROGRAMS

- (a) CEQA does not apply to the development or adoption of a regional transportation improvement program or the state transportation improvement program. Individual projects developed pursuant to these programs shall remain subject to CEQA.

- (b) CEQA does not apply to preparation and adoption of a congestion management program by a county congestion management agency pursuant to Government Code Section 65089, et seq.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b)(13), Public Resources Code.

### 15277. PROJECTS LOCATED OUTSIDE CALIFORNIA

CEQA does not apply to any project or portion thereof located outside of California which will be subject to environmental impact review pursuant to the National Environmental Policy Act of 1969 or pursuant to a law of that state requiring preparation of a document containing essentially the same points of analysis as in an Environmental Impact Statement prepared under the National Environmental Policy Act of 1969. Any emissions or discharges that would have a significant effect on the environment in the State of California are subject to CEQA where a California public agency has authority over the emissions or discharges.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b)(15), Public Resources Code; 58 Opinions of the California Attorney General 614 (S.O. 75/50).

### 15278. APPLICATION OF COATINGS

- (a) CEQA does not apply to a discretionary decision by an air quality management district for a project consisting of the application of coatings within an existing facility at an automotive manufacturing plant if the district finds all of the following:
- (1) The project will not cause a net increase in any emissions of any pollutant for which a national or state ambient air quality standard has been established after the internal emission accounting for previous emission reductions achieved at the facility and recognized by the district.
  - (2) The project will not cause a net increase in adverse impacts of toxic air contaminants as determined by a health risk assessment. The term "net increase in adverse impacts of toxic air contaminants as determined by a health risk assessment" shall be determined in accordance with the rules and regulations of the district.
  - (3) The project will not cause any other adverse effect on the environment.
- (b) The district shall provide a 10-day notice, at the time of the issuance of the permit, of any such exemption. Notice shall be published in two newspapers of general circulation in the area of the project and shall be mailed to any person who makes a written request for such a notice. The notice shall state that the complete file on the project and the basis for the district's findings of exemption are available for inspection and copying at the office of the district.
- (c) Any person may appeal the issuance of a permit based on an exemption under subdivision (a) to the hearing board as provided in Section 42302.1 of the Health and Safety Code. The permit shall be revoked by the hearing board if there is substantial evidence in light of the whole record before the board that the project may not satisfy one or more of the criteria established pursuant to subdivision (a). If there is no such substantial evidence, the exemption shall be upheld. Any appeal under this subdivision shall be scheduled for hearing on the calendar of the hearing board within 10 working days of the appeal being filed. The hearing board shall give the appeal priority on its calendar and shall render a decision on the appeal within 21 working days of the appeal being filed. The hearing board may delegate the authority to hear and decide such an appeal to a subcommittee of its body.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Chapter 1131, Statutes of 1993, Section 1.

15279. [DELETED]

15280. [DELETED]

### 15281. AIR QUALITY PERMITS

CEQA does not apply to the issuance, modification, amendment, or renewal of any permit by an air pollution control district or air quality management district pursuant to Title V, as defined in Section 39053.3 of the Health and Safety Code, or pursuant to an air district Title V program established under Sections 42301.10, 42301.11, and 42301.12 of the Health and Safety Code, unless the issuance, modification, amendment, or renewal authorizes a physical or operational change to a source or facility.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.24, Public Resources Code.

### 15282. OTHER STATUTORY EXEMPTIONS

The following is a list of existing statutory exemptions. Each subdivision summarizes statutory exemptions found in the California Code. Lead agencies are not to rely on the language contained in the summaries below but must rely on the actual statutory language that creates the exemption. This list is intended to assist lead agencies in finding them, but not as a substitute for them. This section is merely a reference tool.

- (a) The notification of discovery of Native American burial sites as set forth in Section 5097.98(c) of the Public Resources Code.
- (b) Specified prison facilities as set forth in Sections 21080.01, 21080.02, 21080.03 and 21080.07 of the Public Resources Code.
- (c) The lease or purchase of the rail right-of-way used for the San Francisco Peninsula commute service between San Francisco and San Jose as set forth in Section 21080.05 of the Public Resources Code.
- (d) Any activity or approval necessary for or incidental to project funding or authorization for the expenditure of funds for the project, by the Rural Economic Development Infrastructure Panel as set forth in Section 21080.08 of the Public Resources Code.
- (e) The conversion of an existing rental mobilehome park to a resident initiated subdivision, cooperative, or condominium for mobilehomes as set forth in Section 21080.8 of the Public Resources Code.
- (f) Settlements of title and boundary problems by the State Lands Commission and to exchanges or leases in connection with those settlements as set forth in Section 21080.11 of the Public Resources Code.
- (g) Any railroad grade separation project which eliminates an existing grade crossing or which reconstructs an existing grade separation as set forth in Section 21080.13 of the Public Resources Code.
- (h) The adoption of an ordinance regarding second units in a single-family or multifamily residential zone by a city or county to implement the provisions of Sections 65852.1 and 65852.2 of the Government Code as set forth in Section 21080.17 of the Public Resources Code.
- (i) The closing of any public school or the transfer of students from that public school to another school in which kindergarten or any grades 1 through 12 is maintained as set forth in 21080.18 of the Public Resources Code.
- (j) A project for restriping streets or highways to relieve traffic congestion as set forth in Section 21080.19 of the Public Resources Code.

- (k) The installation of new pipeline or maintenance, repair, restoration, removal, or demolition of an existing pipeline as set forth in Section 21080.21 of the Public Resources Code, as long as the project does not exceed one mile in length.
- (l) The activities and approvals by a local government necessary for the preparation of general plan amendments pursuant to Public Resources Code §29763 as set forth in Section 21080.22 of the Public Resources Code. Section 29763 of the Public Resources Code refers to local government amendments made for consistency with the Delta Protection Commission's regional plan.
- (m) Minor alterations to utilities made for the purposes of complying with Sections 116410 and 116415 of the Health and Safety Code as set forth in Section 21080.26 of the Public Resources Code.
- (n) The adoption of an ordinance exempting a city or county from the provisions of the Solar Shade Control Act as set forth in Section 25985 of the Public Resources Code.
- (o) The acquisition of land by the Department of Transportation if received or acquired within a statewide or regional priority corridor designated pursuant to Section 65081.3 of the Government Code as set forth in Section 33911 of the Public Resources Code.
- (p) The adoption or amendment of a nondisposal facility element as set forth in Section 41735 of the Public Resources Code.
- (q) Cooperative agreements for the development of Solid Waste Management Facilities on Indian country as set forth in Section 44203(g) of the Public Resources Code.
- (r) Determinations made regarding a city or county's regional housing needs as set forth in Section 65584 of the Government Code.
- (s) Any action necessary to bring a general plan or relevant mandatory element of the general plan into compliance pursuant to a court order as set forth in Section 65759 of the Government Code.
- (t) Industrial Development Authority activities as set forth in Section 91543 of the Government Code.
- (u) Temporary changes in the point of diversion, place of use, of purpose of use due to a transfer or exchange of water or water rights as set forth in Section 1729 of the Water Code.
- (v) The preparation and adoption of Urban Water Management Plans pursuant to the provisions of Section 10652 of the Water Code.

**Note:** Authority: Section 21083, Public Resources Code; References: Sections 5097.98(c), 21080.01, 21080.02, 21080.03, 21080.05, 21080.08, 21080.7, 21080.8, 21080.11, 21080.13, 21080.17, 21080.18, 21080.19, 21080.21, 21080.22, 21080.26, 25985, 33911, 41735, and 44203(g), Public Resources Code.

### 15283. HOUSING NEEDS ALLOCATION

CEQA does not apply to regional housing needs determinations made by the Department of Housing and Community Development, a council of governments, or a city or county pursuant to Section 65584 of the Government Code.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 65584, Government Code.

### 15284. PIPELINES

- (a) CEQA does not apply to any project consisting of the inspection, maintenance, repair, restoration, reconditioning, relocation, replacement, or removal of an existing hazardous or volatile liquid pipeline or any valve, flange, meter, or other piece of equipment that is directly attached to the pipeline.

- (b) To qualify for this exemption, the diameter of the affected pipeline must not be increased and the project must be located outside the boundaries of an oil refinery. The project must also meet all of the following criteria:
- (1) The affected section of pipeline is less than eight miles in length and actual construction and excavation activities are not undertaken over a length of more than one-half mile at a time.
  - (2) The affected section of pipeline is not less than eight miles distance from any section of pipeline that had been subject to this exemption in the previous 12 months.
  - (3) The project is not solely for the purpose of excavating soil that is contaminated by hazardous materials.
  - (4) To the extent not otherwise required by law, the person undertaking the project has, in advance of undertaking the project, prepared a plan that will result in notification of the appropriate agencies so that they may take action, if necessary, to provide for the emergency evacuation of members of the public who may be located in close proximity to the project, and those agencies, including but not limited to the local fire department, police, sheriff, and California Highway Patrol as appropriate, have reviewed and agreed to that plan.
  - (5) Project activities take place within an existing right-of-way and that right-of-way will be restored to its pre-project condition upon completion of the project.
  - (6) The project applicant will comply with all conditions otherwise authorized by law, imposed by the city or county as part of any local agency permit process, and to comply with the Keene-Nejedly California Wetlands Preservation Act (Public Resources Code Section 5810, et seq.), the California Endangered Species Act (Fish and Game Code Section 2050, et seq.), other applicable state laws, and all applicable federal laws.
- (c) When the lead agency determines that a project meets all of the criteria of subdivisions (a) and (b), the party undertaking the project shall do all of the following:
- (1) Notify in writing all responsible and trustee agencies, as well as any public agency with environmental, public health protection, or emergency response authority, of the lead agency's invocation of this exemption.
  - (2) Mail notice of the project to the last known name and address of all organizations and individuals who have previously requested such notice and notify the public in the affected area by at least one of the following procedures:
    - (A) Publication at least one time in a newspaper of general circulation in the area affected by the proposed project. If more than one area is affected, the notice shall be published in the newspaper of largest circulation from among the newspapers of general circulation in those areas.
    - (B) Posting of notice on and off site in the area where the project is to be located.
    - (C) Direct mailing to the owners and occupants of contiguous property shown on the latest equalized assessment roll.

The notice shall include a brief description of the proposed project and its location, and the date, time, and place of any public meetings or hearings on the proposed project. This notice may be combined with the public notice required under other law, as applicable, but shall meet the preceding minimum requirements.
  - (3) In the case of private rights-of-way over private property, receive from the underlying property owner permission for access to the property.
  - (4) Immediately inform the lead agency if any soil contaminated with hazardous materials is discovered.

- (5) Comply with all conditions otherwise authorized by law, imposed by the city or county as part of any local agency permit process, and to comply with the Keene-Nejedly California Wetlands Preservation Act (Public Resources Code Section 5810, et seq.), the California Endangered Species Act (Fish and Game Code Section 2050, et seq.), other applicable state laws, and all applicable federal laws.
- (d) For purposes of this section, “pipeline” is used as defined in subdivision (a) of Government Code Section 51010.5. This definition includes every intrastate pipeline used for the transportation of hazardous liquid substances or highly volatile liquid substances, including a common carrier pipeline, and all piping containing those substances located within a refined products bulk loading facility which is owned by a common carrier and is served by a pipeline of that common carrier, and the common carrier owns and serves by pipeline at least five such facilities in California.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.23, Public Resources Code.

### 15285. TRANSIT AGENCY RESPONSES TO REVENUE SHORTFALLS

- (a) CEQA does not apply to actions taken on or after July 1, 1995 to implement budget reductions made by a publicly owned transit agency as a result of a fiscal emergency caused by the failure of agency revenues to adequately fund agency programs and facilities. Actions shall be limited to those directly undertaken by or financially supported in whole or in part by the transit agency pursuant to Section 15378(a)(1) or (2), including actions which reduce or eliminate the availability of an existing publicly owned transit service, facility, program, or activity.
- (b) When invoking this exemption, the transit agency shall make a specific finding that there is a fiscal emergency. Before taking its proposed budgetary actions and making the finding of fiscal emergency, the transit agency shall hold a public hearing. After this public hearing, the transit agency shall respond within 30 days at a regular public meeting to suggestions made by the public at that initial hearing. The transit agency may make the finding of fiscal emergency only after it has responded to public suggestions.
- (c) For purposes of this subdivision, “fiscal emergency” means that the transit agency is projected to have negative working capital within one year from the date that the agency finds that a fiscal emergency exists. “Working capital” is defined as the sum of all unrestricted cash, unrestricted short-term investments, and unrestricted short-term accounts receivable, minus unrestricted accounts payable. Employee retirements funds, including deferred compensation plans and Section 401(k) plans, health insurance reserves, bond payment reserves, workers’ compensation reserves, and insurance reserves shall not be included as working capital.
- (d) This exemption does not apply to the action of any publicly owned transit agency to reduce or eliminate a transit service, facility, program, or activity that was approved or adopted as a mitigation measure in any environmental document certified or adopted by any public agency under either CEQA or NEPA. Further, it does not apply to actions of the Los Angeles County Metropolitan Transportation Authority.

**Note:** Authority cited: Sections Section 21083, Public Resources Code; References: Sections 21080 and 21080.32, Public Resources Code.

## Article 19. Categorical Exemptions

### SECTIONS 15300 TO 15332

#### 15300. CATEGORICAL EXEMPTIONS

Section 21084 of the Public Resources Code requires these Guidelines to include a list of classes of projects which have been determined not to have a significant effect on the environment and which shall, therefore, be exempt from the provisions of CEQA.

In response to that mandate, the Secretary for Resources has found that the following classes of projects listed in this article do not have a significant effect on the environment, and they are declared to be categorically exempt from the requirement for the preparation of environmental documents.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

#### 15300.1. RELATION TO MINISTERIAL PROJECTS

Section 21080 of the Public Resources Code exempts from the application of CEQA those projects over which public agencies exercise only ministerial authority. Since ministerial projects are already exempt, categorical exemptions should be applied only where a project is not ministerial under a public agency's statutes and ordinances. The inclusion of activities which may be ministerial within the classes and examples contained in this article shall not be construed as a finding by the Secretary for Resources that such an activity is discretionary.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

#### 15300.2. EXCEPTIONS

- (a) Location. Classes 3, 4, 5, 6, and 11 are qualified by consideration of where the project is to be located – a project that is ordinarily insignificant in its impact on the environment may in a particularly sensitive environment be significant. Therefore, these classes are considered to apply all instances, except where the project may impact on an environmental resource of hazardous or critical concern where designated, precisely mapped, and officially adopted pursuant to law by federal, state, or local agencies.
- (b) Cumulative Impact. All exemptions for these classes are inapplicable when the cumulative impact of successive projects of the same type in the same place, over time is significant.
- (c) Significant Effect. A categorical exemption shall not be used for an activity where there is a reasonable possibility that the activity will have a significant effect on the environment due to unusual circumstances.
- (d) Scenic Highways. A categorical exemption shall not be used for a project which may result in damage to scenic resources, including but not limited to, trees, historic buildings, rock outcroppings, or similar resources, within a highway officially designated as a state scenic highway. This does not apply to improvements which are required as mitigation by an adopted negative declaration or certified EIR.
- (e) Hazardous Waste Sites. A categorical exemption shall not be used for a project located on a site which is included on any list compiled pursuant to Section 65962.5 of the Government Code.
- (f) Historical Resources. A categorical exemption shall not be used for a project which may cause a substantial adverse change in the significance of a historical resource.

**Note:** Authority cited: Section 21083, Public Resources Code; References: Sections 21084 and 21084.1, Public Resources Code; *Wildlife Alive v. Chickering* (1977) 18 Cal.3d 190; *League for*

*Protection of Oakland's Architectural and Historic Resources v. City of Oakland* (1997) 52 Cal.App.4th 896; *Citizens for Responsible Development in West Hollywood v. City of West Hollywood* (1995) 39 Cal.App.4th 925; *City of Pasadena v. State of California* (1993) 14 Cal.App.4th 810; *Association for the Protection etc. Values v. City of Ukiah* (1991) 2 Cal.App.4th 720; and *Baird v. County of Contra Costa* (1995) 32 Cal.App.4th 1464

### 15300.3. REVISIONS TO LIST OF CATEGORICAL EXEMPTIONS

A public agency may, at any time, request that a new class of categorical exemptions be added, or an existing one amended or deleted. This request must be made in writing to the Office of Planning and Research and shall contain detailed information to support the request. The granting of such request shall be by amendment to these Guidelines.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15300.4. APPLICATION BY PUBLIC AGENCIES

Each public agency shall, in the course of establishing its own procedures, list those specific activities which fall within each of the exempt classes, subject to the qualification that these lists must be consistent with both the letter and the intent expressed in the classes. Public agencies may omit from their implementing procedures classes and examples that do not apply to their activities, but they may not require EIRs for projects described in the classes and examples in this article except under the provisions of Section 15300.2.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15301. EXISTING FACILITIES

Class 1 consists of the operation, repair, maintenance, permitting, leasing, licensing, or minor alteration of existing public or private structures, facilities, mechanical equipment, or topographical features, involving negligible or no expansion of use beyond that existing at the time of the lead agency's determination. The types of "existing facilities" itemized below are not intended to be all-inclusive of the types of projects which might fall within Class 1. The key consideration is whether the project involves negligible or no expansion of an existing use. Examples include but are not limited to:

- (a) Interior or exterior alterations involving such things as interior partitions, plumbing, and electrical conveyances;
- (b) Existing facilities of both investor and publicly owned utilities used to provide electric power, natural gas, sewerage, or other public utility services;
- (c) Existing highways and streets, sidewalks, gutters, bicycle and pedestrian trails, and similar facilities (this includes road grading for the purpose of public safety).
- (d) Restoration or rehabilitation of deteriorated or damaged structures, facilities, or mechanical equipment to meet current standards of public health and safety, unless it is determined that the damage was substantial and resulted from an environmental hazard such as earthquake, landslide, or flood;
- (e) Additions to existing structures provided that the addition will not result in an increase of more than:
  - (1) 50 percent of the floor area of the structures before the addition, or 2,500 square feet, whichever is less; or
  - (2) 10,000 square feet if:
    - (A) The project is in an area where all public services and facilities are available to allow for maximum development permissible in the General Plan and

- (B) The area in which the project is located is not environmentally sensitive.
- (f) Addition of safety or health protection devices for use during construction of or in conjunction with existing structures, facilities, or mechanical equipment, or topographical features including navigational devices;
  - (g) New copy on existing on and off-premise signs;
  - (h) Maintenance of existing landscaping, native growth, and water supply reservoirs (excluding the use of pesticides, as defined in Section 12753, Division 7, Chapter 2, Food and Agricultural Code);
  - (i) Maintenance of fish screens, fish ladders, wildlife habitat areas, artificial wildlife waterway devices, streamflows, springs and waterholes, and stream channels (clearing of debris) to protect fish and wildlife resources;
  - (j) Fish stocking by the California Department of Fish and Game;
  - (k) Division of existing multiple family or single-family residences into common-interest ownership and subdivision of existing commercial or industrial buildings, where no physical changes occur which are not otherwise exempt;
  - (l) Demolition and removal of individual small structures listed in this subdivision:
    - (1) One single-family residence. In urbanized areas, up to three single-family residences may be demolished under this exemption.
    - (2) A duplex or similar multifamily residential structure. In urbanized areas, this exemption applies to duplexes and similar structures where not more than six dwelling units will be demolished.
    - (3) A store, motel, office, restaurant, or similar small commercial structure if designed for an occupant load of 30 persons or less. In urbanized areas, the exemption also applies to the demolition of up to three such commercial buildings on sites zoned for such use.
    - (4) Accessory (appurtenant) structures including garages, carports, patios, swimming pools, and fences.
  - (m) Minor repairs and alterations to existing dams and appurtenant structures under the supervision of the Department of Water Resources.
  - (n) Conversion of a single family residence to office use.
  - (o) Installation, in an existing facility occupied by a medical waste generator, of a steam sterilization unit for the treatment of medical waste generated by that facility provided that the unit is installed and operated in accordance with the Medical Waste Management Act (Section 117600, et seq., of the Health and Safety Code) and accepts no offsite waste.
  - (p) Use of a single-family residence as a small family day care home, as defined in Section 1596.78 of the Health and Safety Code.

**Note:** Authority cited: Section 21083, Public Resources Code; References: Sections 21084, Public Resources Code; *Bloom v. McGurk* (1994) 26 Cal.App.4th 1307.

### 15302. REPLACEMENT OR RECONSTRUCTION

Class 2 consists of replacement or reconstruction of existing structures and facilities where the new structure will be located on the same site as the structure replaced and will have substantially the same purpose and capacity as the structure replaced, including but not limited to:

- (a) Replacement or reconstruction of existing schools and hospitals to provide earthquake resistant structures which do not increase capacity more than 50 percent.
- (b) Replacement of a commercial structure with a new structure of substantially the same size, purpose, and capacity.

- (c) Replacement or reconstruction of existing utility systems and/or facilities involving negligible or no expansion of capacity.
- (d) Conversion of overhead electric utility distribution system facilities to underground including connection to existing overhead electric utility distribution lines where the surface is restored to the condition existing prior to the undergrounding.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15303. NEW CONSTRUCTION OR CONVERSION OF SMALL STRUCTURES

Class 3 consists of construction and location of limited numbers of new, small facilities or structures; installation of small new equipment and facilities in small structures; and the conversion of existing small structures from one use to another where only minor modifications are made in the exterior of the structure. The numbers of structures described in this section are the maximum allowable on any legal parcel. Examples of this exemption include, but are not limited to:

- (a) One single-family residence, or a second dwelling unit in a residential zone. In urbanized areas, up to three single-family residences may be constructed or converted under this exemption.
- (b) A duplex or similar multi-family residential structure, totaling no more than four dwelling units. In urbanized areas, this exemption applies to apartments, duplexes and similar structures designed for not more than six dwelling units.
- (c) A store, motel, office, restaurant or similar structure not involving the use of significant amounts of hazardous substances, and not exceeding 2500 square feet in floor area. In urbanized areas, the exemption also applies to up to four such commercial buildings not exceeding 10,000 square feet in floor area on sites zoned for such use if not involving the use of significant amounts of hazardous substances where all necessary public services and facilities are available and the surrounding area is not environmentally sensitive.
- (d) Water main, sewage, electrical, gas, and other utility extensions, including street improvements, of reasonable length to serve such construction.
- (e) Accessory (appurtenant) structures including garages, carports, patios, swimming pools, and fences.
- (f) An accessory steam sterilization unit for the treatment of medical waste at a facility occupied by a medical waste generator, provided that the unit is installed and operated in accordance with the Medical Waste Management Act (Section 117600, et seq., of the Health and Safety Code) and accepts no offsite waste.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21084, Public Resources Code.

### 15304. MINOR ALTERATIONS TO LAND

Class 4 consists of minor public or private alterations in the condition of land, water, and/or vegetation which do not involve removal of healthy, mature, scenic trees except for forestry or agricultural purposes. Examples include, but are not limited to:

- (a) Grading on land with a slope of less than 10 percent, except that grading shall not be exempt in a waterway, in any wetland, in an officially designated (by federal, state, or local government action) scenic area, or in officially mapped areas of severe geologic hazard such as an Alquist-Priolo Earthquake Fault Zone or within an official Seismic Hazard Zone, as delineated by the State Geologist.
- (b) New gardening or landscaping, including the replacement of existing conventional landscaping with water efficient or fire resistant landscaping.

- (c) Filling of earth into previously excavated land with material compatible with the natural features of the site;
- (d) Minor alterations in land, water, and vegetation on existing officially designated wildlife management areas or fish production facilities which result in improvement of habitat for fish and wildlife resources or greater fish production;
- (e) Minor temporary use of land having negligible or no permanent effects on the environment, including carnivals, sales of Christmas trees, etc;
- (f) Minor trenching and backfilling where the surface is restored;
- (g) Maintenance dredging where the spoil is deposited in a spoil area authorized by all applicable state and federal regulatory agencies;
- (h) The creation of bicycle lanes on existing rights-of-way.
- (i) Fuel management activities within 30 feet of structures to reduce the volume of flammable vegetation, provided that the activities will not result in the taking of endangered, rare, or threatened plant or animal species or significant erosion and sedimentation of surface waters. This exemption shall apply to fuel management activities within 100 feet of a structure if the public agency having fire protection responsibility for the area has determined that 100 feet of fuel clearance is required due to extra hazardous fire conditions.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15305. MINOR ALTERATIONS IN LAND USE LIMITATIONS

Class 5 consists of minor alterations in land use limitations in areas with an average slope of less than 20%, which do not result in any changes in land use or density, including but not limited to:

- (a) Minor lot line adjustments, side yard, and set back variances not resulting in the creation of any new parcel;
- (b) Issuance of minor encroachment permits;
- (c) Reversion to acreage in accordance with the Subdivision Map Act.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15306. INFORMATION COLLECTION

Class 6 consists of basic data collection, research, experimental management, and resource evaluation activities which do not result in a serious or major disturbance to an environmental resource. These may be strictly for information gathering purposes, or as part of a study leading to an action which a public agency has not yet approved, adopted, or funded.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15307. ACTIONS BY REGULATORY AGENCIES FOR PROTECTION OF NATURAL RESOURCES

Class 7 consists of actions taken by regulatory agencies as authorized by state law or local ordinance to assure the maintenance, restoration, or enhancement of a natural resource where the regulatory process involves procedures for protection of the environment. Examples include but are not limited to wildlife preservation activities of the State Department of Fish and Game. Construction activities are not included in this exemption.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15308. ACTIONS BY REGULATORY AGENCIES FOR PROTECTION OF THE ENVIRONMENT

Class 8 consists of actions taken by regulatory agencies, as authorized by state or local ordinance, to assure the maintenance, restoration, enhancement, or protection of the environment where the regulatory process involves procedures for protection of the environment. Construction activities and relaxation of standards allowing environmental degradation are not included in this exemption.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code; *International Longshoremen's and Warehousemen's Union v. Board of Supervisors*, (1981) 116 Cal. App. 3d 265.

### 15309. INSPECTIONS

Class 9 consists of activities limited entirely to inspections, to check for performance of an operation, or quality, health, or safety of a project, including related activities such as inspection for possible mislabeling, misrepresentation, or adulteration of products.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15310. LOANS

Class 10 consists of loans made by the Department of Veterans Affairs under the Veterans Farm and Home Purchase Act of 1943, mortgages for the purchase of existing structures where the loan will not be used for new construction and the purchase of such mortgages by financial institutions. Class 10 includes but is not limited to the following examples:

- (a) Loans made by the Department of Veterans Affairs under the Veterans Farm and Home Purchase Act of 1943.
- (b) Purchases of mortgages from banks and mortgage companies by the Public Employees Retirement System and by the State Teachers Retirement System.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15311. ACCESSORY STRUCTURES

Class 11 consists of construction, or placement of minor structures accessory to (appurtenant to) existing commercial, industrial, or institutional facilities, including but not limited to:

- (a) On-premise signs;
- (b) Small parking lots;
- (c) Placement of seasonal or temporary use items such as lifeguard towers, mobile food units, portable restrooms, or similar items in generally the same locations from time to time in publicly owned parks, stadiums, or other facilities designed for public use.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15312. SURPLUS GOVERNMENT PROPERTY SALES

Class 12 consists of sales of surplus government property except for parcels of land located in an area of statewide, regional, or areawide concern identified in Section 15206(b)(4). However, even if the surplus property to be sold is located in any of those areas, its sale is exempt if:

- (a) The property does not have significant values for wildlife habitat or other environmental purposes, and
- (b) Any of the following conditions exist:
  - (1) The property is of such size, shape, or inaccessibility that it is incapable of independent development or use; or

- (2) The property to be sold would qualify for an exemption under any other class of categorical exemption in these Guidelines; or
- (3) The use of the property and adjacent property has not changed since the time of purchase by the public agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15313. ACQUISITION OF LANDS FOR WILDLIFE CONSERVATION PURPOSES

Class 13 consists of the acquisition of lands for fish and wildlife conservation purposes including (a) preservation of fish and wildlife habitat, (b) establishing ecological reserves under Fish and Game Code Section 1580, and (c) preserving access to public lands and waters where the purpose of the acquisition is to preserve the land in its natural condition.

**Note:** Authority cited: Sections 21083 and 21087, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15314. MINOR ADDITIONS TO SCHOOLS

Class 14 consists of minor additions to existing schools within existing school grounds where the addition does not increase original student capacity by more than 25% or ten classrooms, whichever is less. The addition of portable classrooms is included in this exemption.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15315. MINOR LAND DIVISIONS

Class 15 consists of the division of property in urbanized areas zoned for residential, commercial, or industrial use into four or fewer parcels when the division is in conformance with the General Plan and zoning, no variances or exceptions are required, all services and access to the proposed parcels to local standards are available, the parcel was not involved in a division of a larger parcel within the previous 2 years, and the parcel does not have an average slope greater than 20 percent.

**Note:** Authority cited: Sections Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15316. TRANSFER OF OWNERSHIP OF LAND IN ORDER TO CREATE PARKS

Class 16 consists of the acquisition, sale, or other transfer of land in order to establish a park where the land is in a natural condition or contains historical or archaeological resources and either:

- (a) The management plan for the park has not been prepared, or
- (b) The management plan proposes to keep the area in a natural condition or preserve the historic or archaeological resources. CEQA will apply when a management plan is proposed that will change the area from its natural condition or cause substantial adverse change in the significance of the historic or archaeological resource.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21084, 21083.2, and 21084.1, Public Resources Code.

### 15317. OPEN SPACE CONTRACTS OR EASEMENTS

Class 17 consists of the establishment of agricultural preserves, the making and renewing of open space contracts under the Williamson Act, or the acceptance of easements or fee interests in order to maintain the open space character of the area. The cancellation of such preserves, contracts, interests, or easements is not included and will normally be an action subject to the CEQA process.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15318. DESIGNATION OF WILDERNESS AREAS

Class 18 consists of the designation of wilderness areas under the California Wilderness System.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15319. ANNEXATIONS OF EXISTING FACILITIES AND LOTS FOR EXEMPT FACILITIES

Class 19 consists of only the following annexations:

- (a) Annexations to a city or special district of areas containing existing public or private structures developed to the density allowed by the current zoning or pre-zoning of either the gaining or losing governmental agency whichever is more restrictive, provided, however, that the extension of utility services to the existing facilities would have a capacity to serve only the existing facilities.
- (b) Annexations of individual small parcels of the minimum size for facilities exempted by Section 15303, New Construction or Conversion of Small Structures.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15320. CHANGES IN ORGANIZATION OF LOCAL AGENCIES

Class 20 consists of changes in the organization or reorganization of local governmental agencies where the changes do not change the geographical area in which previously existing powers are exercised. Examples include but are not limited to:

- (a) Establishment of a subsidiary district;
- (b) Consolidation of two or more districts having identical powers;
- (c) Merger with a city of a district lying entirely within the boundaries of the city.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15321. ENFORCEMENT ACTIONS BY REGULATORY AGENCIES

Class 21 consists of:

- (a) Actions by regulatory agencies to enforce or revoke a lease, permit, license, certificate, or other entitlement for use issued, adopted, or prescribed by the regulatory agency or enforcement of a law, general rule, standard, or objective, administered or adopted by the regulatory agency. Such actions include, but are not limited to, the following:
  - (1) The direct referral of a violation of lease, permit, license, certificate, or entitlement for use or of a general rule, standard, or objective to the Attorney General, District Attorney, or City Attorney as appropriate, for judicial enforcement;
  - (2) The adoption of an administrative decision or order enforcing or revoking the lease, permit, license, certificate, or entitlement for use or enforcing the general rule, standard, or objective.
- (b) Law enforcement activities by peace officers acting under any law that provides a criminal sanction;
- (c) Construction activities undertaken by the public agency taking the enforcement or revocation action are not included in this exemption.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15322. EDUCATIONAL OR TRAINING PROGRAMS INVOLVING NO PHYSICAL CHANGES

Class 22 consists of the adoption, alteration, or termination of educational or training programs which involve no physical alteration in the area affected or which involve physical changes only in the interior of existing school or training structures. Examples include but are not limited to:

- (a) Development of or changes in curriculum or training methods.
- (b) Changes in the grade structure in a school which do not result in changes in student transportation.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15323. NORMAL OPERATIONS OF FACILITIES FOR PUBLIC GATHERINGS

Class 23 consists of the normal operations of existing facilities for public gatherings for which the facilities were designed, where there is a past history of the facility being used for the same or similar kind of purpose. For the purposes of this section, "past history" shall mean that the same or similar kind of activity has been occurring for at least three years and that there is a reasonable expectation that the future occurrence of the activity would not represent a change in the operation of the facility. Facilities included within this exemption include, but are not limited to, racetracks, stadiums, convention centers, auditoriums, amphitheaters, planetariums, swimming pools, and amusement parks.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15324. REGULATIONS OF WORKING CONDITIONS

Class 24 consists of actions taken by regulatory agencies, including the Industrial Welfare Commission as authorized by statute, to regulate any of the following:

- (a) Employee wages,
- (b) Hours of work, or
- (c) Working conditions where there will be no demonstrable physical changes outside the place of work.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15325. TRANSFERS OF OWNERSHIP OF INTEREST IN LAND TO PRESERVE EXISTING NATURAL CONDITIONS AND HISTORICAL RESOURCES

Class 25 consists of the transfers of ownership of interests in land in order to preserve open space, habitat, or historical resources. Examples include but are not limited to:

- (a) Acquisition, sale, or other transfer of areas to preserve the existing natural conditions, including plant or animal habitats.
- (b) Acquisition, sale, or other transfer of areas to allow continued agricultural use of the areas.
- (c) Acquisition, sale, or other transfer to allow restoration of natural conditions, including plant or animal habitats.
- (d) Acquisition, sale, or other transfer to prevent encroachment of development into flood plains.
- (e) Acquisition, sale, or other transfer to preserve historical resources.
- (f) Acquisition, sale, or other transfer to preserve open space or lands for park purposes.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15326. ACQUISITION OF HOUSING FOR HOUSING ASSISTANCE PROGRAMS

Class 26 consists of actions by a redevelopment agency, housing authority, or other public agency to implement an adopted Housing Assistance Plan by acquiring an interest in housing units. The housing units may be either in existence or possessing all required permits for construction when the agency makes its final decision to acquire the units.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15327. LEASING NEW FACILITIES

- (a) Class 27 consists of the leasing of a newly constructed or previously unoccupied privately owned facility by a local or state agency where the local governing authority determined that the building was exempt from CEQA. To be exempt under this section, the proposed use of the facility:
- (1) Shall be in conformance with existing state plans and policies and with general, community, and specific plans for which an EIR or Negative Declaration has been prepared;
  - (2) Shall be substantially the same as that originally proposed at the time the building permit was issued;
  - (3) Shall not result in a traffic increase of greater than 10% of front access road capacity; and
  - (4) Shall include the provision of adequate employee and visitor parking facilities.
- (b) Examples of Class 27 include, but are not limited to:
- (1) Leasing of administrative offices in newly constructed office space;
  - (2) Leasing of client service offices in newly constructed retail space;
  - (3) Leasing of administrative and/or client service offices in newly constructed industrial parks.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15328. SMALL HYDROELECTRIC PROJECTS AT EXISTING FACILITIES

Class 28 consists of the installation of hydroelectric generating facilities in connection with existing dams, canals, and pipelines where:

- (a) The capacity of the generating facilities is 5 megawatts or less;
- (b) Operation of the generating facilities will not change the flow regime in the affected stream, canal, or pipeline including but not limited to:
  - (1) Rate and volume of flow;
  - (2) Temperature;
  - (3) Amounts of dissolved oxygen to a degree that could adversely affect aquatic life; and
  - (4) Timing of release.
- (c) New power lines to connect the generating facilities to existing power lines will not exceed one mile in length if located on a new right of way and will not be located adjacent to a wild or scenic river;
- (d) Repair or reconstruction of the diversion structure will not raise the normal maximum surface elevation of the impoundment;
- (e) There will be no significant upstream or downstream passage of fish affected by the project;

- (f) The discharge from the power house will not be located more than 300 feet from the toe of the diversion structure;
- (g) The project will not cause violations of applicable state or federal water quality standards;
- (h) The project will not entail any construction on or alteration of a site included in or eligible for inclusion in the National Register of Historic Places; and
- (i) Construction will not occur in the vicinity of any endangered, rare, or threatened species.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15329. COGENERATION PROJECTS AT EXISTING FACILITIES

Class 29 consists of the installation of cogeneration equipment with a capacity of 50 megawatts or less at existing facilities meeting the conditions described in this section.

- (a) At existing industrial facilities, the installation of cogeneration facilities will be exempt where it will:
  - (1) Result in no net increases in air emissions from the industrial facility, or will produce emissions lower than the amount that would require review under the new source review rules applicable in the county, and
  - (2) Comply with all applicable state, federal, and local air quality laws.
- (b) At commercial and institutional facilities, the installation of cogeneration facilities will be exempt if the installation will:
  - (1) Meet all the criteria described in subdivision (a);
  - (2) Result in no noticeable increase in noise to nearby residential structures;
  - (3) Be contiguous to other commercial or institutional structures.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15330. MINOR ACTIONS TO PREVENT, MINIMIZE, STABILIZE, MITIGATE OR ELIMINATE THE RELEASE OR THREAT OF RELEASE OF HAZARDOUS WASTE OR HAZARDOUS SUBSTANCES

Class 30 consists of any minor cleanup actions taken to prevent, minimize, stabilize, mitigate, or eliminate the release or threat of release of a hazardous waste or substance which are small or medium removal actions costing \$1 million or less.

- (a) No cleanup action shall be subject to this Class 30 exemption if the action requires the onsite use of a hazardous waste incinerator or thermal treatment unit or the relocation of residences or businesses, or the action involves the potential release into the air of volatile organic compounds as defined in Health and Safety Code Section 25123.6, except for small scale in situ soil vapor extraction and treatment systems which have been permitted by the local Air Pollution Control District or Air Quality Management District. All actions must be consistent with applicable state and local environmental permitting requirements including, but not limited to, off-site disposal, air quality rules such as those governing volatile organic compounds and water quality standards, and approved by the regulatory body with jurisdiction over the site.
- (b) Examples of such minor cleanup actions include but are not limited to:
  - (1) Removal of sealed, non-leaking drums or barrels of hazardous waste or substances that have been stabilized, containerized and are designated for a lawfully permitted destination;
  - (2) Maintenance or stabilization of berms, dikes, or surface impoundments;

- (3) Construction or maintenance or interim of temporary surface caps;
- (4) Onsite treatment of contaminated soils or sludges provided treatment system meets Title 22 requirements and local air district requirements;
- (5) Excavation and/or offsite disposal of contaminated soils or sludges in regulated units;
- (6) Application of dust suppressants or dust binders to surface soils;
- (7) Controls for surface water run-on and run-off that meets seismic safety standards;
- (8) Pumping of leaking ponds into an enclosed container;
- (9) Construction of interim or emergency ground water treatment systems;
- (10) Posting of warning signs and fencing for a hazardous waste or substance site that meets legal requirements for protection of wildlife.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15331. HISTORICAL RESOURCE RESTORATION/REHABILITATION

Class 31 consists of projects limited to maintenance, repair, stabilization, rehabilitation, restoration, preservation, conservation or reconstruction of historical resources in a manner consistent with the Secretary of the Interior's Standards for the Treatment of Historic Properties with Guidelines for Preserving, Rehabilitating, Restoring, and Reconstructing Historic Buildings (1995), Weeks and Grimmer.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

### 15332. IN-FILL DEVELOPMENT PROJECTS

Class 32 consists of projects characterized as in-fill development meeting the conditions described in this section.

- (a) The project is consistent with the applicable general plan designation and all applicable general plan policies as well as with applicable zoning designation and regulations.
- (b) The proposed development occurs within city limits on a project site of no more than five acres substantially surrounded by urban uses.
- (c) The project site has no value as habitat for endangered, rare or threatened species.
- (d) Approval of the project would not result in any significant effects relating to traffic, noise, air quality, or water quality.
- (e) The site can be adequately served by all required utilities and public services.

**Note:** Authority cited: Section 21083, Public Resources Code. Reference: Section 21084, Public Resources Code.

### 15333. SMALL HABITAT RESTORATION PROJECTS.

Class 33 consists of projects not to exceed five acres in size to assure the maintenance, restoration, enhancement, or protection of habitat for fish, plants, or wildlife provided that:

- (a) There would be no significant adverse impact on endangered, rare or threatened species or their habitat pursuant to section 15065,
- (b) There are no hazardous materials at or around the project site that may be disturbed or removed, and
- (c) The project will not result in impacts that are significant when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects.

- (d) Examples of small restoration projects may include, but are not limited to:
- (1) revegetation of disturbed areas with native plant species;
  - (2) wetland restoration, the primary purpose of which is to improve conditions for waterfowl or other species that rely on wetland habitat;
  - (3) stream or river bank revegetation, the primary purpose of which is to improve habitat for amphibians or native fish;
  - (4) projects to restore or enhance habitat that are carried out principally with hand labor and not mechanized equipment.
  - (5) stream or river bank stabilization with native vegetation or other bioengineering techniques, the primary purpose of which is to reduce or eliminate erosion and sedimentation; and
  - (6) culvert replacement conducted in accordance with published guidelines of the Department of Fish and Game or NOAA Fisheries, the primary purpose of which is to improve habitat or reduce sedimentation.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21084, Public Resources Code.

## Article 20. Definitions

### SECTIONS 15350 TO 15387

#### 15350. GENERAL

The definitions contained in this article apply to terms used throughout the Guidelines unless a term is otherwise defined in a particular section.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21083, Public Resources Code.

#### 15351. APPLICANT

“Applicant” means a person who proposes to carry out a project which needs a lease, permit, license, certificate, or other entitlement for use or financial assistance from one or more public agencies when that person applies for the governmental approval or assistance.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21065, Public Resources Code.

#### 15352. APPROVAL

- (a) “Approval” means the decision by a public agency which commits the agency to a definite course of action in regard to a project intended to be carried out by any person. The exact date of approval of any project is a matter determined by each public agency according to its rules, regulations, and ordinances. Legislative action in regard to a project often constitutes approval.
- (b) With private projects, approval occurs upon the earliest commitment to issue or the issuance by the public agency of a discretionary contract, grant, subsidy, loan, or other form of financial assistance, lease, permit, license, certificate, or other entitlement for use of the project.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21061 and 21065, Public Resources Code.

#### 15353. CEQA

“CEQA” means the California Environmental Quality Act, California Public Resources Code Sections 21000 et seq.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21050, Public Resources Code.

#### 15354. CATEGORICAL EXEMPTION

“Categorical exemption” means an exemption from CEQA for a class of projects based on a finding by the Secretary for Resources that the class of projects does not have a significant effect on the environment.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080(b)(10) and 21084, Public Resources Code.

#### 15355. CUMULATIVE IMPACTS

“Cumulative impacts” refers to two or more individual effects which, when considered together, are considerable or which compound or increase other environmental impacts.

- (a) The individual effects may be changes resulting from a single project or a number of separate projects.
- (b) The cumulative impact from several projects is the change in the environment which results from the incremental impact of the project when added to other closely related past, present, and reasonably foreseeable probable future projects. Cumulative impacts can result from individually minor but collectively significant projects taking place over a period of time.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21083(b), Public Resources Code; *Whitman v. Board of Supervisors*, 88 Cal. App. 3d 397, *San Franciscans for Reasonable Growth v. City and County of San Francisco* (1984) 151 Cal. App. 3d 61, Formerly Section 15023.5.

#### 15356. DECISION-MAKING BODY

“Decision-making body” means any person or group of people within a public agency permitted by law to approve or disapprove the project at issue.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21003(b), Public Resources Code; *Kleist v. City of Glendale*, (1976) 56 Cal. App. 3d 770.

#### 15357. DISCRETIONARY PROJECT

“Discretionary project” means a project which requires the exercise of judgment or deliberation when the public agency or body decides to approve or disapprove a particular activity, as distinguished from situations where the public agency or body merely has to determine whether there has been conformity with applicable statutes, ordinances, or regulations. A timber harvesting plan submitted to the State Forester for approval under the requirements of the Z’berg-Nejedly Forest Practice Act of 1973 (Pub. Res. Code Sections 4511 et seq.) constitutes a discretionary project within the meaning of the California Environmental Quality Act. Section 21065(c).

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(a), Public Resources Code; *Johnson v. State of California*, (1968) 69 Cal. 2d 782; *People v. Department of Housing and Community Development*, (1975) 45 Cal. App. 3d 185; *Day v. City of Glendale*, (1975) 51 Cal. App. 3d 817; *N.R.D.C. v. Arcata National Corp.*, (1976) 59 Cal. App. 3d 959.

#### 15358. EFFECTS

“Effects” and “impacts” as used in these Guidelines are synonymous.

- (a) Effects include:
  - (1) Direct or primary effects which are caused by the project and occur at the same time and place.

- (2) Indirect or secondary effects which are caused by the project and are later in time or farther removed in distance, but are still reasonably foreseeable. Indirect or secondary effects may include growth-inducing effects and other effects related to induced changes in the pattern of land use, population density, or growth rate, and related effects on air and water and other natural systems, including ecosystems.

(b) Effects analyzed under CEQA must be related to a physical change.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21068 and 21100, Public Resources Code.

### 15359. EMERGENCY

“Emergency” means a sudden, unexpected occurrence, involving a clear and imminent danger, demanding immediate action to prevent or mitigate loss of, or damage to life, health, property, or essential public services. Emergency includes such occurrences as fire, flood, earthquake, or other soil or geologic movements, as well as such occurrences as riot, accident, or sabotage.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b)(2), (3), and (4), Public Resources Code.

### 15360. ENVIRONMENT

“Environment” means the physical conditions which exist within the area which will be affected by a proposed project including land, air, water, minerals, flora, fauna, ambient noise, and objects of historical or aesthetic significance. The area involved shall be the area in which significant effects would occur either directly or indirectly as a result of the project. The “environment” includes both natural and man-made conditions.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21060.5, Public Resources Code.

### 15361. ENVIRONMENTAL DOCUMENTS

“Environmental documents” means Initial Studies, Negative Declarations, draft and final EIRs, documents prepared as substitutes for EIRs and Negative Declarations under a program certified pursuant to Public Resources Code Section 21080.5, and documents prepared under NEPA and used by a state or local agency in the place of an Initial Study, Negative Declaration, or an EIR.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21061, 21080(b), 21080.5, 21108, and 21152, Public Resources Code.

### 15362. EIR - ENVIRONMENTAL IMPACT REPORT

“EIR” or “Environmental Impact Report” means a detailed statement prepared under CEQA describing and analyzing the significant environmental effects of a project and discussing ways to mitigate or avoid the effects. The contents of an EIR are discussed in Article 9, commencing with Section 15120 of these Guidelines. The term “EIR” may mean either a draft or a final EIR depending on the context.

- (a) Draft EIR means an EIR containing the information specified in Sections 15122 through 15131.
- (b) Final EIR means an EIR containing the information contained in the draft EIR, comments either verbatim or in summary received in the review process, a list of persons commenting, and the response of the Lead Agency to the comments received. The final EIR is discussed in detail in Section 15132.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21061, 21100, and 21151, Public Resources Code.

### 15363. EIS - ENVIRONMENTAL IMPACT STATEMENT

“EIS” or “Environmental Impact Statement” means an environmental impact document prepared pursuant to the National Environmental Policy Act (NEPA). NEPA uses the term EIS in the place of the term EIR which is used in CEQA.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21083.5, 21083.6, and 21083.7, Public Resources Code; 43 U.S.C.A. 4322(2)(c).

### 15364. FEASIBLE

“Feasible” means capable of being accomplished in a successful manner within a reasonable period of time, taking into account economic, environmental, legal, social, and technological factors.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002, 21002.1, 21004, 21061.1, 21080.5, and 21081, Public Resources Code; Section 4, Chapter 1438 of the Statutes of 1982.

#### 15364.5. GREENHOUSE GAS

“Greenhouse gas” or “greenhouse gases” includes but is not limited to: carbon dioxide, methane, nitrous oxide, hydrofluorocarbons, perfluorocarbons and sulfur hexafluoride.

**Note:** Authority cited: Sections 21083, 21083.05, Public Resources Code. Reference: Section 38505(g) Health and Safety Code; Section 21083.05, Public Resources Code.

### 15365. INITIAL STUDY

“Initial Study” means a preliminary analysis prepared by the Lead Agency to determine whether an EIR or a Negative Declaration must be prepared or to identify the significant environmental effects to be analyzed in an EIR. Use of the Initial Study is discussed in Article 5, commencing with Section 15060.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.1, 21080.2, 21080.3, and 21100, Public Resources Code.

### 15366. JURISDICTION BY LAW

- (a) “Jurisdiction by law” means the authority of any public agency:
- (1) To grant a permit or other entitlement for use;
  - (2) To provide funding for the project in question; or
  - (3) To exercise authority over resources which may be affected by the project.
- (b) A city or county will have jurisdiction by law with respect to a project when the city or county having primary jurisdiction over the area involved is:
- (1) The site of the project;
  - (2) The area in which the major environmental effects will occur; and/or
  - (3) The area in which reside those citizens most directly concerned by any such environmental effects.
- (c) Where an agency having jurisdiction by law must exercise discretionary authority over a project in order for the project to proceed, it is also a Responsible Agency, see Section 15381, or the Lead Agency, see Section 15367.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.3, 21080.4, 21104, and 21153, Public Resources Code.

### 15367. LEAD AGENCY

“Lead Agency” means the public agency which has the principal responsibility for carrying out or approving a project. The Lead Agency will decide whether an EIR or Negative Declaration will be required for the project and will cause the document to be prepared. Criteria for determining which agency will be the Lead Agency for a project are contained in Section 15051.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21165, Public Resources Code.

### 15368. LOCAL AGENCY

“Local agency” means any public agency other than a state agency, board, or commission. Local agency includes but is not limited to cities, counties, charter cities and counties, districts, school districts, special districts, redevelopment agencies, local agency formation commissions, and any board, commission, or organizational subdivision of a local agency when so designated by order or resolution of the governing legislative body of the local agency.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21062 and 21151, Public Resources Code.

### 15369. MINISTERIAL

“Ministerial” describes a governmental decision involving little or no personal judgment by the public official as to the wisdom or manner of carrying out the project. The public official merely applies the law to the facts as presented but uses no special discretion or judgment in reaching a decision. A ministerial decision involves only the use of fixed standards or objective measurements, and the public official cannot use personal, subjective judgment in deciding whether or how the project should be carried out. Common examples of ministerial permits include automobile registrations, dog licenses, and marriage licenses. A building permit is ministerial if the ordinance requiring the permit limits the public official to determining whether the zoning allows the structure to be built in the requested location, the structure would meet the strength requirements in the Uniform Building Code, and the applicant has paid his fee.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(b)(1), Public Resources Code; *Johnson v. State of California*, 69 Cal. 2d 782; *Day v. City of Glendale*, 51 Cal. App. 3d 817.

### 15369.5. MITIGATED NEGATIVE DECLARATION

“Mitigated negative declaration” means a negative declaration prepared for a project when the initial study has identified potentially significant effects on the environment, but (1) revisions in the project plans or proposals made by, or agreed to by, the applicant before the proposed negative declaration and initial study are released for public review would avoid the effects or mitigate the effects to a point where clearly no significant effect on the environment would occur, and (2) there is no substantial evidence in light of the whole record before the public agency that the project, as revised, may have a significant effect on the environment.

**Note:** Authority cited: Sections 21083 and 21087, Public Resources Code; Reference: Section 21064.5, Public Resources Code.

### 15370. MITIGATION

“Mitigation” includes:

- (a) Avoiding the impact altogether by not taking a certain action or parts of an action.
- (b) Minimizing impacts by limiting the degree or magnitude of the action and its implementation.
- (c) Rectifying the impact by repairing, rehabilitating, or restoring the impacted environment.

- (d) Reducing or eliminating the impact over time by preservation and maintenance operations during the life of the action.
- (e) Compensating for the impact by replacing or providing substitute resources or environments.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002, 21002.1, 21081, and 21100(c), Public Resources Code.

### 15371. NEGATIVE DECLARATION

“Negative Declaration” means a written statement by the Lead Agency briefly describing the reasons that a proposed project, not exempt from CEQA, will not have a significant effect on the environment and therefore does not require the preparation of an EIR. The contents of a Negative Declaration are described in Section 15071.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080(c), Public Resources Code.

### 15372. NOTICE OF COMPLETION

“Notice of Completion” means a brief notice filed with the Office of Planning and Research by a Lead Agency as soon as it has completed a draft EIR and is prepared to send out copies for review. The contents of this notice are explained in Section 15085.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21161, Public Resources Code.

### 15373. NOTICE OF DETERMINATION

“Notice of Determination” means a brief notice to be filed by a public agency after it approves or determines to carry out a project which is subject to the requirements of CEQA. The contents of this notice are explained in Sections 15075 and 15094.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21108(a) and 21152, Public Resources Code.

### 15374. NOTICE OF EXEMPTION

“Notice of Exemption” means a brief notice which may be filed by a public agency after it has decided to carry out or approve a project and has determined that the project is exempt from CEQA as being ministerial, categorically exempt, an emergency, or subject to another exemption from CEQA. Such a notice may also be filed by an applicant where such a determination has been made by a public agency which must approve the project. The contents of this notice are explained in Section 15062.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21108(b) and 21152(b), Public Resources Code.

### 15375. NOTICE OF PREPARATION

“Notice of Preparation” means a brief notice sent by a Lead Agency to notify the Responsible Agencies, Trustee Agencies, the Office of Planning and Research, and involved federal agencies that the Lead Agency plans to prepare an EIR for the project. The purpose of the notice is to solicit guidance from those agencies as to the scope and content of the environmental information to be included in the EIR. Public agencies are free to develop their own formats for this notice. The contents of this notice are described in Section 15082.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21080.4, Public Resources Code.

### 15376. PERSON

“Person” includes any person, firm, association, organization, partnership, business, trust, corporation, limited liability company, company, district, city, county, city and county, town, the state, and any of the agencies and political subdivisions of such entities, and to the extent permitted by federal law, the United States, or any of its agencies or political subdivisions.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21066, Public Resources Code.

### 15377. PRIVATE PROJECT

A “private project” means a project which will be carried out by a person other than a governmental agency, but the project will need a discretionary approval from one or more governmental agencies for:

- (a) A contract or financial assistance, or
- (b) A lease, permit, license, certificate, or other entitlement for use.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21065, Public Resources Code.

### 15378. PROJECT

- (a) “Project” means the whole of an action, which has a potential for resulting in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment, and that is any of the following:
  - (1) An activity directly undertaken by any public agency including but not limited to public works construction and related activities clearing or grading of land, improvements to existing public structures, enactment and amendment of zoning ordinances, and the adoption and amendment of local General Plans or elements thereof pursuant to Government Code Sections 65100–65700.
  - (2) An activity undertaken by a person which is supported in whole or in part through public agency contacts, grants, subsidies, loans, or other forms of assistance from one or more public agencies.
  - (3) An activity involving the issuance to a person of a lease, permit, license, certificate, or other entitlement for use by one or more public agencies.
- (b) Project does not include:
  - (1) Proposals for legislation to be enacted by the State Legislature;
  - (2) Continuing administrative or maintenance activities, such as purchases for supplies, personnel-related actions, general policy and procedure making (except as they are applied to specific instances covered above);
  - (3) The submittal of proposals to a vote of the people of the state or of a particular community that does not involve a public agency sponsored initiative. (*Stein v. City of Santa Monica* (1980) 110 Cal.App.3d 458; *Friends of Sierra Madre v. City of Sierra Madre* (2001) 25 Cal.4th 165);
  - (4) The creation of government funding mechanisms or other government fiscal activities which do not involve any commitment to any specific project which may result in a potentially significant physical impact on the environment.
  - (5) Organizational or administrative activities of governments that will not result in direct or indirect physical changes in the environment.

- (c) The term “project” refers to the activity which is being approved and which may be subject to several discretionary approvals by governmental agencies. The term “project” does not mean each separate governmental approval.
- (d) Where the Lead Agency could describe the project as either the adoption of a particular regulation under subdivision (a)(1) or as a development proposal which will be subject to several governmental approvals under subdivision (a)(2) or (a)(3), the Lead Agency shall describe the project as the development proposal for the purpose of environmental analysis. This approach will implement the Lead Agency principle as described in Article 4.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21065, Public Resources Code; *Kaufman and Broad-South Bay, Inc. v. Morgan Hill Unified School District* (1992) 9 Cal.App.4th 464; *Fullerton Joint Union High School District v. State Board of Education* (1982) 32 Cal.3d 779; *Simi Valley Recreation and Park District v. Local Agency Formation Commission of Ventura County* (1975) 51 Cal.App.3d 648; and *Communities for a Better Environment v. California Resources Agency* (2002) 103 Cal.App.4th 98.

### 15379. PUBLIC AGENCY

“Public agency” includes any state agency, board, or commission and any local or regional agency, as defined in these Guidelines. It does not include the courts of the state. This term does not include agencies of the federal government.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21063, Public Resources Code.

### 15380. ENDANGERED, RARE OR THREATENED SPECIES

- (a) “Species” as used in this section means a species or subspecies of animal or plant or a variety of plant.
- (b) A species of animal or plant is:
  - (1) “Endangered” when its survival and reproduction in the wild are in immediate jeopardy from one or more causes, including loss of habitat, change in habitat, overexploitation, predation, competition, disease, or other factors; or
  - (2) “Rare” when either:
    - (A) Although not presently threatened with extinction, the species is existing in such small numbers throughout all or a significant portion of its range that it may become endangered if its environment worsens; or
    - (B) The species is likely to become endangered within the foreseeable future throughout all or a significant portion of its range and may be considered “threatened” as that term is used in the Federal Endangered Species Act.
- (c) A species of animal or plant shall be presumed to be endangered, rare or threatened, as it is listed in:
  - (1) Sections 670.2 or 670.5, Title 14, California Code of Regulations; or
  - (2) Title 50, Code of Federal Regulations Section 17.11 or 17.12 pursuant to the Federal Endangered Species Act as rare, threatened, or endangered.
- (d) A species not included in any listing identified in subdivision (c) shall nevertheless be considered to be endangered, rare or threatened, if the species can be shown to meet the criteria in subdivision (b).
- (e) This definition shall not include any species of the Class Insecta which is a pest whose protection under the provisions of CEQA would present an overwhelming and overriding risk to man as determined by:
  - (1) The Director of Food and Agriculture with regard to economic pests; or

- (2) The Director of Health Services with regard to health risks.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21001(c), Public Resources Code.

### 15381. RESPONSIBLE AGENCY

“Responsible Agency” means a public agency which proposes to carry out or approve a project, for which a Lead Agency is preparing or has prepared an EIR or Negative Declaration. For the purposes of CEQA, the term “Responsible Agency” includes all public agencies other than the Lead Agency which have discretionary approval power over the project.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21002.1, 21069, 21080.1, 21080.3, 21080.4, 21167.2, and 21167.3, Public Resources Code.

### 15382. SIGNIFICANT EFFECT ON THE ENVIRONMENT

“Significant effect on the environment” means a substantial, or potentially substantial, adverse change in any of the physical conditions within the area affected by the project, including land, air, water, minerals, flora, fauna, ambient noise, and objects of historic or aesthetic significance. An economic or social change by itself shall not be considered a significant effect on the environment. A social or economic change related to a physical change may be considered in determining whether the physical change is significant.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21068, 21083, 21100, and 21151, Public Resources Code; *Hecton v. People of the State of California*, 58 Cal. App. 3d 653.

### 15383. STATE AGENCY

“State agency” means a governmental agency in the executive branch of the State Government or an entity which operates under the direction and control of an agency in the executive branch of State Government and is funded primarily by the State Treasury.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Section 21100, Public Resources Code.

### 15384. SUBSTANTIAL EVIDENCE

- (a) “Substantial evidence” as used in these guidelines means enough relevant information and reasonable inferences from this information that a fair argument can be made to support a conclusion, even though other conclusions might also be reached. Whether a fair argument can be made that the project may have a significant effect on the environment is to be determined by examining the whole record before the lead agency. Argument, speculation, unsubstantiated opinion or narrative, evidence which is clearly erroneous or inaccurate, or evidence of social or economic impacts which do not contribute to or are not caused by physical impacts on the environment does not constitute substantial evidence.
- (b) Substantial evidence shall include facts, reasonable assumptions predicated upon facts, and expert opinion supported by facts.

**Note:** Authority cited: Section 21083, Public Resources Code; References: Sections 21080, 21082.2, 21168, and 21168.5, Public Resources Code; *No Oil, Inc. v. City of Los Angeles* (1974) 13 Cal.3d 68; *Running Fence Corp. v. Superior Court* (1975) 51 Cal.App.3d 400; *Friends of B Street v. City of Hayward* (1980) 106 Cal.App.3d 988.

### 15385. TIERING

“Tiering” refers to the coverage of general matters in broader EIRs (such as on general plans or policy statements) with subsequent narrower EIRs or ultimately site-specific EIRs incorporating by

reference the general discussions and concentrating solely on the issues specific to the EIR subsequently prepared. Tiering is appropriate when the sequence of EIRs is:

- (a) From a general plan, policy, or program EIR to a program, plan, or policy EIR of lesser scope or to a site-specific EIR;
- (b) From an EIR on a specific action at an early stage to a subsequent EIR or a supplement to an EIR at a later stage. Tiering in such cases is appropriate when it helps the Lead Agency to focus on the issues which are ripe for decision and exclude from consideration issues already decided or not yet ripe.

**Note:** Authority cited: Sections Section 21083, Public Resources Code; Reference: Sections 21003, 21061, and 21100, Public Resources Code.

### 15386. TRUSTEE AGENCY

“Trustee Agency” means a state agency having jurisdiction by law over natural resources affected by a project which are held in trust for the people of the State of California. Trustee Agencies include:

- (a) The California Department of Fish and Game with regard to the fish and wildlife of the state, to designated rare or endangered native plants, and to game refuges, ecological reserves, and other areas administered by the department;
- (b) The State Lands Commission with regard to state owned “sovereign” lands such as the beds of navigable waters and state school lands;
- (c) The State Department of Parks and Recreation with regard to units of the State Park System;
- (d) The University of California with regard to sites within the Natural Land and Water Reserves System.

**Note:** Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.3 and 21080.4, Public Resources Code.

### 15387. URBANIZED AREA

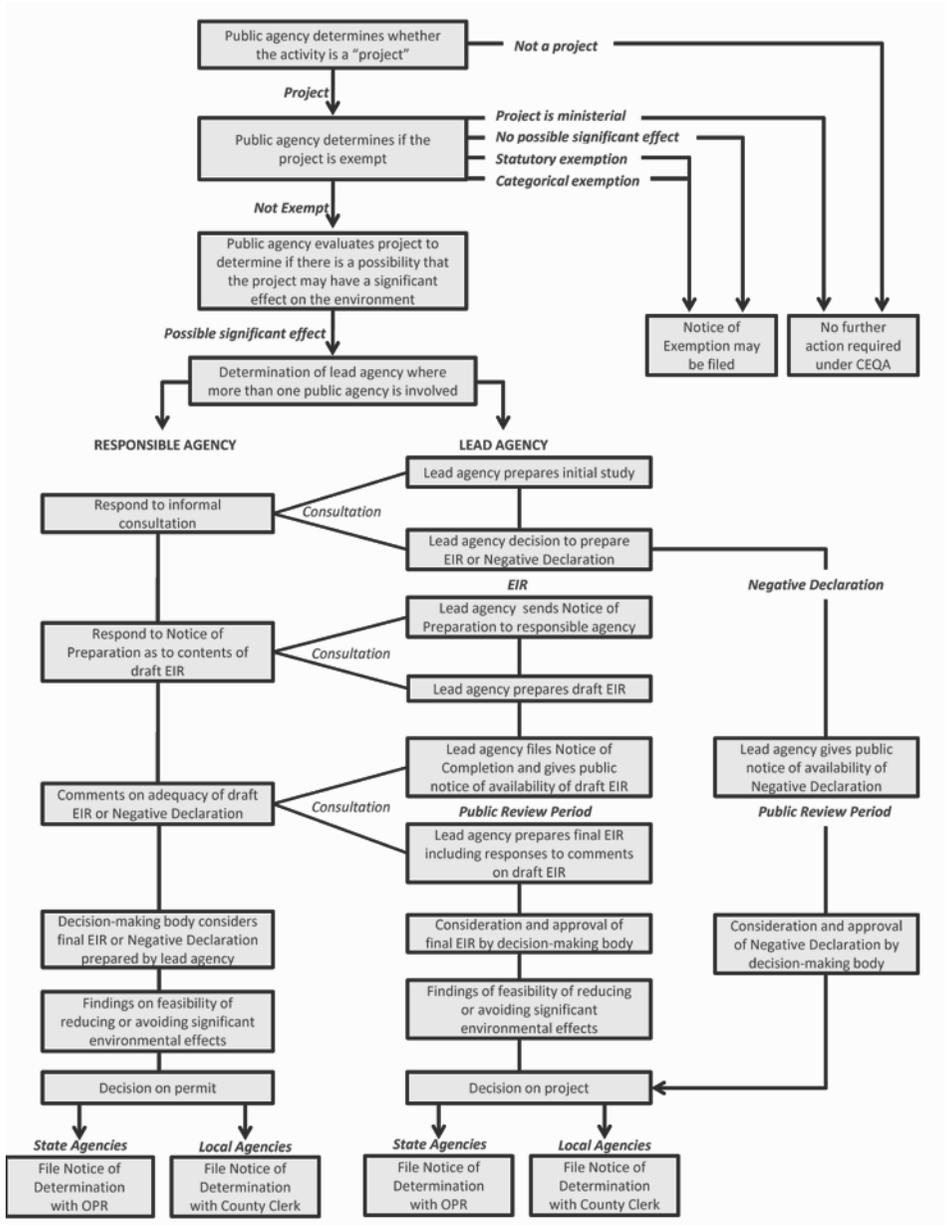
“Urbanized area” means a central city or a group of contiguous cities with a population of 50,000 or more, together with adjacent densely populated areas having a population density of at least 1,000 persons per square mile. A Lead Agency shall determine whether a particular area meets the criteria in this section either by examining the area or by referring to a map prepared by the U.S. Bureau of the Census which designates the area as urbanized. Maps of the designated urbanized areas can be found in the California EIR Monitor of February 7, 1979. The maps are also for sale by the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402. The maps are sold in sets only as Stock Number 0301-3466. Use of the term “urbanized area” in Section 15182 is limited to areas mapped and designated as urbanized by the U.S. Bureau of the Census.

**Note:** Authority cited: Sections 21083 and 21087, Public Resources Code; Reference: Sections 21080.7 and 21083, and 21084, Public Resources Code.

## CEQA Guidelines Appendices

Appendix A	CEQA Process Flow Chart
Appendix B	Statutory Authority of State Departments
Appendix C	Notice of Completion
Appendix D	Notice of Determination
Appendix E	Notice of Exemption
Appendix F	Energy Conservation
Appendix G	Environmental Checklist Form
Appendix H	Environmental Information Form
Appendix I	Notice of Preparation
Appendix J	Examples of Tiering EIRs
Appendix K	Criteria for Shortened Clearinghouse Review
Appendix L	Notice of Completion of Draft EIR
<u>Appendix M</u>	<u>Performance Standards for Infill Projects Eligible for Streamlined Review</u>
<u>Appendix N</u>	<u>Proposed Infill Environmental Checklist Form</u>

### APPENDIX A: CEQA PROCESS FLOW CHART



## APPENDIX B: STATUTORY AUTHORITY OF STATE DEPARTMENTS

Bay Conservation and Development Commission	Air Resources Board	Resources Agency	Health Services	Savings and Loan	Real Estate	Caltrans	Dept of Housing and Community Development	Dept of Motor Vehicles	Corporations	California Highway Patrol	Aeronautics	Food and Agriculture	STATUTORY AUTHORITY OF STATE DEPARTMENTS
	X		X					X		16	X	1	1. Air quality and air pollution control
			X									X	2. Chemical contamination and food products
26			7										3. Coastal areas, wetlands, estuaries, waterfowl refuges, and beaches
	24		8		X		X						4. Congestion in urban areas, housing, and building displacement
			X									X	5. Disease control
	X		X										6. Electric energy generation and supply
			9		X	X	X						7. Environmental effects with special impact in low-income neighborhoods
			X		X							X	8. Flood plains and watersheds
		X	X									X	9. Food additives and food sanitation
						X						X	10. Herbicides
	X		X									2	11. Historic and archaeological sites
			X									X	12. Human ecology
													13. Microbiological contamination
													14. Mineral land reclamation
											X		15. Natural gas energy development generation and supply
16			10								X		16. Navigable airways
25													17. Navigable waterways
			X							X	X		18. Noise control and abatement
25												X	19. Parks, forests, trees and outdoor recreation areas
	X		X									X	20. Pesticides
	X		X										21. Radiation and radiological health
24			11	X	X	X	X				X		22. Regional comprehensive planning
			X									X	23. Rodent control
			X									3	24. Sanitation and waste systems
			X										25. Shellfish sanitation
												X	26. Soil and plant life, sedimentation, erosion, and hydrologic conditions
			X									X	27. Toxic Materials
			X					X		X		4	28. Transportation and handling of hazardous materials
			X									X	29. Water quality and water pollution control
			12									5	30. Fish and wildlife
25			13										31. Activities with special impact on regional jurisdictions
24													32. Water project formulation
24													33. Geothermal energy
													34. Oil and petroleum development, generation and supply
													35. Statewide land use patterns
24													36. Open space policy
						X							37. Statewide overview — cumulative impact of separate projects
							X						38. Seismic hazards

APPENDIX B

continued

Office of Planning & Research	Native American Heritage Commission	Water Resources	State Water Resources Control Board	State Reclamation Board	State Lands Commission	Solid Waste Mgmt Board	Parks and Recreation	Forestry	Fish and Game	Energy Commission	Conservation	Colorado River Board	CTRPA	Coastal Commission	Boating and Waterways	
			X								X		27		1. Air quality and air pollution control	
			X						X						2. Chemical contamination and food products	
		X	X		X		X		X		X	14	27	26	X	3. Coastal areas, wetlands, estuaries, waterfowl refuges, and beaches
			21										27	26		4. Congestion in urban areas, housing, and building displacement
			X					22	X			14				5. Disease control
		X					X	23	X	X		14		26	X	6. Electric energy generation and supply
							19									7. Environmental effects with special impact in low-income neighborhoods
		X		20	X		X		X		X	14	27	26		8. Flood plains and watersheds
																9. Food additives and food sanitation
			X				X	22	X			14				10. Herbicides
	X				X		X		X					27	26	11. Historic and archaeological sites
			X				X		X					27	26	12. Human ecology
												14				13. Microbiological contamination
					X		X		X		X		27	26		14. Mineral land reclamation
										15	X	X			26	15. Natural gas energy development generation and supply
										16						16. Navigable airways
				20	X				X			14	27	26	X	17. Navigable waterways
										17						18. Noise control and abatement
	X				X		X		X		X	14	27	26	X	19. Parks, forests, trees and outdoor recreation areas
		X	X				X	22	X							20. Pesticides
									X	X		14				21. Radiation and radiological health
X		X	X		X		X		X	X	X	14	27	26	X	22. Regional comprehensive planning
							X	22	X							23. Rodent control
		X	X			X				18		X	14		26	24. Sanitation and waste systems
			X							X						25. Shellfish sanitation
		X	X		X		X		X		X	14	27	26		26. Soil and plant life, sedimentation, erosion, and hydrologic conditions
			X			X	X		X			14				27. Toxic Materials
			X							X	X					28. Transportation and handling of hazardous materials
		X	X						X		X	14	27	26	X	29. Water quality and water pollution control
			21				X		X			14	27	26		30. Fish and wildlife
		X		20			X					14	27	26		31. Activities with special impact on regional jurisdictions
		X	X	20			X	23	X			14			X	32. Water project formulation
		X	21		X				X	X	X	14				33. Geothermal energy
			21		X				X	X	X			26		34. Oil and petroleum development, generation and supply
X					X				X	X	X		27	26		35. Statewide land use patterns
X	X				X				X		X		27	26		36. Open space policy
X										X						37. Statewide overview — cumulative impact of separate projects
		X								X	X		27	26		38. Seismic hazards

## APPENDIX B FOOTNOTES

1. **Food and Agriculture** - Effects on plants and animals.
2. **Food and Agriculture** - Protection of food and fiber.
3. **Food and Agriculture** - Agricultural, dairy and feed lot Systems.
4. **Food and Agriculture** - As pertains to transportation, handling, storage and decontamination of pesticides.
5. **Food and Agriculture** - Pesticide effects, predatory animal control, bird control.
6. **California Highway Patrol** - Enforcement of motor vehicle regulations.
7. **Health Services - Beach sanitation**, water pollution, solid waste and mosquito control.
8. **Health Services** - Pertains to health component.
9. **Health Services** - Most if these are strongly related to health.
10. **Health Services** - Pertains to noise.
11. **Health Services** - Pertains to personal and environmental health components.
12. **Health Services** - As it may pertain to human health hazards.
13. **Health Services** - Pertains to comprehensive health planning.
14. **Colorado River Board** - As pertains to the Colorado, New and Alamo Rivers.
15. **Fish and Game** - As field development and distribution systems may affect fish and wildlife.
16. **Fish and Game** - As may affect migrating and resident wildlife.
17. **Fish and Game** - As excessive noise may affect wildlife.
18. **Fish and Game** - As water quality may affect fish and wildlife.
19. **Parks and Recreation - In impacted** areas only.
20. **Reclamation Board** - In areas of Board's jurisdiction only — the Sacramento-San Joaquin Valley.
21. **State Water Resources Control Board** - As may pertain to water quality.
22. **Forestry** - With respect to forest land.
23. **Forestry** - (6) and (32) - As related to fire protection or State (fire protection) responsibility land.
24. **Air Resources Board** - (4), (22), (32), (33), and (36) - As may pertain to residential, commercial, industrial or transportation growth.
25. **San Francisco Bay Conservation and Development Commission** - (3), (17), (19), and (30) - With respect to San Francisco Bay, Suisun Bay and adjacent shore areas.
26. **California Coastal Commission** - (3), (4), (6), (8), (11), (12), (14), (15), (17), (19), (22), (23), (26), (29), (30), (31), (34), (35), and (36) - With respect to effects within the California Coastal Zone.
27. **California Tahoe Regional Planning Agency** - With respect to effects in the Tahoe Basin.
28. **Native American Heritage Commission** - With respect to places of special religious or social significance to Native Americans including archaeological sites, cemeteries, and places of worship.

*NOTE: Authority cited: Section 21083, Public Resources Code; Reference: Sections 21080.3, 21080.4, 21104, and 21153, Public Resources Code.*

APPENDIX C:
NOTICE OF COMPLETION & ENVIRONMENTAL DOCUMENT TRANSMITTAL

Appendix C

Notice of Completion & Environmental Document Transmittal

Mail to: State Clearinghouse, P.O. Box 3044, Sacramento, CA 95812-3044 (916) 445-0613
For Hand Delivery/Street Address: 1400 Tenth Street, Sacramento, CA 95814

SCH #

Project Title:
Lead Agency:
Mailing Address:
City:
Contact Person:
Phone:
County:
Zip:

Project Location: County:
City/Nearest Community:
Cross Streets:
Longitude/Latitude (degrees, minutes and seconds):
Assessor's Parcel No.:
Section:
Twp.:
Range:
Base:
Within 2 Miles:
State Hwy #:
Waterways:
Airports:
Railways:
Schools:

Document Type:
CEQA:
Draft EIR
Supplement/Subsequent EIR
NEPA:
NOI
EA
Draft EIS
FONSI
Other:
Joint Document
Final Document

Local Action Type:
General Plan Update
General Plan Amendment
General Plan Element
Community Plan
Specific Plan
Planned Unit Development
Site Plan
Rezoning
Prezone
Use Permit
Land Division (Subdivision, etc.)
Annexation
Redevelopment
Coastal Permit
Other:

Development Type:
Residential: Units
Acres
Office:
Sq.ft.
Acres
Employees
Commercial:
Sq.ft.
Acres
Employees
Industrial:
Sq.ft.
Acres
Employees
Educational:
Recreational:
Water Facilities:
Type
MGD
Transportation:
Type
Mining:
Mineral
Power:
Type
MW
Waste Treatment:
Type
MGD
Hazardous Waste:
Type
Other:

Project Issues Discussed in Document:
Aesthetic/Visual
Agricultural Land
Air Quality
Archeological/Historical
Biological Resources
Coastal Zone
Drainage/Absorption
Economic/Jobs
Fiscal
Flood Plain/Flooding
Forest Land/Fire Hazard
Geologic/Seismic
Minerals
Noise
Population/Housing Balance
Public Services/Facilities
Recreation/Parks
Schools/Universities
Septic Systems
Sewer Capacity
Soil Erosion/Compaction/Grading
Solid Waste
Toxic/Hazardous
Traffic/Circulation
Vegetation
Water Quality
Water Supply/Groundwater
Wetland/Riparian
Growth Inducement
Land Use
Cumulative Effects
Other:

Present Land Use/Zoning/General Plan Designation:

Project Description: (please use a separate page if necessary)

Note: The State Clearinghouse will assign identification numbers for all new projects. If a SCH number already exists for a project (e.g. Notice of Preparation or previous draft document) please fill in.

APPENDIX C

continued

Reviewing Agencies Checklist

Lead Agencies may recommend State Clearinghouse distribution by marking agencies below with and "X". If you have already sent your document to the agency please denote that with an "S".

- \_\_\_ Air Resources Board
\_\_\_ Boating & Waterways, Department of
\_\_\_ California Highway Patrol
\_\_\_ Caltrans District #
\_\_\_ Caltrans Division of Aeronautics
\_\_\_ Caltrans Planning
\_\_\_ Central Valley Flood Protection Board
\_\_\_ Coachella Valley Mtns. Conservancy
\_\_\_ Coastal Commission
\_\_\_ Colorado River Board
\_\_\_ Conservation, Department of
\_\_\_ Corrections, Department of
\_\_\_ Delta Protection Commission
\_\_\_ Education, Department of
\_\_\_ Energy Commission
\_\_\_ Fish & Game Region #
\_\_\_ Food & Agriculture, Department of
\_\_\_ Forestry and Fire Protection, Department of
\_\_\_ General Services, Department of
\_\_\_ Health Services, Department of
\_\_\_ Housing & Community Development
\_\_\_ Integrated Waste Management Board
\_\_\_ Native American Heritage Commission
\_\_\_ Office of Emergency Services
\_\_\_ Office of Historic Preservation
\_\_\_ Office of Public School Construction
\_\_\_ Parks & Recreation, Department of
\_\_\_ Pesticide Regulation, Department of
\_\_\_ Public Utilities Commission
\_\_\_ Regional WQCB #
\_\_\_ Resources Agency
\_\_\_ S.F. Bay Conservation & Development Comm.
\_\_\_ San Gabriel & Lower L.A. Rivers & Mtns. Conservancy
\_\_\_ San Joaquin River Conservancy
\_\_\_ Santa Monica Mtns. Conservancy
\_\_\_ State Lands Commission
\_\_\_ SWRCB: Clean Water Grants
\_\_\_ SWRCB: Water Quality
\_\_\_ SWRCB: Water Rights
\_\_\_ Tahoe Regional Planning Agency
\_\_\_ Toxic Substances Control, Department of
\_\_\_ Water Resources, Department of
\_\_\_ Other:
\_\_\_ Other:

Local Public Review Period (to be filled in by lead agency)

Starting Date \_\_\_\_\_ Ending Date \_\_\_\_\_

Lead Agency (Complete if applicable):

Consulting Firm: \_\_\_\_\_ Applicant: \_\_\_\_\_
Address: \_\_\_\_\_ Address: \_\_\_\_\_
City/State/Zip: \_\_\_\_\_ City/State/Zip: \_\_\_\_\_
Contact: \_\_\_\_\_ Phone: \_\_\_\_\_
Phone: \_\_\_\_\_

Signature of Lead Agency Representative: \_\_\_\_\_ Date: \_\_\_\_\_

Authority cited: Section 21083, Public Resources Code. Reference: Section 21161, Public Resources Code.

### APPENDIX D: NOTICE OF DETERMINATION

#### Notice of Determination

Appendix D

**To:**  
 Office of Planning and Research  
*For U.S. Mail:*                      *Street Address:*  
 P.O. Box 3044                      1400 Tenth St.  
 Sacramento, CA 95812-3044      Sacramento, CA 95814

County Clerk  
 County of: \_\_\_\_\_  
 Address: \_\_\_\_\_  
                   \_\_\_\_\_

**From:**  
 Public Agency: \_\_\_\_\_  
 Address: \_\_\_\_\_  
 \_\_\_\_\_  
 Contact: \_\_\_\_\_  
 Phone: \_\_\_\_\_

Lead Agency (if different from above):  
 Address: \_\_\_\_\_  
 \_\_\_\_\_  
 Contact: \_\_\_\_\_  
 Phone: \_\_\_\_\_

**SUBJECT: Filing of Notice of Determination in compliance with Section 21108 or 21152 of the Public Resources Code.**

State Clearinghouse Number (if submitted to State Clearinghouse): \_\_\_\_\_

Project Title: \_\_\_\_\_

Project Location (include county): \_\_\_\_\_

Project Description:

This is to advise that the \_\_\_\_\_ has approved the above described project on \_\_\_\_\_  
 Lead Agency or  Responsible Agency  
 \_\_\_\_\_ and has made the following determinations regarding the above described project:  
 (Date)

1. The project [  will  will not ] have a significant effect on the environment.
2.  An Environmental Impact Report was prepared for this project pursuant to the provisions of CEQA.  
 A Negative Declaration was prepared for this project pursuant to the provisions of CEQA.
3. Mitigation measures [  were  were not ] made a condition of the approval of the project.
4. A mitigation reporting or monitoring plan [  was  was not ] adopted for this project.
5. A statement of Overriding Considerations [  was  was not ] adopted for this project.
6. Findings [  were  were not ] made pursuant to the provisions of CEQA.

This is to certify that the final EIR with comments and responses and record of project approval, or the Negative Declaration, is available to the General Public at:

Signature (Public Agency) \_\_\_\_\_ Title \_\_\_\_\_

Date \_\_\_\_\_ Date Received for filing at OPR \_\_\_\_\_

Authority cited: Sections 21083, Public Resources Code.  
Reference Section 21000-21174, Public Resources Code.

APPENDIX E:
NOTICE OF EXEMPTION

Notice of Exemption

Appendix E

To: Office of Planning and Research
P.O. Box 3044, Room 212
Sacramento, CA 95812-3044

From: (Public Agency) \_\_\_\_\_

County Clerk
County of \_\_\_\_\_

(Address)

Project Title: \_\_\_\_\_

Project Location - Specific: \_\_\_\_\_

Project Location - City: \_\_\_\_\_ Project Location - County: \_\_\_\_\_

Description of Nature, Purpose and Beneficiaries of Project: \_\_\_\_\_

Name of Public Agency Approving Project: \_\_\_\_\_

Name of Person or Agency Carrying Out Project: \_\_\_\_\_

Exempt Status: (check one)

- Ministerial (Sec. 21080(b)(1); 15268);
Declared Emergency (Sec. 21080(b)(3); 15269(a));
Emergency Project (Sec. 21080(b)(4); 15269(b)(c));
Categorical Exemption. State type and section number:
Statutory Exemptions. State code number:

Reasons why project is exempt: \_\_\_\_\_

Lead Agency
Contact Person: \_\_\_\_\_ Area Code/Telephone/Extension: \_\_\_\_\_

If filed by applicant:

- 1. Attach certified document of exemption finding.
2. Has a Notice of Exemption been filed by the public agency approving the project? Yes No

Signature: \_\_\_\_\_ Date: \_\_\_\_\_ Title: \_\_\_\_\_

- Signed by Lead Agency
Signed by Applicant
Date received for filing at OPR: \_\_\_\_\_

Revised 2005

## APPENDIX F: ENERGY CONSERVATION

### I. Introduction

The goal of conserving energy implies the wise and efficient use of energy. The means of achieving this goal include:

- (1) decreasing overall per capita energy consumption,
- (2) decreasing reliance on fossil fuels such as coal, natural gas and oil, and
- (3) increasing reliance on renewable energy sources.

In order to assure that energy implications are considered in project decisions, the California Environmental Quality Act requires that EIRs include a discussion of the potential energy impacts of proposed projects, with particular emphasis on avoiding or reducing inefficient, wasteful and unnecessary consumption of energy (see Public Resources Code section 21100(b)(3)). Energy conservation implies that a project's cost effectiveness be reviewed not only in dollars, but also in terms of energy requirements. For many projects, cost effectiveness may be determined more by energy efficiency than by initial dollar costs. A lead agency may consider the extent to which an energy source serving the project has already undergone environmental review that adequately analyzed and mitigated the effects of energy production.

### II. EIR Contents

Potentially significant energy implications of a project shall be considered in an EIR to the extent relevant and applicable to the project. The following list of energy impact possibilities and potential conservation measures is designed to assist in the preparation of an EIR. In many instances specific items may not apply or additional items may be needed. Where items listed below are applicable or relevant to the project, they should be considered in the EIR.

- A. Project Description may include the following items:
  1. Energy consuming equipment and processes which will be used during construction, operation and/or removal of the project. If appropriate, this discussion should consider the energy intensiveness of materials and equipment required for the project.
  2. Total energy requirements of the project by fuel type and end use.
  3. Energy conservation equipment and design features.
  4. Identification of energy supplies that would serve the project.
  5. Total estimated daily vehicle trips to be generated by the project and the additional energy consumed per trip by mode.
- B. Environmental Setting may include existing energy supplies and energy use patterns in the region and locality.
- C. Environmental Impacts may include:
  1. The project's energy requirements and its energy use efficiencies by amount and fuel type for each stage of the project including construction, operation, maintenance and/or removal. If appropriate, the energy intensiveness of materials maybe discussed.
  2. The effects of the project on local and regional energy supplies and on requirements for additional capacity.
  3. The effects of the project on peak and base period demands for electricity and other forms of energy.
  4. The degree to which the project complies with existing energy standards.
  5. The effects of the project on energy resources.

- 6. The project's projected transportation energy use requirements and its overall use of efficient transportation alternatives.
- D. Mitigation Measures may include:
  - 1. Potential measures to reduce wasteful, inefficient and unnecessary consumption of energy during construction, operation, maintenance and/or removal. The discussion should explain why certain measures were incorporated in the project and why other measures were dismissed.
  - 2. The potential of siting, orientation, and design to minimize energy consumption, including transportation energy, increase water conservation and reduce solid waste.
  - 3. The potential for reducing peak energy demand.
  - 4. Alternate fuels (particularly renewable ones) or energy systems.
  - 5. Energy conservation which could result from recycling efforts.
- E. Alternatives should be compared in terms of overall energy consumption and in terms of reducing wasteful, inefficient and unnecessary consumption of energy.
- F. Unavoidable Adverse Effects may include wasteful, inefficient and unnecessary consumption of energy during the project construction, operation, maintenance and/or removal that cannot be feasibly mitigated.
- G. Irreversible Commitment of Resources may include a discussion of how the project preempts future energy development or future energy conservation.
- H. Short-Term Gains versus Long-Term Impacts can be compared by calculating the project's energy costs over the project's lifetime.
- I. Growth Inducing Effects may include the estimated energy consumption of growth induced by the project.

Note: Authority cited: Sections 21083 and 21087, Public Resources Code. Reference: Sections 21000-21176. Public Resources Code.

*Revised 2009*

### APPENDIX G: ENVIRONMENTAL CHECKLIST FORM

NOTE: The following is a sample form and may be tailored to satisfy individual agencies' needs and project circumstances. It may be used to meet the requirements for an initial study when the criteria set forth in CEQA Guidelines have been met. Substantial evidence of potential impacts that are not listed on this form must also be considered. The sample questions in this form are intended to encourage thoughtful assessment of impacts, and do not necessarily represent thresholds of significance.

1. Project title: \_\_\_\_\_
2. Lead agency name and address:  
\_\_\_\_\_  
\_\_\_\_\_
3. Contact person and phone number: \_\_\_\_\_
4. Project location: \_\_\_\_\_
5. Project sponsor's name and address:  
\_\_\_\_\_  
\_\_\_\_\_
6. General plan designation: \_\_\_\_\_ 7. Zoning: \_\_\_\_\_
8. Description of project: (Describe the whole action involved, including but not limited to later phases of the project, and any secondary, support, or off-site features necessary for its implementation. Attach additional sheets if necessary.)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
9. Surrounding land uses and setting: Briefly describe the project's surroundings:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
10. Other public agencies whose approval is required (e.g., permits, financing approval, or participation agreement.)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

ENVIRONMENTAL FACTORS POTENTIALLY AFFECTED:

The environmental factors checked below would be potentially affected by this project, involving at least one impact that is a "Potentially Significant Impact" as indicated by the checklist on the following pages.

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Aesthetics               | <input type="checkbox"/> Agriculture and Forestry Resources | <input type="checkbox"/> Air Quality                        |
| <input type="checkbox"/> Biological Resources     | <input type="checkbox"/> Cultural Resources                 | <input type="checkbox"/> Geology /Soils                     |
| <input type="checkbox"/> Greenhouse Gas Emissions | <input type="checkbox"/> Hazards & Hazardous Materials      | <input type="checkbox"/> Hydrology / Water Quality          |
| <input type="checkbox"/> Land Use / Planning      | <input type="checkbox"/> Mineral Resources                  | <input type="checkbox"/> Noise                              |
| <input type="checkbox"/> Population / Housing     | <input type="checkbox"/> Public Services                    | <input type="checkbox"/> Recreation                         |
| <input type="checkbox"/> Transportation/Traffic   | <input type="checkbox"/> Utilities / Service Systems        | <input type="checkbox"/> Mandatory Findings of Significance |

DETERMINATION: (To be completed by the Lead Agency)

On the basis of this initial evaluation:

- I find that the proposed project COULD NOT have a significant effect on the environment, and a NEGATIVE DECLARATION will be prepared.
- I find that although the proposed project could have a significant effect on the environment, there will not be a significant effect in this case because revisions in the project have been made by or agreed to by the project proponent. A MITIGATED NEGATIVE DECLARATION will be prepared.
- I find that the proposed project MAY have a significant effect on the environment, and an ENVIRONMENTAL IMPACT REPORT is required.
- I find that the proposed project MAY have a "potentially significant impact" or "potentially significant unless mitigated" impact on the environment, but at least one effect 1) has been adequately analyzed in an earlier document pursuant to applicable legal standards, and 2) has been addressed by mitigation measures based on the earlier analysis as described on attached sheets. An ENVIRONMENTAL IMPACT REPORT is required, but it must analyze only the effects that remain to be addressed.
- I find that although the proposed project could have a significant effect on the environment, because all potentially significant effects (a) have been analyzed adequately in an earlier EIR or NEGATIVE DECLARATION pursuant to applicable standards, and (b) have been avoided or mitigated pursuant to that earlier EIR or NEGATIVE DECLARATION, including revisions or mitigation measures that are imposed upon the proposed project, nothing further is required.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

## EVALUATION OF ENVIRONMENTAL IMPACTS:

- 1) A brief explanation is required for all answers except "No Impact" answers that are adequately supported by the information sources a lead agency cites in the parentheses following each question. A "No Impact" answer is adequately supported if the referenced information sources show that the impact simply does not apply to projects like the one involved (e.g., the project falls outside a fault rupture zone). A "No Impact" answer should be explained where it is based on project-specific factors as well as general standards (e.g., the project will not expose sensitive receptors to pollutants, based on a project-specific screening analysis).
- 2) All answers must take account of the whole action involved, including off-site as well as on-site, cumulative as well as project-level, indirect as well as direct, and construction as well as operational impacts.
- 3) Once the lead agency has determined that a particular physical impact may occur, then the checklist answers must indicate whether the impact is potentially significant, less than significant with mitigation, or less than significant. "Potentially Significant Impact" is appropriate if there is substantial evidence that an effect may be significant. If there are one or more "Potentially Significant Impact" entries when the determination is made, an EIR is required.
- 4) "Negative Declaration: Less Than Significant With Mitigation Incorporated" applies where the incorporation of mitigation measures has reduced an effect from "Potentially Significant Impact" to a "Less Than Significant Impact." The lead agency must describe the mitigation measures, and briefly explain how they reduce the effect to a less than significant level (mitigation measures from "Earlier Analyses," as described in (5) below, may be cross-referenced).
- 5) Earlier analyses may be used where, pursuant to the tiering, program EIR, or other CEQA process, an effect has been adequately analyzed in an earlier EIR or negative declaration. Section 15063(c)(3)(D). In this case, a brief discussion should identify the following:
  - a) Earlier Analysis Used. Identify and state where they are available for review.
  - b) Impacts Adequately Addressed. Identify which effects from the above checklist were within the scope of and adequately analyzed in an earlier document pursuant to applicable legal standards, and state whether such effects were addressed by mitigation measures based on the earlier analysis.
  - c) Mitigation Measures. For effects that are "Less than Significant with Mitigation Measures Incorporated," describe the mitigation measures which were incorporated or refined from the earlier document and the extent to which they address site-specific conditions for the project.
- 6) Lead agencies are encouraged to incorporate into the checklist references to information sources for potential impacts (e.g., general plans, zoning ordinances). Reference to a previously prepared or outside document should, where appropriate, include a reference to the page or pages where the statement is substantiated.
- 7) Supporting Information Sources: A source list should be attached, and other sources used or individuals contacted should be cited in the discussion.
- 8) This is only a suggested form, and lead agencies are free to use different formats; however, lead agencies should normally address the questions from this checklist that are relevant to a project's environmental effects in whatever format is selected.

- 9) The explanation of each issue should identify:
- a) the significance criteria or threshold, if any, used to evaluate each question; and
  - b) the mitigation measure identified, if any, to reduce the impact to less than significance

SAMPLE QUESTION

Issues:

	Potentially Significant Impact	Less Than Significant with Mitigation Incorporated	Less Than Significant Impact	No Impact
--	--------------------------------	--	------------------------------	-----------

**I. AESTHETICS.** Would the project:

- |  |                          |                          |                          |                          |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| a) Have a substantial adverse effect on a scenic vista?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b) Substantially damage scenic resources, including, but not limited to, trees, rock outcroppings, and historic buildings within a state scenic highway? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c) Substantially degrade the existing visual character or quality of the site and its surroundings?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d) Create a new source of substantial light or glare which would adversely affect day or nighttime views in the area?                                    | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

**II. AGRICULTURE AND FORESTRY RESOURCES.** In determining whether impacts to agricultural resources are significant environmental effects, lead agencies may refer to the California Agricultural Land Evaluation and Site Assessment Model (1997) prepared by the California Dept. of Conservation as an optional model to use in assessing impacts on agriculture and farmland. In determining whether impacts to forest resources, including timberland, are significant environmental effects, lead agencies may refer to information compiled by the California Department of Forestry and Fire Protection regarding the state’s inventory of forest land, including the Forest and Range Assessment Project and the Forest Legacy Assessment project; and forest carbon measurement methodology provided in Forest Protocols adopted by the California Air Resources Board. Would the project:

	Potentially Significant Impact	Less Than Significant with Mitigation Incorporated	Less Than Significant Impact	No Impact
a) Convert Prime Farmland, Unique Farmland, or Farmland of Statewide Importance (Farmland), as shown on the maps prepared pursuant to the Farmland Mapping and Monitoring Program of the California Resources Agency, to non-agricultural use?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Conflict with existing zoning for agricultural use, or a Williamson Act contract?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Conflict with existing zoning for, or cause rezoning of, forest land (as defined in Public Resources Code section 12220(g)), timberland (as defined by Public Resources Code section 4526), or timberland zoned Timberland Production (as defined by Government Code section 51104(g))?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Result in the loss of forest land or conversion of forest land to non-forest use?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Involve other changes in the existing environment which, due to their location or nature, could result in conversion of Farmland, to non-agricultural use or conversion of forest land to non-forest use?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**III. AIR QUALITY.** Where available, the significance criteria established by the applicable air quality management or air pollution control district may be relied upon to make the following determinations. Would the project:

a) Conflict with or obstruct implementation of the applicable air quality plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Violate any air quality standard or contribute substantially to an existing or projected air quality violation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Result in a cumulatively considerable net increase of any criteria pollutant for which the project region is non-attainment under an applicable federal or state ambient air quality standard (including releasing emissions which exceed quantitative thresholds for ozone precursors)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Potentially Significant Impact	Less Than Significant with Mitigation Incorporated	Less Than Significant Impact	No Impact
d) Expose sensitive receptors to substantial pollutant concentrations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Create objectionable odors affecting a substantial number of people?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b><u>IV. BIOLOGICAL RESOURCES:</u></b>				
Would the project:				
a) Have a substantial adverse effect, either directly or through habitat modifications, on any species identified as a candidate, sensitive, or special status species in local or regional plans, policies, or regulations, or by the California Department of Fish and Game or U.S. Fish and Wildlife Service?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Have a substantial adverse effect on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, regulations or by the California Department of Fish and Game or US Fish and Wildlife Service?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Have a substantial adverse effect on federally protected wetlands as defined by Section 404 of the Clean Water Act (including, but not limited to, marsh, vernal pool, coastal, etc.) through direct removal, filling, hydrological interruption, or other means?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Interfere substantially with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) Conflict with the provisions of an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<b>Less Than Significant</b>			
	<b>Potentially Significant Impact</b>	<b>with Mitigation Incorporated</b>	<b>Less Than Significant Impact</b>	<b>No Impact</b>

**V. CULTURAL RESOURCES.** Would the project:

- |  |                          |                          |                          |                          |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| a) Cause a substantial adverse change in the significance of a historical resource as defined in § 15064.5?    | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b) Cause a substantial adverse change in the significance of an archaeological resource pursuant to § 15064.5? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c) Directly or indirectly destroy a unique paleontological resource or site or unique geologic feature?        | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d) Disturb any human remains, including those interred outside of formal cemeteries?                           | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

**VI. GEOLOGY AND SOILS.** Would the project:

- |  |                          |                          |                          |                          |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| a) Expose people or structures to potential substantial adverse effects, including the risk of loss, injury, or death involving:   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| i) Rupture of a known earthquake fault, as delineated on the most recent Alquist-Priolo Earthquake Fault Zoning Map issued by the State Geologist for the area or based on other substantial evidence of a known fault? Refer to Division of Mines and Geology Special Publication 42. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| ii) Strong seismic ground shaking?   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| iii) Seismic-related ground failure, including liquefaction?   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| iv) Landslides?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b) Result in substantial soil erosion or the loss of topsoil?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c) Be located on a geologic unit or soil that is unstable, or that would become unstable as a result of the project, and potentially result in on- or off-site landslide, lateral spreading, subsidence, liquefaction or collapse?   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

	<b>Potentially Significant Impact</b>	<b>Less Than Significant with Mitigation Incorporated</b>	<b>Less Than Significant Impact</b>	<b>No Impact</b>
d) Be located on expansive soil, as defined in Table 18-1-B of the Uniform Building Code (1994), creating substantial risks to life or property?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Have soils incapable of adequately supporting the use of septic tanks or alternative waste water disposal systems where sewers are not available for the disposal of waste water?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**VII. GREENHOUSE GAS EMISSIONS.**

Would the project:

a) Generate greenhouse gas emissions, either directly or indirectly, that may have a significant impact on the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Conflict with an applicable plan, policy or regulation adopted for the purpose of reducing the emissions of greenhouse gases?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**VIII. HAZARDS AND HAZARDOUS MATERIALS.** Would the project:

a) Create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Emit hazardous emissions or handle hazardous or acutely hazardous materials, substances, or waste within one-quarter mile of an existing or proposed school?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Be located on a site which is included on a list of hazardous materials sites compiled pursuant to Government Code Section 65962.5 and, as a result, would it create a significant hazard to the public or the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<b>Potentially Significant Impact</b>	<b>Less Than Significant with Mitigation Incorporated</b>	<b>Less Than Significant Impact</b>	<b>No Impact</b>
e) For a project located within an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project result in a safety hazard for people residing or working in the project area?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) For a project within the vicinity of a private airstrip, would the project result in a safety hazard for people residing or working in the project area?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g) Impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h) Expose people or structures to a significant risk of loss, injury or death involving wildland fires, including where wildlands are adjacent to urbanized areas or where residences are intermixed with wildlands?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**IX. HYDROLOGY AND WATER QUALITY.**

Would the project:

a) Violate any water quality standards or waste discharge requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Substantially deplete groundwater supplies or interfere substantially with groundwater recharge such that there would be a net deficit in aquifer volume or a lowering of the local groundwater table level (e.g., the production rate of pre-existing nearby wells would drop to a level which would not support existing land uses or planned uses for which permits have been granted)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river, in a manner which would result in substantial erosion or siltation on- or off-site?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Potentially Significant Impact	Less Than Significant with Mitigation Incorporated	Less Than Significant Impact	No Impact
d) Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river, or substantially increase the rate or amount of surface runoff in a manner which would result in flooding on- or off-site?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Create or contribute runoff water which would exceed the capacity of existing or planned stormwater drainage systems or provide substantial additional sources of polluted runoff?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) Otherwise substantially degrade water quality?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g) Place housing within a 100-year flood hazard area as mapped on a federal Flood Hazard Boundary or Flood Insurance Rate Map or other flood hazard delineation map?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h) Place within a 100-year flood hazard area structures which would impede or redirect flood flows?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i) Expose people or structures to a significant risk of loss, injury or death involving flooding, including flooding as a result of the failure of a levee or dam?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j) Inundation by seiche, tsunami, or mudflow?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>X. LAND USE AND PLANNING.</b> Would the project:				
a) Physically divide an established community?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Conflict with any applicable land use plan, policy, or regulation of an agency with jurisdiction over the project (including, but not limited to the general plan, specific plan, local coastal program, or zoning ordinance) adopted for the purpose of avoiding or mitigating an environmental effect?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<b>Potentially Significant Impact</b>	<b>Less Than Significant with Mitigation Incorporated</b>	<b>Less Than Significant Impact</b>	<b>No Impact</b>
c) Conflict with any applicable habitat conservation plan or natural community conservation plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**XI. MINERAL RESOURCES.** Would the project:

a) Result in the loss of availability of a known mineral resource that would be of value to the region and the residents of the state?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Result in the loss of availability of a locally-important mineral resource recovery site delineated on a local general plan, specific plan or other land use plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**XII. NOISE** -- Would the project result in:

a) Exposure of persons to or generation of noise levels in excess of standards established in the local general plan or noise ordinance, or applicable standards of other agencies?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Exposure of persons to or generation of excessive groundborne vibration or groundborne noise levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) A substantial permanent increase in ambient noise levels in the project vicinity above levels existing without the project?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) A substantial temporary or periodic increase in ambient noise levels in the project vicinity above levels existing without the project?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) For a project located within an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project expose people residing or working in the project area to excessive noise levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) For a project within the vicinity of a private airstrip, would the project expose people residing or working in the project area to excessive noise levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<b>Less Than Significant with Mitigation Incorporated</b>	<b>Less Than Significant Impact</b>	<b>No Impact</b>
<b>Potentially Significant Impact</b>			

**XIII. POPULATION AND HOUSING.** Would the project:

- |   |                          |                          |                          |                          |
|---|--------------------------|--------------------------|--------------------------|--------------------------|
| a) Induce substantial population growth in an area, either directly (for example, by proposing new homes and businesses) or indirectly (for example, through extension of roads or other infrastructure)? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b) Displace substantial numbers of existing housing, necessitating the construction of replacement housing elsewhere?   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c) Displace substantial numbers of people, necessitating the construction of replacement housing elsewhere?   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

**XIV. PUBLIC SERVICES.**

- |   |                          |                          |                          |                          |
|---|--------------------------|--------------------------|--------------------------|--------------------------|
| a) Would the project result in substantial adverse physical impacts associated with the provision of new or physically altered governmental facilities, need for new or physically altered governmental facilities, the construction of which could cause significant environmental impacts, in order to maintain acceptable service ratios, response times or other performance objectives for any of the public services: | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Fire protection?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Police protection?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Schools?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Parks?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other public facilities?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

**XV. RECREATION.**

- |  |                          |                          |                          |                          |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| a) Would the project increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility would occur or be accelerated? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|--|--------------------------|--------------------------|--------------------------|--------------------------|

	<b>Potentially Significant Impact</b>	<b>Less Than Significant with Mitigation Incorporated</b>	<b>Less Than Significant Impact</b>	<b>No Impact</b>
b) Does the project include recreational facilities or require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b><u>XVI. TRANSPORTATION/TRAFFIC.</u> Would the project:</b>				
a) Conflict with an applicable plan, ordinance or policy establishing measures of effectiveness for the performance of the circulation system, taking into account all modes of transportation including mass transit and non-motorized travel and relevant components of the circulation system, including but not limited to intersections, streets, highways and freeways, pedestrian and bicycle paths, and mass transit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Conflict with an applicable congestion management program, including, but not limited to level of service standards and travel demand measures, or other standards established by the county congestion management agency for designated roads or highways?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Result in a change in air traffic patterns, including either an increase in traffic levels or a change in location that results in substantial safety risks?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Substantially increase hazards due to a design feature (e.g., sharp curves or dangerous intersections) or incompatible uses (e.g., farm equipment)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Result in inadequate emergency access?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) Conflict with adopted policies, plans, or programs regarding public transit, bicycle, or pedestrian facilities, or otherwise decrease the performance or safety of such facilities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<b>Less Than Significant with Mitigation Incorporated</b>	<b>Less Than Significant Impact</b>	<b>No Impact</b>
<b>Potentially Significant Impact</b>			

**XVII. UTILITIES AND SERVICE SYSTEMS.**

Would the project:

- |   |                          |                          |                          |                          |
|---|--------------------------|--------------------------|--------------------------|--------------------------|
| a) Exceed wastewater treatment requirements of the applicable Regional Water Quality Control Board?   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b) Require or result in the construction of new water or wastewater treatment facilities or expansion of existing facilities, the construction of which could cause significant environmental effects?                            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c) Require or result in the construction of new storm water drainage facilities or expansion of existing facilities, the construction of which could cause significant environmental effects?                                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d) Have sufficient water supplies available to serve the project from existing entitlements and resources, or are new or expanded entitlements needed?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| e) Result in a determination by the wastewater treatment provider which serves or may serve the project that it has adequate capacity to serve the project’s projected demand in addition to the provider’s existing commitments? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| f) Be served by a landfill with sufficient permitted capacity to accommodate the project’s solid waste disposal needs?  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| g) Comply with federal, state, and local statutes and regulations related to solid waste?   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

**XVIII. MANDATORY FINDINGS OF SIGNIFICANCE.**

- |  |                          |                          |                          |                          |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| a) Does the project have the potential to degrade the quality of the environment, substantially reduce the habitat of a fish or wildlife species, cause a fish or wildlife population to drop below self-sustaining levels, threaten to eliminate a plant or animal community, reduce the number or restrict the range of a rare or endangered plant or animal or eliminate important examples of the major periods of California history or prehistory? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|--|--------------------------|--------------------------|--------------------------|--------------------------|

	Potentially Significant Impact	Less Than Significant with Mitigation Incorporated	Less Than Significant Impact	No Impact
b) Does the project have impacts that are individually limited, but cumulatively considerable? ("Cumulatively considerable" means that the incremental effects of a project are considerable when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Does the project have environmental effects which will cause substantial adverse effects on human beings, either directly or indirectly?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Note: Authority cited: Sections 21083 and 21083.05, Public Resources Code. Reference: Section 65088.4, Gov. Code; Sections 21080(c), 21080.1, 21080.3, 21083, 21083.05, 21083.3, 21093, 21094, 21095, and 21151, Public Resources Code; *Sundstrom v. County of Mendocino*, (1988) 202 Cal.App.3d 296; *Leonoff v. Monterey Board of Supervisors*, (1990) 222 Cal.App.3d 1337; *Eureka Citizens for Responsible Govt. v. City of Eureka* (2007) 147 Cal.App.4th 357; *Protect the Historic Amador Waterways v. Amador Water Agency* (2004) 116 Cal.App.4th at 1109; *San Franciscans Upholding the Downtown Plan v. City and County of San Francisco* (2002) 102 Cal.App.4th 656.

Revised 2009

## APPENDIX H: ENVIRONMENTAL INFORMATION FORM

*(To be Completed by Applicant)*

Date Filed \_\_\_\_\_

### General Information

1. Name and address of developer or project sponsor: \_\_\_\_\_
2. Address of project: \_\_\_\_\_  
Assessor's Block and Lot Number: \_\_\_\_\_
3. Name, address, and telephone number of person to be contacted concerning this project:  
\_\_\_\_\_  
\_\_\_\_\_
4. Indicate number of the permit application for the project to which this form pertains: \_\_\_\_\_
5. List and describe any other related permits and other public approvals required for this project, including those required by city, regional, state and federal agencies:  
\_\_\_\_\_
6. Existing zoning district: \_\_\_\_\_
7. Proposed use of site (Project for which this form is filed): \_\_\_\_\_  
\_\_\_\_\_

### Project Description

8. Site size.
9. Square footage.
10. Number of floors of construction.
11. Amount of off-street parking provided.
12. Attach plans.
13. Proposed scheduling.
14. Associated projects.
15. Anticipated incremental development.
16. If residential, include the number of units, schedule of unit sizes, range of sale prices or rents, and type of household size expected.
17. If commercial, indicate the type, whether neighborhood, city or regionally oriented, square footage of sales area, and loading facilities.
18. If industrial, indicate type, estimated employment per shift, and loading facilities.

- 19. If institutional, indicate the major function, estimated employment per shift, estimated occupancy, loading facilities, and community benefits to be derived from the project.
- 20. If the project involves a variance, conditional use or rezoning application, state this and indicate clearly why the application is required.

Are the following items applicable to the project or its effects? Discuss below all items checked yes (attach additional sheets as necessary).

	Yes	No
21. Change in existing features of any bays, tidelands, beaches, or hills, or substantial alteration of ground contours.	<input type="checkbox"/>	<input type="checkbox"/>
22. Change in scenic views or vistas from existing residential areas or public lands or roads.	<input type="checkbox"/>	<input type="checkbox"/>
23. Change in pattern, scale or character of general area of project.	<input type="checkbox"/>	<input type="checkbox"/>
24. Significant amounts of solid waste or litter.	<input type="checkbox"/>	<input type="checkbox"/>
25. Change in dust, ash, smoke, fumes or odors in vicinity.	<input type="checkbox"/>	<input type="checkbox"/>
26. Change in ocean, bay, lake, stream or ground water quality or quantity, or alteration of existing drainage patterns.	<input type="checkbox"/>	<input type="checkbox"/>
27. Substantial change in existing noise or vibration levels in the vicinity.	<input type="checkbox"/>	<input type="checkbox"/>
28. Site on filled land or on slope of 10 percent or more.	<input type="checkbox"/>	<input type="checkbox"/>
29. Use of disposal of potentially hazardous materials, such as toxic substances, flammables or explosives.	<input type="checkbox"/>	<input type="checkbox"/>
30. Substantial change in demand for municipal services (police, fire, water, sewage, etc.).	<input type="checkbox"/>	<input type="checkbox"/>
31. Substantially increase fossil fuel consumption (electricity, oil, natural gas, etc.).	<input type="checkbox"/>	<input type="checkbox"/>
32. Relationship to a larger project or series of projects.	<input type="checkbox"/>	<input type="checkbox"/>

**Environmental Setting**

- 33. Describe the project site as it exists before the project, including information on topography, soil stability, plants and animals, and any cultural, historical or scenic aspects. Describe any existing structures on the site, and the use of the structures. Attach photographs of the site. Snapshots or polaroid photos will be accepted.
- 34. Describe the surrounding properties, including information on plant- and animals and any cultural, historical or scenic aspects. Indicate the type of land use (residential, commercial, etc.), intensity of land use (one-family, apartment houses, shops, department stores, etc.), and scale of development (height, frontage, set-back, rear yard, etc.). Attach photographs of the vicinity. Snapshots or polaroid photos will be accepted.

**Certification**

I hereby certify that the statements furnished above and in the attached exhibits present the data and information required for this initial evaluation to the best of my ability, and that the facts, statements, and in formation presented are true and correct to the best of my knowledge and belief.

Date \_\_\_\_\_ Signature \_\_\_\_\_  
 For \_\_\_\_\_

APPENDIX I:  
NOTICE OF PREPARATION

To: \_\_\_\_\_ From: \_\_\_\_\_

\_\_\_\_\_ (Address) \_\_\_\_\_ (Address)

\_\_\_\_\_

**Subject: Notice of Preparation of a Draft Environmental Impact Report**

\_\_\_\_\_ will be the Lead Agency and will prepare an environmental impact report for the project identified below. We need to know the views of your agency as to the scope and content of the environmental information which is germane to your agency's statutory responsibilities in connection with the proposed project. Your agency will need to use the EIR prepared by our agency when considering your permit or other approval for the project.

The project description, location, and the potential environmental effects are contained in the attached materials. A copy of the Initial Study (  is  is not ) attached.

Due to the time limits mandated by State law, your response must be sent at the earliest possible date but not later than 30 days after receipt of this notice.

Please send your response to \_\_\_\_\_ at the address shown above. We will need the name for a contact person in your **agency**.

**Project Title:** \_\_\_\_\_

**Project Applicant, if any:** \_\_\_\_\_

Date \_\_\_\_\_ Signature \_\_\_\_\_

Title \_\_\_\_\_

Telephone \_\_\_\_\_

*Reference:* California Code of Regulations, Title 14, (CEQA Guidelines) Sections 15082(a), 15103, 15375.

### APPENDIX J: EXAMPLES OF TIERING EIRS

FIRST TIER EIR  
(15152)

- project encompasses separate but related projects such as general plan, zoning, development
- later tiers move from general to specific analysis of projects

Later Project  
EIR

- later project is consistent with general plan or zoning
- initial study must examine significant effects not covered in prior EIR
- later EIR must state lead agency is using tiering concept and must comply with section 15152

STAGED EIR  
(15167)

- one large project will require a number of discretionary approvals from govt. agencies and one of those approvals will occur more than two years before construction commences

Supplement to the  
Staged EIR

- supplements to the staged EIR are prepared for later government agency approvals on the same overall project if information available at the time of that later approval would permit consideration of additional environmental impacts, mitigation measures or reasonable alternatives

PROGRAM EIR  
(15168)

- series of actions or activities that can be characterized as one large project and are related either:
  - geographically
  - as logical parts of a chain of activities
  - in connection with rules, regulations, plans or other general criteria governing a continuing program
  - as individual activities carried out under common authority (statutory or regulatory) and having similar environmental effects which can be mitigated in similar ways

Subsequent Project EIR

- only if subsequent activity has effects not examined in the previously certified program EIR will additional environmental documentation be required (if subsequent activity has no new effects, that activity is covered by the program EIR)

MASTER EIR (15175)

- alternative to project, staged, or program EIR
- can be used for:
  - general plan (or gen. plan element, amendment, or update)
  - redevelopment plan projects (public or private)
  - project consisting of phases of smaller individual projects
  - other activities described in 15175
- after five years from initial certification, adopting authority must review the Master EIR and prepare subsequent or supplemental EIR if substantial changes have occurred with respect to circumstances under which the original Master EIR was adopted
- no new EIR is required for subsequent projects within the scope of the Master EIR which cause no additional significant effect

Focused EIR (15177)

- a subsequent, Focused EIR is required only where:
  - substantial new/additional information shows adverse environmental effects not examined in Master EIR or more significant than described in EIR, or
  - substantial new/additional information shows mitigation measures previously determined to be infeasible are now feasible and will avoid/reduce the significant effects to a level of insignificance

**SPECIAL SITUATIONS / EIRs**

**Multiple-family residential development / residential and commercial or retail mixed-use development** (PRC 21158.5 and Guideline §15179.5)

- project is multiple-family residential development up to 100 units or is a residential and commercial or retail mixed-use development of not more than 100,000 square feet
- if project complies with procedures in section 21158.5, only a focused EIR need be prepared, notwithstanding the fact that the project wasn't identified in the Master EIR

**Redevelopment Project** (15180)

- all public and private activities or undertakings in furtherance of a redevelopment plan (public or private) constitute a single project
- the redevelopment plan EIR is treated as a program EIR

- no subsequent EIR is required for individual components of the redevelopment plan unless substantial changes or substantial new information triggers a subsequent EIR or supplement to an EIR pursuant to (sections 15162 or 15163)

**Housing/neighborhood commercial facilities (15181)**

- a project involving construction of housing or neighborhood commercial facilities in an urbanized area
- a prior EIR for a specific plan, local coastal program, or port master plan may be used as the EIR for such a project (no new EIR need be prepared) provided section 15181 procedures are complied with

**Projects Consistent with Community Plan, General Plan, or Zoning (15183)**

- a project which is consistent with a community plan adopted as part of a general plan or zoning ordinance or a general plan of a local agency and where there was an EIR certified for the zoning action or master plan
- the EIR for the residential project need only examine certain significant environmental effects, as outlined in section 15183

**Regulations on Pollution Control Equipment (PRC section 21159)**

- section 21159 requires environmental analysis of reasonably foreseeable methods of compliance at the time of adoption of rule or regulation requiring the installation of pollution control equipment
- an EIR prepared at the time of adoption of the rule or regulation is deemed to satisfy the requirement of section 21159

**Installation of Pollution Control Equipment (PRC section 21159.1)**

- a focused EIR is permitted where project 1) consists solely of installation of pollution control equipment; 2) is required by rule or regulation adopted by the State Air Resources Board, an air pollution control district or air quality management district, the State Water Resources Control Board, a California regional water quality control board, the Dept. of Toxic Substances Control, or the California Integrated Waste Management Board; and 3) meets the procedural requirements outlined in section 21159.1

## APPENDIX K: CRITERIA FOR SHORTENED CLEARINGHOUSE REVIEW

Under exceptional circumstances, and when requested in writing by the lead agency, the State Clearinghouse in the Office of Planning and Research (OPR) may shorten the usual review periods for proposed negative declarations, mitigated negative declarations and draft EIRs submitted to the Clearinghouse. A request must be made by the decision-making body of the lead agency, or by a properly authorized representative of the decision-making body.

A shortened review period may be granted when any of the following circumstances exist:

- (1) The lead agency is operating under an extension of the one-year period for completion of an EIR and would not otherwise be able to complete the EIR within the extended period.
- (2) The public project applicant is under severe time constraints with regard to obtaining financing or exercising options which cannot be met without shortening the review period.
- (3) The document is a supplement to a draft EIR or proposed negative declaration or mitigated negative declaration previously submitted to the State Clearinghouse.
- (4) The health and safety of the community would be at risk unless the project is approved expeditiously.
- (5) The document is a revised draft EIR, or proposed negative declaration or mitigated negative declaration, where changes in the document are primarily the result of comments from agencies and the public.

Shortened review cannot be provided to a draft EIR or proposed negative declaration or mitigated negative declaration which has already begun the usual review process. Prior to requesting shortened review, the lead agency should have already issued a notice of preparation and received comments from applicable State agencies, in the case of an EIR, or consulted with applicable State agencies, in the case of a proposed negative declaration or mitigated negative declaration.

No shortened review period shall be granted unless the lead agency has contacted and obtained prior approval for a shortened review from the applicable state responsible and trustee agencies. No shortened review shall be granted for any project which is of statewide, regional, or areawide significance, as defined in Section 15206 of the guidelines.

**APPENDIX L:  
NOTICE OF COMPLETION OF DRAFT EIR**

Project Title		
Project Location – Specific		
Project Location – City	Project	
Location – County		
Description of Nature, Purpose, and Beneficiaries of Project		
Lead Agency	Division	
Address Where Copy of EIR is Available		
Review Period		
Contact Person	Area Code	Phone
Extension		

*Authority cited: Section 21083, Public Resources Code. Reference: Sections 21092, 21152, and 21153, Public Resources Code.*  
**Revised 2005**

## APPENDIX M: PERFORMANCE STANDARDS FOR INFILL PROJECTS ELIGIBLE FOR STREAMLINED REVIEW

### I. Introduction

Section 15183.3 provides a streamlined review process for infill projects that satisfy specified performance standards. This appendix contains those performance standards. The lead agency's determination that the project satisfies the performance standards shall be supported with substantial evidence, which should be documented on the Infill Checklist in Appendix N. Section II defines terms used in this Appendix. Performance standards that apply to all project types are set forth in Section III. Section IV contains performance standards that apply to particular project types (i.e., residential, commercial/retail, office building, transit stations, and schools).

### II. Definitions

The following definitions apply to the terms used in this Appendix.

"High-quality transit corridor" means an existing corridor with fixed route bus service with service intervals no longer than 15 minutes during peak commute hours. For the purposes of this Appendix, an "existing stop along a high-quality transit corridor" may include a planned and funded stop that is included in an adopted regional transportation improvement program.

Unless more specifically defined by an air district, city or county, "high-volume roadway" means freeways, highways, urban roads with 100,000 vehicles per day, or rural roads with 50,000 vehicles per day.

"Low vehicle travel area" means a traffic analysis zone that exhibits a below average existing level of travel as determined using a regional travel demand model. For residential projects, travel refers to either home-based or household vehicle miles traveled per capita. For commercial and retail projects, travel refers to non-work attraction trip length; however, where such data are not available, commercial projects reference either home-based or household vehicle miles traveled per capita. For office projects, travel refers to commute attraction vehicle miles traveled per employee; however, where such data are not available, office projects reference either home-based or household vehicle miles traveled per capita.

"Major Transit Stop" means a site containing an existing rail transit station, a ferry terminal served by either a bus or rail transit service, or the intersection of two or more major bus routes with frequencies of service intervals of 15 minutes or less during the morning and afternoon peak commute periods. For the purposes of this Appendix, an "existing major transit stop" may include a planned and funded stop that is included in an adopted regional transportation improvement program.

"Office building" generally refers to centers for governmental or professional services; however, the lead agency shall have discretion in determining whether a project is "commercial" or "office building" for the purposes of this Appendix based on local zoning codes.

"Significant sources of air pollution" include airports, marine ports, rail yards and distribution centers that receive more than 100 heavy-duty truck visits per day, as well as stationary sources that are designated major by the Clean Air Act.

A "Traffic Analysis Zone" is an analytical unit used by a travel demand model to estimate vehicle travel within a region.

### III. Performance Standards Related to Project Design

To be eligible for streamlining pursuant to Section 15183.3, a project must implement all of the following:

**Renewable Energy.** All non-residential projects shall include on-site renewable power generation, such as solar photovoltaic, solar thermal and wind power generation, or clean backup power supplies, where feasible. Residential projects are also encouraged to include such on-site renewable power generation.

**Soil and Water Remediation.** If the project site is included on any list compiled pursuant to Section 65962.5 of the Government Code, the project shall document how it has remediated the site, if remediation is completed. Alternatively, the project shall implement the recommendations provided in a preliminary endangerment assessment or comparable document that identifies remediation appropriate for the site.

**Residential Units Near High-Volume Roadways and Stationary Sources.** If a project includes residential units located within 500 feet, or other distance determined to be appropriate by the local agency or air district based on local conditions, of a high volume roadway or other significant sources of air pollution, the project shall comply with any policies and standards identified in the local general plan, specific plan, zoning code or community risk reduction plan for the protection of public health from such sources of air pollution. If the local government has not adopted such plans or policies, the project shall include measures, such as enhanced air filtration and project design, that the lead agency finds, based on substantial evidence, will promote the protection of public health from sources of air pollution. Those measure may include, among others, the recommendations of the California Air Resources Board, air districts, and the California Air Pollution Control Officers Association.

### IV. Additional Performance Standards by Project Type

In addition to the project features described above in Section III, specific eligibility requirements are provided below by project type.

Several of the performance standards below refer to "low vehicle travel areas". Such areas can be illustrated on maps based on data developed by the regional Metropolitan Planning Organization (MPO) using its regional travel demand model.

Several of the performance standards below refer to distance to transit. Distance should be calculated so that at least 75 percent of the surface area of the project site is within the specified distance.

#### A. Residential

To be eligible for streamlining pursuant to Section 15183.3, a project must satisfy one of the following:

**Projects achieving below average regional per capita vehicle miles traveled (VMT).** A residential project is eligible if it is located in a "low vehicle travel area" within the region.

**Projects located within ½ mile of an Existing Major Transit Stop or High Quality Transit Corridor.** A residential project is eligible if it is located within ½ mile of an existing major transit stop or an existing stop along a high quality transit corridor.

**Low-Income Housing.** A residential or mixed-use project consisting of 300 or fewer residential units all of which are affordable to low income households is eligible if the developer of the development project provides sufficient legal commitments to the lead agency to ensure the continued availability and use of the housing units for lower income households, as defined in Section 50079.5 of the Health and Safety Code, for a period of at least 30 years, at monthly housing costs, as determined pursuant to Section 50053 of the Health and Safety Code.

## B. Commercial/Retail

To be eligible for streamlining pursuant to Section 15183.3, a project must satisfy one of the following:

**Regional Location.** A commercial project with no single-building floor-plate greater than 50,000 square feet is eligible if it locates in a "low vehicle travel area."

**Proximity to Households.** A project with no single-building floor-plate greater than 50,000 square feet located within one-half mile of 1800 households is eligible.

## C. Office Building

To be eligible for streamlining pursuant to Section 15183.3, a project must satisfy one of the following:

**Regional Location.** Office buildings, both commercial and public, are eligible if they locate in a low vehicle travel area.

**Proximity to a Major Transit Stop.** Office buildings, both commercial and public, within ½ mile of an existing major transit stop, or X mile of an existing stop along a high quality transit corridor, are eligible.

## D. Transit

Transit stations, as defined in Section 15183.3(e)(1), are eligible.

## E. Schools

Elementary schools within one mile of fifty percent of the projected student population are eligible. Middle schools and high schools within two miles of fifty percent of the projected student population are eligible. Alternatively, any school within ½ mile of an existing major transit stop or an existing stop along a high quality transit corridor is eligible.

Additionally, in order to be eligible, all schools shall provide parking and storage for bicycles and scooters and shall comply with the requirements in Sections 17213, 17213.1 and 17213.2 of the California Education Code.

## F. Small Walkable Community Projects

Small walkable community projects, as defined in Section 15183.3, subdivision (e)(6), that implement the project features described in Section III above are eligible.

**G. Mixed-Use Projects**

Where a project includes some combination of residential, commercial and retail, office building, transit station, and/or schools, the performance standards in this Section that apply to the predominant use shall govern the entire project.

Authority: Public Resources Code 21083, 21094.5.5

Reference: Public Resources Code Sections 21094.5 and 21094.5.5

## APPENDIX N: INFILL ENVIRONMENTAL CHECKLIST FORM

NOTE: This sample form is intended to assist lead agencies in assessing infill projects according to the procedures provided in Section 21094.5 of the Public Resources Code. Lead agencies may customize this form as appropriate, provided that the content satisfies the requirements in Section 15183.3 of the CEQA Guidelines.

- 1. Project title: \_\_\_\_\_
- 2. Lead agency name and address:  
\_\_\_\_\_  
\_\_\_\_\_
- 3. Contact person and phone number: \_\_\_\_\_
- 4. Project location: \_\_\_\_\_
- 5. Project sponsor's name and address:  
\_\_\_\_\_  
\_\_\_\_\_
- 6. General plan designation: \_\_\_\_\_ 7. Zoning: \_\_\_\_\_
- 8. Prior Environmental Document(s) Analyzing the Effects of the Infill Project (including State Clearinghouse Number if assigned): \_\_\_\_\_  
\_\_\_\_\_
- 9. Location of Prior Environmental Document(s) Analyzing the Effects of the Infill Project:  
\_\_\_\_\_
- 10. Description of project: (Describe the whole action involved, including but not limited to later phases of the project, and any secondary, support, or off-site features necessary for its implementation. Attach additional sheets if necessary.)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- 11. Surrounding land uses and setting: Briefly describe the project's surroundings, including any prior uses of the project site, or, if vacant, describe the urban uses that exist on at least 75% of the project's perimeter.  
\_\_\_\_\_  
\_\_\_\_\_
- 12. Other public agencies whose approval is required (e.g., permits, financing approval, or participation agreement.)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### SATISFACTION OF APPENDIX M PERFORMANCE STANDARDS

Provide the information demonstrating that the infill project satisfies the performance standards in Appendix M below. For **mixed-use projects**, the predominant use will determine which performance standards apply to the entire project.

- 1. Does the non-residential infill project include a renewable energy feature? If so, describe below. If not, explain below why it is not feasible to do so.  
\_\_\_\_\_  
\_\_\_\_\_
- 2. If the project site is included on any list compiled pursuant to Section 65962.5 of the Government Code, either provide documentation of remediation or describe the recommendations provided in a preliminary endangerment assessment or comparable document that will be implemented as part of the project.  
\_\_\_\_\_  
\_\_\_\_\_

3. If the infill project includes residential units located within 500 feet, or such distance that the local agency or local air district has determined is appropriate based on local conditions, a high volume roadway or other significant source of air pollution, as defined in Appendix M, describe the measures that the project will implement to protect public health. Such measures may include policies and standards identified in the local general plan, specific plans, zoning code or community risk reduction plan, or measures recommended in a health risk assessment, to promote the protection of public health. Identify the policies or standards, or refer to the site specific analysis, below. (Attach additional sheets if necessary.)

---



---



---

4. For **residential** projects, the project satisfies which of the following?

- Located within a low vehicle travel area, as defined in Appendix M. (Attach VMT map.)
- Located within ½ mile of an existing major transit stop or an existing stop along a high quality transit corridor. (Attach map illustrating proximity to transit.)
- Consists of 300 or fewer units that are each affordable to low income households. (Attach evidence of legal commitment to ensure the continued availability and use of the housing units for lower income households, as defined in Section 50079.5 of the Health and Safety Code, for a period of at least 30 years, at monthly housing costs, as determined pursuant to Section 50053 of the Health and Safety Code.)

5. For **commercial** projects with a single building floor-plate below 50,000 square feet, the project satisfies which of the following?

- Located within a low vehicle travel area, as defined in Appendix M. (Attach VMT map.)
- The project is within one-half mile of 1800 dwelling units. (Attach map illustrating proximity to households.)

6. For **office building** projects, the project satisfies which of the following?

- Located within a low vehicle travel area, as defined in Appendix M. (Attach VMT map.)
- Located within ½ mile of an existing major transit stop or within ¼ of a stop along a high quality transit corridor. (Attach map illustrating proximity to transit.)

7. For **school** projects, the project does all of the following:

- The project complies with the requirements in Sections 17213, 17213.1 and 17213.2 of the California Education Code.
- The project is an elementary school and is within one mile of 50% of the student population, or is a middle school or high school and is within two miles of 50% of the student population. Alternatively, the school is within ½ mile of an existing major transit stop or an existing stop along a high quality transit corridor. (Attach map and methodology.)
- The project provides parking and storage for bicycles and scooters.

8. For **small walkable community** projects, the project must be a residential project that has a density of at least eight units to the acre or a commercial project with a floor area ratio of at least 0.5, or both.

**ENVIRONMENTAL FACTORS POTENTIALLY AFFECTED:**

The infill project could potentially result in one or more of the following environmental effects.

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Aesthetics               | <input type="checkbox"/> Agriculture and Forestry Resources | <input type="checkbox"/> Air Quality                        |
| <input type="checkbox"/> Biological Resources     | <input type="checkbox"/> Cultural Resources                 | <input type="checkbox"/> Geology /Soils                     |
| <input type="checkbox"/> Greenhouse Gas Emissions | <input type="checkbox"/> Hazards & Hazardous Materials      | <input type="checkbox"/> Hydrology / Water Quality          |
| <input type="checkbox"/> Land Use / Planning      | <input type="checkbox"/> Mineral Resources                  | <input type="checkbox"/> Noise                              |
| <input type="checkbox"/> Population / Housing     | <input type="checkbox"/> Public Services                    | <input type="checkbox"/> Recreation                         |
| <input type="checkbox"/> Transportation/Traffic   | <input type="checkbox"/> Utilities / Service Systems        | <input type="checkbox"/> Mandatory Findings of Significance |

DETERMINATION: (To be completed by the Lead Agency)

On the basis of this initial evaluation:

- I find that the proposed infill project WOULD NOT have any significant effects on the environment that either have not already been analyzed in a prior EIR or that are more significant than previously analyzed, or that uniformly applicable development policies would not substantially mitigate. Pursuant to Public Resources Code Section 21094.5, CEQA does not apply to such effects. A Notice of Determination (Section 15094) will be filed.
- I find that the proposed infill project will have effects that either have not been analyzed in a prior EIR, or are more significant than described in the prior EIR, and that no uniformly applicable development policies would substantially mitigate such effects. With respect to those effects that are subject to CEQA, I find that such effects WOULD NOT be significant and a NEGATIVE DECLARATION, or if the project is a Transit Priority Project a SUSTAINABLE COMMUNITIES ENVIRONMENTAL ASSESSMENT, will be prepared.
- I find that the proposed infill project will have effects that either have not been analyzed in a prior EIR, or are more significant than described in the prior EIR, and that no uniformly applicable development policies would substantially mitigate such effects. I find that although those effects could be significant, there will not be a significant effect in this case because revisions in the infill project have been made by or agreed to by the project proponent. A MITIGATED NEGATIVE DECLARATION, or if the project is a Transit Priority Project a SUSTAINABLE COMMUNITIES ENVIRONMENTAL ASSESSMENT, will be prepared.
- I find that the proposed infill project would have effects that either have not been analyzed in a prior EIR, or are more significant than described in the prior EIR, and that no uniformly applicable development policies would substantially mitigate such effects. I find that those effects WOULD be significant, and an infill ENVIRONMENTAL IMPACT REPORT is required to analyze those effects that are subject to CEQA.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

EVALUATION OF THE ENVIRONMENTAL IMPACTS OF INFILL PROJECTS:

- 1) A brief explanation is required for all answers except "No Impact" answers that are adequately supported by the information sources a lead agency cites in the parentheses following each question. A "No Impact" answer is adequately supported if the referenced information sources show that the impact simply does not apply to projects like the one involved (e.g., the project falls outside a fault rupture zone). A "No Impact" answer should be explained where it is based on project-specific factors as well as general standards (e.g., the project will not expose sensitive receptors to pollutants, based on a project-specific screening analysis).
- 2) All answers must take account of the whole action involved, including off-site as well as on-site, cumulative as well as project-level, indirect as well as direct, and construction as well as operational impacts.
- 3) For the purposes of this checklist, "prior EIR" means the environmental impact report certified for a planning level decision, as supplemented by any subsequent or supplemental environmental impact reports, negative declarations, or addenda to those documents. "Planning level decision" means the enactment or amendment of a general plan, community plan, specific plan, or zoning code. (Section 15183.3(e))
- 4) Once the lead agency has determined that a particular physical impact may occur as a result of an infill project, then the checklist answers must indicate whether that impact has already been analyzed in a prior EIR. If the effect of the infill project is not more significant than what has already been analyzed, that effect of the infill project is not subject to CEQA. The brief explanation accompanying this determination should include page and section references to the portions of the prior EIR containing the analysis of that effect. The brief explanation shall also indicate whether the prior EIR included any mitigation measures to substantially lessen that effect and whether those measures have been incorporated into the infill project.

- 5) If the infill project would cause a significant adverse effect that either is specific to the project or project site and was not analyzed in a prior EIR, or is more significant than what was analyzed in a prior EIR, the lead agency must determine whether uniformly applicable development policies or standards that have been adopted by the lead agency, or city or county, would substantially mitigate that effect. If so, the checklist shall explain how the infill project's implementation of the uniformly applicable development policies will substantially mitigate that effect. That effect of the infill project is not subject to CEQA if the lead agency makes a finding, based upon substantial evidence, that the development policies or standards will substantially mitigate that effect.
- 6) If all effects of an infill project were either analyzed in a prior EIR or are substantially mitigated by uniformly applicable development policies or standards, CEQA does not apply to the project, and the lead agency shall file a Notice of Determination.
- 7) Effects of an infill project that either have not been analyzed in a prior EIR, or that uniformly applicable development policies or standards do not substantially mitigate, are subject to CEQA. With respect to those effects of the infill project that are subject to CEQA, the checklist shall indicate whether those effects are significant, less than significant with mitigation, or less than significant. If there are one or more "Significant Impact" entries when the determination is made, an infill EIR is required. The infill EIR should be limited to analysis of those effects determined to be significant. (Sections 15128, 15183.3(d).)
- 8) "Less Than Significant With Mitigation Incorporated" applies where the incorporation of mitigation measures will reduce an effect of an infill project that is subject to CEQA from "Significant Impact" to a "Less Than Significant Impact." The lead agency must describe the mitigation measures, and briefly explain how those measures reduce the effect to a less than significant level. If the effects of an infill project that are subject to CEQA are less than significant with mitigation incorporated, the lead agency may prepare a Mitigated Negative Declaration. If all of the effects of the infill project that are subject to CEQA are less than significant, the lead agency may prepare a Negative Declaration.
- 9) This is only a suggested form, and lead agencies are free to use different formats; however, lead agencies should normally address the questions from this checklist that are relevant to an infill project's environmental effects in whatever format is selected.
- 10) The explanation of each issue should identify:
  - a) the significance criteria or threshold, if any, used to evaluate each question; and
  - b) the mitigation measure identified, if any, to reduce the impact to less than significance.

**SAMPLE QUESTIONS**

Issues:

	<i>Significant Impact</i>	<i>Less Than Significant or Less than Significant with Mitigation Incorporated</i>	<i>No Impact</i>	<i>Analyzed in the Prior EIR</i>	<b>Substantially Mitigated by Uniformly Applicable Development Policies</b>
<b>I. AESTHETICS.</b> Would the project:					
a) Have a substantial adverse effect on a scenic vista?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Substantially damage scenic resources, including, but not limited to, trees, rock outcroppings, and historic buildings within a state scenic highway?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Substantially degrade the existing visual character or quality of the site and its surroundings?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Create a new source of substantial light or glare which would adversely affect day or nighttime views in the area?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**II. AGRICULTURE AND FORESTRY**

**RESOURCES.** In determining whether impacts to agricultural resources are significant

	<i>Less Than Significant or Less than Significant with Mitigation Incorporated</i>	<i>No Impact</i>	<b>Analyzed in the Prior EIR</b>	<b>Substantially Mitigated by Uniformly Applicable Development Policies</b>
<i>Significant Impact</i>				

environmental effects, lead agencies may refer to the California Agricultural Land Evaluation and Site Assessment Model (1997) prepared by the California Dept. of Conservation as an optional model to use in assessing impacts on agriculture and farmland. In determining whether impacts to forest resources, including timberland, are significant environmental effects, lead agencies may refer to information compiled by the California Department of Forestry and Fire Protection regarding the state's inventory of forest land, including the Forest and Range Assessment Project and the Forest Legacy Assessment project; and forest carbon measurement methodology provided in Forest Protocols adopted by the California Air Resources Board. Would the project:

	Significant Impact	Less Than Significant or Less than Significant with Mitigation Incorporated	No Impact	Analyzed in the Prior EIR	Substantially Mitigated by Uniformly Applicable Development Policies
a) Convert Prime Farmland, Unique Farmland, or Farmland of Statewide Importance (Farmland), as shown on the maps prepared pursuant to the Farmland Mapping and Monitoring Program of the California Resources Agency, to non-agricultural use?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Conflict with existing zoning for agricultural use, or a Williamson Act contract?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Conflict with existing zoning for, or cause rezoning of, forest land (as defined in Public Resources Code section 12220(g)), timberland (as defined by Public Resources Code section 4526), or timberland zoned Timberland Production (as defined by Government Code section 51104(g))?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Result in the loss of forest land or conversion of forest land to non-forest use?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Involve other changes in the existing environment which, due to their location or nature, could result in conversion of Farmland, to non-agricultural use or conversion of forest land to non-forest use?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>III. AIR QUALITY.</b> Where available, the significance criteria established by the applicable air quality management or air pollution control district may be relied upon to make the following determinations. Would the project:					
a) Conflict with or obstruct implementation of the applicable air quality plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Violate any air quality standard or contribute substantially to an existing or projected air quality violation?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Result in a cumulatively considerable net increase of any criteria pollutant for which the project region is non-attainment under an applicable federal or state ambient air quality standard (including releasing emissions which exceed quantitative thresholds for ozone precursors)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Expose sensitive receptors to substantial pollutant concentrations?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Create objectionable odors affecting a	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<i>Significant Impact</i>	<i>Less Than Significant or Less than Significant with Mitigation Incorporated</i>	<i>No Impact</i>	<i>Analyzed in the Prior EIR</i>	<i>Substantially Mitigated by Uniformly Applicable Development Policies</i>
substantial number of people?					
<b><u>IV. BIOLOGICAL RESOURCES:</u></b>					
Would the project:					
a) Have a substantial adverse effect, either directly or through habitat modifications, on any species identified as a candidate, sensitive, or special status species in local or regional plans, policies, or regulations, or by the California Department of Fish and Game or U.S. Fish and Wildlife Service?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Have a substantial adverse effect on any riparian habitat or other sensitive natural community identified in local or regional plans, policies, regulations or by the California Department of Fish and Game or US Fish and Wildlife Service?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Have a substantial adverse effect on federally protected wetlands as defined by Section 404 of the Clean Water Act (including, but not limited to, marsh, vernal pool, coastal, etc.) through direct removal, filling, hydrological interruption, or other means?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Interfere substantially with the movement of any native resident or migratory fish or wildlife species or with established native resident or migratory wildlife corridors, or impede the use of native wildlife nursery sites?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Conflict with any local policies or ordinances protecting biological resources, such as a tree preservation policy or ordinance?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) Conflict with the provisions of an adopted Habitat Conservation Plan, Natural Community Conservation Plan, or other approved local, regional, or state habitat conservation plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Significant Impact	Less Than Significant or Less than Significant with Mitigation Incorporated	No Impact	Analyzed in the Prior EIR	Substantially Mitigated by Uniformly Applicable Development Policies
<b>V. CULTURAL RESOURCES.</b> Would the project:					
a) Cause a substantial adverse change in the significance of a historical resource as defined in § 15064.5?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Cause a substantial adverse change in the significance of an archaeological resource pursuant to § 15064.5?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Directly or indirectly destroy a unique paleontological resource or site or unique geologic feature?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Disturb any human remains, including those interred outside of formal cemeteries?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>VI. GEOLOGY AND SOILS.</b> Would the project:					
a) Expose people or structures to potential substantial adverse effects, including the risk of loss, injury, or death involving:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i) Rupture of a known earthquake fault, as delineated on the most recent Alquist-Priolo Earthquake Fault Zoning Map issued by the State Geologist for the area or based on other substantial evidence of a known fault? Refer to Division of Mines and Geology Special Publication 42.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ii) Strong seismic ground shaking?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iii) Seismic-related ground failure, including liquefaction?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
iv) Landslides?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Result in substantial soil erosion or the loss of topsoil?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Be located on a geologic unit or soil that is unstable, or that would become unstable as a result of the project, and potentially result in on- or off-site landslide, lateral spreading, subsidence, liquefaction or collapse?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Significant Impact	Less Than Significant or Less than Significant with Mitigation Incorporated	No Impact	Analyzed in the Prior EIR	Substantially Mitigated by Uniformly Applicable Development Policies
d) Be located on expansive soil, as defined in Table 18-1-B of the Uniform Building Code (1994), creating substantial risks to life or property?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Have soils incapable of adequately supporting the use of septic tanks or alternative waste water disposal systems where sewers are not available for the disposal of waste water?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>VII. GREENHOUSE GAS EMISSIONS.</u> Would the project:					
a) Generate greenhouse gas emissions, either directly or indirectly, that may have a significant impact on the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Conflict with an applicable plan, policy or regulation adopted for the purpose of reducing the emissions of greenhouse gases?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>VIII. HAZARDS AND HAZARDOUS MATERIALS.</u> Would the project:					
a) Create a significant hazard to the public or the environment through the routine transport, use, or disposal of hazardous materials?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Create a significant hazard to the public or the environment through reasonably foreseeable upset and accident conditions involving the release of hazardous materials into the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Emit hazardous emissions or handle hazardous or acutely hazardous materials, substances, or waste within one-quarter mile of an existing or proposed school?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Be located on a site which is included on a list of hazardous materials sites compiled pursuant to Government Code Section 65962.5 and, as a result, would it create a significant hazard to the public or the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Significant Impact	Less Than Significant or Less than Significant with Mitigation Incorporated	No Impact	Analyzed in the Prior EIR	Substantially Mitigated by Uniformly Applicable Development Policies
e) For a project located within an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project result in a safety hazard for people residing or working in the project area?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) For a project within the vicinity of a private airstrip, would the project result in a safety hazard for people residing or working in the project area?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g) Impair implementation of or physically interfere with an adopted emergency response plan or emergency evacuation plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h) Expose people or structures to a significant risk of loss, injury or death involving wildland fires, including where wildlands are adjacent to urbanized areas or where residences are intermixed with wildlands?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b><u>IX. HYDROLOGY AND WATER QUALITY</u></b>					
Would the project:					
a) Violate any water quality standards or waste discharge requirements?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Substantially deplete groundwater supplies or interfere substantially with groundwater recharge such that there would be a net deficit in aquifer volume or a lowering of the local groundwater table level (e.g., the production rate of pre-existing nearby wells would drop to a level which would not support existing land uses or planned uses for which permits have been granted)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river, in a manner which would result in substantial erosion or siltation on- or off-site?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Significant Impact	Less Than Significant or Less than Significant with Mitigation Incorporated	No Impact	Analyzed in the Prior EIR	Substantially Mitigated by Uniformly Applicable Development Policies
d) Substantially alter the existing drainage pattern of the site or area, including through the alteration of the course of a stream or river, or substantially increase the rate or amount of surface runoff in a manner which would result in flooding on- or off-site?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Create or contribute runoff water which would exceed the capacity of existing or planned stormwater drainage systems or provide substantial additional sources of polluted runoff?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) Otherwise substantially degrade water quality?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g) Place housing within a 100-year flood hazard area as mapped on a federal Flood Hazard Boundary or Flood Insurance Rate Map or other flood hazard delineation map?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
h) Place within a 100-year flood hazard area structures which would impede or redirect flood flows?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
i) Expose people or structures to a significant risk of loss, injury or death involving flooding, including flooding as a result of the failure of a levee or dam?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
j) Inundation by seiche, tsunami, or mudflow?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>X. LAND USE AND PLANNING.</b> Would the project:					
a) Physically divide an established community?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Conflict with any applicable land use plan, policy, or regulation of an agency with jurisdiction over the project (including, but not limited to the general plan, specific plan, local coastal program, or zoning ordinance) adopted for the purpose of avoiding or mitigating an environmental effect?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Significant Impact	Less Than Significant or Less than Significant with Mitigation Incorporated	No Impact	Analyzed in the Prior EIR	Substantially Mitigated by Uniformly Applicable Development Policies
c) Conflict with any applicable habitat conservation plan or natural community conservation plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>XI. MINERAL RESOURCES</u> . Would the project:					
a) Result in the loss of availability of a known mineral resource that would be of value to the region and the residents of the state?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Result in the loss of availability of a locally-important mineral resource recovery site delineated on a local general plan, specific plan or other land use plan?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>XII. NOISE</u> -- Would the project result in:					
a) Exposure of persons to or generation of noise levels in excess of standards established in the local general plan or noise ordinance, or applicable standards of other agencies?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Exposure of persons to or generation of excessive groundborne vibration or groundborne noise levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) A substantial permanent increase in ambient noise levels in the project vicinity above levels existing without the project?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) A substantial temporary or periodic increase in ambient noise levels in the project vicinity above levels existing without the project?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) For a project located within an airport land use plan or, where such a plan has not been adopted, within two miles of a public airport or public use airport, would the project expose people residing or working in the project area to excessive noise levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) For a project within the vicinity of a private airstrip, would the project expose people residing or working in the project area to excessive noise levels?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Significant Impact	Less Than Significant or Less than Significant with Mitigation Incorporated	No Impact	Analyzed in the Prior EIR	Substantially Mitigated by Uniformly Applicable Development Policies
<b>XIII. POPULATION AND HOUSING.</b> Would the project:					
a) Induce substantial population growth in an area, either directly (for example, by proposing new homes and businesses) or indirectly (for example, through extension of roads or other infrastructure)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Displace substantial numbers of existing housing, necessitating the construction of replacement housing elsewhere?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Displace substantial numbers of people, necessitating the construction of replacement housing elsewhere?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>XIV. PUBLIC SERVICES.</b>					
a) Would the project result in substantial adverse physical impacts associated with the provision of new or physically altered governmental facilities, need for new or physically altered governmental facilities, the construction of which could cause significant environmental impacts, in order to maintain acceptable service ratios, response times or other performance objectives for any of the public services:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fire protection?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Police protection?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Schools?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Parks?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other public facilities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>XV. RECREATION.</b>					
a) Would the project increase the use of existing neighborhood and regional parks or other recreational facilities such that substantial physical deterioration of the facility would occur or be accelerated?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Significant Impact	Less Than Significant or Less than Significant with Mitigation Incorporated	No Impact	Analyzed in the Prior EIR	Substantially Mitigated by Uniformly Applicable Development Policies
b) Does the project include recreational facilities or require the construction or expansion of recreational facilities which might have an adverse physical effect on the environment?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>XVI. TRANSPORTATION/TRAFFIC</u> , Would the project:					
a) Conflict with an applicable plan, ordinance or policy establishing measures of effectiveness for the performance of the circulation system, taking into account all modes of transportation including mass transit and non-motorized travel and relevant components of the circulation system, including but not limited to intersections, streets, highways and freeways, pedestrian and bicycle paths, and mass transit?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Conflict with an applicable congestion management program, including, but not limited to level of service standards and travel demand measures, or other standards established by the county congestion management agency for designated roads or highways?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Result in a change in air traffic patterns, including either an increase in traffic levels or a change in location that results in substantial safety risks?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Substantially increase hazards due to a design feature (e.g., sharp curves or dangerous intersections) or incompatible uses (e.g., farm equipment)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Result in inadequate emergency access?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) Conflict with adopted policies, plans, or programs regarding public transit, bicycle, or pedestrian facilities, or otherwise decrease the performance or safety of such facilities?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	Significant Impact	Less Than Significant or Less than Significant with Mitigation Incorporated	No Impact	Analyzed in the Prior EIR	Substantially Mitigated by Uniformly Applicable Development Policies
<b><u>XVII. UTILITIES AND SERVICE SYSTEMS.</u></b>					
Would the project:					
a) Exceed wastewater treatment requirements of the applicable Regional Water Quality Control Board?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Require or result in the construction of new water or wastewater treatment facilities or expansion of existing facilities, the construction of which could cause significant environmental effects?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Require or result in the construction of new storm water drainage facilities or expansion of existing facilities, the construction of which could cause significant environmental effects?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
d) Have sufficient water supplies available to serve the project from existing entitlements and resources, or are new or expanded entitlements needed?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
e) Result in a determination by the wastewater treatment provider which serves or may serve the project that it has adequate capacity to serve the project's projected demand in addition to the provider's existing commitments?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
f) Be served by a landfill with sufficient permitted capacity to accommodate the project's solid waste disposal needs?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
g) Comply with federal, state, and local statutes and regulations related to solid waste?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b><u>XVIII. MANDATORY FINDINGS OF SIGNIFICANCE.</u></b>					
a) Does the project have the potential to degrade the quality of the environment, substantially reduce the habitat of a fish or wildlife species, cause a fish or wildlife population to drop below self-sustaining levels, threaten to eliminate a plant or animal community, reduce the number or restrict the range of a rare or endangered plant or animal or eliminate important examples of the major periods of California history or prehistory?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Does the project have impacts that are individually limited, but cumulatively considerable? ("Cumulatively considerable" means that the incremental effects of a project	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

	<i>Significant Impact</i>	<i>Less Than Significant or Less than Significant with Mitigation Incorporated</i>	<i>No Impact</i>	<b>Analyzed in the Prior EIR</b>	<b>Substantially Mitigated by Uniformly Applicable Development Policies</b>
are considerable when viewed in connection with the effects of past projects, the effects of other current projects, and the effects of probable future projects)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Does the project have environmental effects which will cause substantial adverse effects on human beings, either directly or indirectly?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Authority: Public Resources Code 21083, 21094.5.5

Reference: Public Resources Code Sections 21094.5 and 21094.5.5

# INDEX

*Note: The electronic version of the CEQA Statute and Guidelines can be searched for keywords at [www.califaep.org](http://www.califaep.org)*

## A

Acutely Hazardous Material .....	61, 64, 201
administrative record .....	151, 169
affordable housing .....	8, 209, 212, 213
agricultural land .....	5, 37, 51
Air Pollution Control District .....	16, 22, 60, 61, 63, 123, 201, 203, 234, 248
Air Quality Management District ....	16, 22, 60, 61, 63, 122, 148, 201, 203, 226, 233, 234, 248
airport.....	26, 44, 52, 141, 179, 205, 206
Alquist-Priolo Earthquake Fault Zone .....	241
Alternatives ....	2, 3, 4, 5, 12, 14, 15, 28, 29, 36, 39, 47, 55, 57, 66, 73, 75, 76, 77, 78, 104, 113, 115, 116, 118, 125, 137, 138, 145, 146, 149, 152, 156, 162, 163, 164, 165, 168, 169, 170, 175, 178, 179, 182, 183, 185, 186, 189, 190, 192, 200, 204, 216, 222, 226, 227, 228
Amendment.....	200
archaeological resources .....	33, 34, 134, 135, 244
archaeological site.....	134, 167
Assembly Committee on Natural Resources.....	16

## C

California Attorney General .....	233
California Coastal Commission .....	16, 106, 121, 167, 225, 229
California EIR Monitor .....	224, 225, 259
California Endangered Species Act .....	21, 22, 80, 226, 236, 237
<b>California Environmental Quality Act</b> .....	1, 4, 112, 119, 221, 250, 251
California Housing Finance Agency.....	17
California Register of Historical Resources.....	41, 133, 134
California State Library .....	79
California Wilderness System.....	245
categorical exemption .....	116, 119, 120, 126, 238, 239, 244, 251
categorically exempt .....	18, 238, 255
certified regulatory program .....	9, 42, 78, 128, 204
Certified regulatory programs .....	16
City of Oakland.....	239
cogeneration equipment .....	248
comment period .....	106
Congestion Management Program.....	9, 232, 233
Consultation.....	13, 46, 57, 63, 125, 129, 134, 146, 147, 155, 202, 220
County Agricultural Commissioner .....	16, 226
County Clerk.....	46, 65, 126, 127, 138, 142, 149, 154
cumulative considerable .....	131
cumulative effect.....	48
Cumulative Effect .....	172

**CUMULATIVE IMPACT ANALYSIS** .....53, 54, 55, 172  
 cumulative impacts ..... 35, 71, 75, 77, 78, 82, 83, 132, 171, 172, 185, 186, 187, 188, 189, 191, 192, 193, 195, 204, 251  
 Cumulative Impacts .....199  
 Cumulative Impacts Analysis .....200  
 cumulatively considerable .....32, 131, 132, 136, 171, 178  
 Cumulatively Considerable.....132, 200

**D**

decision making body .....157, 184, 251  
 Delta Protection Commission .....21, 226, 235  
 Department of Fish and Game ..... 24, 42, 57, 188, 226, 240, 242, 250, 259  
 Department of Housing and Community Development..... 17, 235, 251  
 Department of Toxic Substances Control .....60, 61, 63, 79, 201, 203  
 Department of Transportation..... 14, 30, 40, 52, 146, 158, 235  
 Department of Veterans Affairs .....243  
 developed open space .....81  
 disaster .....8, 230  
 Discretionary Project .....8, 114, 251  
 Draft EIR 119, 120, 129, 140, 145, 146, 147, 148, 149, 150, 151, 152, 154, 156, 158, 159, 162, 171, 173, 174, 179, 183, 215, 216, 217, 219, 221, 222, 225, 252, 255, 260, 292

**E**

economic or social effects.....130, 173  
 emergency ..... 8, 9, 21, 25, 230, 236, 237, 249, 255  
 Emergency .....5, 8, 230, 252  
 Endangered species .....40, 57, 223  
 Endangered Species Act .....21, 22, 80, 226, 236, 237, 257  
 Energy.....9, 61, 143, 166, 185, 226, 231, 260  
 entitlement ..... 6, 12, 14, 85, 104, 105, 125, 158, 160, 161, 228, 230, 245, 250, 253, 256  
 Environmental Benefits .....153  
 environmental damage .....1, 113, 114, 118  
 environmental impact report .... 3, 5, 7, 9, 12, 13, 29, 31, 32, 33, 35, 36, 38, 39, 40, 42, 43, 44, 45, 47, 48, 52, 56, 57, 59, 62, 65, 66, 72, 73, 74, 75, 78, 79, 86, 88, 144, 192  
 Environmental Impact Report.... 2, 3, 4, 5, 6, 7, 8, 9, 10, 12, 13, 14, 28, 30, 31, 32, 33, 34, 35, 36, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 52, 54, 55, 56, 57, 58, 59, 61, 62, 63, 65, 66, 72, 73, 74, 75, 76, 77, 78, 79, 80, 84, 85, 86, 87, 88, 90, 113, 117, 136, 137, 143, 144, 150, 162, 173, 178, 187, 192, 252  
 Environmental Impact Statement..... 37, 38, 39, 55, 136, 171, 222, 233, 253  
 environmental pollution ..... 1  
**environmental quality** ..... 1, 2, 4, 16, 112, 119, 221, 250, 251  
 environmental review process.....3, 11, 39, 51, 135, 215  
 environmentally superior alternative .....169  
 exempt 8, 10, 14, 25, 37, 38, 41, 61, 84, 114, 116, 119, 120, 125, 126, 127, 134, 200, 214, 225, 228, 229, 230, 238, 239, 240, 241, 243, 247, 248, 255  
 exemption9, 21, 36, 79, 80, 81, 83, 120, 126, 127, 193, 209, 230, 232, 233, 234, 236, 237, 240, 241, 242, 243, 244, 245, 246, 248, 251, 255

Existing Facilities .....239, 245, 246, 248

**F**

fair argument.....130, 131, 258  
 family day care.....232, 240  
 feasible alternatives..... 2, 3, 14, 39, 73, 118, 168, 170, 189, 222, 228  
 feasible mitigation measures..... 2, 3, 14, 35, 73, 77, 152, 156, 186, 189, 194, 203  
 Feasible Mitigation Measures ..... 2, 3, 14, 35, 73, 77, 152, 156, 186, 189, 194, 203  
 Final EIR 115, 119, 120, 129, 140, 146, 150, 151, 152, 153, 154, 155, 156, 160, 162, 173, 183, 184, 219, 224, 252  
 finding.... 4, 8, 25, 28, 32, 35, 36, 41, 42, 48, 64, 73, 76, 93, 104, 120, 126, 128, 129, 137, 141, 152, 163, 174, 179, 183, 192, 194, 202, 216, 227, 234, 237, 238, 251  
 fiscal emergency .....25, 237  
 flood.....5, 25, 81, 210, 230, 239, 246, 252  
 Focused Environmental Impact Report (EIR)..... 56, 62, 73, 75, 76, 77, 78, 188, 190, 191, 192, 204  
 freeway .....57, 63, 64, 148, 173, 201  
 freeways .....29, 46, 63, 138, 148  
 frivolous claim .....105

**G**

General Plan Amendment(s).....21, 38, 188, 223, 226, 235  
 geographic scope.....172, 216  
 Geothermal Exploratory Project .....7, 43  
 greenhouse gas.....33  
 Greenhouse Gas .....132  
 Greenhouse Gas Emissions ..... 132, 164, 168, 176, 194, 199, 200  
 Greenhouse Gas Reduction Plan.....200  
 Greenhouse Gases .....253  
 Growth-inducing impacts..... 71, 75, 77, 78, 188, 189, 191, 192, 204, 220

**H**

Hazardous Conditions .....165  
 hazardous or toxic wastes .....39, 40, 223  
 hazardous substance..... 61, 63, 64, 81, 201, 241, 248  
 hazardous waste .. 39, 45, 59, 60, 61, 63, 139, 143, 144, 149, 201, 222, 223, 238, 248, 249  
 historical resource .....41, 133, 134, 167, 230, 238  
 historical resources .....41, 81, 133, 134, 165, 210, 246, 249  
 Hydroelectric Generating Facilities .....247

**I**

incremental contribution .....131  
 Incremental Contribution .....132  
 incremental effects .....49  
 indirect ..... 6, 7, 121, 122, 125, 130, 156, 165, 256  
 infill.....83, 84  
 In-fill development .....249

initial studies .....187  
 Initial Studies ..... 116, 119, 225, 252  
 initial study .... 6, 10, 47, 48, 73, 74, 90, 125, 127, 129, 131, 134, 137, 139, 140, 143, 145, 177, 189, 190, 191, 193, 254  
 Initial Study.....158  
 International Olympic Committee .....9, 231

**J**

joint EIR/EIS.....187  
 Judicial Review.....4, 104

**K**

Keene-Nejedly California Wetlands Preservation Act.....21, 22, 236, 237

**L**

Land Evaluation and Site Assessment .....51  
 lead agency .....131  
 Lead Agency 3, 7, 10, 11, 12, 13, 14, 21, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 52, 55, 57, 58, 59, 61, 62, 63, 66, 73, 74, 75, 76, 78, 79, 86, 87, 114, 115, 116, 118, 120, 121, 122, 123, 124, 125, 127, 128, 129, 130, 131, 133, 134, 135, 136, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 163, 164, 165, 166, 167, 168, 169, 170, 171, 172, 174, 175, 176, 177, 178, 179, 182, 183, 184, 185, 187, 188, 189, 190, 191, 192, 193, 194, 201, 202, 204, 205, 215, 216, 217, 218, 219, 220, 221, 222, 223, 224, 226, 227, 231, 232, 236, 239, 252, 253, 254, 255, 257, 258, 259, 292  
 leadership project.....107, 108, 109, 111  
 Local Agency Formation Commission .....6, 55, 123, 171, 254, 257  
 lot line adjustments .....242

**M**

Mass Transit Projects.....232  
 Master Environmental Assessment .....128, 186, 187  
 Master Environmental Impact Report (EIR) 42, 71, 72, 73, 74, 75, 76, 128, 178, 187, 188, 189, 190, 191, 192  
 mediation .....92  
 mediation proceeding.....92  
 military base.....38, 39, 40, 72, 164, 188, 221, 222, 223  
 Ministerial .....8, 114, 119, 230, 232, 238, 254, 255  
 Ministerial Projects .....230, 238  
 Mitigated Negative Declaration . 10, 12, 29, 30, 31, 32, 43, 59, 73, 74, 76, 78, 80, 90, 130, 131, 137, 138, 139, 140, 141, 142, 143, 157, 158, 159, 179, 189, 190, 192, 204, 216, 217, 254, 292  
 Mitigation .....168  
 mitigation measures .....2, 10, 29, 30, 34, 141, 148, 157, 158, 166, 216  
 Mitigation Measures .. 2, 3, 4, 10, 12, 14, 15, 28, 29, 30, 33, 34, 35, 36, 37, 57, 64, 66, 72, 73, 75, 76, 77, 78, 80, 104, 113, 115, 116, 118, 125, 131, 136, 137, 140, 141, 142, 145,

146, 148, 150, 152, 154, 156, 157, 158, 163, 165, 166, 167, 178, 179, 185, 186, 189,  
 190, 194, 203, 204, 216, 220, 226, 227  
 mobilehome park .....16, 234  
 Monitor .....200  
 Monitoring Program .....30

**N**

Napa Valley Wine Train .....11  
 National Environmental Policy Act ..... 9, 25, 37, 38, 40, 55, 127, 160, 171, 219, 220, 221,  
 228, 233, 253  
 National Register of Historic Places .....248  
 Native American Heritage Commission .....134, 135  
 natural resources ..... 1, 7, 13, 14, 16, 30, 38, 139, 170, 223, 242, 259  
 Negative Declaration(s) . 3, 4, 6, 8, 9, 10, 11, 12, 13, 29, 30, 31, 32, 33, 41, 42, 43, 44, 46,  
 47, 52, 55, 59, 61, 62, 63, 73, 74, 76, 78, 80, 84, 88, 90, 113, 114, 115, 116, 117, 119,  
 120, 121, 123, 128, 129, 130, 131, 137, 138, 139, 140, 141, 142, 143, 155, 156, 157,  
 158, 159,160, 174, 176, 177, 178, 179, 182, 183, 184, 185, 186, 187, 189, 190, 192,  
 201, 202, 204, 205, 214, 215, 216, 217, 218, 219, 220, 221, 224, 225, 226, 227, 228,  
 229, 231, 238, 247, 252, 253, 254, 255, 258, 292  
 No Project Alternative .....39, 169, 170  
 Notice of Completion..... 86, 147, 148, 217, 255, 260, 265  
 Notice of Determination . 106, 142, 146, 154, 155, 156, 161, 179, 190, 193, 255, 260, 267  
 Notice of Exemption .....114, 126, 127, 161, 255, 260, 268  
 Notice of Intent .....138  
 Notice of Preparation . 40, 44, 56, 62, 79, 90, 120, 144, 145, 146, 155, 159, 164, 165, 169,  
 205, 221, 223, 255, 260, 288, 292

**O**

oak woodlands .....36, 37  
 Oak Woodlands Conservation Act.....36, 37  
 Office of Planning and Research ..... 8, 13, 14, 32, 41, 44, 51, 58, 65, 66, 79, 86, 112, 119,  
 120, 124, 126, 127, 142, 144, 145, 146, 147, 148, 154, 155, 159, 164, 229, 239, 255,  
 292  
 overriding consideration .....48

**P**

performance standard.....77, 78, 203, 204  
 piecemealing .....85  
 pipeline ..... 20, 21, 22, 63, 201, 235, 236, 237, 247  
 Planning and Environmental Review Procedures ..... 3  
 Pollution control equipment.....78  
 pollution control equipment .....77, 203, 204  
 pollution control quipment.....77  
 Porter-Cologne Water Quality Control Act .....40, 223  
 Preliminary Endangerment Assessment.....81  
 prior environmental impact report .....48

Program Environmental Impact Report (EIR) ...42, 80, 128, 172, 178, 184, 185, 186, 187, 259

Project Alternatives.....2, 29, 152, 168, 170

Project Environmental Impact Report (EIR).....42, 182, 187

Project Specific Effect .....84, 189, 190

Public Controversy .....31, 131

Public Employees Retirement System .....243

public higher education.....12

public participation .....16, 168, 170, 215

public transit .....9, 46, 138, 148, 232

Public Utilities Commission .....9, 11, 89, 93, 231

publicly owned transit agencies .....25

**Q**

Qualified Urban Use .....83

**R**

railroad grade separation.....17, 234

reasonably foreseeable ..... 6, 77, 83, 125, 130, 177, 203, 251, 252, 256

recirculation ..... 10, 140, 141, 150, 151

redevelopment..... 6, 38, 39, 42, 72, 188, 189, 192, 222, 223, 247, 254

Reduction of Emissions .....168

Reduction of Greenhouse Gas Emissions .....168, 199

Regional Transportation Improvement Program.....9, 232

Registered Environmental Assessor.....81

reservation.....38, 72, 188, 222, 223

Resources Agency..... 14, 15, 31, 32, 41, 42, 51, 114, 132, 137, 173, 203, 257

Responsible Agency..... 3, 13, 14, 30, 31, 40, 57, 66, 73, 86, 115, 121, 122, 123, 124, 129, 139, 145, 148, 154, 155, 156, 158, 159, 183, 185, 189, 216, 217, 224, 227, 232, 253, 258

Reuse Plan .....38, 39, 40, 59, 144, 221, 222, 223

review period .. 30, 43, 44, 90, 138, 139, 147, 148, 149, 159, 179, 206, 215, 216, 217, 292

Rural Economic Development Infrastructure Panel.....234

**S**

scenic highway.....25, 41, 230, 238

school..... xviii, 18, 30, 61, 62, 63, 64, 72, 119, 201, 202, 234, 244, 246, 254, 259

schoolsite .....62, 63, 64

Scoping Meeting .....38, 40, 145, 146

Secretary of the Resources Agency .....14, 15, 30, 32, 41, 42, 114

Seismic Hazard Zone .....81, 241

seismic retrofit project .....18

Senate Committee on Environmental Quality.....16

Sequester Greenhouse Gases .....168

Significant Effect on the Environment.....4, 6, 9, 10, 11, 31, 32, 36, 38, 39, 41, 54, 55, 56, 57, 58, 59, 65, 66, 73, 75, 82, 83, 84, 85, 87, 113, 114, 116, 119, 120, 121, 122, 126,

127, 128, 129, 130, 131, 133, 134, 136, 137, 140, 141, 142, 146, 153, 154, 167, 189,  
190, 200, 216, 221, 222, 228, 233, 238, 251, 254, 255, 258

Significant Greenhouse Gas Emissions .....199

significant new information .....45, 150

Solar Shade Control Act .....235

speculative .....130, 170, 175

Staged Environmental Impact Report (EIR) .....178, 184, 185, 187

State Air Resources Board .....57, 64, 79, 148

State CEQA Guidelines .....112, 119, 215

State Clearinghouse 31, 32, 43, 44, 120, 139, 142, 146, 147, 149, 154, 159, 184, 216, 217,  
218, 292

State Lands Commission.....17, 234, 259

State Teachers Retirement System.....243

State Transportation Improvement Program .....9, 232

State Water Resources Control Board .....79, 203, 225, 226, 229

Statement of Overriding Considerations.....90, 118, 129, 137, 153, 154, 163

statewide, regional, or areawide significance.....30, 40, 46, 138, 148, 217, 218, 292

Statutes of Limitations .....161

Statutory Exemptions.....228, 234

Streamlining.....199

Substantial Evidence.. 6, 10, 16, 29, 31, 32, 36, 73, 93, 113, 114, 127, 128, 129, 130, 131,  
132, 133, 135, 136, 137, 140, 151, 152, 153, 162, 168, 182, 184, 194, 199, 200, 202,  
216, 233, 254, 258

Supplement Environmental Impact Report (EIR).....74, 86, 156, 179, 183, 191, 192, 193,  
204, 223, 259

Surface Mining and Reclamation Act of 1975.....62

Sustainable Communities Strategy .....200

**T**

Tahoe Regional Planning Compact.....38

Teiring.....199

Thermal Power Plant.....231

Threshold of Significance .....135

Thresholds of Significance .....136

Tier.....199

tiering.....49

Tiering.....7, 47, 117, 128, 172, 177, 178, 258, 259, 260, 289

Timberland Preserve Zones .....229

Time Limits.....38, 55, 62, 88, 92, 124, 158, 160, 161, 220, 223, 224

Trade Secret.....85, 162

Transportation Planning Agency .....30, 46, 158

Trustee Agency .....7, 31, 57, 66, 139, 144, 145, 148, 158, 159, 166, 216, 217, 259

**U**

Unique Archaeological Resource.....33, 34, 35, 134, 135

United States 9, 25, 37, 40, 60, 80, 121, 126, 145, 147, 154, 161, 167, 171, 216, 219, 220,  
222, 253, 259

United States Department of Agriculture.....5, 51

urbanized area ..... 37, 83, 126, 145, 147, 154, 240, 241, 244, 259

**W**

waste disposal ..... 1, 63, 64, 139, 149, 201, 203

water supply assessment ..... 180

Williamson Act ..... 218, 244

# CEQA Guidelines Topics Index

Topic.....	Section
<b>Accessory Structures</b>	
Categorical Exemption .....	15303(e)
.....	15311
<b>Addendum to an EIR or Negative Declaration .....</b>	<b>15164</b>
<b>Adequacy of Document</b>	
Decision by Lead Agency .....	15090
Decision by Responsible Agency .....	15096
Standards .....	15151
Use by Responsible Agencies .....	15231
<b>Adequate Time for Review and Comment .....</b>	<b>15203</b>
<b>Administrative Appeals</b>	
Use of EIRs .....	15185
<b>Adoption of Coastal Plans and Programs .....</b>	<b>15265</b>
<b>Advisory Body .....</b>	<b>15025(c)</b>
<b>Agency Procedures</b>	
Additional Notices.....	15087
Categorical Exemptions .....	15300.4
Contents .....	15022
General .....	15020
Review of Documents .....	15204
<b>Agricultural Lands</b>	
Environmental Checklist .....	Appendix G, Item II
<b>Air Quality Permits .....</b>	<b>15281</b>
<b>Air Resources Board .....</b>	<b>15251(d)</b>

<b>Topic.....</b>	<b>Section</b>
<b>Alterations in Land Use Limitations</b>	
Categorical Exemption.....	15305
<b>Alternatives</b>	
Discussion in EIR.....	15126.6
<b>Applicability</b>	
Generally.....	15007
<b>Applicant</b>	
Data and Information .....	15084
Defined.....	15351
Delays 15109	
Identifying Responsible Agencies.....	15084(b)
Meetings.....	15104
Submission of Draft EIR.....	15084
Submission of Information.....	15084
<b>Application of Coatings .....</b>	<b>15278</b>
<b>Approval</b>	
Defined.....	15352
EIR .....	15090
Negative Declaration.....	15074
<b>Archaeological Resources</b>	
Environmental Checklist .....	Appendix G, Item V
<b>Archeological Impacts.....</b>	<b>15064.5</b>
<b>Authority.....</b>	<b>15000</b>
<b>Authority Provided by CEQA</b>	
Public Agencies.....	15040
<b>Authority to Approve Projects Despite Significant Effects.....</b>	<b>15043</b>

<b>Topic</b> .....	<b>Section</b>
<b>Authority to Comment</b> .....	15044
<b>Authority to Disapprove Projects</b> .....	15042
<b>Authority to Mitigate</b> .....	15041
<b>California Coastal Zone</b> .....	15206(b)(4)(C)
<b>Categorical Exemption</b> .....	Article 19
Accessory Structures .....	15303(e)
.....	15311
Acquisition of Housing for Housing Assistance Programs .....	15326
Acquisition of Lands for Wildlife Conservation Purposes.....	15313
Addition of Safety or Health Protection Devices .....	15301(f)
Additions to Existing Structures.....	15301(e)
Annexations of Existing Facilities.....	15319
Bicycle Lanes .....	15304(h)
Cogeneration Projects at Existing Facilities .....	15329
Condominium Conversions.....	15301(k)
Conversion of Small Structures.....	15303
Defined.....	15354
Demolition of Small Structures .....	15301(l)
Educational or Training Programs Involving No Physical Changes .....	15322
Enforcement Actions by Regulatory Agencies.....	15321
Exceptions .....	15300.2
Existing Facilities .....	15301
Facilities for Public Gatherings, Normal Operations.....	15323
Finding of No Significant Effect .....	15300
Fish Stocking.....	15301(j)
General .....	15300
Grading.....	15304(a)
Historical Resource Restoration/Rehabilitation .....	15331
Hospitals, Replacement .....	15302(a)
Hydroelectric Projects at Existing Facilities .....	15328
In-Fill Development Projects .....	15332
Information Collection .....	15306

Topic.....	Section
Inspections .....	15309
Installation of Small, New Equipment .....	15303
Leasing New Facilities .....	15327
Loans .....	15310
Local Agencies, Changes in Organization .....	15320
Lots for Exempt Facilities .....	15319
Maintenance Dredging .....	15304(g)
Maintenance of Existing Landscaping .....	15301(h)
Ministerial Projects, Relation to.....	15300.1
Minor Actions to Prevent, Minimize, Stabilize, Mitigate or Eliminate the Release or Threat of Release of Hazardous Waste or Hazardous Substances.....	15330
Minor Alterations in Land Use Limitations .....	15305
Minor Alterations to Land.....	15304
Minor Land Divisions .....	15315
Minor Repairs and Alterations of Dams.....	15301(m)
Minor Temporary Uses of Land.....	15304(e)
Minor Trenching and Backfilling.....	15304(f)
New Construction of Small Structures .....	15303
New Copy on Signs.....	15301(g)
New Gardening or Landscaping .....	15304(b)
Notice of Exemption .....	15062
Open Space Contracts or Easements .....	15317
Parks, Transfer of Land to Create Parks.....	15316
Purchases of Mortgages .....	15310(b)
Reconstruction of Existing Structures .....	15302
Regulation, Protection of Natural Resources.....	15307
Regulation, Protection of the Environment .....	15308
Regulation, Working Conditions.....	15324
Relation to Ministerial Projects .....	15300.1
Replacement of Existing Structures .....	15302
Restoration or Rehabilitation.....	15301(d)
Reversion to Acreage .....	15305(c)
Revisions.....	15300.3
Schools	
Minor Additions .....	15314

<b>Topic.....</b>	<b>Section</b>
Replacement.....	15302(a)
.....	15311(a)
Signs.....	15301(g)
Single Family Residences .....	15303(a)
Small Habitat Restoration Projects.....	15333
Streets and Highways .....	15301(c)
Surplus Government Property Sales.....	15312
Transfers of Ownership of Interest in Land to Preserve Existing Historical Resources.....	15325
Transfers of Ownership of Interest in Land to Preserve Existing Natural Conditions .....	15325
Transfers of Ownership of Interest in Land to Preserve Open Space .....	15325
Use by Public Agencies.....	15300.4
Utilities.....	15301(b)
Utility Extensions.....	15303(d)
Wilderness Area Designation .....	15318
Working Conditions, Regulation.....	15324
 <b>CEQA</b>	
Defined.....	15353
Process Flow Chart.....	Appendix A
Purposes .....	15002
 <b>Certified Mail</b>	
Notice of Preparation .....	15082(a)(3)
Response to Consultation.....	15096(b)
 <b>Certified State Regulatory Programs</b>	
Exemptions.....	Article 17
General .....	15250
List .....	15251
Substitute Document .....	15252
Substitute EIR .....	15253



Topic.....	Section
<b>Compliance with Performance Standard or Treatment Requirement</b>	
<b>Rule or Regulation .....</b>	<b>15189</b>
<b>Concepts</b>	
General .....	15002
Lead Agency .....	15050
Tiering.....	15152
<b>Condominium Conversions</b>	
Categorical Exemption.....	15301(k)
<b>Congestion Management Programs</b>	
Exemption .....	15276
<b>Consideration</b>	
Comments .....	15074
.....	15088
.....	15096(d)
EIR.....	15090
Environmental Impacts .....	15126
Preparing EIRs and Negative Declarations .....	Article 10
Mitigated Negative Declaration .....	15074
Mitigation Measures.....	15126.4
Negative Declaration.....	15074
Responsible Agency.....	15096
Significant Impacts.....	15126.2
<b>Consultation</b>	
Certified Mail.....	15082(a)(3)
Comments on Draft Documents .....	15082(b)
.....	15083
Contact Person .....	15204(d)
Content of Draft EIR.....	15082(a)(1)
	15083

<b>Topic.....</b>	<b>Section</b>
Deciding Whether to Prepare an EIR or Negative Declaration .....	15060
.....	15070
.....	15081
Draft EIR.....	15086
Federal Agencies .....	15086(a)(3)
.....	15223
General .....	15082(a)
Initial Study .....	15063(g)
List of EIR, Contents, Location.....	15124(a)
Listings of Agencies.....	15087(f)
Meetings .....	15082(c)
Negative Declaration.....	15072
.....	15073
Notice of Preparation .....	15082(a)
.....	15082(b)
Preparation of Joint EIR-EIS.....	15170
.....	15223
Regional and District Offices of State Agencies .....	15205(f)
Responsible Agency.....	15096
Scoping.....	15083
State Responsible Agencies.....	15082(b)
Use of EIS .....	15223
Use of State Clearinghouse .....	15082(e)
.....	15205
Water Agencies .....	15155
 <b>Courts</b>	
Defined as Not Public Agencies.....	15379
Policies Identified by Courts .....	15003
 <b>Criteria for Shortened Clearinghouse Review.....</b>	 <b>Appendix K</b>
 <b>Cultural Resources</b>	
Environmental Checklist .....	Appendix G, Item V
Significant Effect .....	15064.5

Topic.....	Section
<b>Cumulative Impact</b>	
Defined.....	15355
Discussion in EIR.....	15130
Effect on Categorical Exemptions.....	15300.2(b)
Mandatory Findings of Significance.....	15065
Relationship to Larger Undertaking.....	15165
<b>Deadlines for Compliance with Sections 15188 and 15189.....</b>	<b>15190</b>
<b>Decision to Prepare a Negative or Mitigated Negative Declaration.....</b>	<b>15070</b>
<b>Decision to Prepare an EIR.....</b>	<b>15081</b>
Fair Argument about Significant Effect.....	15064
Finding of Possible Significant Effect.....	15064
.....	15091
Public Controversy.....	15064(f)(4)
<b>Decision-Making Body</b>	
Consideration and Approval of Negative Declaration.....	15074
Consideration of EIR.....	15090
Defined.....	15356
Delegation of Authority.....	15025
<b>Definitions</b>	
Affordable Housing.....	15191
Agricultural Housing.....	15191
Applicant.....	15351
Approval.....	15352
Categorical Exemption.....	15354
CEQA.....	15353
Cumulative Impact.....	15355
Decision-Making Body.....	15356
Discretionary Project.....	15357
Draft EIR.....	15362(a)
Effects.....	15358

Topic.....	Section
EIR .....	15002(f)
.....	15362
EIS.....	15363
Emergency.....	15359
Endangered Species.....	15380
Environment.....	15360
Environmental Documents.....	15361
Evidence, Substantial.....	15384
Feasible.....	15364
Final EIR.....	15362(b)
Impacts.....	15358
Initial Study.....	15365
Jurisdiction by Law.....	15366
Lead Agency.....	15367
Local Agency.....	15368
Ministerial Projects.....	15369
Mitigated Negative Declaration.....	15369.5
Mitigation.....	15370
Negative Declaration.....	15371
Notice of Completion.....	15372
Notice of Determination.....	15373
Notice of Exemption.....	15374
Notice of Preparation.....	15375
Person.....	15376
Private Project.....	15377
Project.....	15378
Public Agency.....	15379
Rare Species.....	15380
Residential Infill Projects.....	15191
Responsible Agency.....	15381
Significant Effect on the Environment.....	15002(g)
.....	15382
State Agency.....	15383
Substantial Evidence.....	15384
Threatened Species.....	15380
Tiering.....	15385

<b>Topic</b> .....	<b>Section</b>
Trustee Agency .....	15386
Urbanized Area .....	15387
<b>Degree of Specificity</b> .....	15146
<b>Delays</b> .....	15006
.....	15100
<b>Delegation of Responsibilities</b> .....	15025
<b>Demolition of Small Structures</b>	
Categorical Exemption .....	15301(i)
<b>Determining Significant Effect</b> .....	15064
<b>Disapprovals</b> .....	15042
<b>Disaster Relief</b> .....	15269
<b>Discharge Requirements</b> .....	15263
<b>Discretionary Project</b> .....	15002(i)
Defined.....	15357
<b>Documents</b>	
Draft EIR, Defined .....	15362(a)
EIR .....	15002(f)
.....	15080 through 15095
.....	15085
Defined.....	15362
EIS.....	15220 through 15228
Defined.....	15363
Final EIR, Defined .....	15362(b)
Initial Study .....	15063
Defined.....	15365
Mitigated Negative Declaration, Defined.....	15369.5

<b>Topic.....</b>	<b>Section</b>
Negative Declaration.....	15070 through 15075
Defined.....	15371
Notice of Completion .....	Appendix C
.....	15085
Defined.....	15372
Notice of Determination.....	Appendix D
Defined.....	15373
EIR .....	15094
Mitigated Negative Declaration .....	15075
Negative Declaration.....	15075
Notice of Exemption .....	15062
.....	Appendix E
Defined.....	15374
Notice of Preparation .....	Appendix I
.....	15082
Defined.....	15375
<b>Draft EIR .....</b>	<b>see EIR Process</b>
<b>Duty to Minimize Environmental Damage and Balance Competing</b>	
<b>Public Objectives .....</b>	<b>15021</b>
<b>Duty to Prevent Avoidable Damage.....</b>	<b>15091</b>
<b>Economic Factors .....</b>	<b>15131</b>
<b>Educational Programs</b>	
Categorical Exemption.....	15322
<b>Effects Not Found to be Significant .....</b>	<b>15128</b>
<b>Effects</b>	
Defined.....	15358
<b>EIR</b>	
Adequacy .....	15151

Topic.....	Section
All Phases of a Project .....	15126
Combined with General Plan .....	15166
Contents	
Alternatives .....	15126.6
Appendices.....	15147
Cumulative Impacts.....	15130
Economic and Social Effects.....	15131
Effects Not Found to be Significant .....	15128
.....	15143
Energy Conservation .....	Appendix F
.....	15126.2
Environmental Setting.....	15125
Growth Inducing Impacts.....	15126(d)
.....	15126.2(d)
Housing Factors .....	15131(c)
Inconsistencies with Adopted Plans .....	15125(d)
Incorporation by Reference .....	15150
Index.....	15122
Information Submitted by Applicant.....	15084
Irreversible Commitments .....	15126(c)
.....	15126.2(c)
.....	15127
Local Project Mandated by State Agency .....	15184
Location .....	15124(a)
Maps.....	15124(a)
Mitigation Measures.....	15126.4
No Project Alternative.....	15126.6(e)
Objectives.....	15124(b)
Page Limits.....	15141
People Who Prepared .....	15149
Persons Consulted .....	15129
Project Description .....	15124
References .....	15148
Responses to Comments.....	15132(d)
Significant Effects.....	15126.2
Social Effects .....	15131

Topic.....	Section
Speculative Impacts.....	15145
State Clearinghouse Number.....	15082(e)
Summary.....	15123
Table of Contents.....	15122
Technical Data.....	15147
Unavoidable Effects.....	15126(b)
.....	15126.2(b)
Water Quality.....	15263
Decision to Prepare an EIR.....	15060
.....	15081
.....	Article 10
Defined.....	15362
Degree of Specificity.....	15146
Draft EIR, Defined.....	15362(a)
Engineering Project Report.....	15148
Evidence.....	15121(c)
Final EIR.....	15132
Defined.....	15362(b)
Format.....	15120(a)
General.....	15120 through 15050
General Plan EIR.....	15166
Informational Document.....	15121
Interdisciplinary Approach.....	15142
Language.....	15140
Lead Agency Evaluation and Analysis.....	15088
Local Project Mandated by State Agency.....	15184
Military Base Reuse Plan.....	15229
Only One EIR with the Same Underlying Activity.....	15050
Part of Project Report.....	15004(c)
Preparation.....	Article 10
Professional Services.....	15149
Program EIR.....	15168
Project Requiring State Approval or Financial Assistance.....	15267
Public Review.....	15201
.....	15203
Required by Statute.....	15081.5

<b>Topic</b> .....	<b>Section</b>
Staged EIR .....	15167
Submission of Information by Any Person .....	15083
.....	15084(c)
Subsequent EIR .....	15162
Supplement to an EIR .....	15163
Time of Preparation.....	15004
Types of EIRs.....	Article 11
Addendum to an EIR.....	15164
Focused EIR.....	15063(c)(3)
General Plan EIR.....	15166
General.....	15160
Joint EIR-EIS .....	15170
Master Environmental Assessment .....	15169
Phased Projects.....	15165
Program EIR.....	15168
.....	15161
Staged EIR .....	15167
Subsequent EIR.....	15162
Supplement to an EIR .....	15163
Use of Federal EIS .....	15221
Use of Single EIR.....	15153
 <b>EIR Monitor</b> .....	 15240
.....	Article 16
 <b>EIR Process</b>	
Approval.....	15092
Certification of the Final EIR.....	15090
Completion and Certification of EIR .....	15108
Consultation, Draft EIR.....	15086
Direct Contacts.....	15083
Early Public Consultation.....	15083
Filing of Final EIR .....	15095
General.....	15080
Notice of Completion .....	15085
Notice of Determination.....	15094

<b>Topic</b> .....	<b>Section</b>
Notice of Preparation .....	15082
Preparation of Draft EIR .....	Article 9
15084	
Preparation of Final EIR .....	15089
.....	15132
Projects of Statewide, Regional, or Areawide Significance .....	15206
Projects with Federal Involvement .....	15083
.....	15110
.....	Article 14
Public Notice .....	15087
Public Review .....	15087
State Review Period .....	15205
Use of Final EIR by Responsible Agencies.....	15096
Use of Libraries.....	15087(g)
 <b>EIS</b>	
Consultation .....	15223
Defined.....	15363
Public Review .....	15225
Use as an EIR .....	15221
 <b>Emergency</b>	
Defined.....	15359
 <b>Emergency Projects</b> .....	 15269
 <b>Emphasis</b> .....	 15143
 <b>Endangered Species</b>	
Defined.....	15380
 <b>Energy Conservation</b> .....	
.....	Appendix F
.....	15126.2
 <b>Enforcement Actions</b>	
Categorical Exemption.....	15321

**Topic..... Section**

**Engineering Project Report..... 15148**

**Environment**

    Defined..... 15360

**Environmental Checklist Form..... Appendix G**

**Environmental Documents**

    Defined..... 15361

**Environmental Impacts**

    Consideration and Discussion of ..... 15126

**Environmental Information Form..... Appendix H**

**Environmental Review of New Rules and Regulations ..... 15187**

**Environmental Setting ..... 15125**

**Evaluation of and Response to Comments ..... 15088**

**Evidence**

    Substantial, Defined ..... 15384

**Examples of Tiering EIRs..... Appendix J**

**Exemptions..... Article 18**

    Adoption of Coastal Plans and Programs ..... 15265

    Affordable Housing..... 15194

    Agricultural Housing..... 15193

    Categorical Exemptions ..... Article 19

    Certified State Regulatory Programs ..... Article 17

    Congestion Management Programs..... 15276

    Disapprovals..... 15270

    Disaster Relief..... 15269

    Discharge Requirements..... 15263

<b>Topic.....</b>	<b>Section</b>
Early Activities Related to Thermal Power Plants .....	15271
Emergency Projects.....	15269
Emergency Repairs to Public Service Facilities .....	15269(b)
Feasibility and Planning Studies .....	15262
Financial Assistance to Low or Moderate Income Housing.....	15267
Functional Equivalent .....	15251
General Plan Time Extension.....	15266
General Rule.....	15061(b)(3)
General .....	15260
List of Certified Programs .....	15251
List of Projects .....	15061
Local Coastal Programs .....	15265
Mass Transit.....	15275
Ministerial Projects .....	15268
Notice of Exemption .....	15062
Olympic Games.....	15272
Ongoing Project .....	15007
.....	15261
Passenger or Commuter Service on Existing Rail Lines .....	15275
Preliminary Review .....	15060
Preventing an Emergency.....	15269(c)
Rates, Tolls, Fares, and Charges .....	15273
Residential Infill.....	15195
Revenue Shortfalls .....	15285
Review .....	15060
.....	15061
Statutory .....	Article 18
Thermal Power Plants .....	15271
Timberland Preserves .....	15264
Transportation Improvement Programs.....	15276

**Existing Facilities**

Categorical Exemption.....	15301
----------------------------	-------

<b>Expertise of State Agencies.....</b>	<b>Appendix B</b>
---	-------------------

Topic.....	Section
<b>Facilities for Public Gatherings</b>	
Normal Operations, Categorical Exemption .....	15323
<b>Failure to Comment .....</b>	<b>15207</b>
<b>Family Day Care Homes .....</b>	<b>15274</b>
<b>Feasibility and Planning Studies</b>	
Defined as Not Projects.....	15262
Generally .....	15262
<b>Feasible</b>	
Defined.....	15364
<b>Federal Agency</b>	
Will Not Cooperate .....	15228
<b>Federal Government</b>	
Defined as Not a Public Agency Under CEQA.....	15379
<b>Federal Project .....</b>	<b>Article 14</b>
General .....	15220
Preparation of a Combined EIR-EIS .....	15110
.....	15170
.....	15222
State Comments .....	15227
<b>Fees</b>	
Copy of an EIR.....	15045(b)
For Preparing an EIR or Negative Declaration.....	15045(a)
<b>Final EIR</b>	
Consideration .....	15090
.....	15096
Contents .....	15132
Defined.....	15362(b)

<b>Topic</b> .....	<b>Section</b>
<b>Financial Assistance to Low or Moderate Income Housing</b> .....	15267
<b>Findings</b>	
Agency Lacks Authority .....	15091(a)(2)
General .....	15091
Project Changed .....	15091(a)(1)
Project Changes Infeasible .....	15091(a)(3)
Rates, Tolls, Fares, and Charges .....	15273(a)
Relationship to Statement of Overriding Considerations .....	15093(b)
Responsible Agency.....	15096
Substantial Evidence .....	15091(b)
<b>Fish and Game Commission</b> .....	15251(b)
<b>Fish Stocking</b>	
Categorical Exemption .....	15301(j)
<b>Flow Chart</b> .....	Appendix A
<b>Focus of Review</b> .....	15204
<b>Focused EIR</b> .....	15063(c)(3)
Pollution Control Equipment.....	15188
Small Projects.....	15179.5
<b>Food and Agriculture, Department</b> .....	15251(i)
<b>Forecasting</b> .....	15144
<b>Forestry</b>	
Board of Forestry .....	15251(e)
Department of Forestry .....	15251(a)
.....	15357
Timber Harvesting Plans .....	15251(a)
.....	15357

Topic.....	Section
<b>Functional Equivalent</b>	
Certified Programs .....	15251
General .....	15250
Substitute Document .....	15252
Use of an EIR Substitute by a Responsible Agency.....	15253
<b>General</b> .....	15100
<b>General Plan</b>	
Analysis in EIR .....	15146(b)
EIR as Part of General Plan.....	15166
Inconsistencies of Project with Adopted Plans.....	15125(d)
Time Extension .....	15266
Use of General Plan EIR with Subsequent Projects .....	15168(d)
<b>General Rule Exemption</b> .....	15061(b)(3)
<b>Grading</b>	
Categorical Exemption .....	15304(a)
<b>Greenhouse Gas Emissions</b>	
Cumulative Impacts.....	15130(b)(1)(B)
Mitigation Measures.....	15126.4(c)
Tearing and Streamlining .....	15183.5
<b>Growth Inducing Impacts</b>	
Discussion in EIR.....	15126(d)
<b>Hearings</b> .....	15087(i)
.....	15202
.....	15232
<b>Historical and Archeological Resources</b> .....	15064.5
.....	15300.2(f)
<b>Housing Development</b> .....	15092(c)

<b>Topic.....</b>	<b>Section</b>
<b>Housing for Agricultural Employees .....</b>	<b>15193</b>
<b>Housing Needs Allocation .....</b>	<b>15283</b>
<b>Impacts</b>	
Defined.....	15358
<b>Incorporation by Reference.....</b>	<b>15150</b>
<b>Industrial Welfare Commission .....</b>	<b>15324</b>
<b>Information Collection</b>	
Categorical Exemption.....	15306
<b>Informational Document .....</b>	<b>15121</b>
<b>Initial Study</b>	
Consultation .....	15063(g)
Contents .....	15063(d)
Defined.....	15365
Format .....	15063(f)
General .....	15063(a)
Information from Applicant .....	15063(e)
Prior to Initial Study.....	15060
Purposes .....	15063(c)
Submission of Data .....	15063(e)
Time Limits .....	15102
<b>Inspections</b>	
Categorical Exemption.....	15309
<b>Interdisciplinary Approach .....</b>	<b>15142</b>
<b>Irreversible Commitments.....</b>	<b>15127</b>
<b>Joint Activities .....</b>	<b>15226</b>

<b>Topic.....</b>	<b>Section</b>
<b>Joint EIR-EIS .....</b>	<b>15170</b>
<b>Jurisdiction by Law</b>	
City or County.....	15366(a)
Defined.....	15366(b)
<b>Lake Tahoe Basin .....</b>	<b>15206(b)(4)(A)</b>
<b>Language.....</b>	<b>15140</b>
<b>Lead Agency</b>	
Approval of Final Project.....	15092
Archeological Sites .....	15064.5
Authority to Disapprove Projects .....	15042
Authority to Mitigate.....	15041
Comments .....	15044
Defined.....	15367
Delays .....	15109
Designation by Agreement.....	15051(d)
Designation by Office of Planning and Research.....	15053
Determination of a Lead Agency .....	15050(c)
Disputes as to Designation .....	15023(f)
Lead Agency Criteria .....	15051
Lead Agency Principle .....	15050(a)
Meetings.....	15104
Preliminary Review Responsibilities .....	15060
Shift in Lead Agency Responsibilities .....	15052
Time Limits .....	15101
.....	15102
<b>Lead Agency Principle.....</b>	<b>15050(a)</b>
<b>Limitations on Discussion of Environmental Impact .....</b>	<b>15127</b>
<b>Limitations on the Use of the Master EIR.....</b>	<b>15179</b>

<b>Topic</b> .....	<b>Section</b>
<b>List of Exemption Projects</b> .....	15061(c)
<b>Litigation</b> .....	Article 15
Conditional Permits.....	15233
Request for Hearing .....	15232
Statutory Reference .....	15230
Time Limits and Criteria .....	15230
Use of Documents by Responsible Agencies .....	15231
 <b>Loans for Purchase of Existing Structures</b>	
Categorical Exemption.....	15310
 <b>Local Agencies</b>	
Changes in Organization, Categorical Exemption.....	15320
 <b>Local Agency</b>	
Defined.....	15368
 <b>Local Agency Formation Commission</b> .....	15127(b)
 <b>Local Coastal Programs</b>	
CEQA Application .....	15265
Exemption .....	15265
 <b>Local Projects Mandated by State Agency</b> .....	15184
 <b>Logging</b> .....	15251(a)
.....	15357
 <b>Mandatory Findings of Significance</b> .....	15065
 <b>Mass Transit</b> .....	15275
 <b>Master EIR</b> .....	15175
Contents .....	15176

Topic.....	Section
<b>Master Environmental Assessment</b>	
Contents .....	15169(b)
General .....	15169(a)
Preparation .....	15169(c)
Uses.....	15169(d)
<b>Master Environmental Impact Report</b> .....	Article 11.5
<b>Meetings</b> .....	15104
Responsible Agency with Lead Agency.....	15096(c)
<b>Military Base Reuse Plan EIRs</b>	
Baseline Analysis .....	15229
<b>Ministerial Projects</b>	
Defined.....	15002(i)(1)
.....	15268
.....	15369
Examples .....	15268(b)
Exemption .....	15268
Identification in Agency Procedures .....	15268(c)
Mixed, Ministerial and Discretionary Projects.....	15268(d)
Relationship to Categorical Exemptions .....	15300.1
<b>Minor Alterations to Land</b>	
Categorical Exemption.....	15304
<b>Mitigated Negative Declaration</b> .....	15070
Defined.....	15369.5
Notice of Determination.....	15075
<b>Mitigation</b>	
Archeological Sites .....	15064.5
Authority .....	15041
Defined.....	15370

Topic.....	Section
<b>Mitigation Measures</b>	
Adoption.....	15096(g)
Agencies.....	15041
Discussion in EIR.....	15126.4
Findings.....	15093
Historic Resources.....	15126.4(b)
Housing Development.....	15092(c)
Initial Study.....	15063
<b>Mitigation Monitoring or Reporting .....</b>	<b>15097</b>
<b>Multiple Projects .....</b>	<b>15165</b>
<b>Native American Archeological Sites.....</b>	<b>15064.5(d)</b>
<b>Negative Declaration .....</b>	
Article 6	
Completion of.....	15107
Consideration .....	15074
Contents .....	15071
Consultation .....	15063(g)
Defined.....	15371
Fees .....	15045
General .....	15002(f)
.....	15070
Mitigated Negative Declaration .....	15070
Notice .....	15072
Notice of Determination.....	15075
Preparation .....	15070
.....	Article 10
Public Review .....	15072
.....	15073
Public Review Period .....	15072
.....	15073
Responsibility for Preparation.....	15050(a)
State Review Period .....	15205
When Required.....	15070

**Topic..... Section**

**NEPA Document Ready before CEQA Document ..... 15221**

**NEPA**

General ..... 15220  
 Projects Subject to ..... 15127(c)  
 ..... 15220 through 15228

**Notice**

Mitigated Negative Declaration ..... 15072  
 Negative Declaration ..... 15072  
 Public Notice of Draft EIR ..... 15087  
 Supplement to an EIR ..... 15163(c)

**Notice of Completion**

Contents ..... 15085  
 Defined ..... 15372  
 Draft EIR ..... Appendix L  
 Form ..... Appendix C  
 Use ..... 15085

**Notice of Determination**

Content ..... 15094  
 ..... 15075  
 Defined ..... 15373  
 Filing by a Local Agency ..... 15075(d)  
 Filing by a State Agency ..... 15075(c)  
 Filing with Secretary for Resources ..... 15075(c), (d)  
 Form ..... Appendix D  
 Responsible Agency ..... 15096(i)  
 Use of State Clearinghouse ..... 15075(c), (d)  
 Use with EIR ..... 15094  
 Use with Negative Declaration ..... 15075(a), (b)

**Notice of Exemption**

Agricultural Housing, Affordable Housing and Residential Infill  
 Projects ..... 15196  
 Contents ..... 15062

<b>Topic.....</b>	<b>Section</b>
Defined.....	15374
Filing by a Local Agency .....	15062(c)(2)
Filing by a State Agency .....	15062(c)(1)
Form .....	Appendix E
General .....	15062
List .....	15061(c)
Posting Period .....	15062(c)
Preliminary Review .....	15062
When Filed by an Applicant.....	15062(c)(4)
 <b>Notice of Preparation</b>	
Certified Mail .....	15082(a)(3)
Comments from Responsible Agency .....	15082(b)
.....	15103
Contents .....	15082(a)(1)
Defined.....	15375
Form.....	Appendix I
General .....	15082(a)
Procedure for Sending to State Responsible Agencies .....	15082(d)
Purpose.....	15375
Sample Format .....	Appendix I
Use of State Clearinghouse .....	15082(d), (e)
 <b>Notification Requirement, Department of Defense .....</b>	
	<b>15190.5</b>
 <b>Nuclear Power Plants.....</b>	
	<b>15206(b)(7)</b>
 <b>Office of Planning and Research</b>	
Assistance to Other Agencies.....	15023(d)
Lead Agency Disputes .....	15023(f)
Monitoring Consultation with State Responsible Agencies .....	15082(c)
Monitoring State Responsible Agencies.....	15023(e)
Responsibilities .....	15023
State Clearinghouse.....	15023(c)
.....	15082(d), (e)

<b>Topic.....</b>	<b>Section</b>
<b>Olympic Games .....</b>	15272
<b>Ongoing Project.....</b>	15261
<b>Open Space Contracts or Easements</b>	
Categorical Exemption.....	15317
<b>Organizations and Persons Consulted.....</b>	15129
<b>Other Statutory Exemptions .....</b>	15282
<b>Page Limits .....</b>	15141
<b>Parks, Transfer of Land to Create</b>	
Categorical Exemption.....	15316
<b>Passenger or Commuter Service on Existing Rail Lines</b>	
Exemption .....	15275
<b>Person</b>	
Authority to Comment .....	15044
Defined.....	15376
<b>Pesticides .....</b>	15251(i)
<b>Phased Projects.....</b>	15165
<b>Phase-in of New Requirements.....</b>	15007
<b>Pipelines .....</b>	15284
<b>Planning and Review Process</b>	
Combined with EIR Process.....	15095
<b>Planning Commission .....</b>	15025(c)

Topic.....	Section
<b>Power Plants</b>	
Exemption for Early Activities.....	15271
Projects within 10 miles of Nuclear Power Plants.....	15206(b)(7)
<b>Preapplication Consultation.....</b>	<b>15060.5</b>
<b>Preliminary Review of Projects.....</b>	<b>15060</b>
Exemptions.....	15061
.....	15062
<b>Preparation of Joint Documents.....</b>	<b>15222</b>
<b>Private Project</b>	
Completion and Certification of EIR.....	15108
Defined.....	15377
Negative Declaration.....	15107
<b>Program EIR</b>	
Advantages.....	15168(b)
General.....	15168(a)
Notice with Later Activities.....	15168(e)
Use with Later Activities.....	15168(c)
Use with Subsequent EIRs and Negative Declarations.....	15168(d)
<b>Project</b>	
Activity Being Approved.....	15378(c)
Authority to Approve Despite Significant Effects.....	15043
Authority to Disapprove.....	15042
Certificate.....	15378(a)(3)
Defined.....	15378
Entitlement for Use.....	15378(a)(3)
Exemptions.....	15061
Financial Assistance.....	15378(a)(2)
General Plans.....	15378(a)(1)
Lease.....	15378(a)(3)
License.....	15378(a)(3)

<b>Topic</b> .....	<b>Section</b>
Multiple Projects .....	15165
Permit .....	15378(a)(3)
Phased .....	15165
Preliminary Review .....	15060
Public Works .....	15378(a)(1)
Redevelopment Plan.....	15180(a)
Scope of Project .....	15165
Zoning Ordinances .....	15378(a)(1)
 <b>Project Description</b> .....	 15124
 <b>Project EIR</b> .....	 15161
 <b>Projects Consistent with a Community Plan or Zoning</b> .....	 15183
 <b>Projects Located Outside California</b> .....	 15277
 <b>Projects near Airports</b> .....	 15154
 <b>Projects of Statewide, Regional, or Areawide Significance</b> .....	 15206
 <b>Projects with Short Time Periods for Approval</b> .....	 15111
 <b>Public Agency</b>	
Adoption of Procedures of another Agency .....	15022
Adoption of Procedures.....	15022
Adoption of State Guidelines by Reference .....	15022
Authority .....	15040
.....	15041
Authority to Approve Despite Significant Effects.....	15043
Authority to Comment .....	15044
Authority to Disapprove Projects .....	15042
Authority to Mitigate.....	15041
Contents of Procedures.....	15022
Courts .....	15379
Defined.....	15379

<b>Topic.....</b>	<b>Section</b>
Exemptions.....	15061
Implementing Procedures.....	15022
Objectives, Criteria, and Procedures .....	15022
Preliminary Review.....	15060
Responsibilities .....	15020 through 15022
 <b>Public Participation.....</b>	 15201
 <b>Public Review .....</b>	 15200 through 15209
Agency Procedures.....	15201
.....	15203
.....	15204
EIR Process .....	15087
.....	15105
Focus of Review.....	15204
Hearings .....	15202
Length of Review Period.....	15072
.....	15073
.....	15105
Mitigated Negative Declarations .....	15073
.....	15105
Negative Declarations .....	15072
.....	15073
.....	15105
Project Planning .....	15206
Purpose.....	15200
Time Limits .....	15105
.....	15205
 <b>Purchases of Mortgages</b>	
Categorical Exemption.....	15310(b)
 <b>Purpose of Guidelines .....</b>	 15002
 <b>Rare Species</b>	
Defined.....	15380

Topic.....	Section
<b>Rates, Tolls, Fares, and Charges</b>	
Exemption .....	15273(a)
Findings.....	15273(c)
Increases .....	15273(b)
<b>Recirculation of a Negative Declaration Prior to Adoption.....</b>	<b>15073.5</b>
<b>Recirculation of an EIR Prior to Certification .....</b>	<b>15088.5</b>
<b>Reconstruction of Existing Structures</b>	
Categorical Exemption.....	15302
<b>Redevelopment Plan.....</b>	<b>15180</b>
<b>Reducing Delay and Paperwork.....</b>	<b>15006</b>
<b>Regulatory Actions for Protecting Natural Resources</b>	
Categorical Exemption.....	15307
<b>Regulatory Actions for Protecting the Environment</b>	
Categorical Exemption.....	15308
<b>Regulatory Programs</b>	
Exemptions.....	Article 17
<b>Removal of Mature &amp; Scenic Trees .....</b>	<b>15304</b>
<b>Replacement</b>	
Existing Structures, Categorical Exemption.....	15302
Schools and Hospitals, Categorical Exemption.....	15302(a)
<b>Residential Projects</b>	
Consistent with a Community Plan or Zoning .....	15183
Pursuant to a Specific Plan.....	15182

Topic.....	Section
<b>Response to Consultation</b>	
Responsible Agency.....	15096(b)
<b>Responsible Agencies</b>	
Adoption of Alternatives or Mitigation Measures.....	15041
.....	15096(g)
Authority to Disapprove Projects .....	15042
Authority to Mitigate.....	15041
Comments .....	15096(d)
Conditional Permits.....	15233
Consideration of Document.....	15096(f)
Consultation .....	15096(b)
Decision on Adequacy .....	15096(e)
Defined.....	15381
Findings.....	15096(h)
Meetings.....	15104
Meetings with Lead Agency.....	15096(c)
Notice of Determination.....	15096(i)
Response to Consultation.....	15096(b)(1)
Time Limits.....	15101
.....	15103
.....	15205
Use of Document Prepared by Lead Agency .....	15050(b)
Use of Document Under Litigation .....	15231
<b>Retention and Availability of Comments .....</b>	<b>15208</b>
<b>Reuse Plan EIRs</b>	
Military Base.....	15229
<b>Revenue Shortfall .....</b>	<b>15285</b>
<b>Review by State Agencies.....</b>	<b>15205</b>
<b>Review for Exemption.....</b>	<b>15061</b>

Topic.....	Section
<b>Review of Application for Completeness.....</b>	<b>15101</b>
<b>Review of Documents.....</b>	<b>15105</b>
Agency Procedures.....	15201
.....	15203
.....	15204
Availability of Documents.....	15087(e)
Charges for Copies.....	15045(b)
Comments on Initiative of Public Agencies.....	15044
.....	15209
Contact Person.....	15204
Effect on Planning.....	15203
Failure to Comment.....	15207
Focus for Review.....	15204
Hearings.....	15202
Libraries.....	15087(g)
Listings of Agencies to be Consulted.....	15087(f)
Mandatory.....	15205
.....	15206
Negative Declarations.....	15072
.....	15073
.....	15105
.....	15205
.....	15206
Projects of Statewide, Regional, or Areawide Significance.....	15206
Public Participation.....	15201
.....	15202
Purpose.....	15200
Retention of Comments.....	15208
State Clearinghouse.....	15105
.....	15205
.....	15206
State Review.....	15105
.....	15205
.....	15206

<b>Topic.....</b>	<b>Section</b>
Time Periods .....	15105
.....	15203
.....	15205(d)
<b>Sacramento-San Joaquin Delta</b> .....	15206(b)(4)(E)
<b>San Francisco Bay Conservation and Development Commission</b> .....	15206(b)(4)(G)
.....	15251(h)
<b>Santa Monica Mountains Zone</b> .....	15206(b)(4)(B)
<b>School Facilities</b> .....	15186
<b>Schools</b>	
Changes in Grade Structure, Categorical Exemption .....	15322(b)
Education or Training Programs, Categorical Exemption .....	15322
Minor Additions to, Categorical Exemption .....	15314
<b>Scoping</b> .....	15083
<b>Secretary for Resources</b>	
Address.....	15000
Responsibilities .....	15024
<b>Short Title</b> .....	15001
<b>Significant Effect</b>	
Archeological and Historical Resources.....	15064.5
Authority to Approve Projects .....	15043
Consideration and Discussion of.....	15126.2
Defined.....	15382
Determining Significant Effect .....	15002(g)
.....	15064
Examples.....	15064
.....	15126.2
Findings.....	15091

<b>Topic</b> .....	<b>Section</b>
Mandatory Findings of Significance .....	15065
Mitigation Measures.....	15126.4
<b>Signs</b>	
New Copy, Categorical Exemption.....	15301(g)
On-Premise Signs, Categorical Exemption .....	15311(a)
<b>Single Family Residences</b>	
Categorical Exemption.....	15303(a)
<b>Social Factors</b> .....	15131
<b>Special Situations</b> .....	Article 12
<b>Specific Plan</b>	
Residential Projects .....	15182
<b>Speculation</b> .....	15145
<b>Speculative Impacts</b> .....	15145
<b>Staged EIR</b>	
General .....	15167
Use with a Supplement.....	15167(b)
Use with Power Plants.....	15167(c)
<b>State Agencies</b>	
Areas of Expertise .....	Appendix B
<b>State Agency</b>	
Defined.....	15383
<b>State Clearinghouse</b>	
Address.....	15205
Number of Copies Required.....	15205(e)
Required Review .....	15105

<b>Topic.....</b>	<b>Section</b>
.....	15205
.....	15206
Responsibilities .....	15023(c)
Review Periods.....	15105
.....	15205(d)
Use of .....	15082(e)
<b>State Mandated Local Projects .....</b>	<b>15184</b>
 <b>State Policies</b>	
Court Declarations.....	15003
 <b>Statement of Overriding Considerations</b>	
General .....	15093
Relationship to Findings.....	15093(b)
 <b>Statute of Limitations.....</b>	
30 Days .....	15075(e)
30 Days .....	15094
Notice of Determination.....	15075(e)
15094	
Notice of Exemption .....	15062(d)
 <b>Statutory Exemptions (see Exemptions).....</b>	 <b>Article 18</b>
 <b>Subsequent EIR</b>	
Conditions Requiring Preparation .....	15162(a)
Generally .....	15162
Preparation by Lead Agency .....	15162(b)
Preparation by Responsible Agency.....	15162(c)
 <b>Subsequent Negative Declaration .....</b>	 <b>15162</b>
 <b>Subsequent Projects</b>	
Identified in the MEIR .....	15178

**Topic..... Section**

    Within the Scope of the MEIR ..... 15177

**Substantial Evidence**

    Defined..... 15384

**Substantive Duty**

    Findings..... 15091

    Responsible Agency..... 15096

**Substitute Document ..... 15252**

**Substitution of Mitigation Measures in a Proposed Mitigated  
Negative Declaration ..... 15074.1**

**Suisin Marsh ..... 15206(b)(4)(F)**

**Summary ..... 15123**

**Supplement to an EIR**

    Circulation..... 15163(d)

    Consideration ..... 15163(e)

    Contents ..... 15163(b)

    Notice 15163(c)

    When Required..... 15163(a)

**Surplus Government Property Sales**

    Categorical Exemption ..... 15312

**Table of Contents or Index ..... 15122**

**Technical Detail ..... 15147**

**Terminology**

    “May” ..... 15005(c)

    “Must” ..... 15005(a)

    “Shall” ..... 15005(a)

    “Should” ..... 15005(b)

Topic.....	Section
<b>Thermal Power Plants</b>	
Contents of EIR or Negative Declaration.....	15271(b)
Exemption .....	15271(a)
<b>Threshold Requirements for Exemptions for Agricultural Housing, Affordable Housing and Residential Infill Projects.....</b>	
	15192
<b>Threatened Species</b>	
Defined.....	15380
<b>Thresholds of Significance.....</b>	15064.7
<b>Tiering.....</b>	15152
Defined.....	15385
<b>Timberland Preserves</b>	
Exemption .....	15264
<b>Time Limits.....</b>	Article 8
Determining Type of Document.....	15102
EIR	
1 Year.....	15108
Extensions .....	15108
.....	15110
Initial Study.....	15102
Lead Agency .....	15101
.....	15102
.....	15104
.....	15110
Litigation.....	15230
Meeting on Scope of EIR.....	15104
Negative Declaration.....	15102
.....	15105
.....	15107
Private Projects.....	15107
.....	15108

<b>Topic.....</b>	<b>Section</b>
Projects Subject to CEQA and NEPA .....	15110
.....	15224
Public Review .....	15105
Responsible Agency.....	15101
.....	15103
.....	15104
Review of Application .....	15101
Statutes of Limitations .....	15112
Suspension of Time Periods .....	15109
Waiver 15110	
<b>Timely Compliance .....</b>	<b>15110</b>
<b>Timing of EIR Preparation</b>	
Before Project Plans are Finalized .....	15004(a)
Early in the Planning Process .....	15004(b)
Prior to Approval of the Project .....	15004(a)
<b>Training Program</b>	
Categorical Exemption .....	15322
<b>Transit Agency Responses to Revenue Shortfalls.....</b>	<b>15285</b>
<b>Transportation Improvement Programs</b>	
State and Regional, Exemption .....	15276
<b>Trustee Agency</b>	
Defined.....	15386
<b>Urbanized Area</b>	
Defined.....	15387
<b>Use of a Single EIR.....</b>	<b>15153</b>
<b>Use of an EIR from an Earlier Project.....</b>	<b>15153</b>

**Topic..... Section**

**Use of Registered Professionals in Preparing EIRs.....15149**

**Utility Extensions**

    Categorical Exemption..... 15303(d)

**Vote of the People**

    Defined as Not a Project..... 15378(b)(3)

**Water Quality**

    Discussion in EIR..... 15206(b)(6)

    .....15263

**Water Resources Control Board..... 15251(g)**

**Weather Management..... 15251(j)**

**Wild and Scenic Rivers..... 15206(b)(4)(D)**

**Wilderness Area Designation**

    Categorical Exemption.....15318

**Williamson Act ..... 15206(b)(3)**

**Working Conditions**

    Regulation of, Categorical Exemption .....15324

**Zoning**

    Defined.....15378(a)(1)

    Residential Projects .....15183

**The Association of Environmental Professionals** is a non-profit organization of professionals working to improve their skills as environmental and resource managers. AEP is dedicated to the enhancement, maintenance and protection of the natural and human environment, as well as the continued improvement of the environmental profession and its members. AEP is proud to offer a full range of services and benefits to its members.

Annual State Conference

CEQA Workshops

Continuing Education Credit

*Environmental Monitor* Quarterly Magazine

*Environmental Assessor* Legislative Updates

Focus Groups

Job Opportunity Announcements

Legislative Advocacy

Local Chapter Activities

Professional Awards Program

Professional Discounts

Professional Practice Insurance Discount

Special Committees